

# Lepton flavour and number violation measurements at Belle II

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on behalf of Belle II collaboration



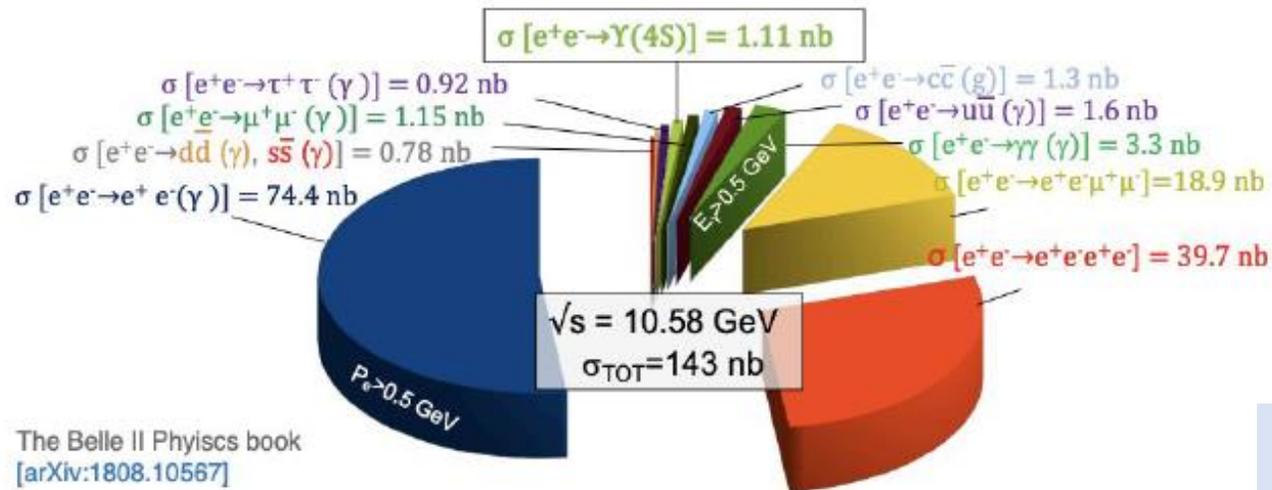
## BLV22

5-8th September



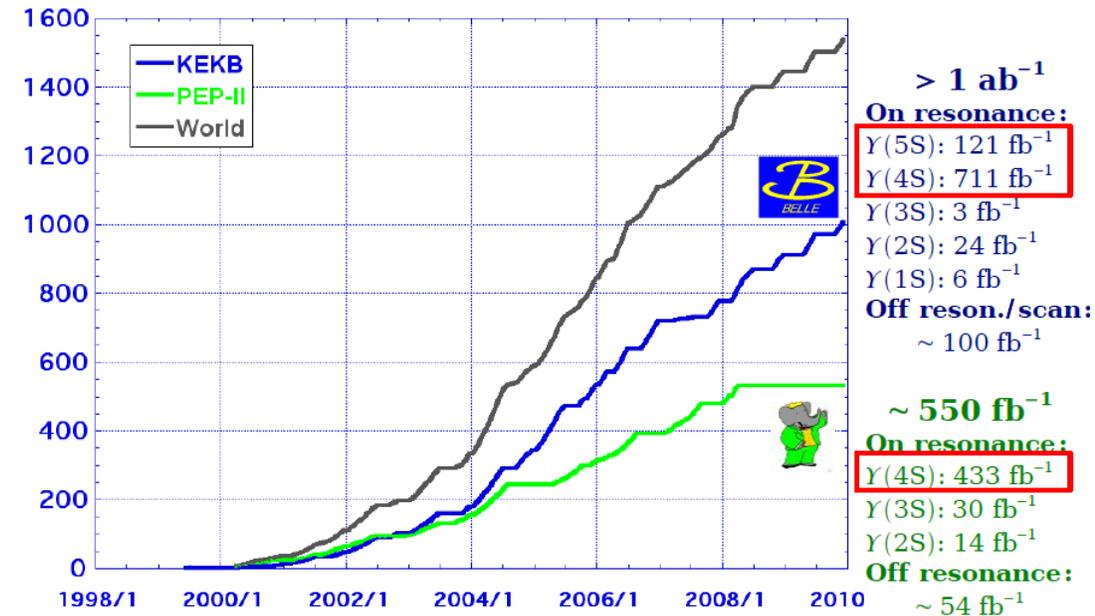
# Principle of B-factories

- $e^+e^-$  collider, mainly working at the  $\Upsilon(4S)$  energy which produces pairs of  $B^+B^-$  and (quantum correlated)  $B^0\bar{B}^0$
- Asymmetric beams  $\rightarrow$  study time dependent effects in B hadron decays
- Also  $\tau$  /charm factory (similar cross section as B)!



The Belle II Physics book  
[arXiv:1808.10567]

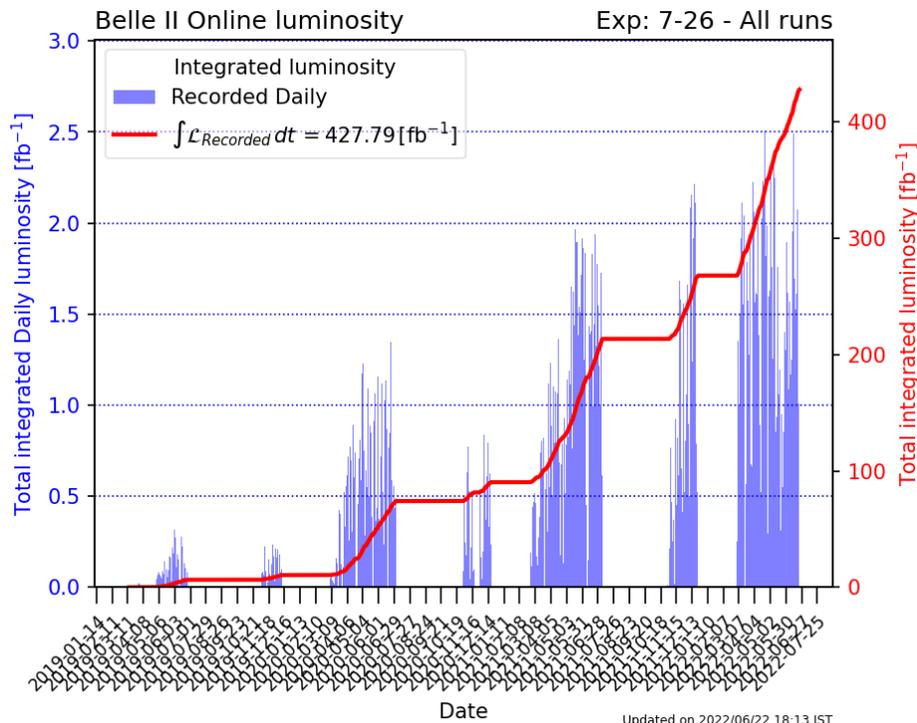
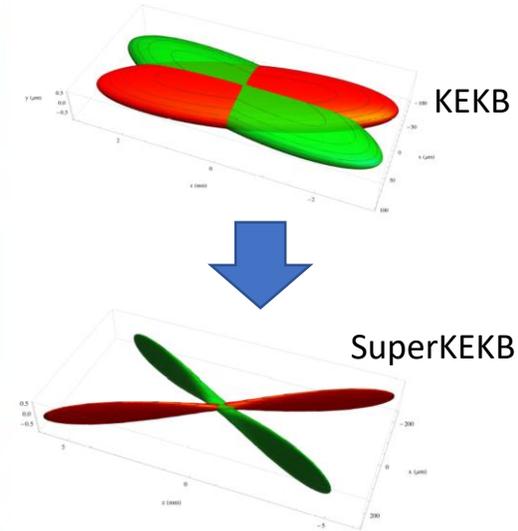
Recorded datasets



Previous experiments Belle and Babar obtained a wide variety of results, see [The physics of the B factories](#)

# The SuperKEKB collider

- Upgrade of KEKB, located at Tsukuba, Japan
- Goal: deliver **integrated** luminosity of  $50 \text{ ab}^{-1}$
- Nominal **instantaneous** luminosity of  $6 \times 10^{35} \text{ cm}^{-2}\text{s}^{-1}$   
x20 thanks to 'nano beam scheme'  
x1.5 thanks to higher beam currents

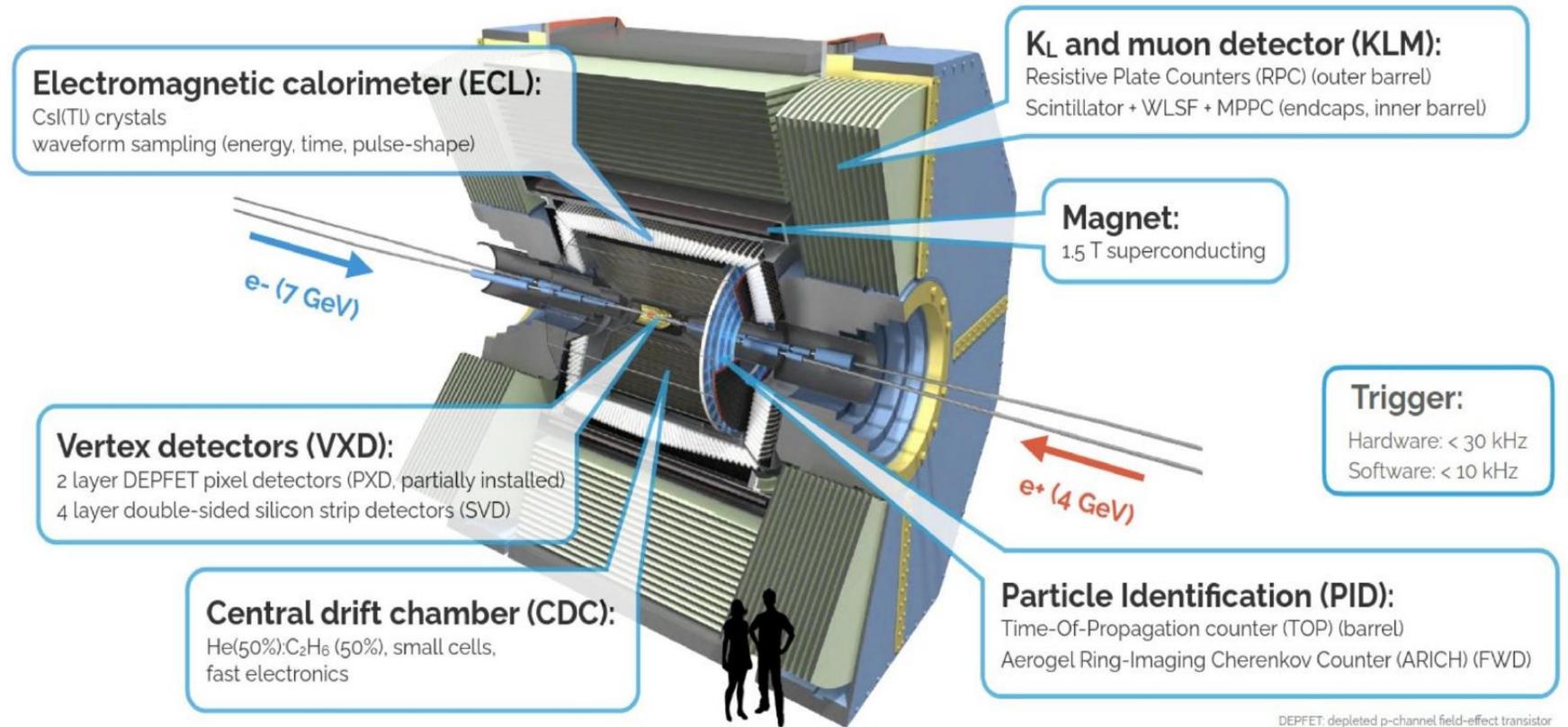


- Recorded  $424 \text{ fb}^{-1}$  since 2019, among which  $362 \text{ fb}^{-1}$  at  $\Upsilon(4s)$
- **World record** of instantaneous luminosity at  $4.7 \times 10^{34} \text{ cm}^{-2}\text{s}^{-1}$
- Now in long shutdown 1 for several upgrades, restart data taking at fall 2023

# Belle II

Similar to Belle but almost brand new, only the ECL crystals, structure and magnet were reused

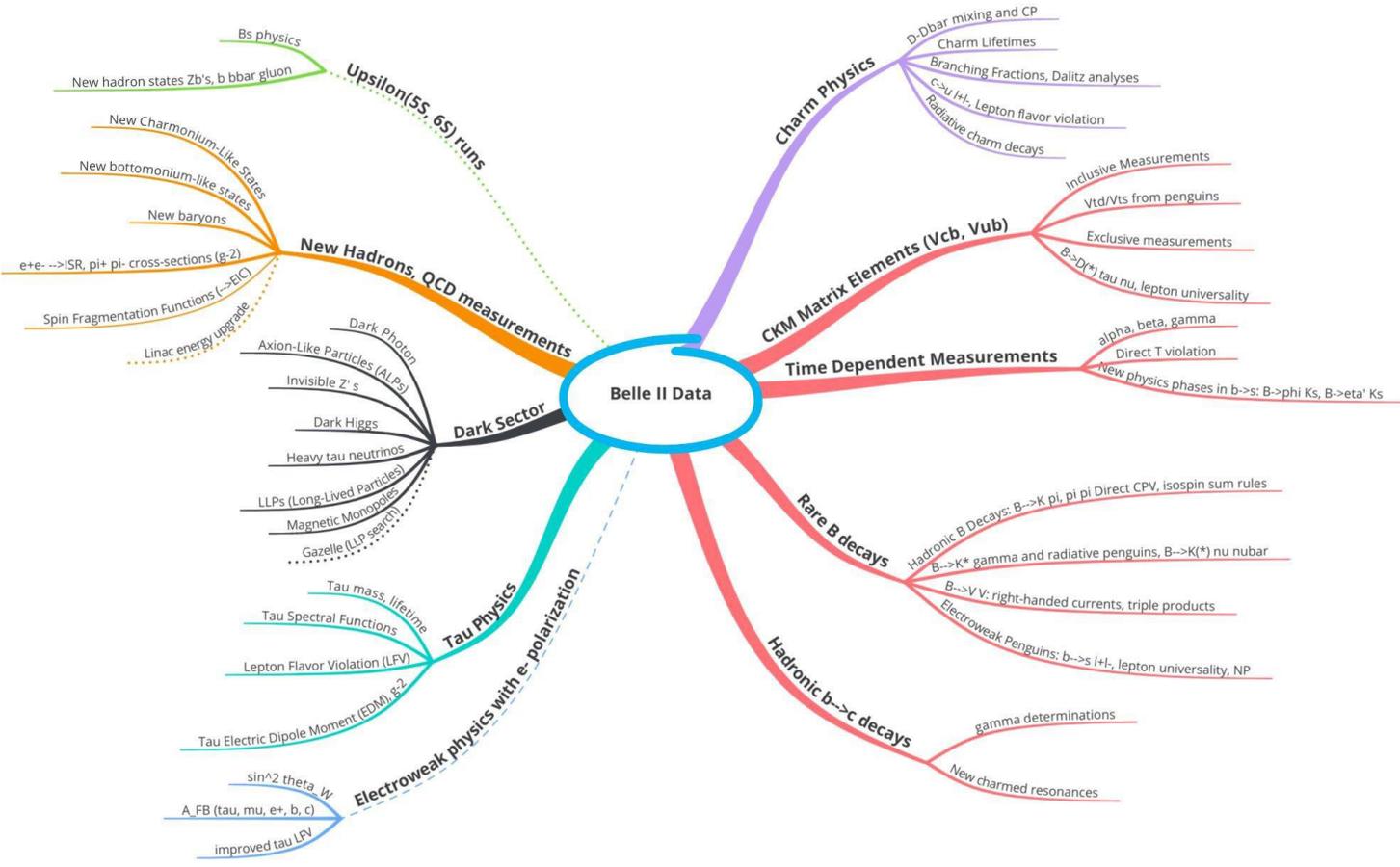
- Hermetic detector
- Similar or better performances than Belle even with 10x more background
- New trigger lines for dark sector (ex: 1 photon trigger)
- Improved analysis tools thanks to machine learning



DEPFET: depleted p-channel field-effect transistor  
WLSF: wavelength-shifting fiber  
MPPC: multi-pixel photon counter

# Broad physics program

[Belle II Physics Book](#)



In particular, Belle II has a unique potential for:

- Final states with  $\pi^0$ ,  $\eta(')$ ,  $K_L, \dots$
- Modes with missing energy
- Modes with challenging backgrounds that need the full kinematic of the event to be known
- Dark sector candidate in the MeV-GeV range

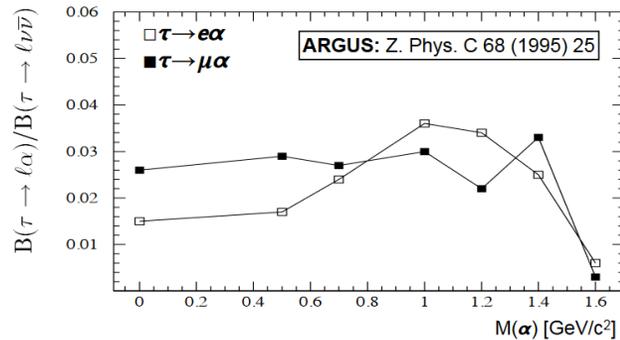
→ Complementarity with LHC experiments

→ Crucial to validate LHC anomalies/discoveries in flavor sector

$\tau$  sector

# $\tau \rightarrow \ell + \alpha$ (invisible)

- Search for LFV two-body decay  $\tau \rightarrow \ell + \alpha$  ( $\ell = e, \mu$ ) and  $\alpha$  being an invisible particle
- Appears in new physics models such as light ALP
- Best upper limits from ARGUS (1995)

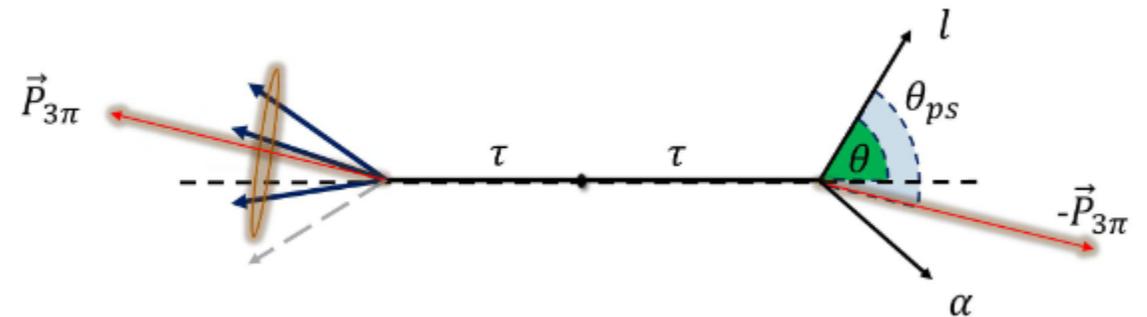
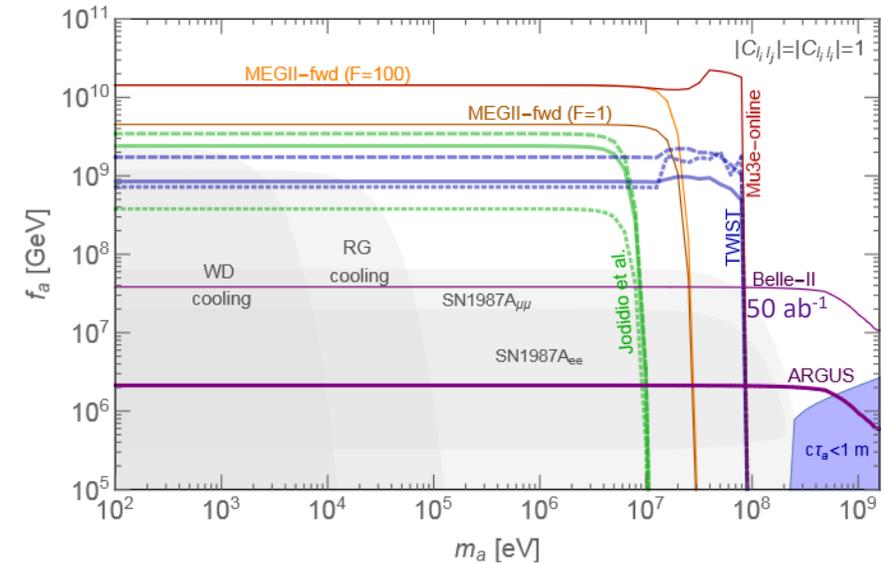


- Reconstruct the tag  $\tau$  into  $3\pi$
- $\ell$  is monochromatic in the  $\tau$  rest frame
- Approximate the signal  $\tau$  momentum using

$$\hat{p}_\tau \approx \frac{\vec{p}_{3h}}{|\vec{p}_{3h}|} \quad E_\tau = E_{\text{beam}} = \sqrt{s}/2$$

- Challenge: distinguish signal  $\tau \rightarrow \ell + \alpha$  from  $\tau \rightarrow \ell\nu\bar{\nu}$  SM decay

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# $\tau \rightarrow \ell + \alpha$ (invisible)

- Construct **template pdfs**  $f(x_\ell)$  using MC where  $x_\ell \equiv E_\ell / (m_\tau / 2)$

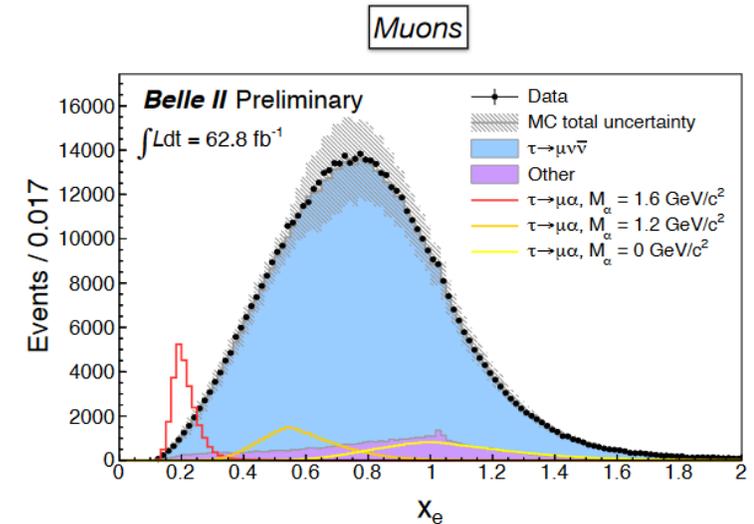
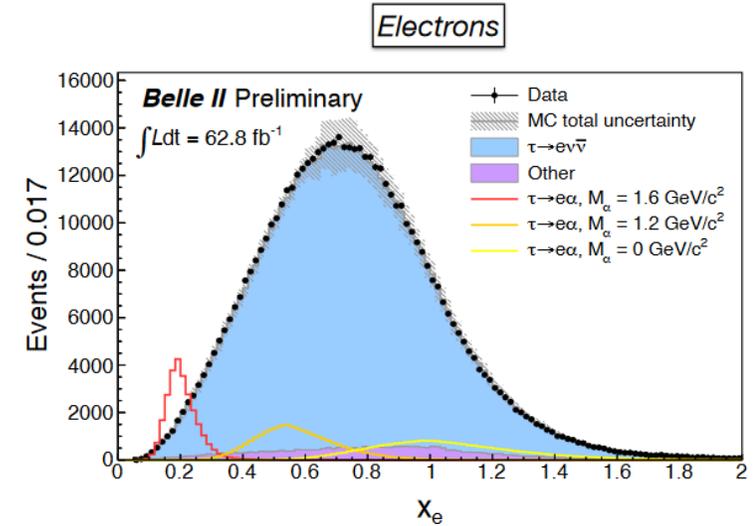
- Data modeled as:

$$\frac{dN}{dx_\ell} = \underbrace{N_{\ell\bar{\nu}} \frac{\epsilon_{\ell\alpha}}{\epsilon_{\ell\nu}} \frac{B(\tau \rightarrow \ell\alpha)}{B(\tau \rightarrow \ell\bar{\nu})}}_{N_{\tau\alpha}} f_{\ell\alpha}(x_\ell) + N_{\ell\bar{\nu}} f_{\ell\bar{\nu}}(x_\ell) + N_b f_b(x_\ell)$$

$\rightarrow R$

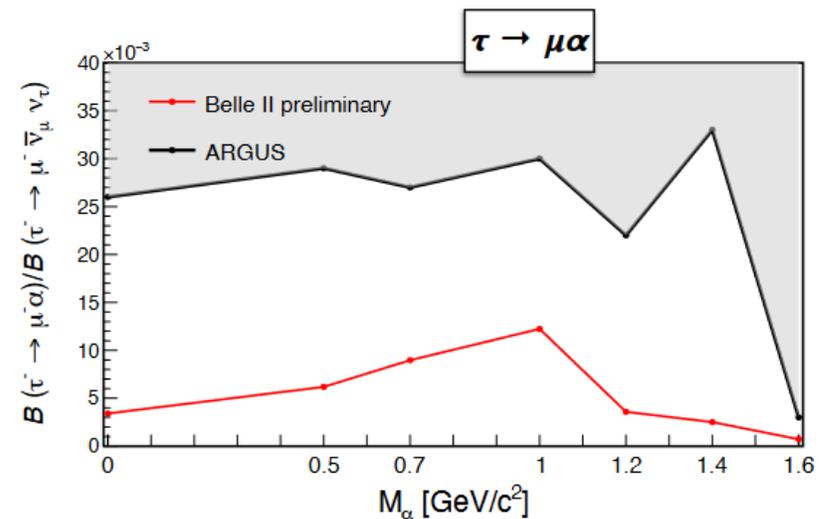
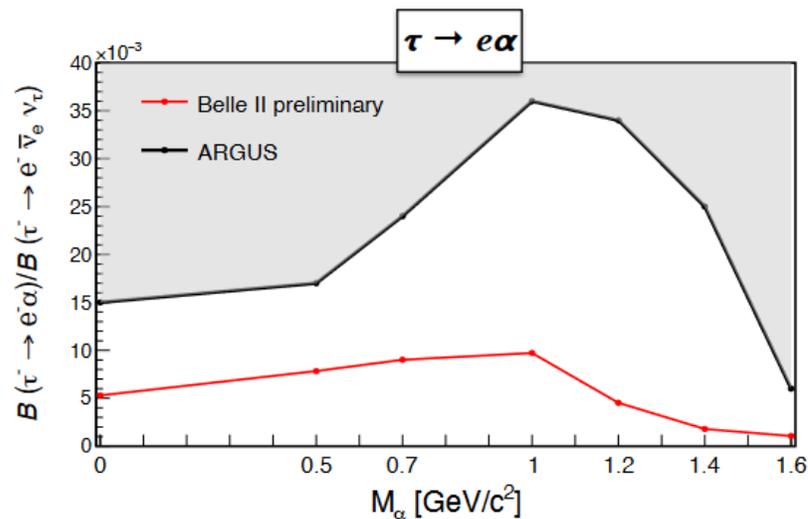
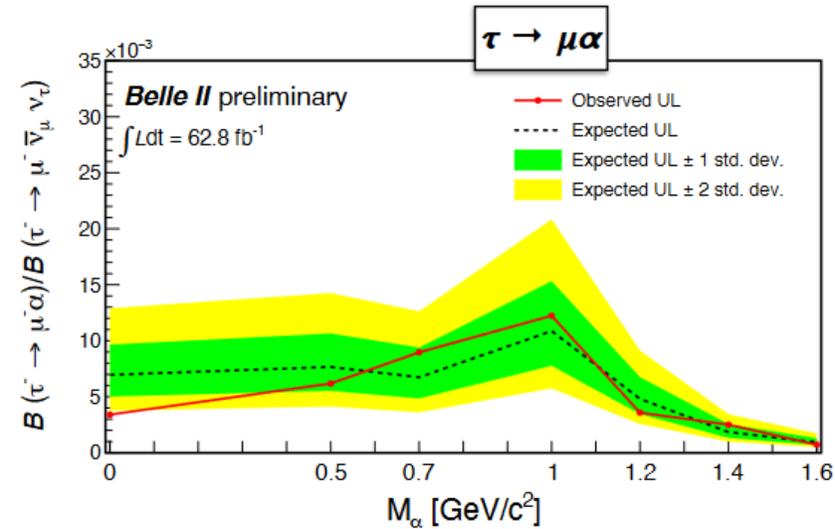
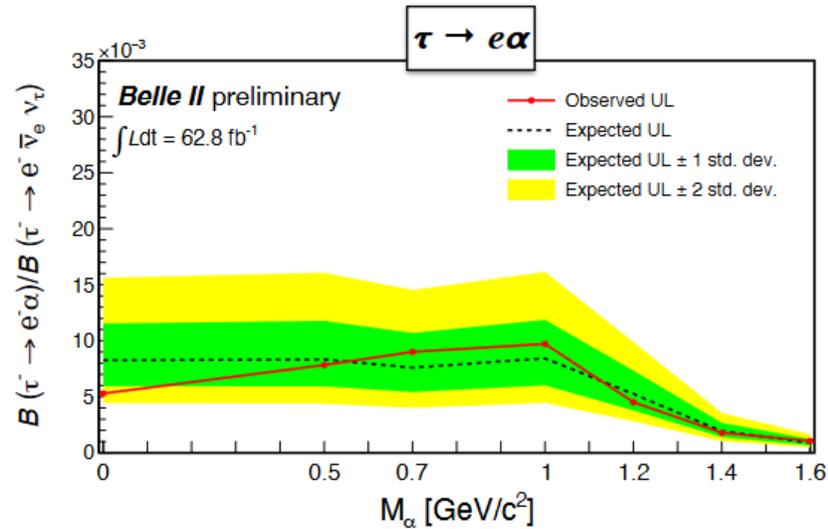
and  $N$ ,  $R$  are free parameters.

- Systematic uncertainties *partially* cancel out in  $R$ 
  - Largest one from lepton identification



# $\tau \rightarrow \ell + \alpha$ (invisible)

- Search performed in  $63 \text{ fb}^{-1}$  of data. 95% CL upper limit set with CLs method



Comparison with ARGUS  
Most stringent  
measurements up to date!

# $\tau$ LFV prospects for Belle II

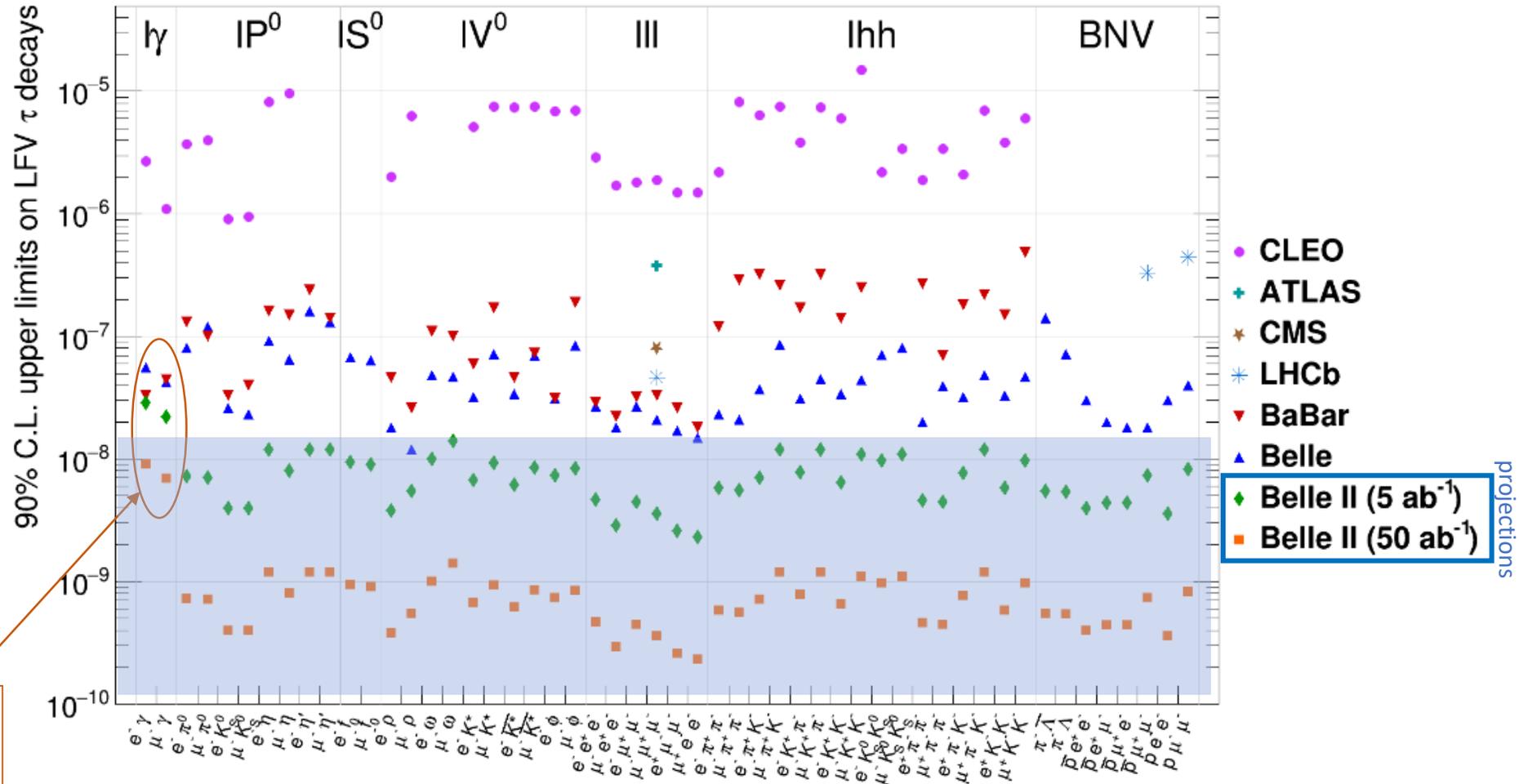
Improvements expected from statistics but also:

- **higher trigger efficiencies** : trigger based on KLM for muon final states, single track trigger using neural network,...
- improvements in the **vertex reconstruction**
- **charged track and neutral meson reconstruction**
- **particle identification**
- refinements in the **analysis techniques**. Ex:
  - for  $\tau \rightarrow \mu\mu\mu$ , extract the best combination of tight cuts for the analysis also at low momentum (not used by Belle/BaBar)
  - Explore inclusive tag reconstruction looking only at the signal  $\tau$ . Variables related to the rest of events allows to reduce background contamination.
- Several modes currently under study at Belle II



# $\tau$ LFV prospects for Belle II

Snowmass 2021, arXiv: 2203.14919



Expect 2 orders of magnitude improvement with final dataset of  $50 \text{ ab}^{-1}$

Modes with irreducible backgrounds

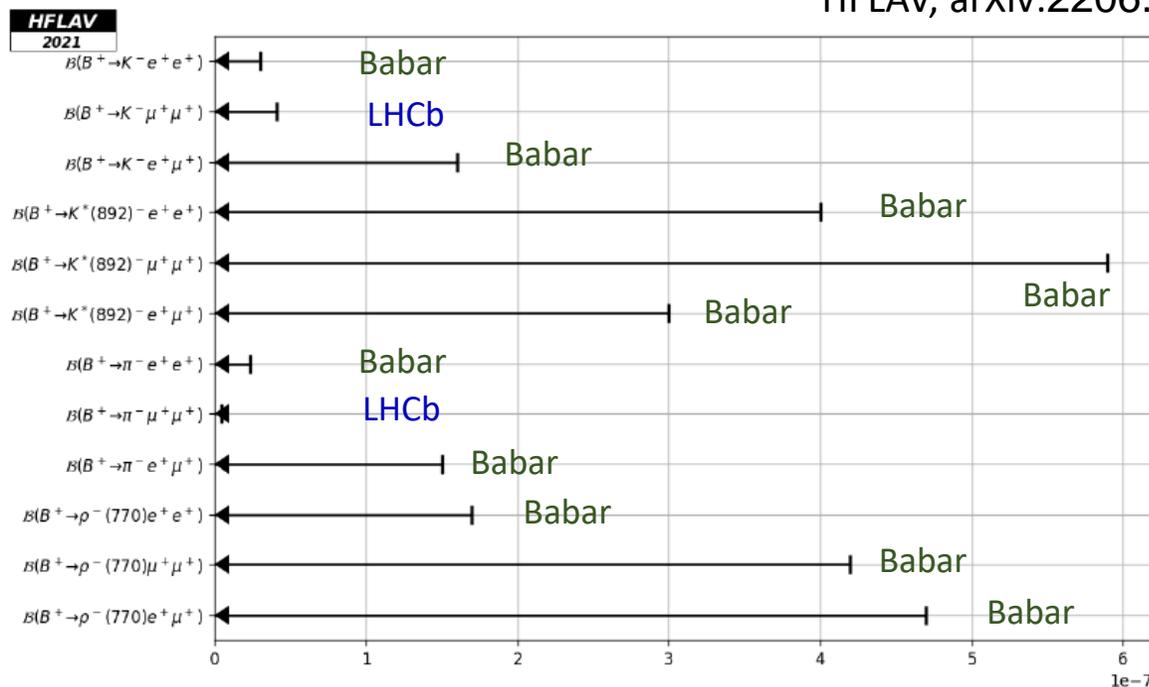
B sector

# $B \rightarrow (s,d) \ell\ell'$

- $B \rightarrow \ell\ell'$ : two body decays,  $\ell(\ell')$  is monochromatic
- $B \rightarrow s,d \ell\ell'$ : three body decays, large background from tree-level semileptonic decays
- If  $\tau$  in the final state: more challenging reconstruction due to missing energy. Usually reconstruct the other B in the event (B tagging)  $\rightarrow$  lower efficiency  $\rightarrow$  less stringent limit

## Status for B LNV decays

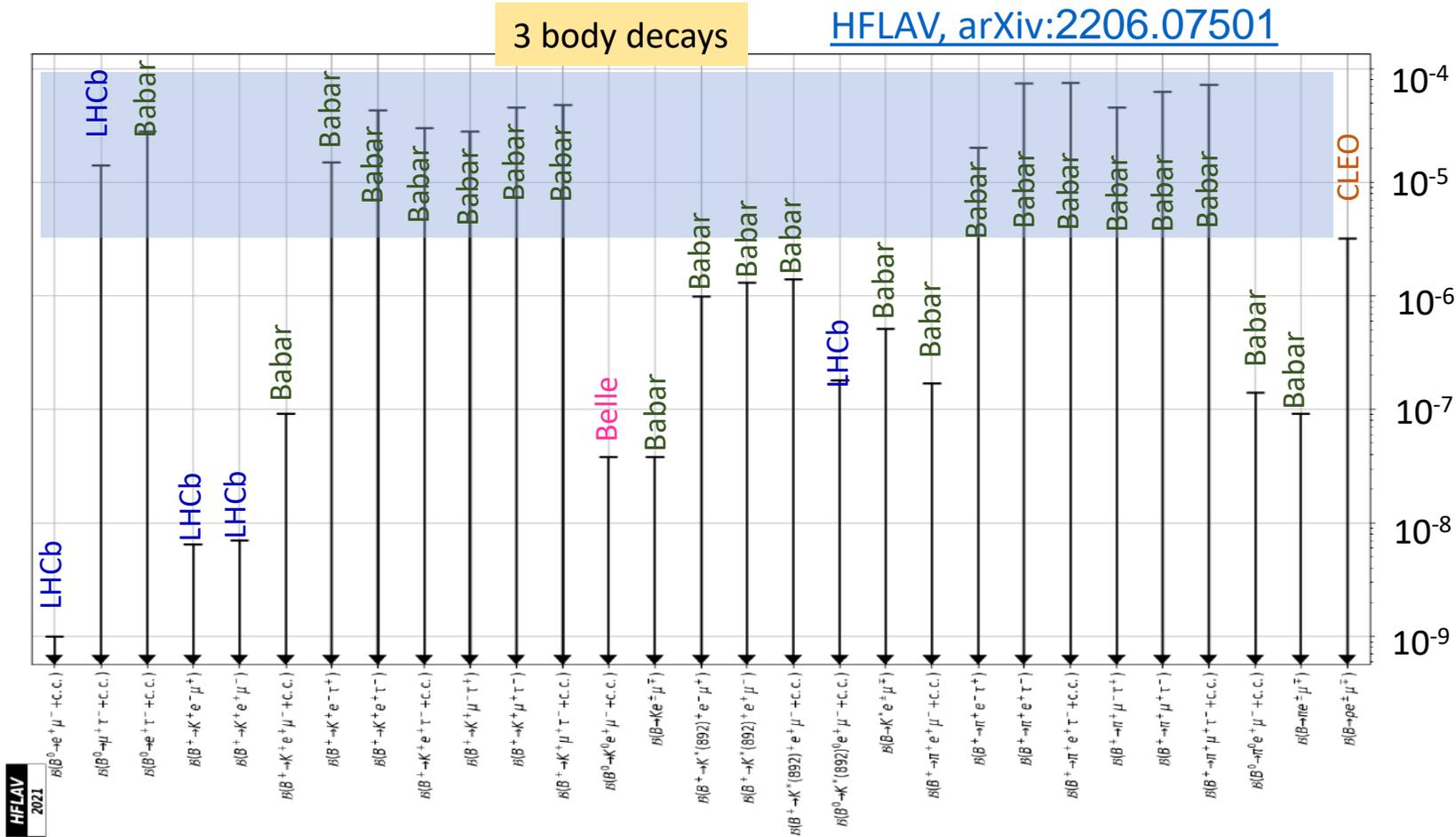
HFLAV, arXiv:2206.07501



## Status for B BNV&LNV decays

Mode	Limit @ 90%CL	Experiment
$B^+ \rightarrow \Lambda \mu^+$	$6.1 \cdot 10^{-8}$	Babar
$B^+ \rightarrow \Lambda e^+$	$3.2 \cdot 10^{-8}$	Babar
$B^+ \rightarrow \bar{\Lambda} \mu^+$	$6.2 \cdot 10^{-8}$	Babar
$B^+ \rightarrow \bar{\Lambda} e^+$	$8.1 \cdot 10^{-8}$	Babar
$B^0 \rightarrow \Lambda_c \mu^+$	$1.8 \cdot 10^{-6}$	Babar
$B^0 \rightarrow \Lambda_c e^+$	$5.2 \cdot 10^{-6}$	Babar
$B^0 \rightarrow p \mu^-$	$2.6 \cdot 10^{-9}$	LHCb

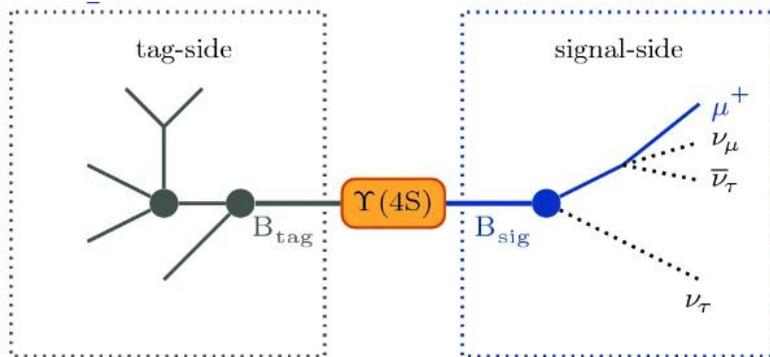
# B LFV status



- Final states with  $\tau$  are 2 to 3 orders of magnitude higher than e/mu final states
- Modes with e and/or neutrals dominated by B factories, LHCb competitive on the others

# Belle II prospects

- Improvement thanks to the statistics
- Improvement of B tagging



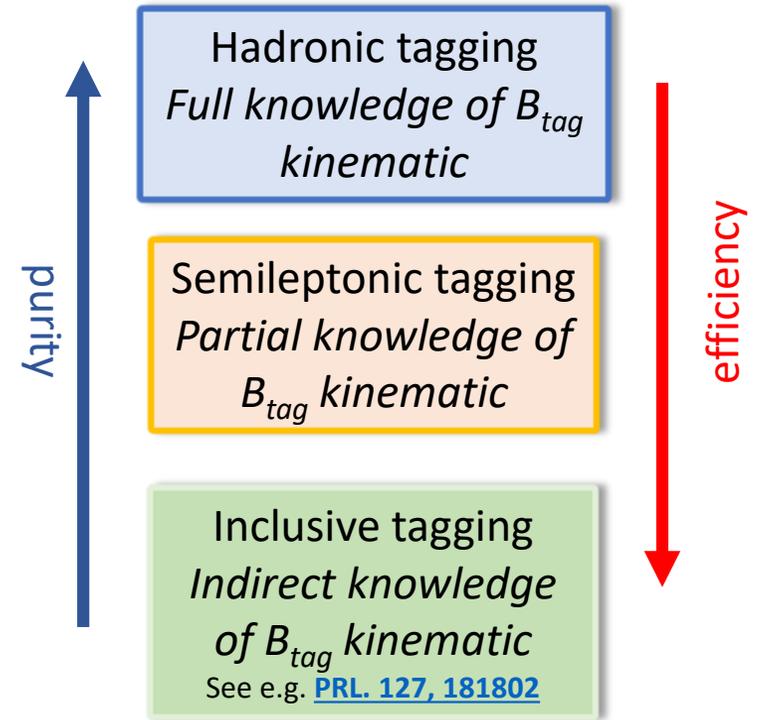
The two B's are back to back in the CM frame:

$$E_{B_{tag}}^* = E_{B_{sig}}^* = \sqrt{s}/2$$

$$\vec{p}_{B_{tag}}^* = -\vec{p}_{B_{sig}}^*$$

$$p_\tau = p_{e^+e^-} - p_K - p_\ell - p_{B_{tag}}$$

- *Full Event Interpretation* [Comput. Softw. Big Sci. 3, 6 \(2019\)](#) :
  - MVA based algorithm for hadronic and semileptonic tagging, reconstruct  $O(10^4)$  channels
  - Max. tag side efficiency:  $\epsilon_{had} \approx 0.5\%$  and  $\epsilon_{SL} \approx 2\%$
- Expect limits of the order of  $(<)10^{-6}$  for final states with  $\tau$  with  $50ab^{-1}$

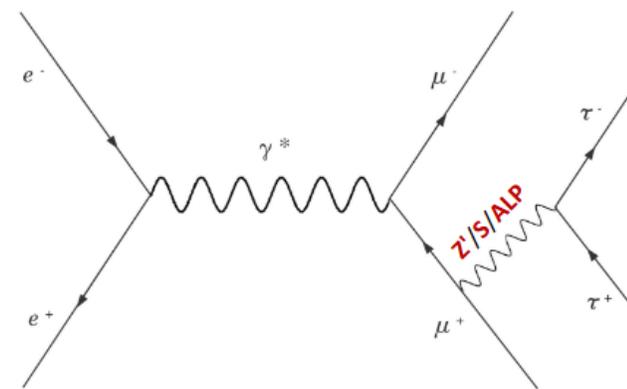


Dark sector

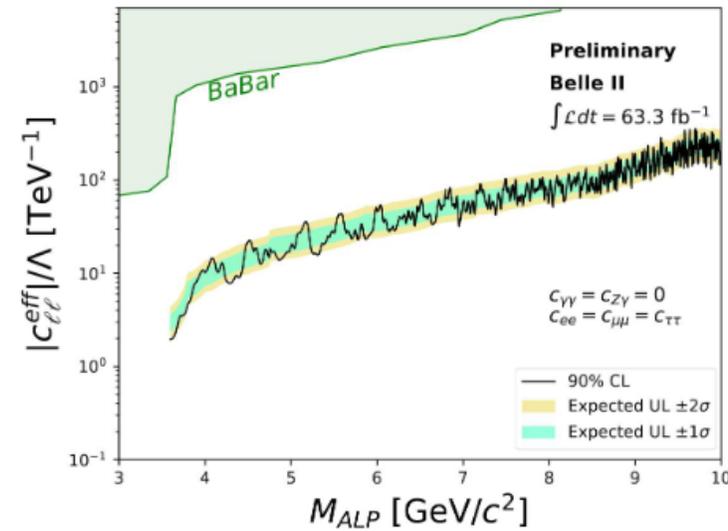
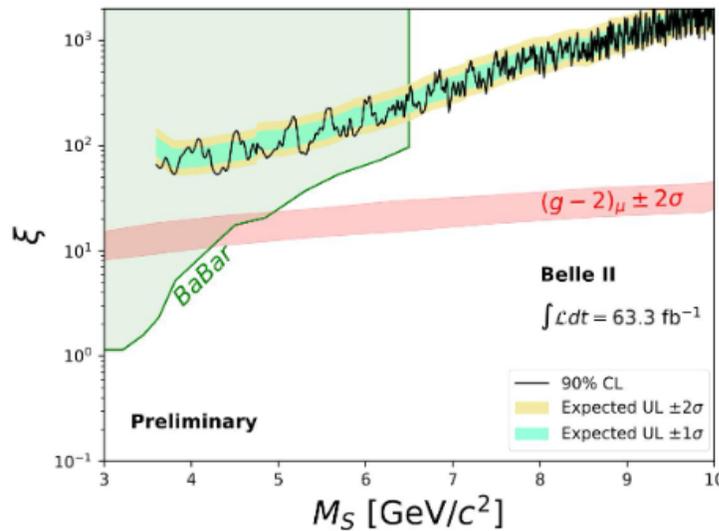
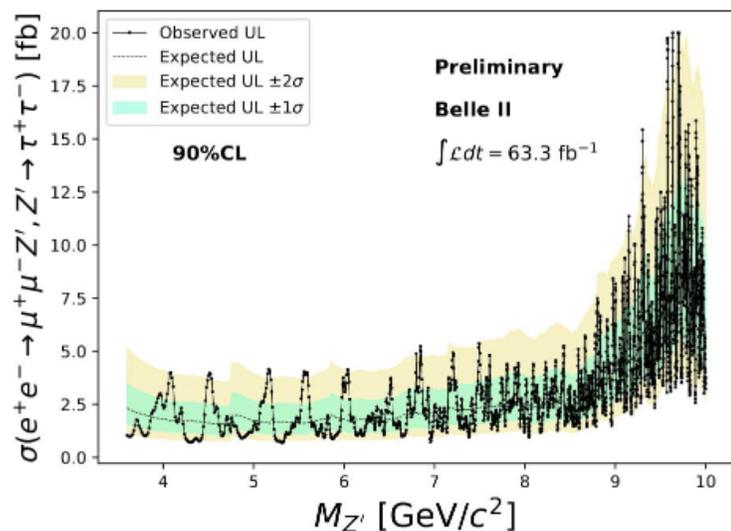


# $Z'/S/ALP \rightarrow \tau^+\tau^-$

- Search for  $\mu\mu\tau\tau$  final state with a  $\tau\tau$  resonance
- **Vector portal**: “ $L_\mu-L_\tau$ ”  $Z'$  with coupling  $g'$
- **Scalar portal**: leptophilic dark scalar  $S$  with coupling  $\xi$
- **Pseudo scalar portal**: ALP with effective coupling  $C_{\ell\ell}$
- Analysis uses  $63.3 \text{ fb}^{-1}$ , search performed in the mass distribution recoiling against  $\mu\mu$ , selecting 1prong  $\tau$  decays
- **First constraints on  $S$  for  $M_S > 6.5 \text{ GeV}/c^2$ , first direct constraints on  $ALP \rightarrow \tau\tau$**

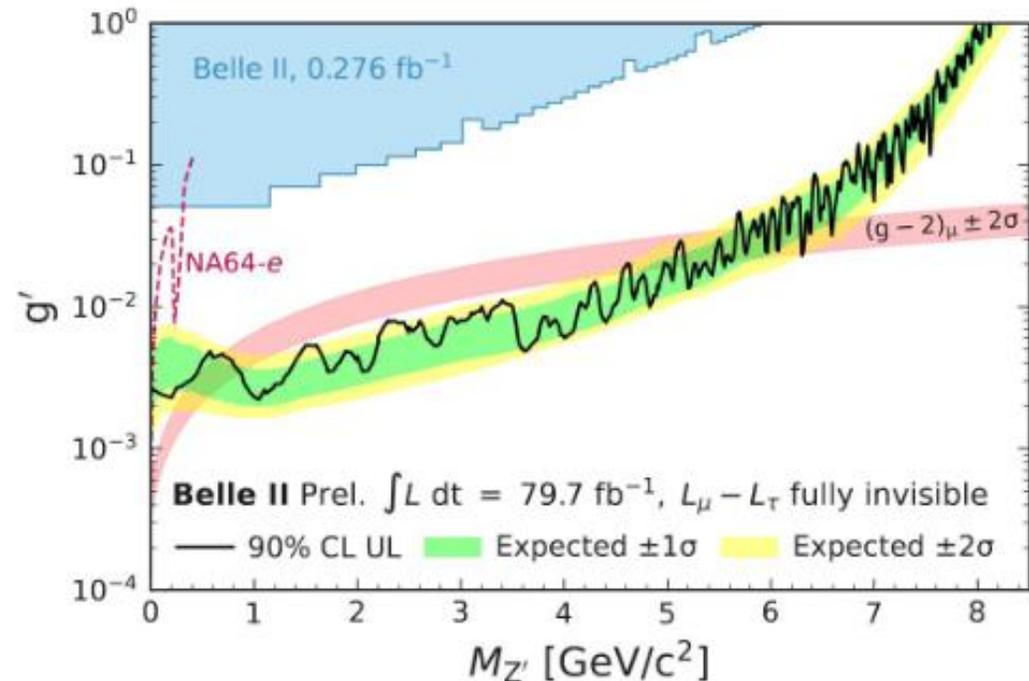
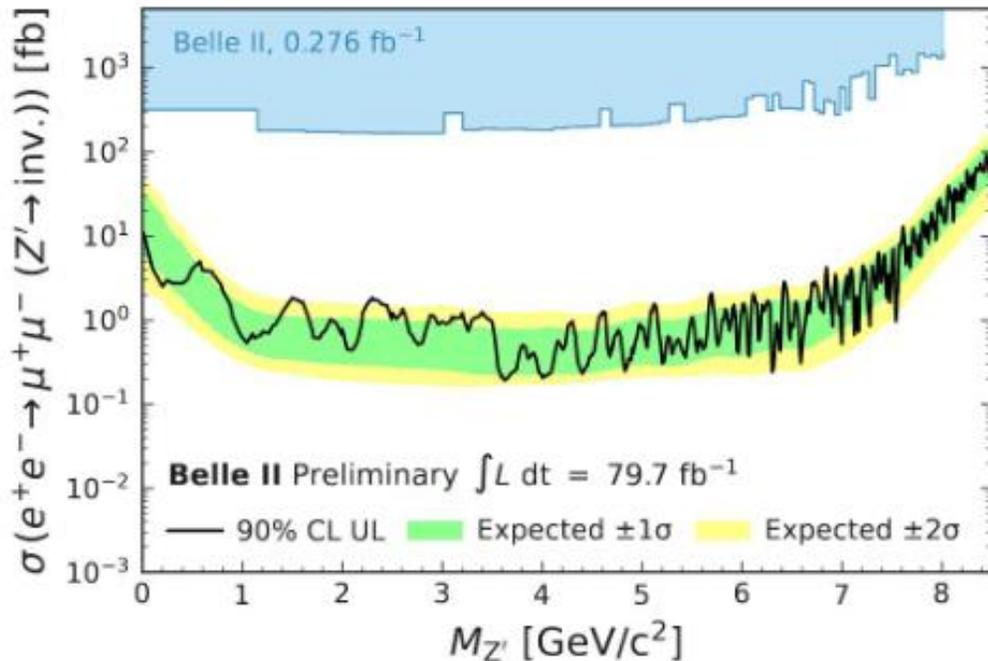
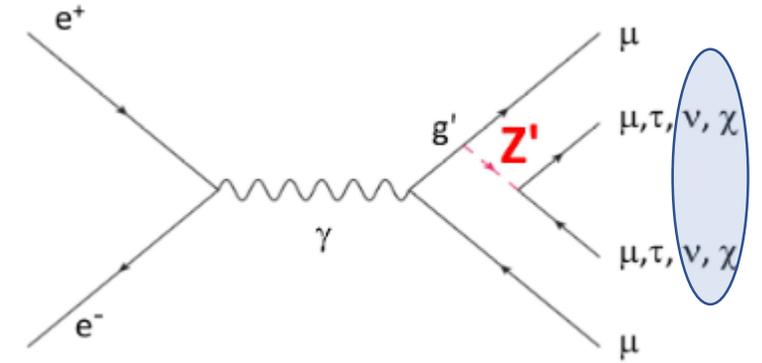


$(g-2)_\mu$  and *Babar* constraints from PRD 95 (2017) 075003 arXiv:2110.1069



# $Z' \rightarrow$ invisible

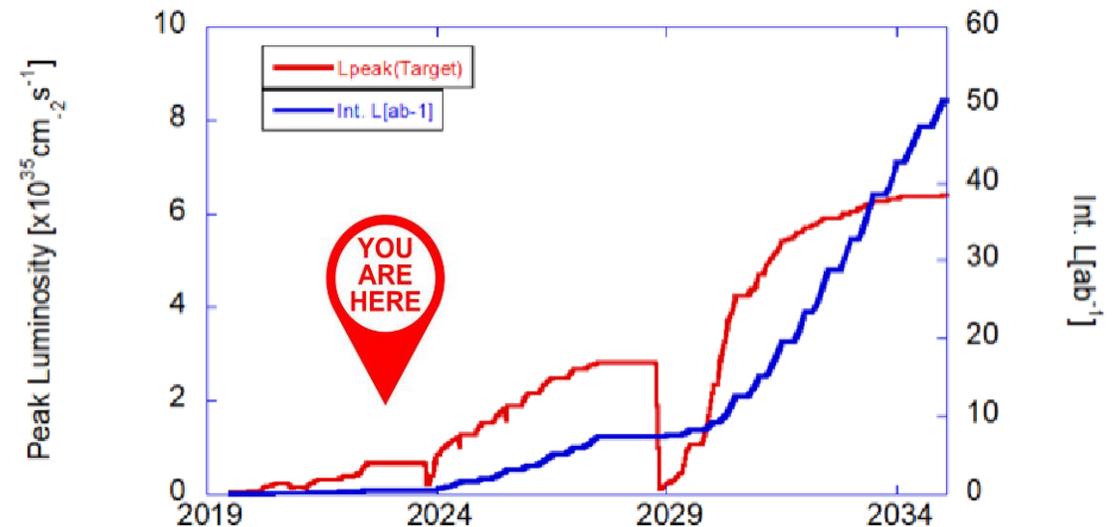
- “ $L_\mu - L_\tau$ ”  $Z'$  decaying into neutrino/dark matter
- Analyzing  $79.7 \text{ fb}^{-1}$  of data collected at Belle II  
 → update of the 2020 result [PRL 124, 141801](#) ( $0.276 \text{ fb}^{-1}$ )
- Select events with exactly two muons, search for a  $Z'$  in the recoiling system
- Excluded fully invisible  $Z'$  as explanation for  $(g-2)_\mu$  for  $0.8 < M_{Z'} < 5.0 \text{ GeV}/c^2$



# Conclusion

- SuperKEKB broke the instantaneous luminosity world record:  $4.7 \times 10^{34} \text{ cm}^{-2} \text{ s}^{-1}$
- Belle II has now recorded dataset [comparable with Babar one](#) ( $\sim 1/100$  of final Belle II statistics)
- Several leading results already obtained in the [dark sector](#), more to come
- Searches for LFV/LNV  $\tau$  and B decays needs [statistics](#) but first results are [expected soon](#)

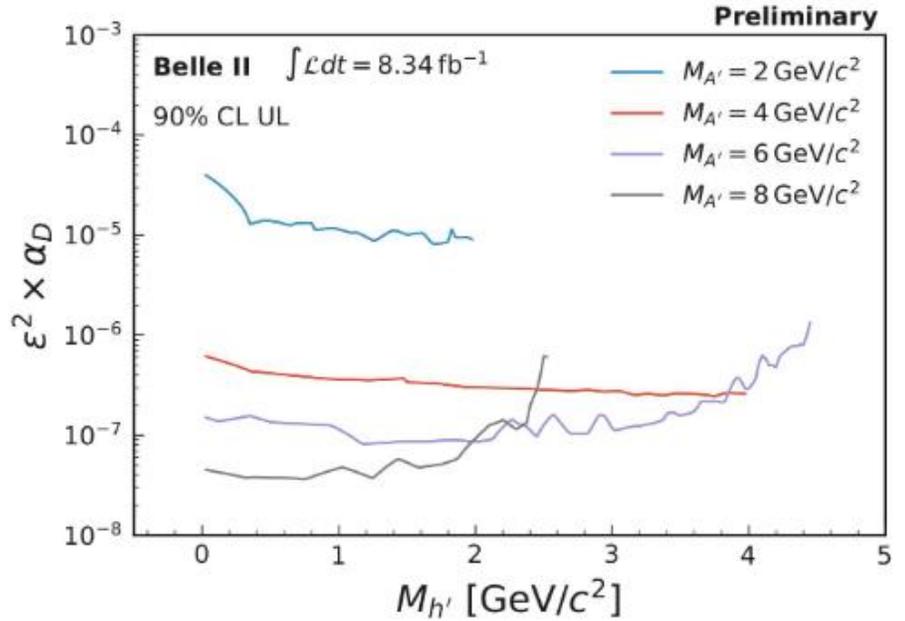
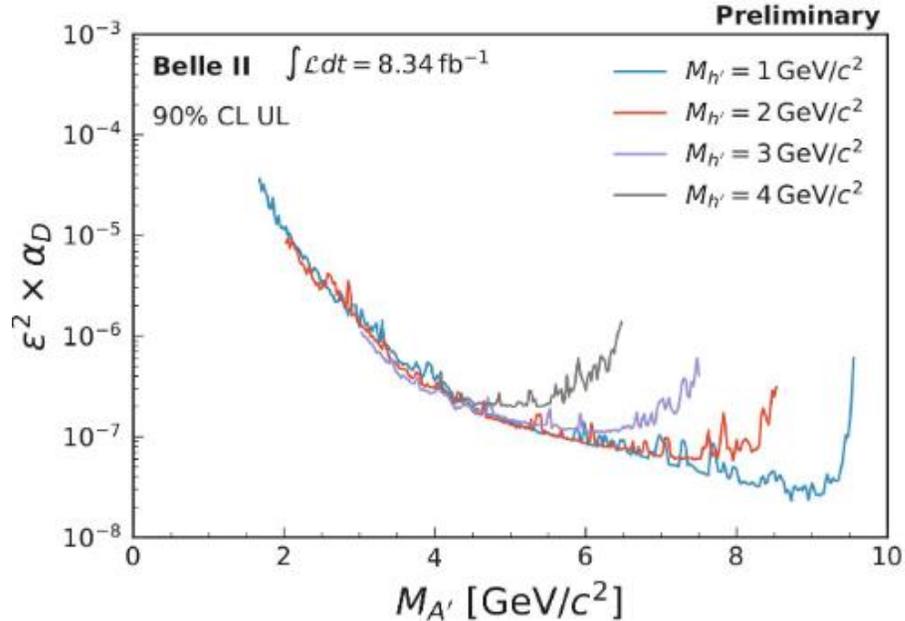
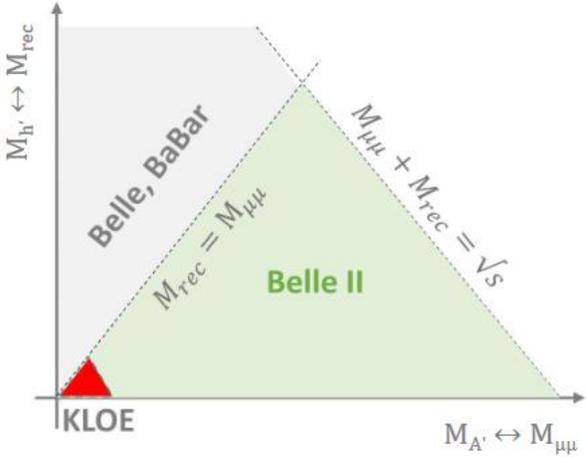
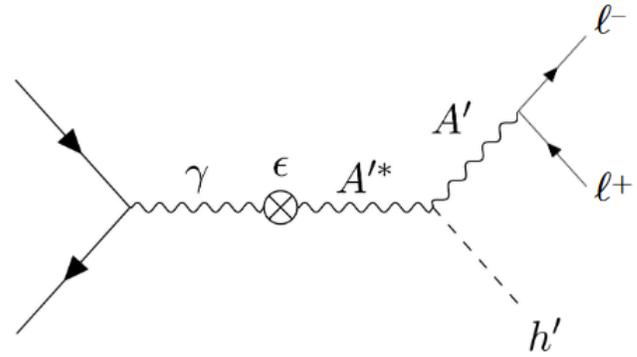
We are just at the beginning!!



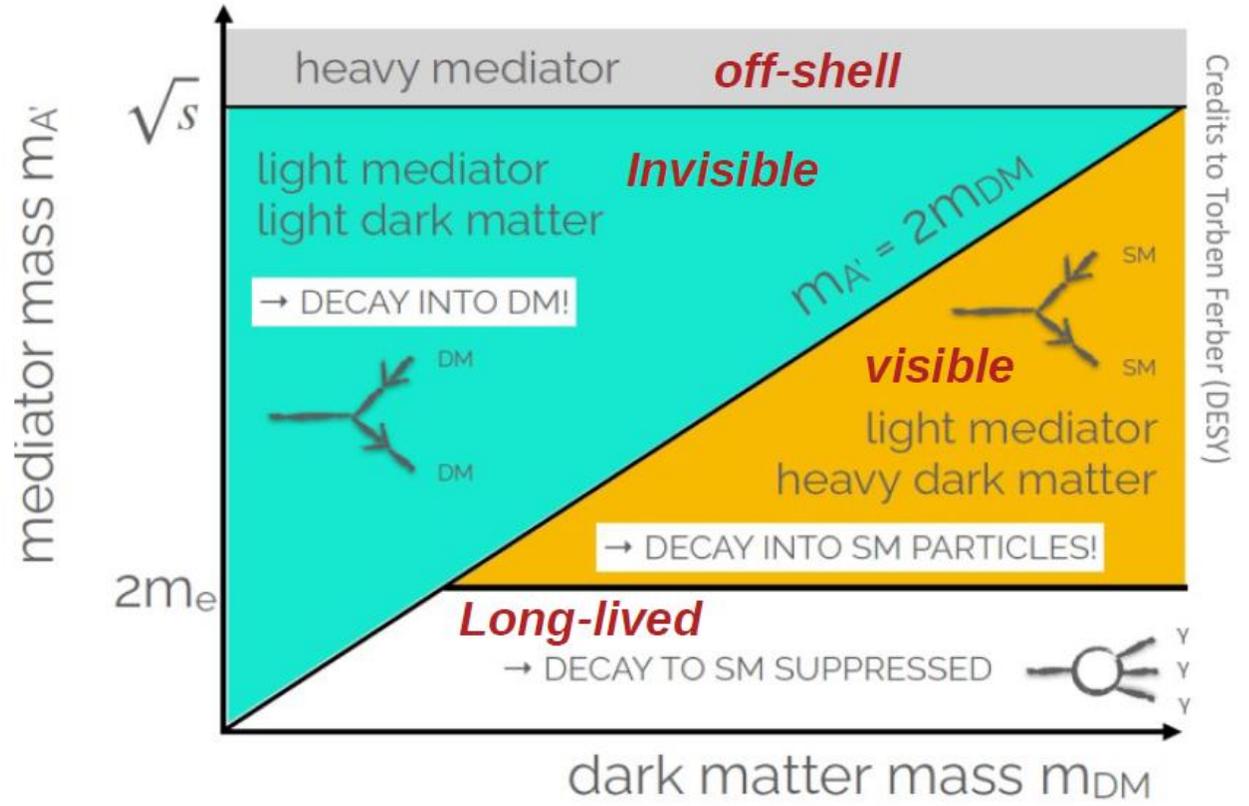
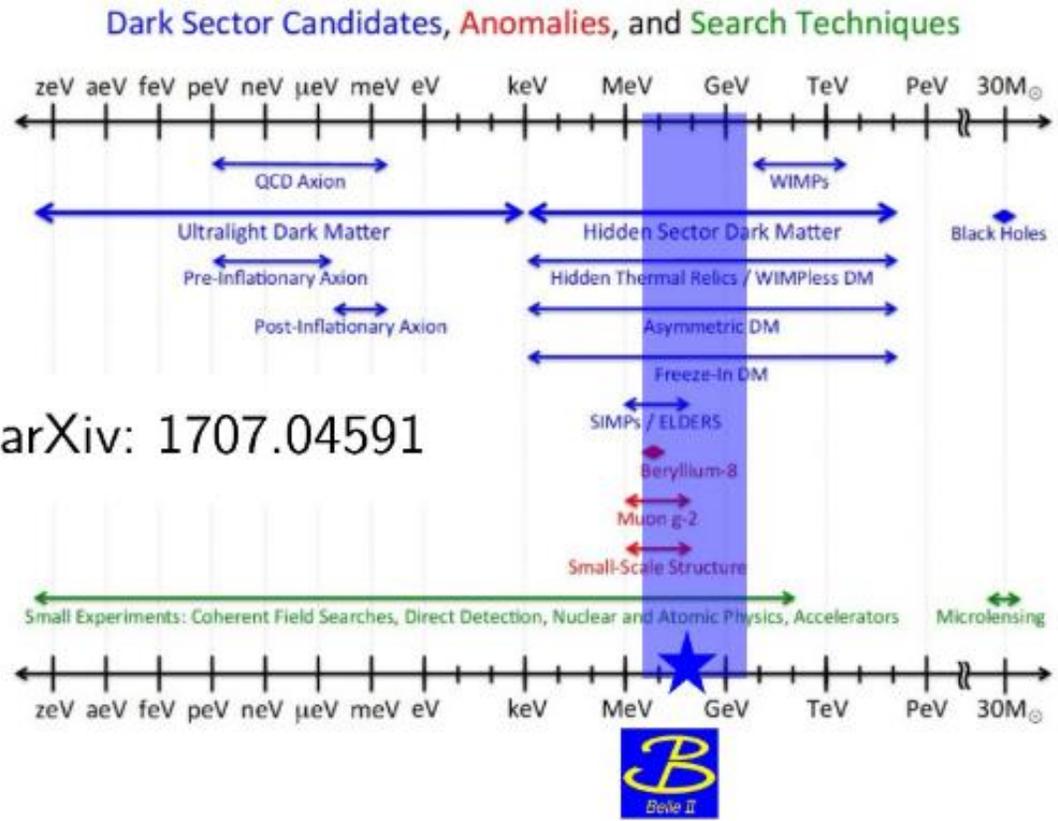
Back up

# Dark Higgsstrahlung

- Dark photon  $A'$  as mediator of the additional U(1) group. Dark Higgs  $h'$  originates from spontaneous symmetry breaking, couples with  $\alpha_D$  to  $A'$
- Case where  $M_{h'} < M_{A'}$  :  $h'$  is long-lived (invisible)  $\Rightarrow$  2 charged tracks
- Scan for excess in 2D plane of  $M_{\text{recoil}}$  vs  $M_{\mu\mu}$  in 2019 data ( $8.34\text{fb}^{-1}$ )
- First limit ever for  $M_{h'} < M_{A'}$  and  $1.65 < M_{A'} < 10.51 \text{ GeV}/c^2$



# Dark matter at Belle II



# Triggers

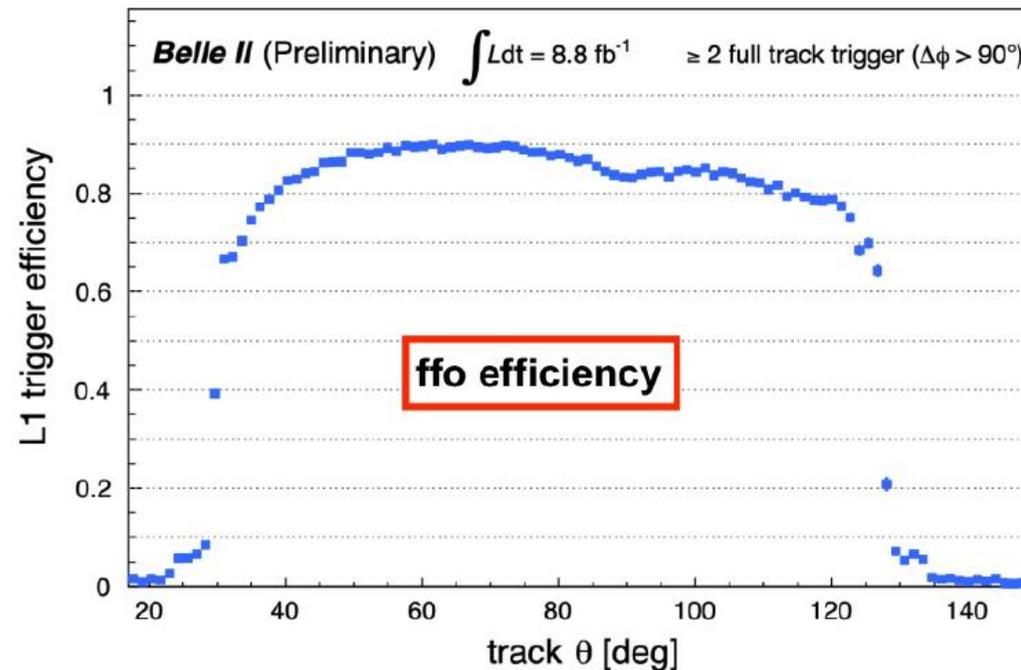
- Belle II hardware-based (Level 1) trigger combines information from CDC, ECL, TOP and KLM.
  - ▶ Designed to reduce rate to at most 30 kHz, while delivering  $\sim 100\%$  efficiency for  $\Upsilon(4S) \rightarrow B\bar{B}$  events
  - ▶ **Novel menu of triggers unavailable in Belle enable a compelling low-multiplicity program!**

- Main trigger types for Tau & Dark Sector physics:

- CDC number of full tracks
- CDC number of short tracks
- ECL total energy threshold
- ECL number of isolated clusters
- ECL low multiplicity
- ECL di-muon

- In the **dark Higgsstrahlung** analysis events are required to fire the so-called “**ffo**” trigger:

$\geq 2$  full tracks, pair with  $\Delta\phi > 90^\circ$ , bhabha-veto



# $\tau$ sector : LFV decays at B factories

- At B-factories, tau pair events are jet-like
- Analysis strategy:
  - Selection based on the topology (= number of tracks in each side) :

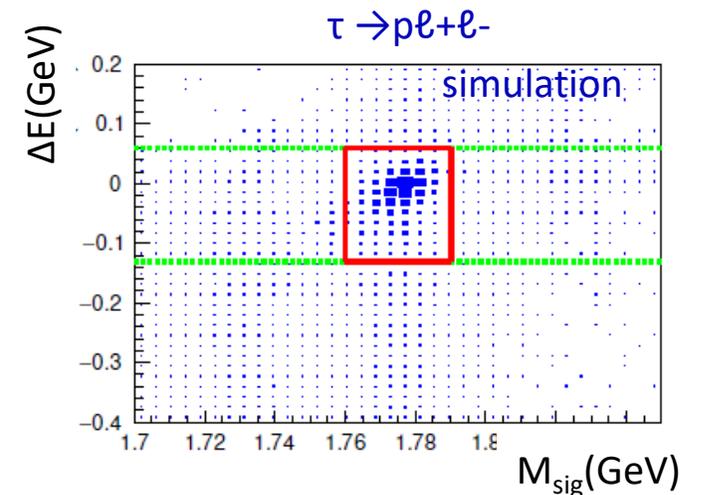
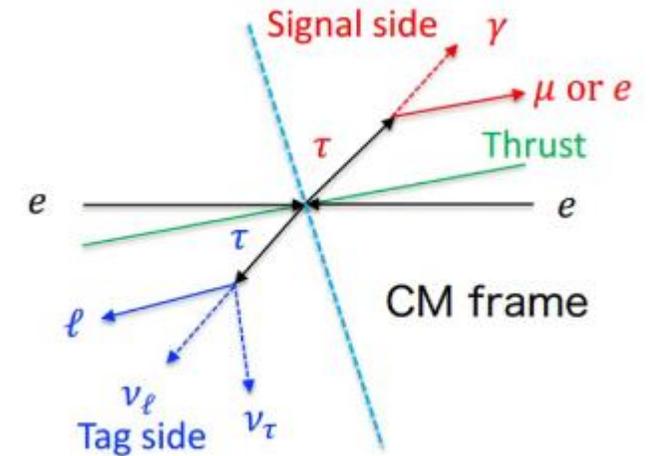
3x1, 3x3, 1x1

ex:  $\tau \rightarrow \ell\ell\ell$  x  $\tau \rightarrow \ell\nu\nu$ ,  $\tau \rightarrow \ell\ell\ell$  x  $\tau \rightarrow \pi\pi\nu$ ,  $\tau \rightarrow \ell\gamma$  x  $\tau \rightarrow \ell\nu\nu$

- Signal is searched in 2D plane:  $M_{\tau\text{sig}}$  and  $\Delta E = E_{\text{sig}} - E_{\text{beam}}$ , blinding the signal region
- Backgrounds are mainly coming from light quarks decays, other tau decays, or Bhabha events (B decays have a different event shape)
- Background is evaluated from data sidebands extrapolating to the signal region
- Upper limit is set on the number of signal events, then translated to the BR:

$$B(\tau^- \rightarrow p\mu^- \mu^-) < \frac{N_{\text{sig}}^{\text{UL}}}{2N_{\tau\tau}\epsilon}$$

- Signal efficiencies are typically few %



# More Prospects on B LFV modes

[Belle II Physics Book](#)

Observables	Belle 0.71 ab <sup>-1</sup> (0.12 ab <sup>-1</sup> )	Belle II 5 ab <sup>-1</sup>	Belle II 50 ab <sup>-1</sup>
$\text{Br}(B^+ \rightarrow K^+ \tau^+ \tau^-) \cdot 10^5$	< 32	< 6.5	< 2.0
$\text{Br}(B^0 \rightarrow \tau^+ \tau^-) \cdot 10^5$	< 140	< 30	< 9.6
$\text{Br}(B_s^0 \rightarrow \tau^+ \tau^-) \cdot 10^4$	< 70	< 8.1	–
$\text{Br}(B^+ \rightarrow K^+ \tau^\pm e^\mp) \cdot 10^6$	–	–	< 2.1
$\text{Br}(B^+ \rightarrow K^+ \tau^\pm \mu^\mp) \cdot 10^6$	–	–	< 3.3
$\text{Br}(B^0 \rightarrow \tau^\pm e^\mp) \cdot 10^5$	–	–	< 1.6
$\text{Br}(B^0 \rightarrow \tau^\pm \mu^\mp) \cdot 10^5$	–	–	< 1.3

# Path to the future

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## Steep path to higher luminosity

### A. Machine performance and stability

- Beam blow up due to beam-beam effects
- Lower than expected beam lifetime
- Transverse mode coupling instabilities
- Low machine stability
- Injector capability
- Aging infrastructure

### B. Backgrounds in the detector

- Single beam: Beam-gas, Touchek,
- Luminosity: Radiative Bhabha, two-photon processes
- Injection backgrounds

## Mitigation measures

### A. Consolidate machine

- International task force at work to help
- Many countermeasures under development
- A major redesign of the Interaction Region may be required to go beyond  $\sim 2 \times 10^{35} \text{ cm}^{-2} \text{ s}^{-1}$

### B. Consolidate the detector

- Install a complete PXD
- Complete installation of more robust TOP PMTs

### C. Improve detector

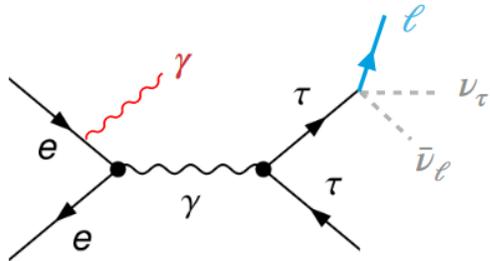
- Upgrade program to make the detector more robust against backgrounds and with improved performance



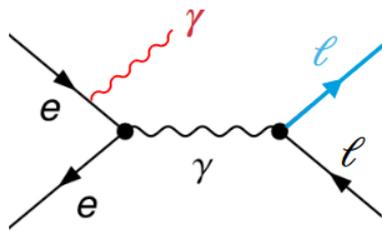
# $\tau \rightarrow \ell \gamma$

- One of the golden modes, BR can be as high as few  $10^{-8}$
- Reconstructed in the 1x1 topology
- Challenging due to strong background contributions:

Irreducible background

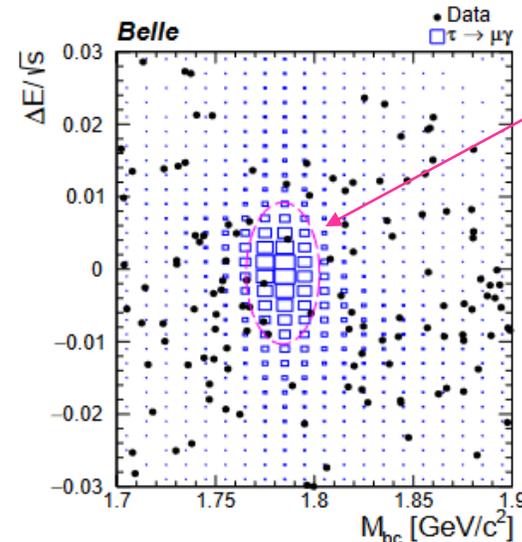
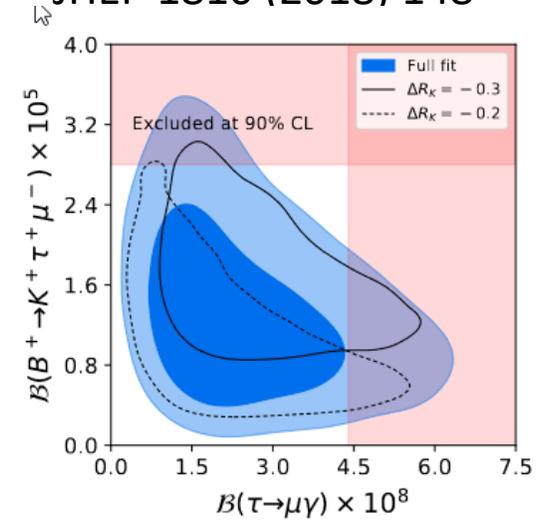


$e e \gamma$  and  $\mu \mu \gamma$  (vetoed using PID)



- Best limit on e mode from Babar  $BR(\tau \rightarrow e \gamma) < 3.3 \cdot 10^{-8}$  using 515 fb-1, Phys. Rev. Lett. 104 (2010) 021802
- Best limit on  $\mu$  mode from Belle  $BR(\tau \rightarrow \mu \gamma) < 4.2 \cdot 10^{-8}$  using 988 fb-1, JHEP 10 (2021) 18

JHEP 1810 (2018) 148



2 $\sigma$  signal region

$$M_{bc} = \sqrt{(E_{\text{beam}}^{\text{CM}})^2 - |\vec{p}_{\ell\gamma}^{\text{CM}}|^2},$$

$$\Delta E/\sqrt{s} = (E_{\ell\gamma}^{\text{CM}} - \sqrt{s}/2)/\sqrt{s},$$

(a)  $\tau^\pm \rightarrow \mu^\pm \gamma$

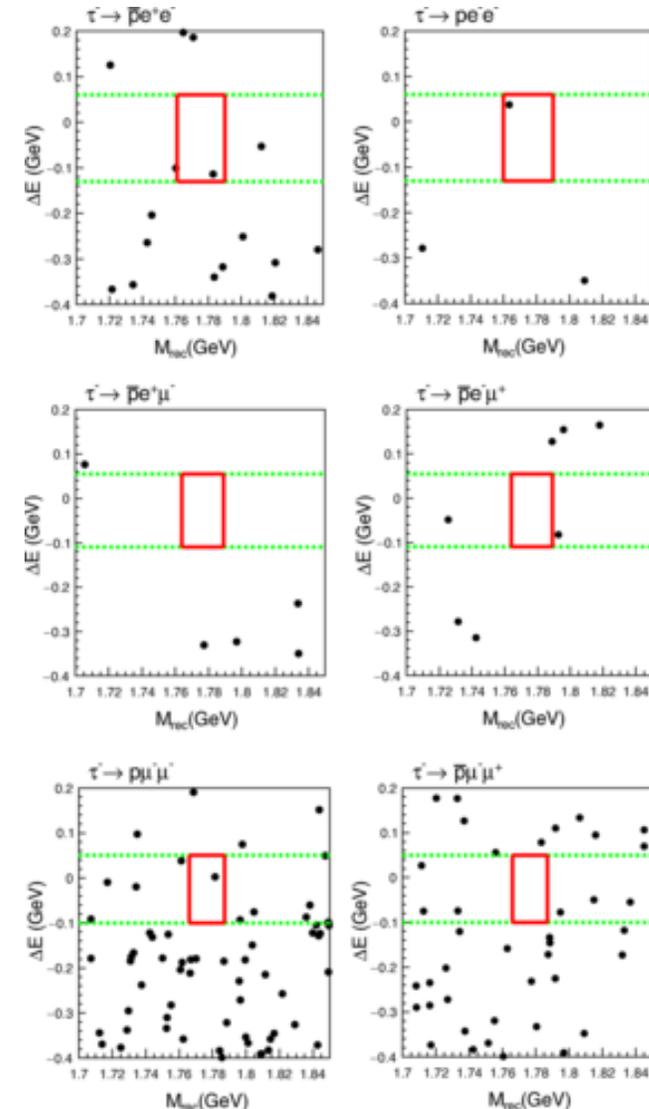
# $\tau \rightarrow p \ell \ell'$

- $\tau$  is the only lepton that can decay into hadron  $\rightarrow$  can give rise to baryon number violating decays
- Search for 6 lepton and baryon number violating  $p \ell \ell'$  decays
- New results from Belle (PRD 102 (2020) 111101) :

Channel	$\epsilon$ (%)	$N_{\text{bkg}}$	$N_{\text{obs}}$	$N_{\text{sig}}^{\text{UL}}$	$\mathcal{B} (\times 10^{-8})$
$\tau^- \rightarrow \bar{p} e^+ e^-$	7.8	$0.50 \pm 0.35$	1	3.9	$< 3.0$
$\tau^- \rightarrow p e^- e^-$	8.0	$0.23 \pm 0.07$	1	4.1	$< 3.0$
$\tau^- \rightarrow \bar{p} e^+ \mu^-$	6.5	$0.22 \pm 0.06$	0	2.2	$< 2.0$
$\tau^- \rightarrow \bar{p} e^- \mu^+$	6.9	$0.40 \pm 0.28$	0	2.1	$< 1.8$
$\tau^- \rightarrow p \mu^- \mu^-$	4.6	$1.30 \pm 0.46$	1	3.1	$< 4.0$
$\tau^- \rightarrow \bar{p} \mu^- \mu^+$	5.0	$1.14 \pm 0.43$	0	1.5	$< 1.8$

First limits

Improve LHCb results by one order of magnitude

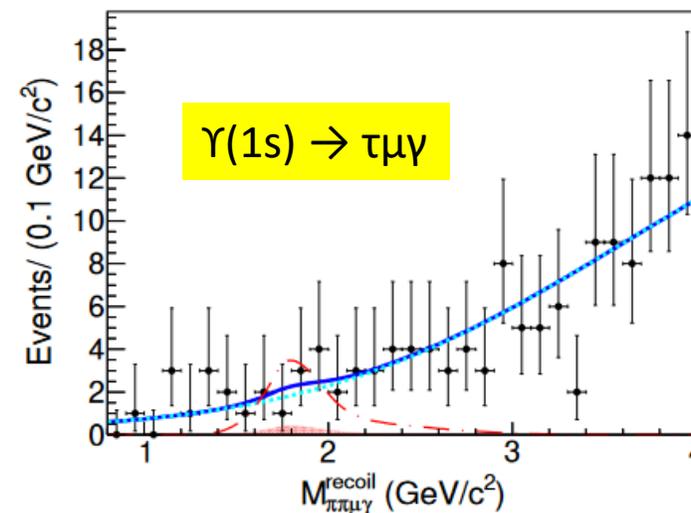
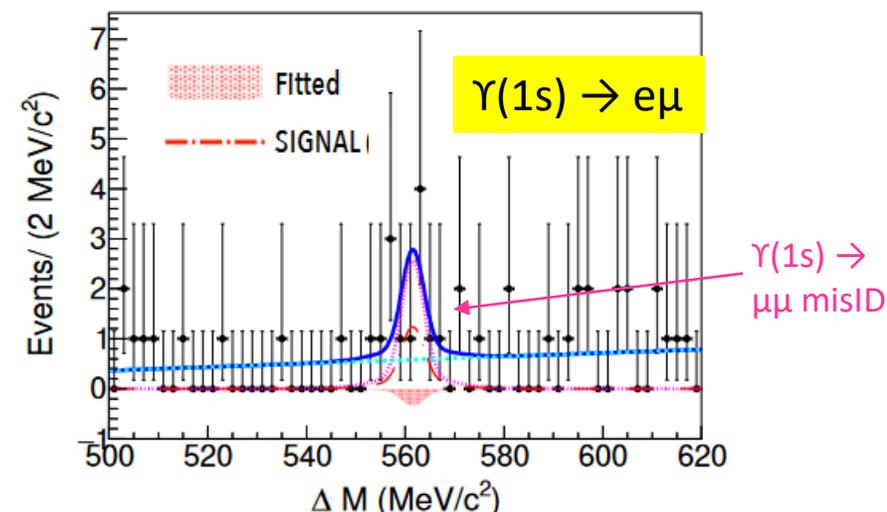


$$\Upsilon(1s) \rightarrow \ell\ell'(\gamma)$$

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- $\Upsilon(1s) \rightarrow \ell\ell'$ : two-body vector meson cLFV process  
→ probing the vector and tensor operators of the effective Lagrangian for NP
- $\Upsilon(1s) \rightarrow \ell\ell'\gamma$ : First study of three-body radiative cLFV  
→ complementary access to NP
- Use  $25\text{fb}^{-1}$  of  $\Upsilon(2s) \rightarrow \Upsilon(1s)\pi\pi$  decays (higher trigger efficiency and easier background suppression)



Decay	$\epsilon$ (%)	$N_{\text{sig}}^{\text{fit}}$	$N_{\text{sig}}^{\text{UL}}$	$\mathcal{B}^{\text{UL}}$	PDG result
$\Upsilon(1S) \rightarrow e^{\pm}\mu^{\mp}$	32.5	$-1.3 \pm 3.7$	3.6	$3.9 \times 10^{-7}$	—
$\Upsilon(1S) \rightarrow \mu^{\pm}\tau^{\mp}$	8.8	$-1.5 \pm 4.3$	6.8	$2.7 \times 10^{-6}$	$6.0 \times 10^{-6}$
$\Upsilon(1S) \rightarrow e^{\pm}\tau^{\mp}$	7.1	$-3.5 \pm 2.7$	5.3	$2.7 \times 10^{-6}$	—
$\Upsilon(1S) \rightarrow \gamma e^{\pm}\mu^{\mp}$	24.6	$+0.8 \pm 1.5$	2.9	$4.2 \times 10^{-7}$	—
$\Upsilon(1S) \rightarrow \gamma \mu^{\pm}\tau^{\mp}$	5.8	$+2.1 \pm 5.9$	10.0	$6.1 \times 10^{-6}$	—
$\Upsilon(1S) \rightarrow \gamma e^{\pm}\tau^{\mp}$	5.0	$-9.5 \pm 6.3$	9.1	$6.5 \times 10^{-6}$	—

Snowmass 2021, arXiv: 2203.14919

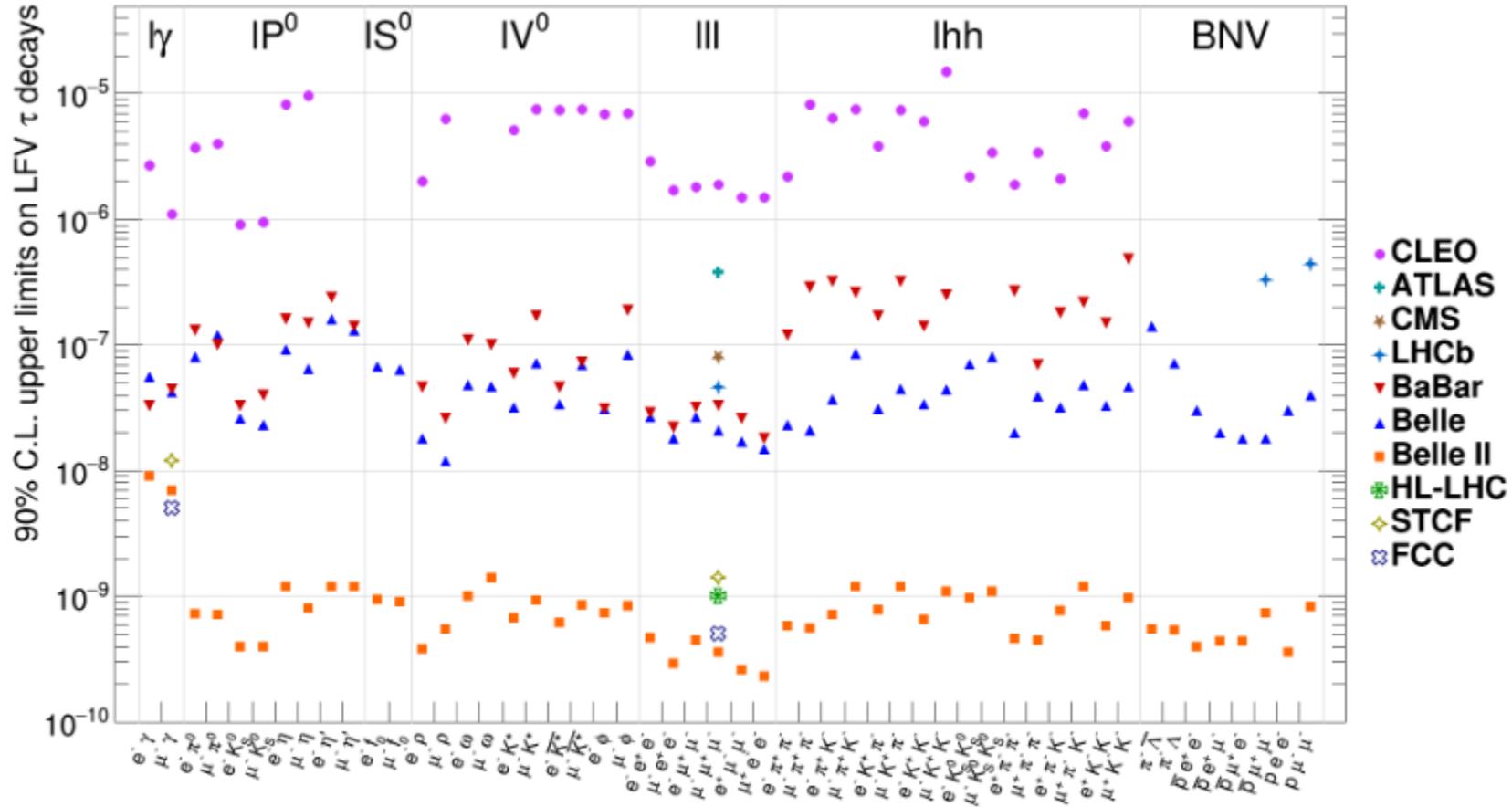


Figure 3: Summary of upper limits on LFV processes in  $\tau$  decays.