

Status and prospects for rare decays at Belle II

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(On behalf of the Belle II collaboration)

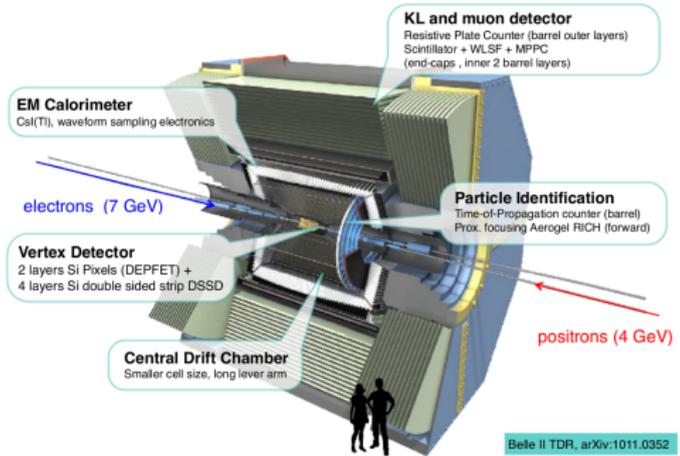
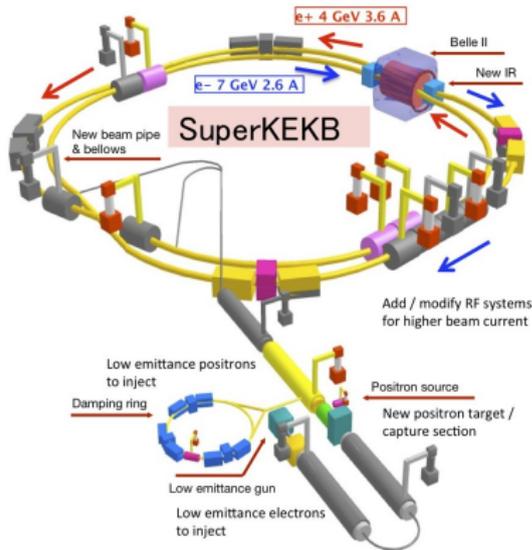
HQL2021 - The XV International Conference on Heavy Quarks and Leptons
University of Warwick, UK
Sep 13 - 17, 2021



Outline

- Introduction
- Measurement of $B \rightarrow K^* \gamma$ branching fraction
- Study of $B \rightarrow X_s \gamma$
- Study of $B^+ \rightarrow K^+ \ell^+ \ell^-$
- Search for $B^+ \rightarrow K^+ \nu \bar{\nu}$
- Summary & outlook

SuperKEKB accelerator and Belle II experiment

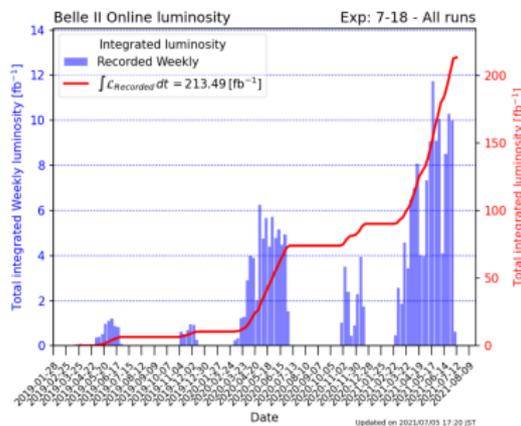


Belle II TDR, arXiv:1011.0352

- $e^- (7 \text{ GeV}) \rightarrow \leftarrow e^+ (4 \text{ GeV})$
- $\sqrt{s} = 10.58 \text{ GeV} = m(\Upsilon(4S))$
- $\mathcal{B}(\Upsilon(4S) \rightarrow B\bar{B}) > 96\%$

- Clean environment; well defined initial state
- Efficient neutral reconstruction capability ($\gamma, \pi^0, \eta, K_S^0, K_L^0$)
- Excellent vertexing and flavour tagging performance

Belle II status



- Collected 213 fb^{-1} of data since 2019
- Today's results: 63 fb^{-1} at $\Upsilon(4S)$ + 9 fb^{-1} off-resonance (60 MeV below the $\Upsilon(4S)$)

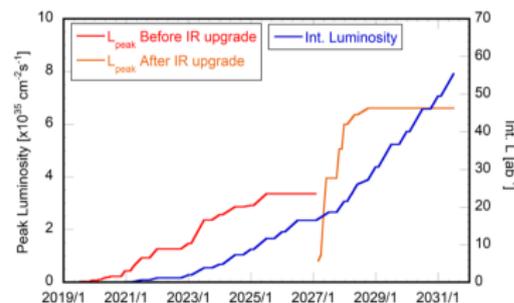
- World record of luminosity:

$$\rightarrow L = 3.1 \times 10^{34} \text{ cm}^{-2} \text{ sec}^{-1}$$

- Despite the pandemic, data taking has been very successful:

$$\rightarrow 12 \text{ fb}^{-1}/\text{week}, 40.3 \text{ fb}^{-1}/\text{month}$$

Tentative long-term operation plan



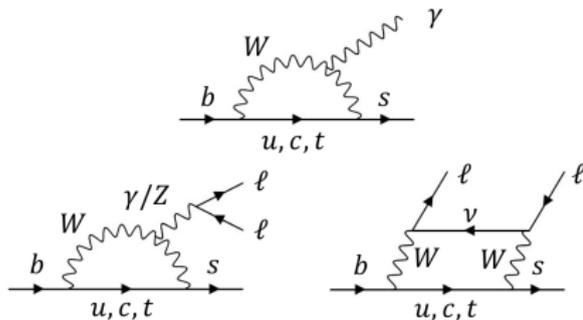
- Long-term target:

$$L_{\text{int}} \sim 50 \text{ ab}^{-1},$$
$$L_{\text{peak}} \sim 6 \times 10^{35} \text{ cm}^{-2} \text{ sec}^{-1}$$

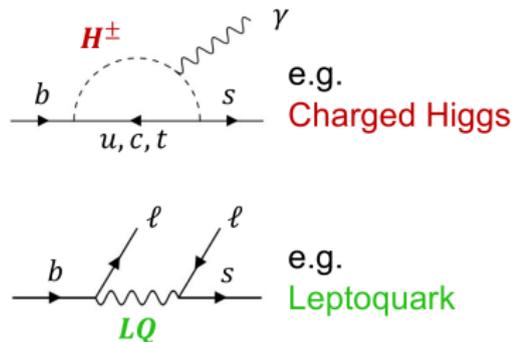
Radiative and electroweak penguin B decays

- B -decays with flavor changing neutral currents (FCNC), $b \rightarrow s(d)$ transitions
- Forbidden at tree level in the SM and can only proceed via suppressed loop or box-level amplitudes
- BSM model allowing FCNC at tree level or new particles appearing in loop can change branching fractions and/or other observables

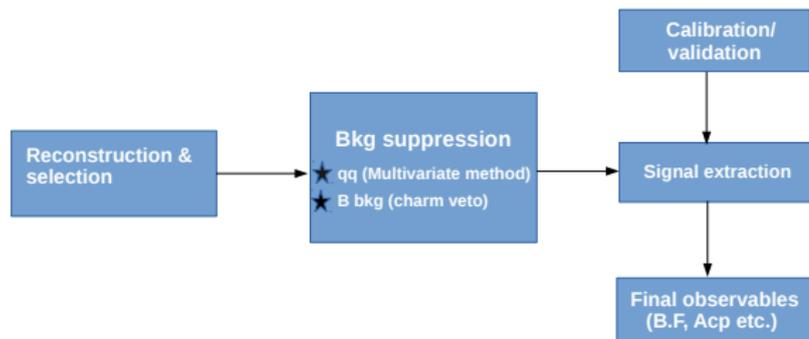
Radiative and electroweak penguin decays in SM



Potential BSM contributions



Analysis of radiative and electroweak penguin decays



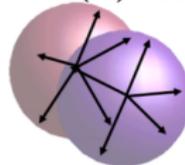
Multivariate analyzer

- A boosted decision tree (BDT) to suppress background from light quark (u, d, s and c) pairs (denoted as $q\bar{q}$ events)

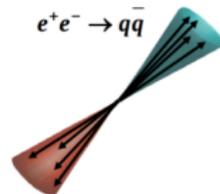
→ Use event shape variables and vertex information as input

→ A requirement on the BDT output removes most of the $q\bar{q}$ background

$$e^+e^- \rightarrow Y(4S) \rightarrow B\bar{B}$$

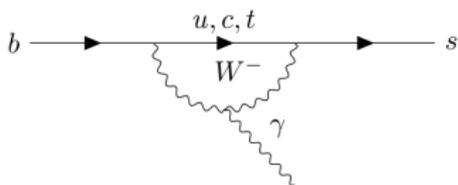


$$e^+e^- \rightarrow q\bar{q}$$



$q\bar{q}$ background is $\sim 10^6$ times more abundant than signal events

Measurement of $B \rightarrow K^* \gamma$ branching fraction



- Other observables:

$$A_{\text{CP}} = \frac{\Gamma(\bar{B} \rightarrow \bar{K}^* \gamma) - \Gamma(B \rightarrow K^* \gamma)}{\Gamma(\bar{B} \rightarrow \bar{K}^* \gamma) + \Gamma(B \rightarrow K^* \gamma)}$$

$$\Delta_{+0} = \frac{\Gamma(B^0 \rightarrow K^* \gamma) - \Gamma(B^+ \rightarrow K^{*+} \gamma)}{\Gamma(B^0 \rightarrow K^{*0} \gamma) + \Gamma(B^+ \rightarrow K^{*+} \gamma)}$$

- $b \rightarrow s \gamma$ electroweak amplitude
- Sensitive to new physics particles that can enter the loop
- SM prediction of branching fraction suffers from large uncertainties owing to form factors

- Reliably predicted due to form-factor cancellation
- The latest measurement by Belle reported evidence for isospin violation with a significance of 3.1 standard deviations:

$$\Delta_{+0} = [+6.2 \pm 1.5(\text{stat}) \pm 0.6(\text{syst}) \pm 1.2(f_{+/-}/f_{00})]\%$$

(Phys. Rev. Lett. **119** (2017) 19, 191802)

$B \rightarrow K^* \gamma$: Analysis overview

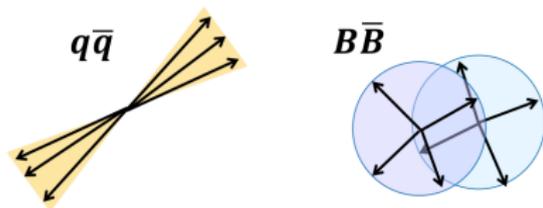
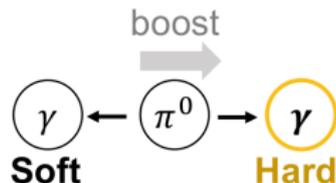
- All final states are explicitly reconstructed,
 $K^* \rightarrow K^+ \pi^-, K_S^0 \pi^0, K^+ \pi^0, K_S^0 \pi^+$ ($K_S^0 \rightarrow \pi^+ \pi^-, \pi^0 \rightarrow \gamma \gamma$)
- Signal yield is obtained from an unbinned maximum likelihood (ML) fit to $\Delta E (= E_B^* - \sqrt{s}/2)$ distribution

Dominant background:

- $q\bar{q}$ events with γ from π^0, η decay
→ π^0, η veto using kinematic information by combining hard and soft-photons
→ $q\bar{q}$ suppression with BDT

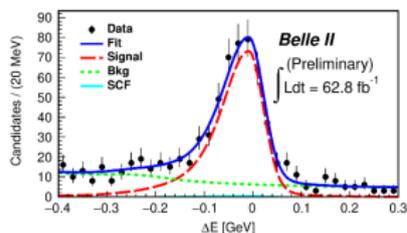
Bkg rejection: 70 -90 %

Signal loss: 10-21 %

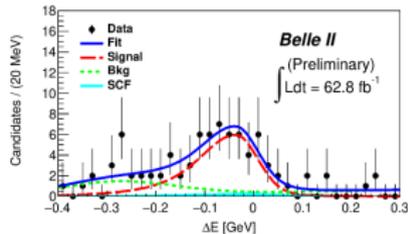


$B \rightarrow K^* \gamma$: Fit results

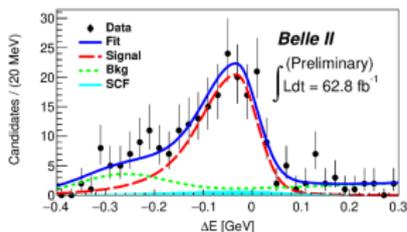
BELLE2-CONF-PH-2021-014



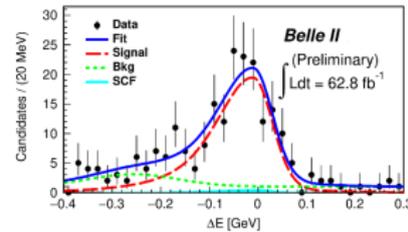
(a) $B^0 \rightarrow K^{*0} [K^+ \pi^-] \gamma$



(b) $B^0 \rightarrow K^{*0} [K_S^0 \pi^0] \gamma$



(c) $B^+ \rightarrow K^{*+} [K^+ \pi^0] \gamma$



(d) $B^+ \rightarrow K^{*+} [K_S^0 \pi^+] \gamma$

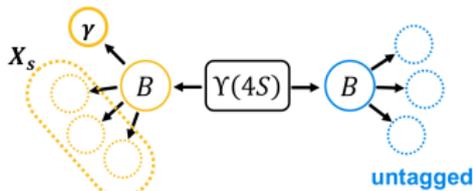
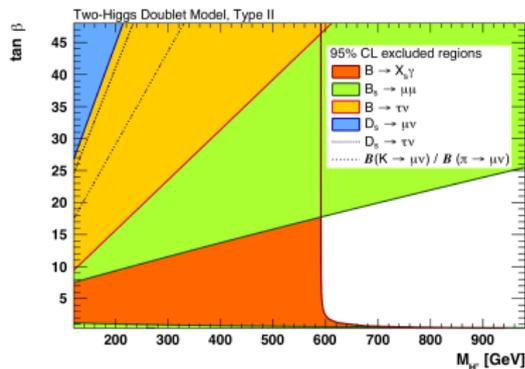
- Studies ongoing for the measurement of CP and isospin asymmetries

Mode	B.F. ($\times 10^{-5}$)
$B^0 \rightarrow K^{*0} [K^+ \pi^-] \gamma$	$4.5 \pm 0.3 \pm 0.2$
$B^0 \rightarrow K^{*0} [K_S^0 \pi^0] \gamma$	$4.4 \pm 0.9 \pm 0.6$
$B^+ \rightarrow K^{*+} [K^+ \pi^0] \gamma$	$5.0 \pm 0.5 \pm 0.4$
$B^+ \rightarrow K^{*+} [K_S^0 \pi^+] \gamma$	$5.4 \pm 0.6 \pm 0.4$

Study of $B \rightarrow X_s \gamma$ with untagged method

- Strong constraint on the Charged Higgs is obtained from $\mathcal{B}(B \rightarrow X_s \gamma)$:
 $M_{H^\pm} < 590$ GeV

Eur.Phys.J.C78(2018)8, 675



- Reconstruct only γ in the signal-side B meson
- Untagged method: No explicit reconstruction of recoil-side B meson
 - Higher efficiency & lower purity
 - Subtract expected background contributions

Study of $B \rightarrow X_s \gamma$ with untagged method

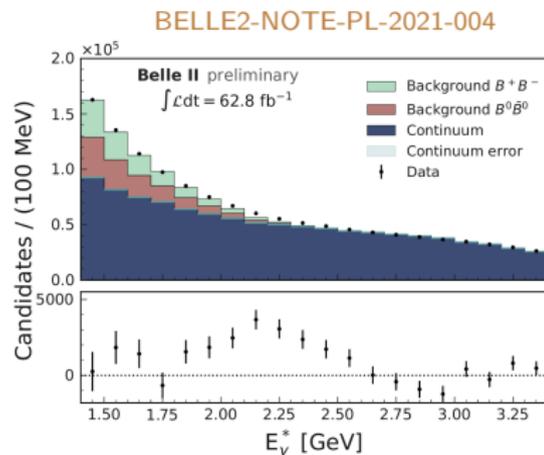
- Photon energy (E_γ^*) spectrum is used to extract signal after background suppression

→ Monochromatic spectrum is expected for signal

→ $q\bar{q}$ is estimated from the off-resonance data

→ $B\bar{B}$ background is obtained from simulation

- Background subtracted plot on the bottom



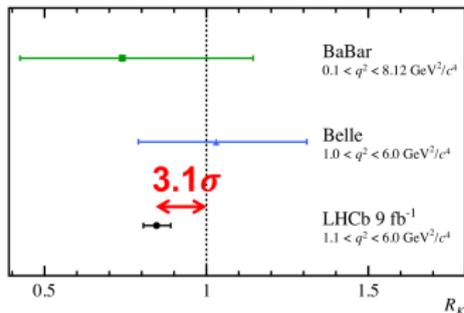
Excess clearly visible in the signal region

Study of $B^+ \rightarrow K^+ \ell^+ \ell^-$

- Tension between the observed and SM-predicted ratio:

$\mathcal{R}_K \equiv \mathcal{B}(B^+ \rightarrow K^+ \mu^+ \mu^-) / \mathcal{B}(B^+ \rightarrow K^+ e^+ e^-)$, suggests a lepton universality violation [arXiv:2103.11769](https://arxiv.org/abs/2103.11769)

→ Important to have independent measurement of $B^+ \rightarrow K^+ \ell^+ \ell^-$ (with $\ell = e, \mu$)



- $B^+ \rightarrow K^+ \ell^+ \ell^-$ is reconstructed from both electron and muon modes

→ Similar electron and muon reconstruction performances, unlike LHCb

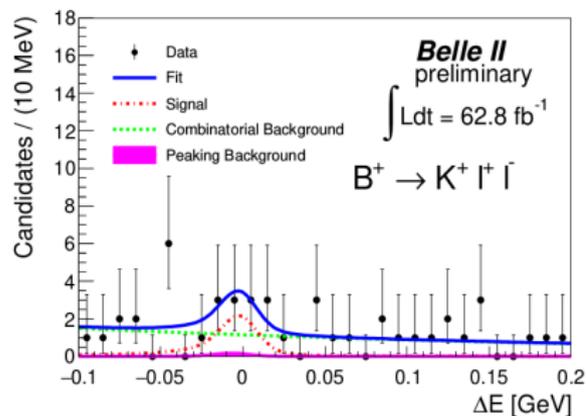
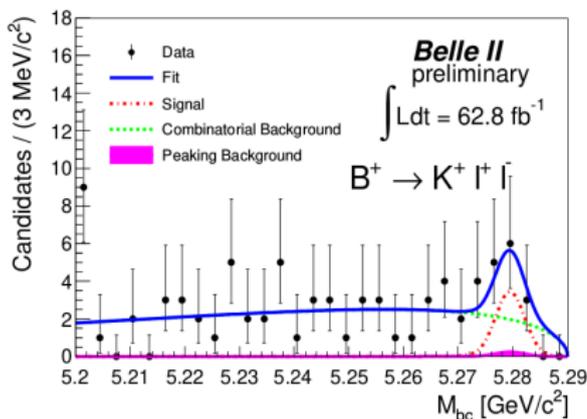
- Background suppression with BDT using event shape variables and vertex information
- Signal selection using two kinematic variables:

$$M_{bc} = \sqrt{E_{\text{beam}}^{*2} - \vec{p}_B^{*2}}, \quad \Delta E = E_B^* - E_{\text{beam}}^*$$

Study of $B^+ \rightarrow K^+ \ell^+ \ell^-$

BELLE2-NOTE-PL-2021-005

- 2D unbinned ML fit to $M_{bc} - \Delta E$



Signal yield = $8.6_{-3.9}^{+4.3} \pm 0.4$ with 2.7σ significance

Search for $B^+ \rightarrow K^+ \nu \bar{\nu}$ with a novel inclusive tagging

- $B^+ \rightarrow K^+ \nu \bar{\nu}$ decay is not observed yet:

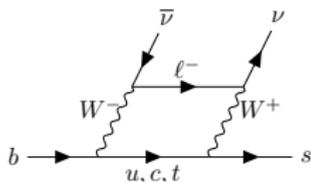
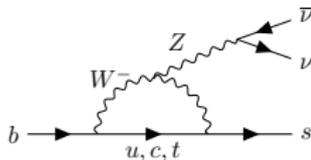
SM prediction: $\mathcal{B}(B^+ \rightarrow K^+ \nu \bar{\nu}) = (4.6 \pm 0.5) \times 10^{-6}$
(Prog.Part.Nucl.Phys. **92** (2017) 50 – 91)

Upper limit on $\mathcal{B}(B^+ \rightarrow K^+ \nu \bar{\nu}) < (1.6 \pm 0.5) \times 10^{-5}$
(BaBar 429 fb⁻¹, Phys.Rev.D **87** (2013) 11, 112005)

- Complementary probe of BSM physics scenarios proposed to explain anomalies observed in $b \rightarrow s \ell \bar{\ell}$ transitions (arXiv : 2005.03734), including recent measurement of R_K by LHCb (arXiv : 2103.11769)

- Many other BSM models can be constrained like dark matter, leptoquarks and axions

(PRD **98**, 055003 (2018), PRD **102**, 015023 (2020), PRD **101**, 095006 (2020))



$B^+ \rightarrow K^+ \nu \bar{\nu}$: Analysis strategies

- All previous studies used an explicit reconstruction of the B_{tag} followed by the signal reconstruction

→ Reconstruction efficiency suffers because of the low tagging efficiency:

→ Hadronic tagging: $\varepsilon_{\text{sig}} \cdot \varepsilon_{\text{tag}} \sim 0.04\%$

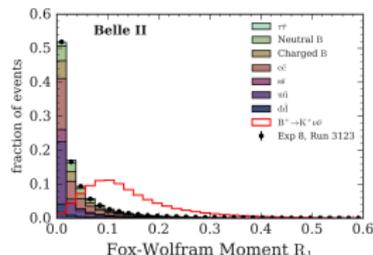
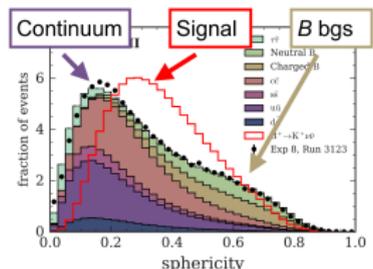
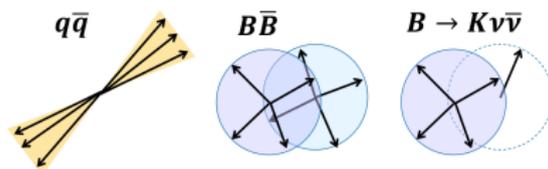
→ Semileptonic tagging: $\varepsilon_{\text{sig}} \cdot \varepsilon_{\text{tag}} \sim 0.2\%$

- Inclusive tagging:

→ Exploit distinct topology and kinematics to achieve higher signal efficiency ($\sim 4\%$)

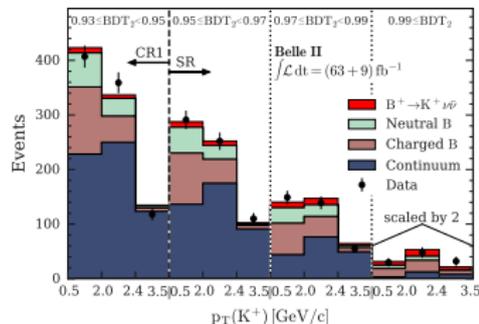
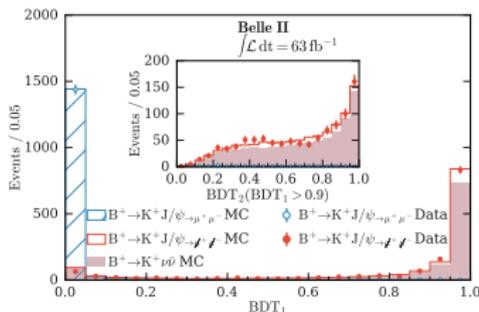
→ Higher efficiency & lower purity

Technique used first time in this channel!



$B^+ \rightarrow K^+ \nu \bar{\nu}$: Analysis procedure

- Select highest p_T track as signal kaon candidate
- Use of nested statistical-learning discriminators: BDT₁ & BDT₂ (topology, rest-of-event, missing energy, vertex separation,...)
- Validate the BDT using data of $B^+ \rightarrow K^+ J/\psi \rightarrow (\mu^+ \mu^-)$ decays where the muons are removed and the kaon momentum is reweighed to mimic the signal
- Signal strength is extracted by binned ML fit on the 2D ($p_T(K^+)$, BDT) histogram
- Use off-resonance data to constrain the yield from $q\bar{q}$ processes



$B^+ \rightarrow K^+ \nu \bar{\nu}$: Results

- Measured branching fraction

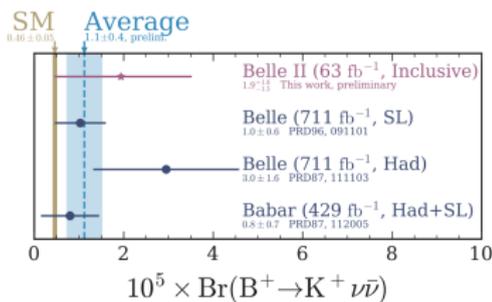
$$\mathcal{B}(B^\pm \rightarrow K^\pm \nu \bar{\nu}) = [1.9_{-1.3}^{+1.3}(\text{stat})_{-0.7}^{+0.8}(\text{syst})] \times 10^{-5}$$

- No significant signal observed; setting upper limit on branching fraction:

$$\mathcal{B}(B^\pm \rightarrow K^\pm \nu \bar{\nu}) < (4.1 \pm 0.5) \times 10^{-5} \text{ @ 90\% CL}$$

arXiv:2104.12624 (Accepted to PRL!)

- Inclusive approach outperforms semileptonic tagging by 10-20% and hadronic tagging by 3.5x at given luminosity^[1]
- This result based on the novel inclusive tagging approach already has an impact on the global picture of these decays
- Studies on additional channels $B^0 \rightarrow K^{*0} \nu \bar{\nu}$ and $B^0 \rightarrow K_S^0 \nu \bar{\nu}$ etc. using more data are in preparation!



^[1]assuming the total uncertainty in the branching-fraction scales with $1/\sqrt{L}$

Summary and outlook

- First results from Belle II on radiative and electroweak penguin decays with $(63 + 9) \text{ fb}^{-1}$ demonstrate the high capabilities of the experiment
 - Measurement of $B \rightarrow K^* \gamma$ branching fraction [BELLE2-CONF-PH-2021-014](#)
 - Study of $B \rightarrow X_s \gamma$ [BELLE2-NOTE-PL-2021-004](#)
 - Study of $B^+ \rightarrow K^+ \ell^+ \ell^-$ [BELLE2-NOTE-PL-2021-014](#)
 - Search for $B^+ \rightarrow K^+ \nu \bar{\nu}$ with the inclusive tagging method [arXiv:2104.12624](#)
(Accepted to PRL!)
- The result on $B^+ \rightarrow K^+ \nu \bar{\nu}$ based on a novel inclusive tagging approach already has an impact on the global picture of these decays
- Belle II has recorded 213 fb^{-1} of data by 2021 summer
- $3\times$ larger sample ready to be analysed while aiming for 400 fb^{-1} by 2022 summer (50 ab^{-1} over 10 years)
- Interesting results are coming in the near future with more and more channels and improved analysis techniques!

Stay tuned!

Extra Slides

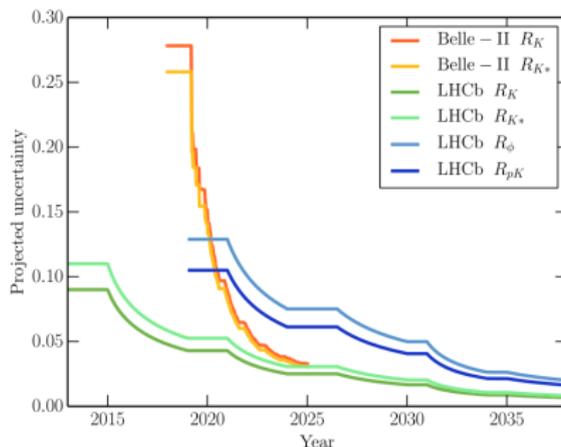
Summary of the Sensitivities: $B \rightarrow K^* \gamma$

Observables	Belle 0.71 ab^{-1} (0.12 ab^{-1})	Belle II 5 ab^{-1}	Belle II 50 ab^{-1}
$\Delta_{0^+}(B \rightarrow K^* \gamma)$	2.0%	0.70%	0.53%
$A_{CP}(B^0 \rightarrow K^{*0} \gamma)$	1.7%	0.58%	0.21%
$A_{CP}(B^+ \rightarrow K^{*+} \gamma)$	2.4%	0.81%	0.29%
$\Delta A_{CP}(B \rightarrow K^* \gamma)$	2.9%	0.98%	0.36%
$S_{K^{*0} \gamma}$	0.29	0.090	0.030

Summary of the Sensitivities: $B \rightarrow X_s \gamma$

Observables	Belle 0.71 ab^{-1}	Belle II 5 ab^{-1}	Belle II 50 ab^{-1}
$\text{Br}(B \rightarrow X_s \gamma)_{\text{inc}}^{\text{lep-tag}}$	5.3%	3.9%	3.2%
$\text{Br}(B \rightarrow X_s \gamma)_{\text{inc}}^{\text{had-tag}}$	13%	7.0%	4.2%
$\text{Br}(B \rightarrow X_s \gamma)_{\text{sum-of-ex}}$	10.5%	7.3%	5.7%
$\Delta_{0+}(B \rightarrow X_s \gamma)_{\text{sum-of-ex}}$	2.1%	0.81%	0.63%
$\Delta_{0+}(B \rightarrow X_{s+d} \gamma)_{\text{inc}}^{\text{had-tag}}$	9.0%	2.6%	0.85%
$A_{CP}(B \rightarrow X_s \gamma)_{\text{sum-of-ex}}$	1.3%	0.52%	0.19%
$A_{CP}(B^0 \rightarrow X_s^0 \gamma)_{\text{sum-of-ex}}$	1.8%	0.72%	0.26%
$A_{CP}(B^+ \rightarrow X_s^+ \gamma)_{\text{sum-of-ex}}$	1.8%	0.69%	0.25%
$A_{CP}(B \rightarrow X_{s+d} \gamma)_{\text{inc}}^{\text{lep-tag}}$	4.0%	1.5%	0.48%
$A_{CP}(B \rightarrow X_{s+d} \gamma)_{\text{inc}}^{\text{had-tag}}$	8.0%	2.2%	0.70%
$\Delta A_{CP}(B \rightarrow X_s \gamma)_{\text{sum-of-ex}}$	2.5%	0.98%	0.30%
$\Delta A_{CP}(B \rightarrow X_{s+d} \gamma)_{\text{inc}}^{\text{had-tag}}$	16%	4.3%	1.3%

Summary of the Sensitivities: R_K



J. Phys. G: Nucl. Part. Phys. **46** (2019)023001

Summary of the Sensitivities: $B^+ \rightarrow K^+ \nu \bar{\nu}$

Observables	Belle 0.71 ab^{-1} (0.12 ab^{-1})	Belle II 5 ab^{-1}	Belle II 50 ab^{-1}
$\text{Br}(B^+ \rightarrow K^+ \nu \bar{\nu})$	$< 450\%$	30%	11%
$\text{Br}(B^0 \rightarrow K^{*0} \nu \bar{\nu})$	$< 180\%$	26%	9.6%
$\text{Br}(B^+ \rightarrow K^{*+} \nu \bar{\nu})$	$< 420\%$	25%	9.3%
$F_L(B^0 \rightarrow K^{*0} \nu \bar{\nu})$	–	–	0.079
$F_L(B^+ \rightarrow K^{*+} \nu \bar{\nu})$	–	–	0.077
$\text{Br}(B^0 \rightarrow \nu \bar{\nu}) \times 10^6$	< 14	< 5.0	< 1.5
$\text{Br}(B_s \rightarrow \nu \bar{\nu}) \times 10^5$	< 9.7	< 1.1	–