Belle II and LHCb Upgrade I Performance

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July 20 2023

on behalf of the Belle II and LHCb Collaborations











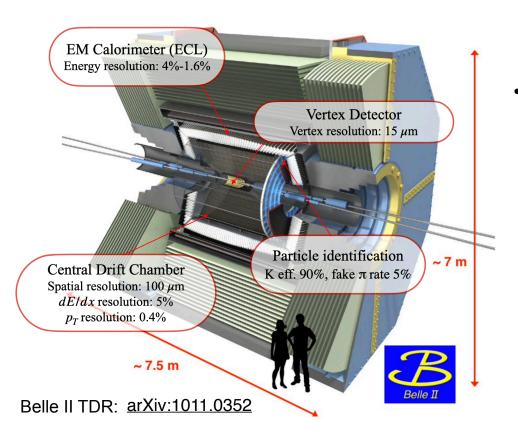


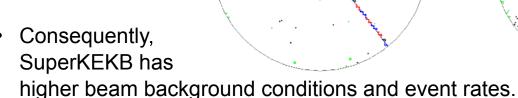
Belle II Detector at SuperKEKB

• Next generation B-factory: $e^+e^- \to \Upsilon(4S) \to B\bar{B}$, $\sqrt{s}=10.58 GeV$ + rich program of low-multiplicity physics.

KEKB → SuperKEKB accelerator

- 2x beam currents, 50nm vertical beam spot size ("nano beam").
- design peak luminosity 2.1×10³⁴ → 6.0×10³⁵ cm⁻²s⁻¹.
- SuperKEKB currently holds world record (4.7x10³⁴ cm⁻²s⁻¹).





KEKB

• Belle → Belle II detector

- New 2-layer Pixel Detector (PXD) with first layer at 1.4cm,
 significantly improves vertexing (full inner + partial outer layer).
- 4-layer Silicon Vertex Detector (SVD) with larger acceptance.
- Central Drift Chamber (CDC) with larger outer radius.
- Improved particle ID: TOP + new ARICH (K/ π separation).
- Improved trigger, and faster electronics in general.



SuperKEKB

LHCb Detector at LHC

 LHCb is a general-purpose forward detector at the Large Hadron Collider which is particularly suited to precision measurements in the beauty and charm sectors, designed to:

Capture forward production

▶ trigger on low p_T

 provide particle identification for flavour tagging and distinguishing topologically similar decays e.g. B→ππ, B→Kπ

Working outward:

VELO RICH1

TT

Magnet

OT+IT (T-stations)

RICH2

Muon station 1

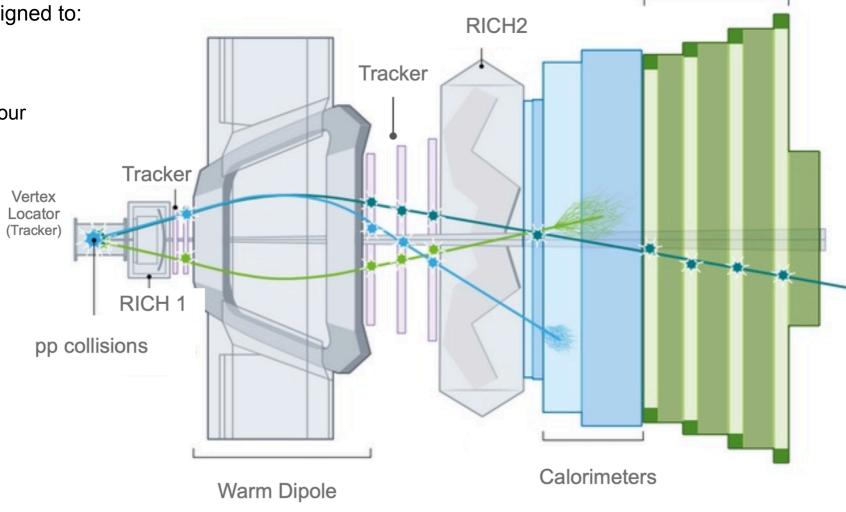
SPD/PS

ECAL

HCAL

Muon stations 2-5

Tracking
Particle ID
Both

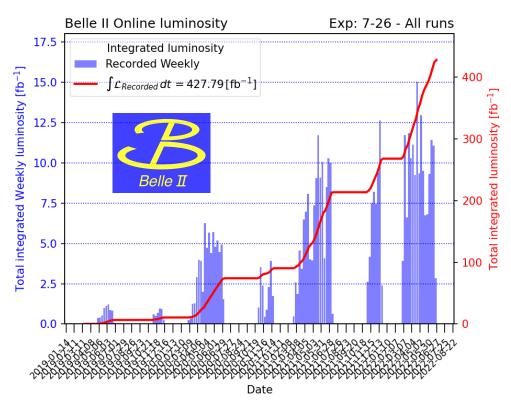




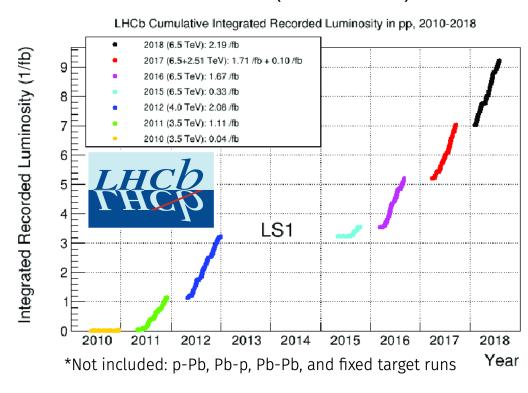
Muon chambers

Luminosity Status and Outline

Run1 of Belle II (2019 - 2022): 428 fb⁻¹



Run1+2 of LHCb (2011 - 2018): 9 fb⁻¹



- The first part of this talk will be on Belle II performance during Run1.
- After Run2 LHCb underwent a major upgrade → "Upgrade I". Run3 with the upgraded detector started last year.
 The second part of this talk will be on LHCb Upgrade I performance.

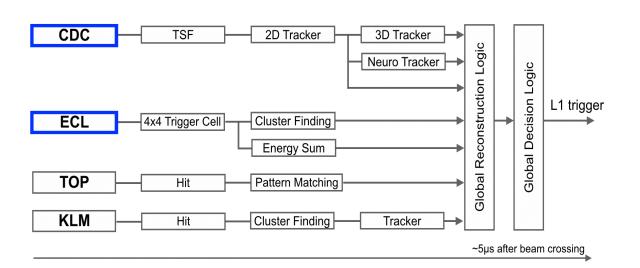


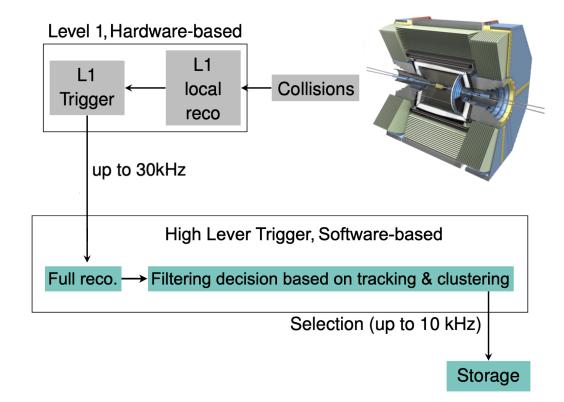
Belle II Performance



Belle II Trigger System

- Hardware-based Level 1 trigger must reduce total rate to a maximum of 30 kHz. Requirements:
 - high efficiency for both low and high multiplicity physics
 - latency ~5µs, timing precision ≤ 10 ns, two event separation ≥ 200 ns
- Two primary components: Central Drift Chamber and Electromagnetic Calorimeter based triggers.
 - CDC 2D (r-φ space), 3D & neural network track finding
 - ECL total energy and cluster finding, low-multiplicity cluster topology, Bhabha veto.

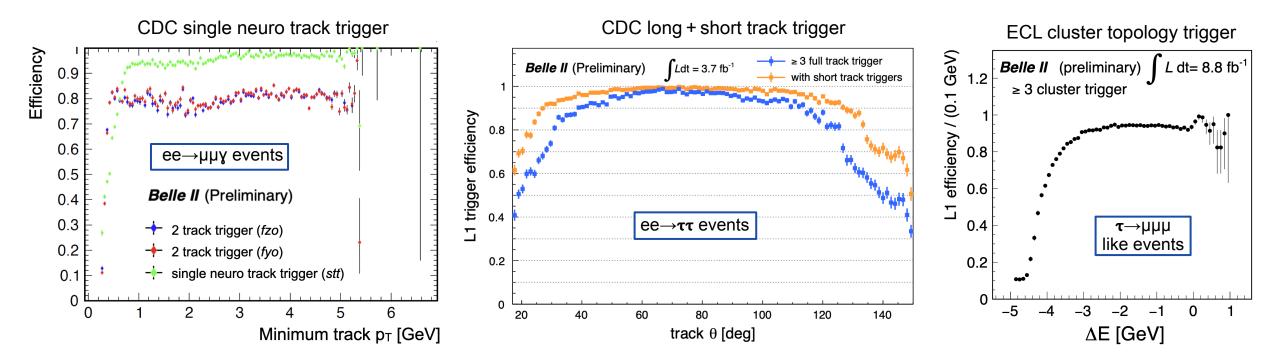




- Software-based High Level Trigger then reduces rate to manageable level, at most 10 kHz.
 - Discards background events with selections based on full event reconstruction, very similar to offline.
 - Menu of trigger lines carefully designed to cover the compete Belle II physics program.



Trigger Performance



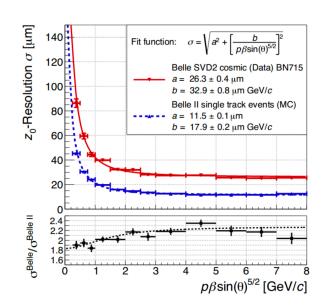
- Several triggers are unique to Belle II and not previously available at Belle
 - ▶ e.g. neural network single track trigger, track pair with small opening angle, short tracks, ECL cluster topology.
- · We observe major gains in efficiency
 - ► Opens up new Dark Sector & Tau Physics opportunities at Belle II, even with less data.
 - ► See talk by Savino Longo on Tuesday.

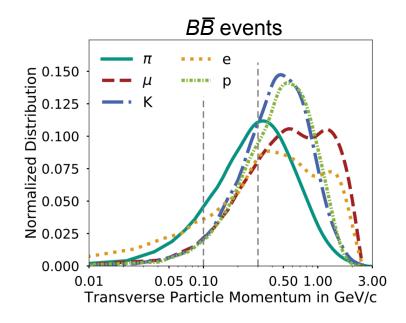


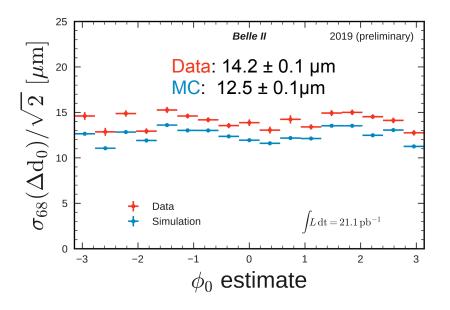
Tracking at Belle II

- Challenges of tracking at Belle II:
 - Many tracks at low momentum (multiple scattering, curling tracks).
 - Larger machine backgrounds and high occupancy. Typical Y(4S) event has 11 tracks $\Rightarrow O(10^2)$ signal vs $O(10^4)$ bkg hits.
- Belle II has state-of-the-art tracking detectors <u>and software</u>.
- Modular code structure, with flexibility for reconstruction sequence.
 See:
 - Belle II <u>software</u> and <u>tracking algorithms</u> papers.
 - Belle II Analysis Software Framework (basf2) repository.

- Excellent tracking performance is critical to achieving our physics goals (e.g measurement of time dependent CP violation).
 - PXD provides ~2x better single vertex resolution wrt Belle.



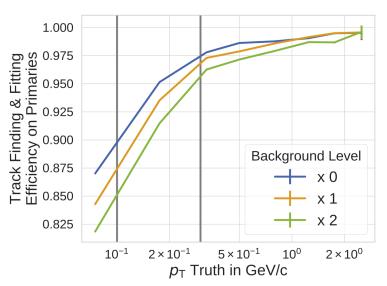




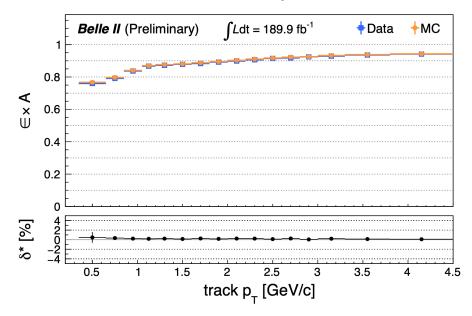


Tracking efficiency

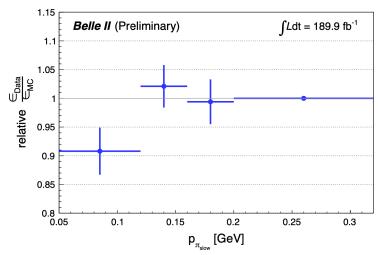
MC efficiency @ nominal background



Fast-track efficiency: data vs MC



Slow pion efficiency: data/MC



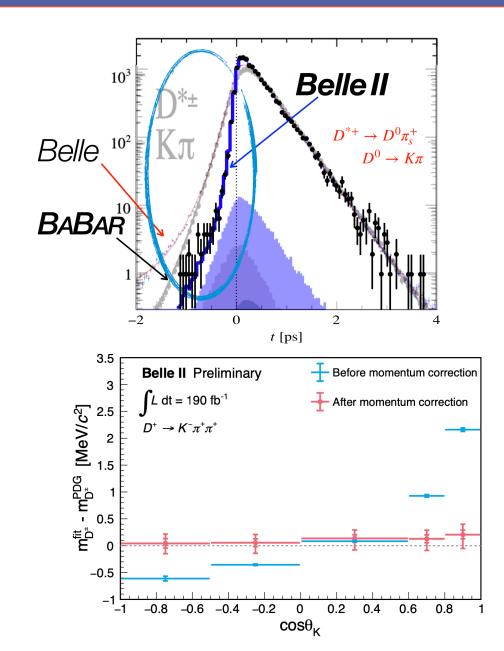
- Fast track finding (0.2 < p_T < 4.5 GeV):
 - Excellent agreement b/w data and MC, very stable with time.
 - \Rightarrow 0.3% per-track uncertainty (0.35% at Belle)

- Slow pions (50-200 MeV):
 - Overall systematic uncertainty is 2.1% on 190 fb⁻¹ of data (1.3% at Belle).
 - Statistically limited measurement and will improve with more data.

Tracking benchmarks

- World's best D lifetime measurement (<u>Phys. Rev. Lett.127,211801</u>) with only 72 fb⁻¹ of data, enabled by:
 - ▶ Decay time resolution 2x better than Belle & BABAR. (mainly due to PXD first layer being only 1.4 cm from IP, but also excellent VXD reco, track finding and vertex fitting)
 - ► Accurate alignment of the vertex-detector, resulting in much smaller systematics (<u>EPJ Web Conf. 245, 02023, 2020</u>).
 - See Tuesday talk by Michele Veronesi for the full story on charm-hadron lifetime measurements (D⁰, D⁺, Λc⁺, Ωc⁰, Ds⁺).

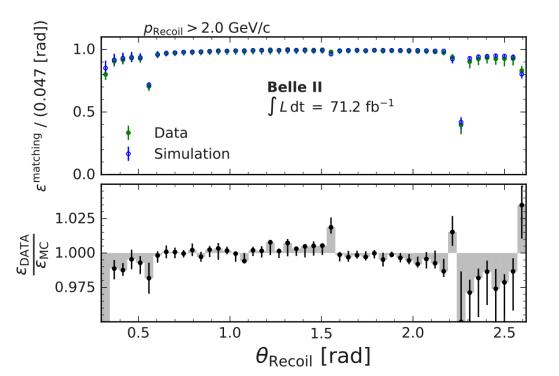
- World's best tau mass measurement (<u>arXiv:2305.19116</u>) with only 190 fb⁻¹ of data, enabled by:
 - Many systematic sources being under control, in particular having a precise and cos(θ) dependent calibration of the track momentum scale.
 - More details in talk from Savino Longo on Tuesday.

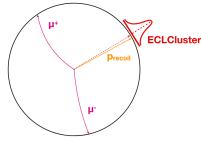




Photon performance

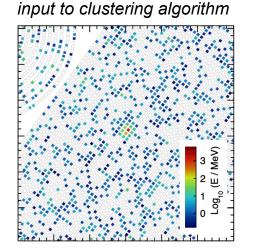
- The raw crystal-level information of Belle II ECL is translated into photon objects via state-of-the-art clustering and identification algorithms.
- Photons can be mimicked by:
 - Neutral hadrons
 - ► Charged hadrons → "secondary" clusters w/o matching track due to hadronic splitoffs.
- Identification mostly relies on variables describing the lateral shower shape development → isolation (E1/E9), Zernike moments.

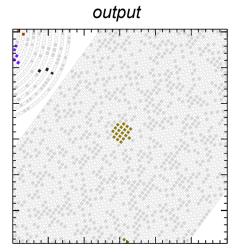




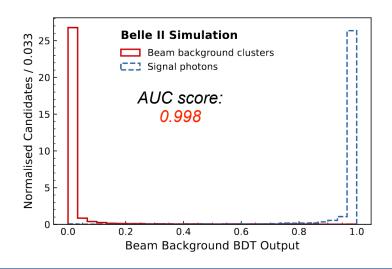
High efficiency with systematics at 0.3% in barrel.

(was 2% at Belle)





 Novel BDT-based classifiers recently developed to provide a final suppression of both beam bkg and fake photons.

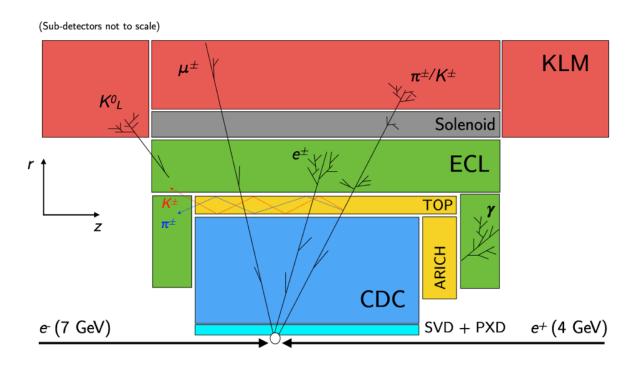




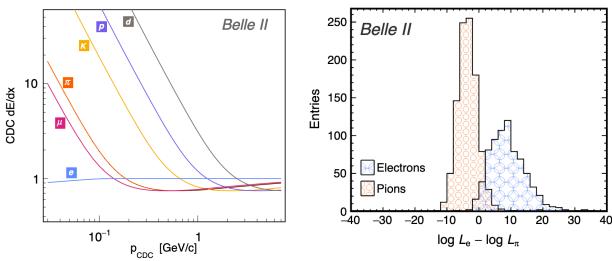
• Particle Identification (PID): identify "long lived" particles passing through the detector by means of their interaction with matter.

(In Belle II) "standard charged":
$$\{e^{\pm}, \mu^{\pm}, \pi^{\pm}, K^{\pm}, p^{\pm}, d^{\pm}\}$$

- Often one of the most crucial factors determining sensitivity/precision of a physics measurement.
- PID algorithm works by encoding measurements from different sub-detectors into a likelihood ratio



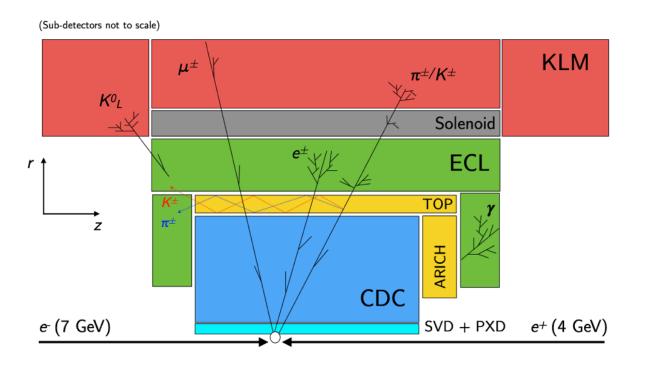
Example of CDC measurements



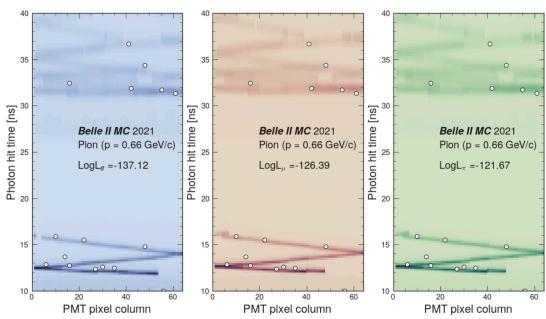
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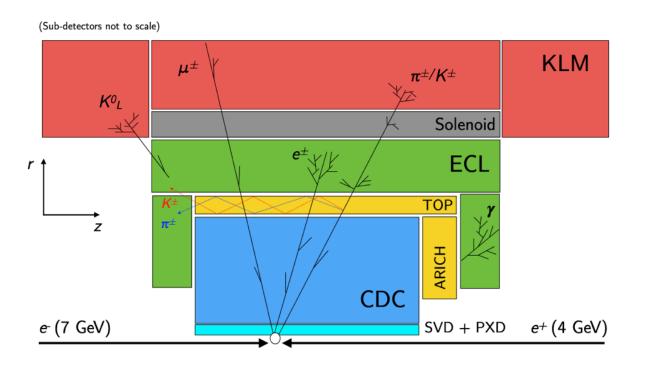
Example of **TOP** measurements



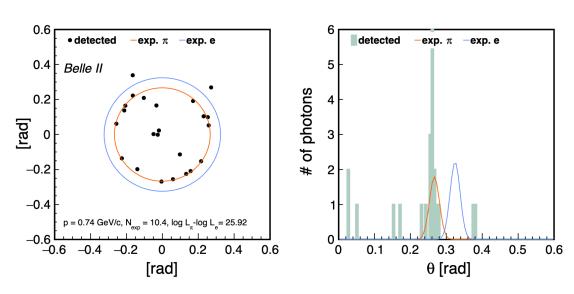
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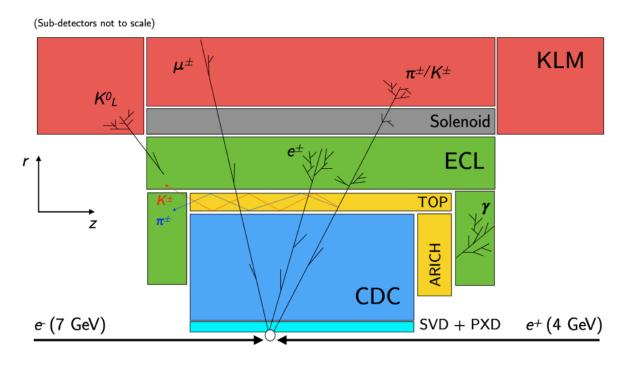
Example of ARICH measurements



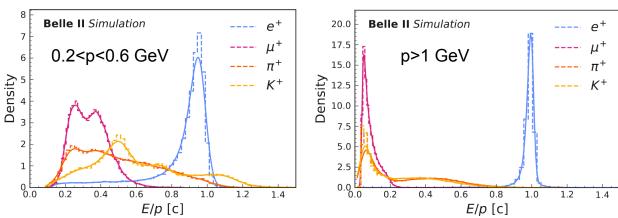
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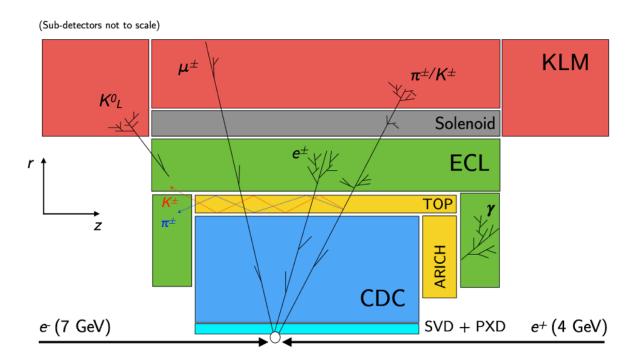
Example of **ECL** measurements



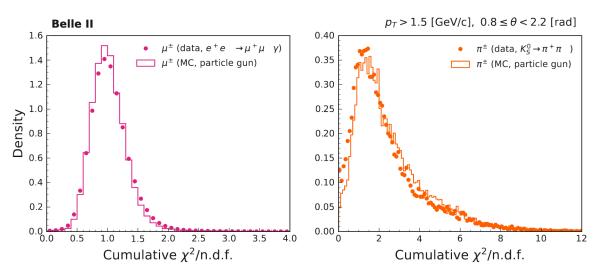
• Particle Identification (PID): identify "long lived" particles passing through the detector by means of their interaction with matter.

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- Often one of the most crucial factors determining sensitivity/precision of a physics measurement.
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Example of **KLM** measurements

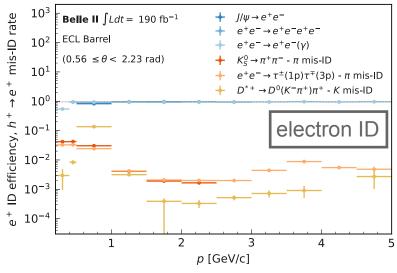


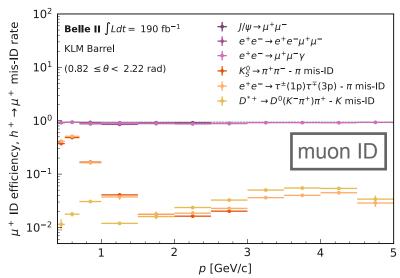
Lepton ID Performance

- Overall good lepton ID performance.
- BDT has superior performance across the whole momentum spectrum, especially below 0.6 GeV where the fake rate is reduced by up to a factor of 10.

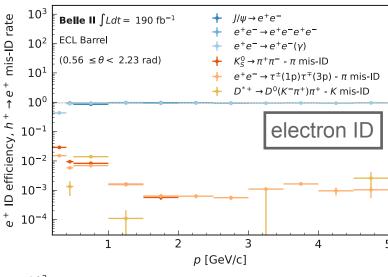
- Data/MC correction factors have associated systematics for the efficiency at the 0.5-1.5% level (1.0-1.5% at Belle).
- Precision continues to improve as we better understand remaining tensions b/w control channels.

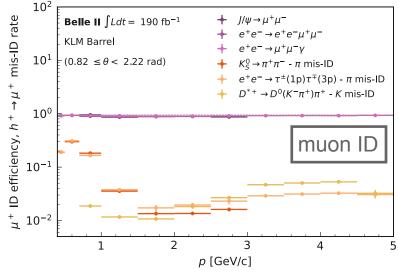
Likelihood-based





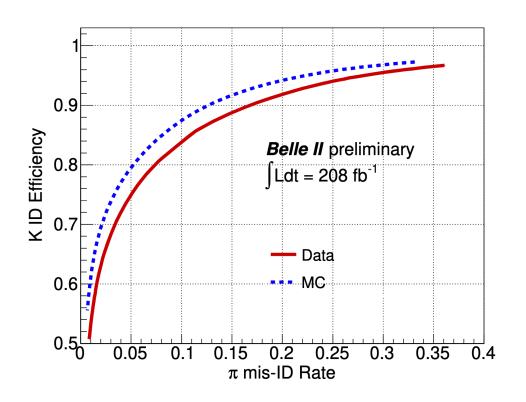
BDT-based

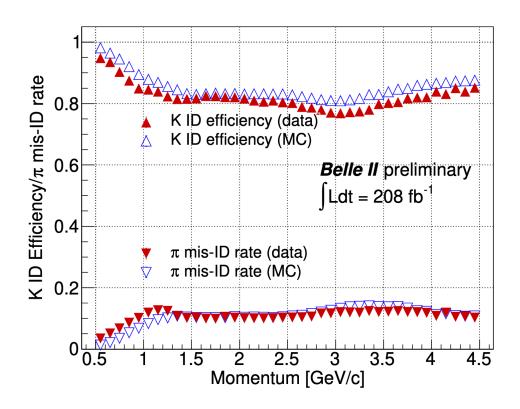






Hadron ID Performance



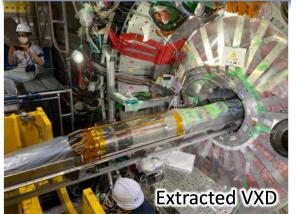


- Good kaon identification, slightly underperforming wrt Belle albeit under much harsher background conditions.
- Big efforts underway that will improve this (e.g. TOP software, Neural Network based ID).
- Systematics associated to Data/MC correction factors currently at 0.8-1% level (0.8% at Belle).

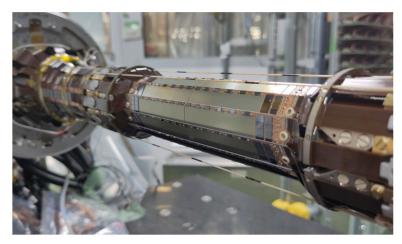
Getting ready for Run2

- Long shutdown 1 (LS1): Belle II data-taking stopped in July 2022 and is on track to resume in December 2023.
- LS1 activities:
 - installation of full 2-layer pixel detector (PXD2)
 - replacement of PMT of central PID detector (TOP)
 - replacement of the beam-pipe
 - + more:
 - improvement of data-quality monitoring and alarm system
 - complete transition to new DAQ boards
 - replacement of aging components
 - additional shielding against beam bkgs
 - accelerator improvements: injection, non linear- collimators, monitoring.

PXD2 is ready to be installed!









LHCb Upgrade I Performance



LHCb Upgrade I Challenge

The LHCb *Upgrade I* has transformed the detector to cope with new challenges at Run 3 and Run 4.

► Raise operational luminosity to 2x10³³ cm⁻²s⁻¹

► Move to full 40 MHz software trigger.

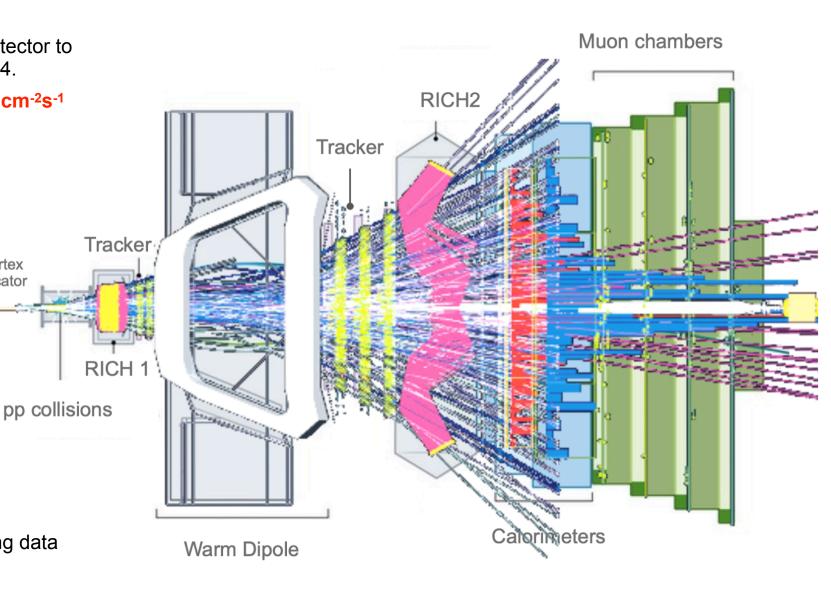
Large increase in precision and the ability to perform studies beyond the reach of the current detector.

Flexible trigger & unique acceptance opens up opportunities in topics apart from flavour.

Only possible with vast improvements in granularity, readout speed, radiation hardness, and trigger innovations.

2019: removal of detector.

2022/2023: upgraded detector complete, taking data and in commissioning stage.



Vertex Locator

Upgrading the LHCb hardware

VELO Installation





VELO: NEW SILICON PIXEL DETECTOR

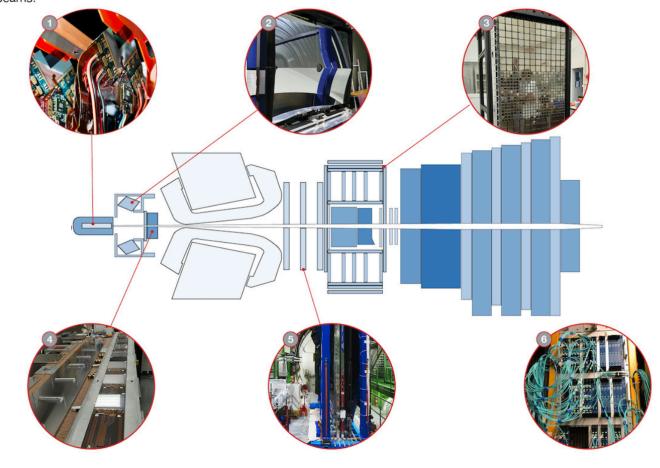
Vertex Locator (VELO) replaced by a new silicon pixel detector, installed as close as 5.1 mm to the proton beams.

RICH1

New optics of RICH1 mirrors, with larger curvature radius.

RICH2

New multi-anode photomultipliers replaced the hybrid photon detectors (HPD) in RICH1 and RICH2.



TRACKER: New UT

New high granularity silicon microstrip upstream tracker (UT).

TRACKER: SCI-FI

Three new scintillating fibre tracker (Sci-Fi) stations.

FRONT-END ELECTRONICS

All front-end electronics (i.e. those connected directly to the detectors) have been modified.



Upgrading the LHCb hardware

RADIATION SAFETY AT LHCb

Replaced Beam Conditions Monitors from Run1+2 and added new **Radiation Monitoring System**



VELO: NEW SILICON PIXEL DETECTOR

Vertex Locator (VELO) replaced by a new silicon pixel detector, installed as close as 5.1 mm to the proton beams.

RICH1

New optics of RICH1 mirrors, with larger curvature radius.

RICH2

New multi-anode photomultipliers replaced the hybrid photon detectors (HPD) in RICH1 and RICH2.

PLUME: NEW LUMINOMETER

Cherenkov quartz detector. Delivers online and offline luminosity, measures radiation background.







SMOG2

New gas cell upstream of the VELO. Gives up to 100x increase in gas pressure for fixed target mode







ON-SITE DATA CENTRE

Processing readout from front-end electronics and running event reconstruction for full software trigger



New high granularity silicon microstrip upstream tracker (UT).

TRACKER: SCI-FI

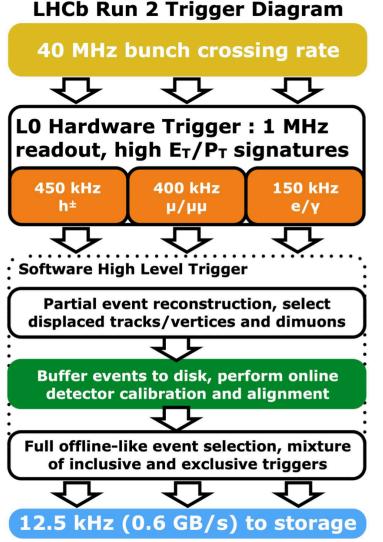
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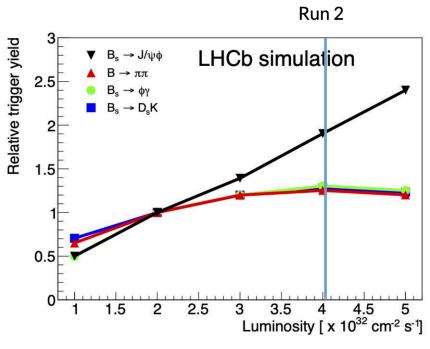
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Trigger Scheme for Upgrade I





LHCb Run 3 Trigger Diagram

30 MHz inelastic event rate (full rate event building)



Software High Level Trigger

Full event reconstruction, inclusive and exclusive kinematic/geometric selections



Buffer events to disk, perform online detector calibration and alignment



Add offline precision particle identification and track quality information to selections

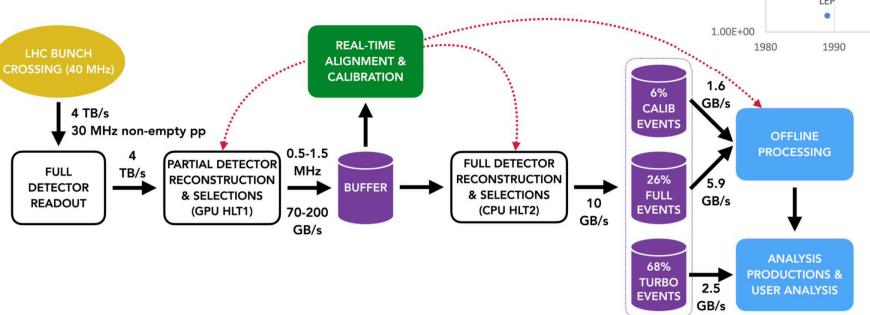
Output full event information for inclusive triggers, trigger candidates and related primary vertices for exclusive triggers

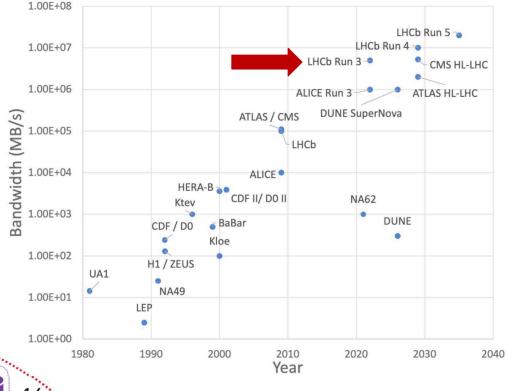


10 GB/s to storage

Upgrading the LHCb trigger & software

- Run 2 hadronic trigger with hardware first stage was saturated.
- LHCb is facing now the challenges that other experiments will face in > 5 years from now.
- Data flood requires novel approaches...
- First GPU trigger in a HEP experiment!





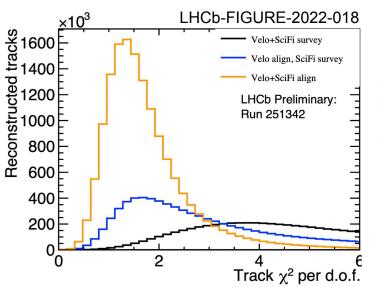
All numbers related to the dataflow are taken from the LHCb

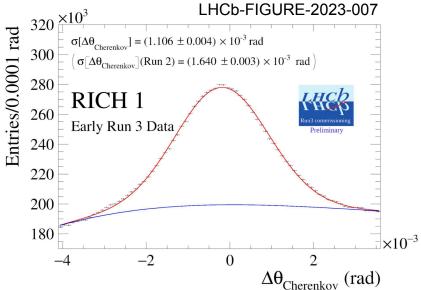
Upgrade Trigger and Online TDR
Upgrade Computing Model TDR

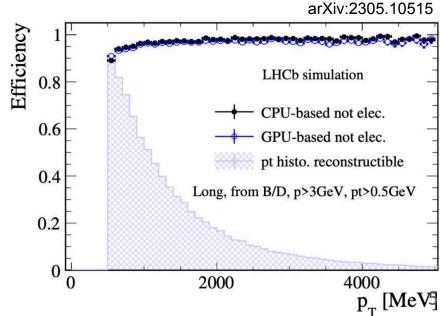


HLT1, alignment and calibration

- First software trigger stage:
 - ► Run full HLT1 on ~340 GPUs (Nvidia RTA A5000), since 2023.
 - Perform partial event reconstruction (tracking + MuonID + simplified Calo).
 - ► Run O(50) trigger lines, most of physics programme covered by 1- and 2-track topological lines.
- Performed extensive comparisons with HLT1 implementation on CPU to verify performance.
- Buffer has two purposes: (1) Run HLT2 out-of-sync
 - (2) Perform alignment & calibration automatically





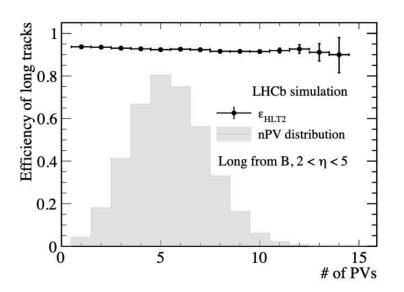


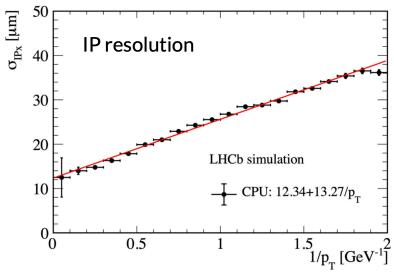
- Alignment: spatial position of trackers / Muon and RICH elements.
- Calibration: PMT gain calibrations of ECAL and RICH gas refractive index calibrations.
 - ⇒ Full offline performance in HLT2, and using output of trigger directly for physics analyses.

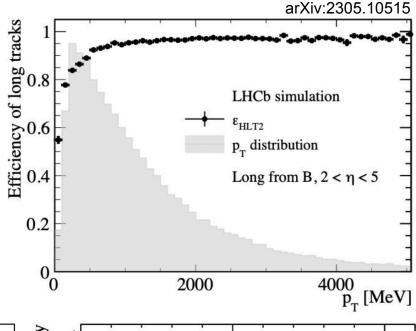


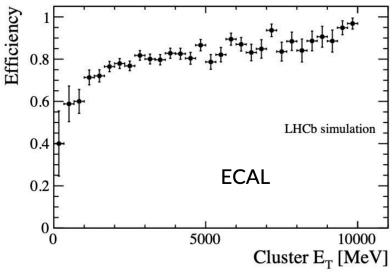
HLT2

- Second software trigger stage:
 - ► Performs the full event reconstruction on O(4000) CPU servers
 - ► Run O(1500) trigger lines, covering the full spectrum from strange and charm physics to electroweak physics, including fixed-target (SMOG) programme.
 - ► HLT2 performs the final event reconstruction.
 - Split selected data into several streams:
 - Signal candidates only directly to disk (TURBO).
 - ► Full events to further offline processing.









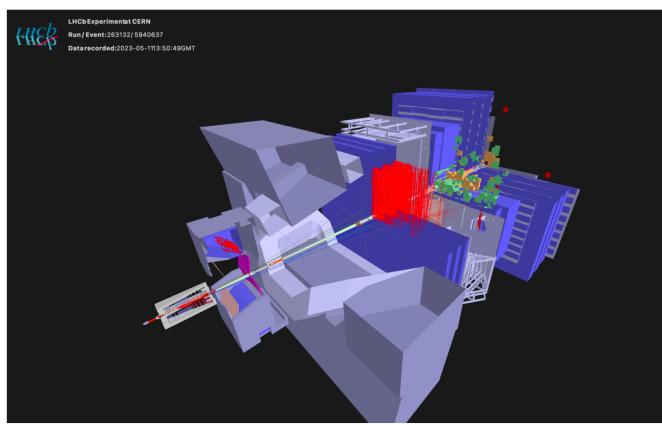


First collisions

LHCb control room July 5 2022



Event display with first full machine configuration in 2023

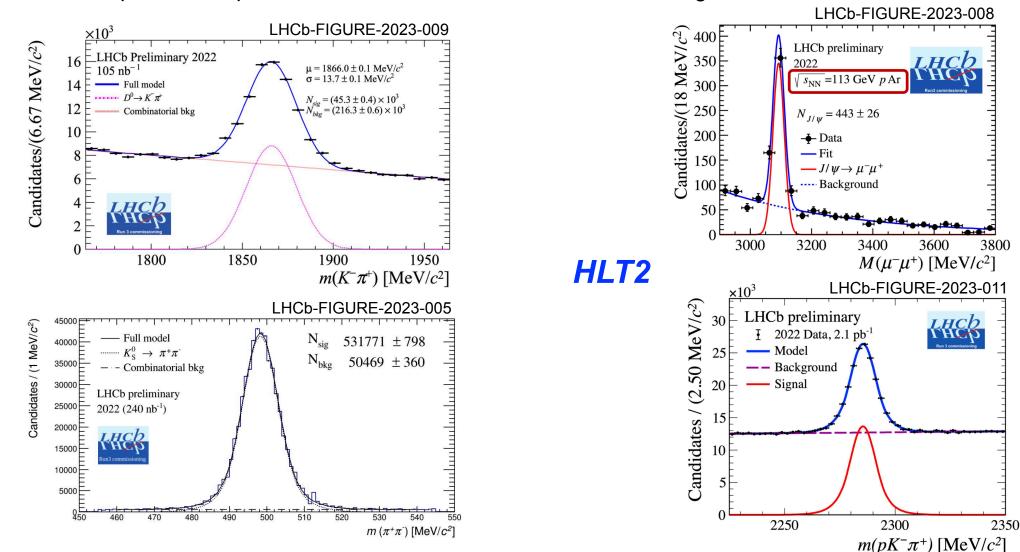


- 2022 has been a commissioning year for the LHCb experiment as a whole.
- At end of the year managed to take data at a doubled instantaneous luminosity with respect to Run 2.



First Run3 Results

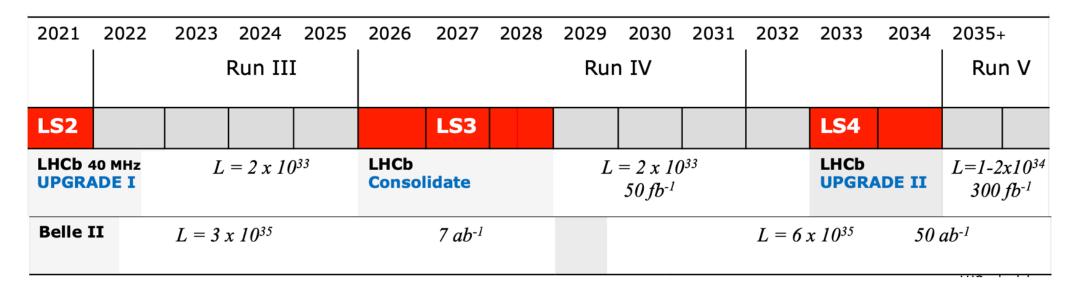
- Huge collaboration-wide efforts in the study of the first data from the new detector!
- Crucial to optimise the performance in view of 2023 and for Run 3 in general.





HLT1

Future upgrades



arXiv:2203.11349

LHCb-TDR-023

Snowmass Whitepaper: The Belle II Detector Upgrade Program

Belle II Collaboration

March 23, 2022

Abstract

We describe the planned near-term and potential longer-term upgrades of the Belle II detector at the SuperKEKB electron-positron collider in Tsukuba, Japan. These upgrades will allow increasingly sensitive searches for possible new physics beyond the Standard Model in flavor, tau, electroweak and dark sector physics that are both complementary to and competitive with the LHC and other experiments. We encourage the instrumentation-frontier community to contribute and study upgrade ideas as part of the Snowmass process.

Framework TDR for the LHCb Upgrade II
Opportunities in flavour physics,
and beyond, in the HL-LHC era

The LHCb collaboration

Abstract

This document is the Framework Technical Design Report for the Upgrade II of the LHCb experiment, which is proposed for the long shutdown 4 of the LHC. The upgraded detector will operate at a maximum luminosity of $1.5 \times 10^{34} \, \mathrm{cm^{-2} s^{-1}}$, with the aim of integrating $\sim 300 \, \mathrm{fb^{-1}}$ through the lifetime of the high-luminosity LHC (HL-LHC). The collected data will allow to fully exploit the flavour-physics opportunities of the HL-LHC, probing a wide range of physics observables with unprecedented accuracy In particular, the new physics mass scale probed, for fixed couplings, will almost double as compared with the pre-HL-LHC era.

 See dedicated talks by Didier Contardo (LHCb), also Tetsuo Abe and Francesco Forti (Belle II).



Summary

Belle II

- Completed Run1 in 2022 collecting 428 fb⁻¹ of data and setting the world record for instantaneous luminosity.
- The performance of the trigger, tracking, neutrals and particle ID has been extensively studied, with good performance overall enabling many world-leading physics results (many shown at this conference).
- On track to resume data taking in Dec 2023 with PXD2 installed.

LHCb Upgrade I

- Detector transformed to cope with new challenges at Run3 and beyond.
- 2022 was a commissioning year for the experiment as a whole. First figures of merit already indicate good performance of all subsystems.
- The goal is to have the detector at the best of its performance and running at Run3 nominal instantaneous luminosity in 2024 and 2025.

⇒ Belle II and LHCb are performing well, enabling both to deliver on their complementary physics programs. Exciting times ahead!

BACKUP

Luminosity prospects for Belle II and LHCb

2027:

Belle II: ~7 ab⁻¹

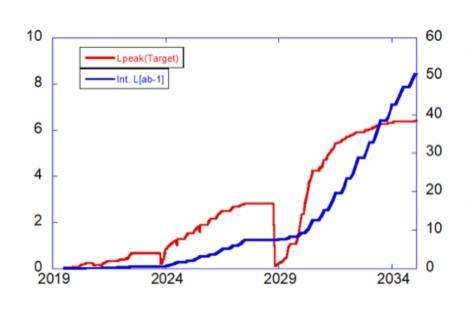
– LHCb: ~30 fb⁻¹

2035:

Belle II: ∼50 ab⁻¹

− LHCb: ~60 fb⁻¹

Similar sensitivity



Upgrade I **Upgrade II** Run 5 Run 6 LS2 LS3 250 200 lutegrated l 100 Run 4 Run 3 50 2 Run 1 Run 2 2040 Year 2010 2015 2020 2025 2030 2035

SuperKEKB plan, updated June 2022

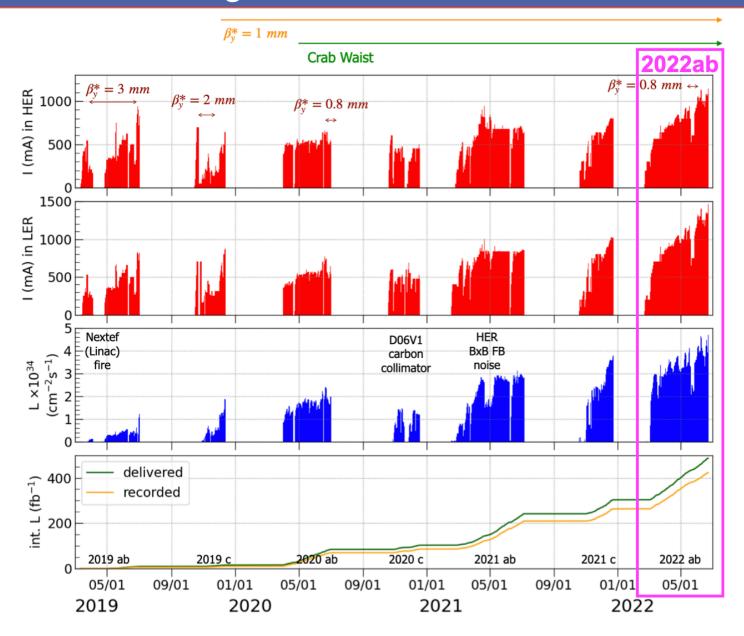
From M.Palutan, LHCP2022

Peak Luminosity [x10³⁵cm₋₂s⁻¹]

SuperKEKB during Run1

- SuperKEKB achievements until 2022:
 - β_{v}^{*} : 1mm (0.8 mm) << bunch length ~6mm
 - Peak currents
 - 1.46 A in LER
 - 1.14 A in HER
 - Peak luminosity (with Belle II data taking):
 4.65 x 10³⁴ cm⁻²s⁻¹
 - Integrated luminosity (delivered): 424 fb⁻¹

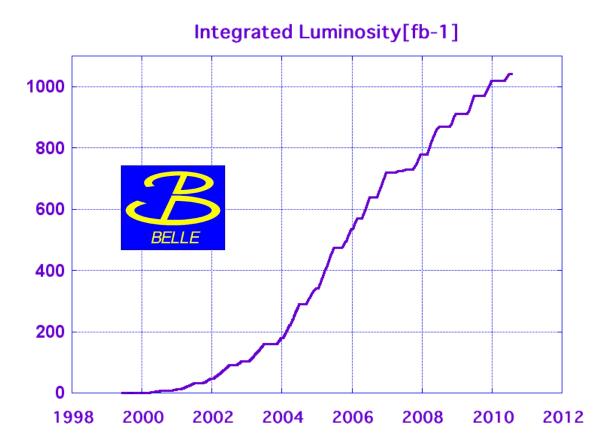
 Toward end of 2022ab the lumi/currents ramped up and we lost collimators, resulting in relatively high beam background conditions.



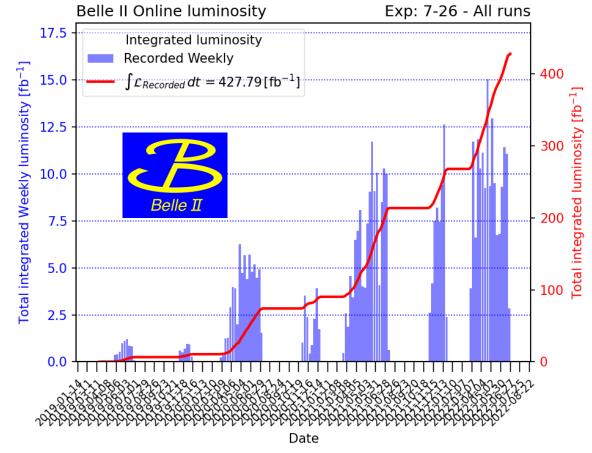


Luminosity Status

Belle from 1999 to 2010: ~1 ab-1 710 fb-1 at the Y(4S) mass.



Belle II so far (2019 to 2022): **428 fb-1**362 fb-1 at the Y(4S) mass.
~BABAR and ~50% of Belle.





From *Tetsuo Abe's* talk

Summary

- SuperKEKB has achieved and been updating world records in the luminosity and vertical emittance / beam size among the colliders.
 - Luminosity record: $4.65 \times 10^{34} \text{ cm}^{-2} \text{ s}^{-1}$
 - Integrated so far: 424 fb⁻¹ (at SuperKEKB)
- The progress in the luminosity improvement is very slow, despite the expectations, due to the various obstacles; especially serious are:
 - Sudden Beam Loss in MR
 - The biggest obstacle in increasing the beam (bunch) currents
 - The fireball hypothesis being studied theoretically and experimentally
 - Poor injection efficiency
 - \triangleright Without solving this problem, difficult to squeeze β_{ν}^* or increasing the beam (bunch) currents
 - Emittance blowup at the end of the beam transport line (BT) to be fully understood and suppressed
 - Most likely cause is CSR and ISR, but only partially reproduced by the current simulation
 - More advanced models to be implemented in the simulation.
 - Other possibilities being investigated
 - ➤ Wider MR dynamic apertures during collision needed
- There are many other problems and challenges:
 - Linac: 2nd bunch orbit stabilization, influence of the ambient temperature change on RF phase, etc.
 - Injection: auto tuning, better optics matching between BT and MR, new BT line, etc.
 - MR: auto luminosity / collimator tunings, tot. beam current dependent optics deformation, better beambeam performance, etc.
- During LS1, many modifications and improvements have been done.



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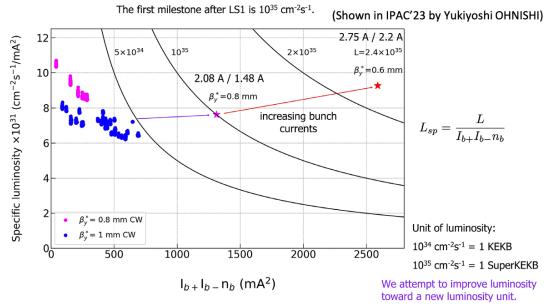
From *Tetsuo Abe's* talk

Future Prospects

■ The performance target after LS1

- Luminosity: $(1.0, 2.4) \times 10^{35} \text{cm}^{-2} \text{s}^{-1}$
- To be integrated for 10 years: 15 ab⁻¹
- Depending on how the obstacles will be overcome

Parameters	LER	HER	LER	HER
I (A)	2.08	1.48	2.75	2.20
n_b	2345		2345	
I_b (mA)	0.89	0.63	1.17	0.938
β_{v}^{*} (mm)	0.8		0.6	
5y	0.0444	0.0356	0.0604	0.0431
ε_{v} (pm)	30		21	
$\Sigma_{\nu}^{*}(\mu m)$	0.218		0.160	
σ_z (mm)	6.49	6.35	7.23	7.05
$L (cm^{-2}s^{-1})$	10^{35}		2.4×10^{35}	



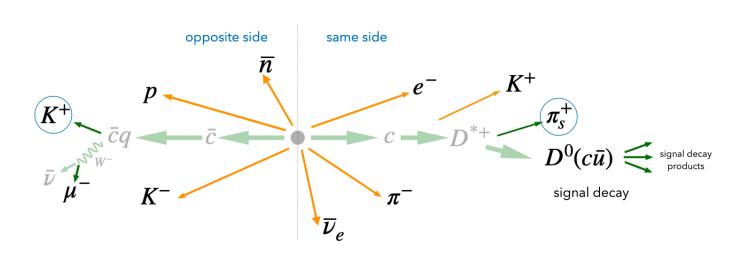
■ Discussion just started for further luminosity improvements beyond the above target

- LS2 needed with 3 possible scenarios:
 - 1. Moderate scale modification sometime after 2028 (> 1 year shutdown)
 - With the machine-detector interface (MDI) unchanged
 - 2. Larger scale modification, in addition to 1
 - With options of anti-solenoid re-configuration and MDI modification
 - 3. Much larger scale modification in 203X
- Final target luminosity : $6 \times 10^{35} \text{cm}^{-2} \text{s}^{-1}$
- \bullet To be integrated by the final end : 50 ab⁻¹
- Depending on results and achievements after LS1

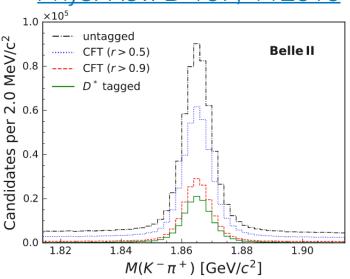


From Michele Veronei's talk

Charm flavor tagger



Phys. Rev. D 107, 112010



- Novel flavor-tagging algorithm recovering D⁰ candidates not tagged by traditional approach of reconstructing the D*+→D⁰π+ decay chain
- Exploiting charm pair production and charge correlation between signal D flavor and the tracks in the rest of the event
- Effective tagging efficiency calibrated in data with flavor-specific decays, roughly doubling the size of tagged D^o sample: $\epsilon_{eff} = 47.91 \pm 0.07$ (stat) ± 0.51 (syst) %



Vacuum incident

On 10th January 2023, during a VELO warm up in neon, there was a loss of control of the protection system

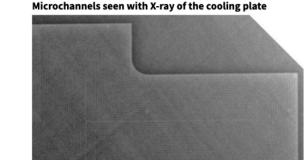
A pressure differential of 200 mbar built up between the two volumes, whereas the foils are designed to withstand 10 mbar only

There was no damage to the VELO modules which have performed well throughout this year.

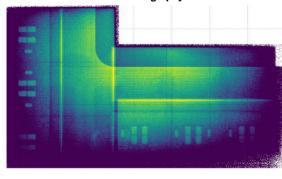
However, the RF boxes have suffered plastic deformation of about 17 mm and have to be replaced. This is a major intervention, postponed to the end of the year.

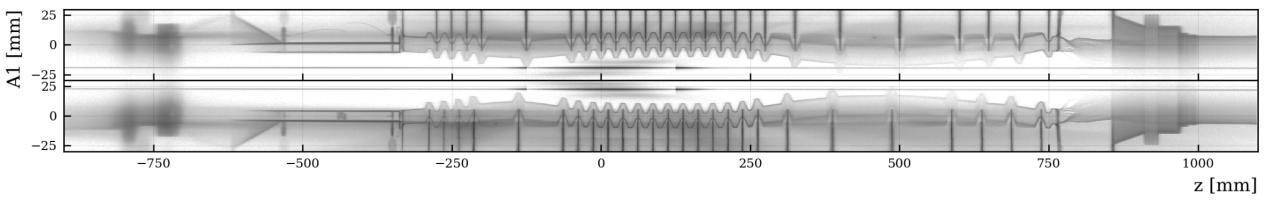
In the meantime, this year the VELO will not be fully closed

Thanks to the flexible 40 MHz trigger detailed tomography "pictures" of VELO elements can be quickly obtained







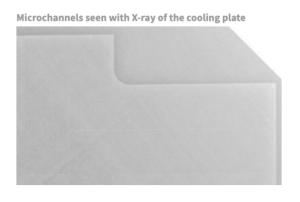




Vacuum incident

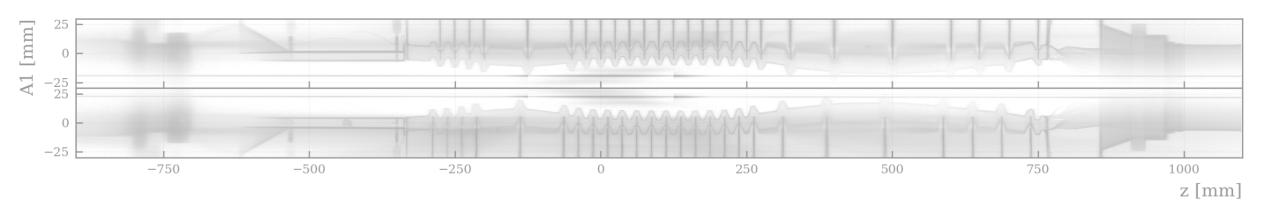
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Microchannels seen with tomography of the modules

 LHC vacuum incident will have an impact on the VELO aperture on 2023, but the LHCb commissioning, including now the Upstream tracker installed at the beginning of the year, can continue





Likelihood-based PID

In each subdetector $d \in D = \{\text{SVD, CDC, TOP, ARICH, ECL, KLM}\}$, a likelihood $\mathcal{L}^d(\mathbf{x}|i)$ is defined for each charged particle hypothesis as a joint probability density function (PDF) of a given set of observables, \mathbf{x} . The PDFs are either predicted from simulation, extracted from data control samples with high purity, or determined analytically. Assuming that the subdetectors' measurements of each of the identifying observables are independent, a global likelihood for each particle hypothesis i is defined by:

$$\mathcal{L}(\mathbf{x}|i) = \prod_{d}^{d \in D} \mathcal{L}^d(\mathbf{x}|i) \quad ext{or equivalently}, \quad \log \mathcal{L}(\mathbf{x}|i) = \sum_{d}^{d \in D} \log \mathcal{L}^d(\mathbf{x}|i).$$

Given all possible, mutually exclusive outcomes of identification for a reconstructed particle candidate, the *global* likelihood ratio serves as a proxy for identifying such candidate:

$$P(A_i|\mathbf{x}) = \frac{P(\mathbf{x}|A_i) \cdot P(A_i)}{\sum_j P(\mathbf{x}|A_j) P(A_j)} \quad \Rightarrow P(i|\mathbf{x}) = \frac{\mathcal{L}_i}{\sum_j \mathcal{L}_j}.$$

Through knowledge of the individual hypotheses' prior probabilities for a given class of events, $P(A_j)$, the likelihood ratio can be interpreted as an actual *probability* of identifying the *i*-th particle species in that event subset. Currently, we assume that the prior probabilities are always identical for any j.

From the individual likelihoods, it is also possible to build binary likelihood ratio discriminators between two hypotheses i and j:

$$R(i/j|\mathbf{x}) = \frac{\mathcal{L}_i}{\mathcal{L}_i + \mathcal{L}_j}.$$

