

The Silicon Vertex Detector of the Belle II Experiment

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Abstract

The Silicon Vertex Detector (SVD) is a part of the vertex detector in the Belle II experiment at the SuperKEKB collider (KEK, Japan). Since the start of data taking in spring 2019, the SVD has been operating stably and reliably with a high signal-to-noise ratio and hit efficiency, achieving good spatial resolution and high track reconstruction efficiency. The hit occupancy, which mostly comes from the beam-related background, is currently about 0.5% in the innermost layer, causing no impact on the SVD performance. In anticipation of the operation at higher luminosity in the next years, two strategies to sustain the tracking performance in future high beam background conditions have been developed and tested on data. One is to reduce the number of signal waveform samples to decrease dead time, data size, and occupancy. The other is to utilize the good hit-time resolution to reject the beam background hits. We also measured the radiation effects on the full depletion voltage, sensor current, and strip noise caused during the first two and a half years of operation. The results show no detrimental effect on the SVD performance.

Keywords: Silicon strip detector, Vertex detector, Tracking detector, Belle II

1. Introduction

The Belle II experiment [1] aims to probe new physics beyond the Standard Model in high-luminosity e^+e^- collisions at the SuperKEKB collider (KEK, Japan) [2]. The main collision energy in the center-of-mass system is 10.58 GeV on

the $\Upsilon(4S)$ resonance, which enables various physics programs based on the large samples of B mesons, τ leptons, and D mesons. Also, the asymmetric energy of the 7 GeV e^- beam and 4 GeV e^+ beam is adopted for time-dependent CP violation measurements. The target of SuperKEKB is to accumulate an integrated luminosity of 50 ab^{-1} with peak luminosity of about $6 \times 10^{35} \text{ cm}^{-2} \text{ s}^{-1}$. In June 2021, SuperKEKB recorded the world's highest instantaneous luminosity of $3.1 \times 10^{34} \text{ cm}^{-2} \text{ s}^{-1}$.

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14 The data accumulated before July 2021 corresponds to an integrated
 15 luminosity of 213 fb^{-1} .

16 The Vertex Detector (VXD) is the innermost detector in the
 17 Belle II detector system. The VXD has six layers: the inner two
 18 layers (layers 1 and 2) are the Pixel Detector (PXD), and the
 19 outer four layers (layers 3 to 6) are the Silicon Vertex Detector
 20 (SVD) [3]. The schematic cross-sectional view of the VXD is
 21 shown in Fig. 1. The PXD consists of DEPFET pixel sensors,
 22 and its innermost radius is 1.4 cm from the beam interaction
 23 point (IP). A detailed description of the SVD appears in Sec. 2.

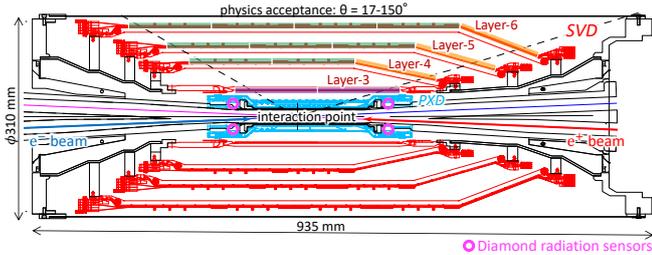


Figure 1: Schematic cross-sectional view of the VXD. The SVD is red, the PXD is light blue, and the IP beam pipe diamonds are pink circles. In the upper half of the VXD the locations of the three types of SVD DSSDs are indicated by boxes in three colors: purple for small sensors, green for large sensors, and orange for trapezoidal sensors as described in Tab. 1.

24 Diamond sensors [4] are mounted on the IP beam pipe and
 25 the bellows pipes outside of the VXD. The diamond monitors
 26 radiation doses for estimating the dose in the SVD. The diamond
 27 also sends beam abort requests to SuperKEKB to avoid
 28 severe damage to the detector if the radiation level gets too high.

29 2. Belle II Silicon Vertex Detector

30 The SVD is crucial for extrapolating the tracks to the PXD to
 31 measure the decay vertices with the PXD and point at a region-
 32 of-interest to reduce the PXD data. Other roles of the SVD are
 33 the standalone track reconstruction of low-momentum charged
 34 particles and their particle identification using ionization energy
 35 deposits. The SVD is also critical for vertexing the decay inside
 36 the SVD volume, i.e., long-lived particles like K_S mesons.

37 The SVD consists of four layers of double-sided silicon strip
 38 detectors (DSSDs) [5]. The material budget of the SVD is about
 39 0.7% of a radiation length per layer. On each DSSD plane, a
 40 local coordinate is defined with u -axis along n -side strips and v -
 41 axis perpendicular to u -axis, i.e., p -side strips and n -side strips
 42 provide u and v information, respectively. In the cylindrical
 43 coordinate, u and v corresponds to $r-\phi$ and z . The SVD consists
 44 of three types of sensors: “small” rectangular sensors in layer
 45 3, “large” rectangular sensors in the barrel region of layers 4,
 46 5, and 6, and “trapezoidal” sensors installed slantwise in the
 47 forward region of layers 4, 5, and 6. The main characteristics
 48 of these sensors are summarized in Tab. 1. The sensors are
 49 manufactured by two companies: the small and large sensors
 50 by Hamamatsu and trapezoidal sensors by Micron. The full
 51 depletion voltage is 60 V for Hamamatsu sensors and 20 V for
 52 Micron sensors; both types of sensors are operated at 100 V.

	Small	Large	Trapezoidal
No. of u/p-strips	768	768	768
u/p-strip pitch	50 μm	75 μm	50–75 μm
No. of v/n-strips	768	512	512
v/n-strip pitch	160 μm	240 μm	240 μm
Thickness	320 μm	320 μm	300 μm
Manufacturer	Hamamatsu		Micron

Table 1: Table of the main characteristics of the three types of sensors. Only readout strips are taken into account for number of strips and strip pitch. All sensors have one intermediate floating strip between two readout strips.

53 The front-end ASIC, the APV25 [6], was originally devel-
 54 oped for the CMS Silicon Tracker. The APV25 tolerates more
 55 than 100 Mrad of radiation. It has 128 channels with a shap-
 56 ing time of about 50 ns. For the SVD, the APV25 is oper-
 57 ated in “multi-peak” data sampling mode, visualized in Fig. 2.
 58 The chip samples the height of the signal waveform with the
 59 32 MHz clock (31 ns period) and stores each sample in an ana-
 60 log ring buffer. Since the bunch-crossing frequency is eight
 61 times faster than the sampling clock, the stored samples are not
 62 synchronous to the beam collision in contrast to CMS. In the
 63 present readout configuration (the six-samples mode), at ev-
 64 ery reception of the Belle II global Level-1 trigger, the chip
 65 reads out six successive samples stored in the buffers. The six-
 66 samples mode offers a wide enough time window ($6 \times 31 \text{ ns} =$
 67 187 ns) to accommodate large timing shifts of the trigger. In
 68 preparation for operation with higher luminosity, where back-
 69 ground occupancy, trigger dead-time, and the data size increase,
 70 we developed the three/six-mixed acquisition mode (mixed-
 71 mode). The mixed-mode is a new method to read out the sig-
 72 nal samples from the APV25, in which the number of samples
 73 changes between three and six in each event, depending on the
 74 timing precision of the Level-1 trigger signal. For triggers with
 precise timing, three-samples data are read out with half time
 window and half data size compared to six-samples data, re-
 ducing the effects due to higher luminosity. This functionality
 was already implemented in the running system and confirmed
 by a few hours of smooth physics data taking. Before starting
 to use the mixed-mode, we assess the performance degradation
 due to the change of the acquisition mode. As the first step, the
 effect in the hit efficiency was evaluated as described in Sec. 3.

The APV25 chips are mounted on each middle sensor (chip-
 on-sensor concept) with thermal isolation foam in between. The
 merit of this concept is shorter signal propagation length and
 hence reduced noise level. To minimize the material budget the
 APV25 chips on the sensor are thinned down to 100 μm . The
 APV25 chips are mounted on a single side of the sensor and the
 signal readout is performed from the opposite side via wrapped
 flexible printed circuits. The power consumption of the APV25
 chip is 0.4 W/chip and 700 W in the entire SVD. The chips are
 cooled by a bi-phase -20°C CO_2 evaporative cooling system.

3. Performance

The SVD has been operating reliably and smoothly since
 March 2019. The total fraction of masked strips is about 1%.

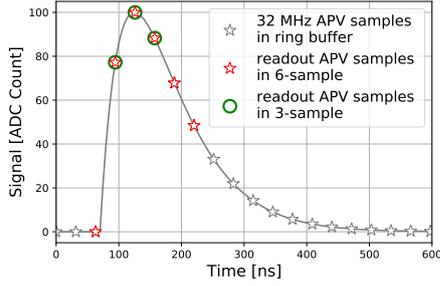


Figure 2: Example of sampling in “multi-peak” mode of the APV25. The gray line shows the signal waveform after the CR-RC shaper circuit. The stars show the sampled signal height recorded in the analog ring buffer according to the 32 MHz sampling clock. The red stars indicate the six successive samples read out at the trigger reception in the six-samples mode. The red stars with a green circle indicate the samples read out in the three-samples acquisition.

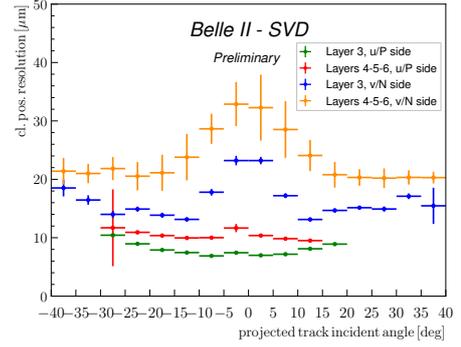


Figure 3: The SVD cluster position resolution depending on the projected track incident angle. The green (blue) plot shows the resolution in the u/p-side (n/v-side) of layer-3 sensors, and the red (yellow) one shows the u/p-side (n/v-side) of layers-4, 5, and 6 sensors.

The only issue was the disablement of one APV25 chip during the spring of 2019, which was remediated by reconnecting a cable that summer. The SVD has also demonstrated stable and excellent performance [7]. The hit efficiency is continuously over 99% in most of the sensors. The charge collection is reasonably efficient, and the most probable values of the cluster signal-to-noise ratio distributions range from 13 to 30.

We measured the cluster position resolution by analyzing the $e^+e^- \rightarrow \mu^+\mu^-$ data [8]. The resolution is estimated from the residual between the cluster position and the track position, not biased by the target cluster, after subtracting the effect of the track extrapolation error. The cluster position resolutions for different incident angles are shown in Fig. 3. The observed resolution has the expected shape, showing a minimum when the tangent of the projected incident angle equals strip pitch divided by sensor thickness. Given the various sensor pitches with one floating strip, the minimum is expected at 14 (21) degrees on the v/n-side and at 4 (7) degrees on the u/p-side for layer 3 (4, 5, and 6), respectively. The resolution for normal incident angle is also in good agreement with the expected digital resolution, that is 23 (35) μm on the v/n-side, 7 (11) μm on the u/p-side, respectively for layer 3 (4, 5, and 6). Still, some studies are ongoing to improve the resolution especially for the layer-3 u/p-side, where at normal incidence a slightly higher resolution is measured (9 μm) compared to the expectations.

The cluster hit-time resolution was also evaluated in candidate hadronic events¹ using the reference event time estimated by the Central Drift Chamber (CDC) outside of the SVD. The error on the event time, about 0.7 ns, was subtracted to evaluate the intrinsic SVD hit-time resolution. The resulting resolution is 2.9 ns on the u/p-side and 2.4 ns on the v/n-side. The hit-time distributions for signal² and background³ are shown in Fig. 4. The narrowly peaking signal distribution and the broad background distribution make it possible to reject off-time background hits efficiently. For example, if we reject hits with the hit-time less than -38 ns in this plot, we can reject 45% of

the background hits while keeping 99% of the signal hits. The off-time hit rejection is essential to sustain the good tracking performance in the future high beam background condition.

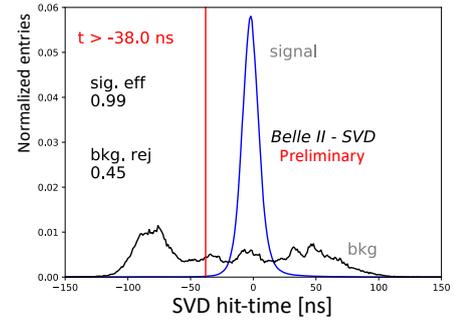


Figure 4: Example of the background hit rejection using hit-time. The blue distribution shows the signal, and the black distribution shows the background. The ordinates for signal and background are arbitrary normalized.

To evaluate the performance in the mixed-mode, we compare three-samples data with six-samples data. The three-samples data shows comparable performance to the six-samples data for the trigger with no timing deviation because the three-sample’s time window can accommodate the relevant part of the signal waveform to evaluate the signal height and timing. However, when the trigger has a jitter and the timing shift happens, some part of the signal waveform can be out of the three-sample’s time window, and the reconstruction performance deteriorates. We examined the effect on the hit efficiency as a function of the trigger timing shift. The effect is evaluated by the relative hit efficiency, which is defined as the ratio of the hit efficiency in the three-samples data to the one in the six-samples data. The trigger timing shift is evaluated by the CDC event time. For this study, the three-samples data are emulated in the offline analysis from the six-samples data by selecting consecutive three samples at a fixed latency to the Level-1 trigger signal. The resulting relative efficiencies as a function of the trigger timing shift in the hadronic events are shown in Fig. 5. The decreasing trend is observed for the shift of the trigger timing, as expected. As a result, the relative efficiency is over 99.9% for the trigger

¹The events with more than three good tracks and not like Bhabha scattering.

²The clusters found to be used in the tracks in the hadronic events.

³The clusters in events triggered by delayed-Bhabha pseudo-random trigger.

156 timing shift within ± 30 ns, which is almost all the events.

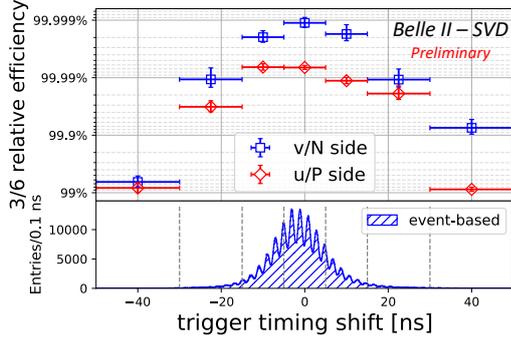


Figure 5: The relative hit efficiencies (the ratios of the hit efficiency in the three-207 samples data to the one in the six-samples data) as a function of the trigger-208 timing shift for v/n-side (blue square) and u/p-side (red diamond). The positive 209 (negative) trigger timing shift corresponds to early (late) trigger timing.

157 4. Beam-related background effects on SVD

158 The beam-related background (BG) increases the hit occu-215
 159 pancy of the SVD, which in turn degrades the tracking perfor-216
 160 mance. To ensure the performance, we set the occupancy limit217
 161 in layer-3 sensors to be about 3%, which will be loosened by a218
 162 factor of two after we apply the hit-time rejection described in219
 163 Sec. 3. Although the average hit occupancy in layer-3 sensors220
 164 is below 0.5% with the current luminosity, it reaches about 3%221
 165 in the projection at the luminosity of $8 \times 10^{35} \text{ cm}^{-2} \text{ s}^{-1}$ based on222
 166 the hit occupancy in the Monte Carlo (MC) simulation and the223
 167 data/MC BG scale factors in the current beam optics.224

168 Radiation effects in silicon sensors due to the BG are also225
 169 relevant for the detector performance over the entire lifetime of226
 170 the experiment. Surface damage is caused by ionizing energy227
 171 loss, parameterized in terms of total ionizing dose. Effects due228
 172 to bulk damage caused by displacement from non-ionizing en-229
 173 ergy loss (NIEL) are expressed as a function of the equivalent230
 174 1-MeV neutron fluence [9]. Bulk displacement damage from231
 175 NIEL can alter the effective doping concentration and hence232
 176 the depletion voltage, and can also increase the bulk-generated233
 177 leakage current. Surface damage can lead to larger sensor ca-234
 178 pacitance and noise by increasing the SiO_2 fixed oxide charge,235
 179 and higher surface-generated leakage current.236

180 From the data/MC-rescaled BG extrapolation, the ex-237
 181 pected integrated dose in the SVD is about 0.2 Mrad/smy,238
 182 and the equivalent 1-MeV neutron fluence is about 5×239
 183 $10^{11} \text{ n}_{\text{eq}}/\text{cm}^2/\text{smy}$ (smy: Snowmass Year = 10^7 sec). The radi-240
 184 ation hardness of the SVD sensors is about 10 Mrad and about241
 185 $10^{13} \text{ n}_{\text{eq}}/\text{cm}^2$ based on the irradiation campaigns on the SVD242
 186 sensors [3], up to about 9 Mrad with ^{60}Co source, and past stud-243
 187 ies relevant for the bulk damage on similar DSSD sensors. Par-244
 188 ticularly relevant in this respect is the experience on the BaBar245
 189 Silicon Vertex Tracker, equipped with Micron DSSDs and ex-246
 190 posed to similar radiation as the SVD expectation. These sen-247
 191 sors were successfully operated for several years up to an in-248
 192 tegrated dose of 4.5 Mrad [10]. They were also irradiated in249

193 dedicated campaigns to study bulk damage effects above bulk
 194 type inversion (reached at about 3 Mrad of integrated dose and
 195 10^{13} cm^{-2} of equivalent neutron fluence), and operated success-
 196 fully up to 9 Mrad [11, 12]. Considering these past studies, we
 197 expect to be able to safely operate the SVD even for ten years
 198 at high luminosity, with a safety factor of two to three against
 199 BG extrapolation. However, the long-term BG extrapolation is
 200 affected by large uncertainties from the optimization of collima-
 201 tor settings in MC and the future evolution of the non-simulated
 202 beam injection background. This uncertainty, together with the
 203 relatively small safety factor, motivates the VXD upgrade to
 204 improve the tolerance of hit rates and radiation damage, and the
 205 technology assessment is ongoing for multiple sensor options.

206 In the first years of operation in Belle II, it is fundamental
 207 to carefully monitor the integrated dose in the SVD and its ef-
 208 fects on sensor properties, such as depletion voltage, leakage
 209 current, and noise. Although not expected to impact the detec-
 210 tor performance, these initial measurements shown in the rest
 211 of this section are crucial to confirm the extrapolation.

212 The integrated dose in the layer-3 mid-plane sensors, which
 213 are the most exposed in the SVD, is estimated to be 70 krad in
 214 the first two and a half years of operation. The estimation is
 215 based on the measured dose by the diamonds on the IP beam
 216 pipe and the measured correlation between the SVD occupancy
 217 and the diamond dose [13]. Thanks to a newly introduced ran-
 218 dom trigger line, we removed an overestimation of factor three
 219 in the previous study. The new estimate still has an uncertainty
 220 of about 50%, mainly due to the unavailability of this new trig-
 221 ger line before December 2020. Assuming the dose/ n_{eq} fluence
 222 ratio of $2.3 \times 10^9 \text{ n}_{\text{eq}}/\text{cm}^2/\text{krad}$ from MC, 1-MeV equivalent
 223 neutron fluence is evaluated to be about $1.6 \times 10^{11} \text{ n}_{\text{eq}}/\text{cm}^2$.

224 The full depletion voltage is measured from the relation be-
 225 tween the v/n-side strip noise and the bias voltage, as detailed in
 226 Ref. [7]. The result is consistent with measurements performed
 227 on the bare sensors before the installation, ranging from 20 to
 228 60 V. No change in full depletion voltage is observed in the first
 229 two and a half years of operation, as expected from low inte-
 230 grated neutron fluence of $1.6 \times 10^{11} \text{ n}_{\text{eq}}/\text{cm}^2$ at this stage. This
 231 will be continuously monitored since changes in the depletion
 232 voltage are expected in the future. After several years with high
 233 luminosity, we could also observe bulk type inversion, at about
 234 $10^{13} \text{ n}_{\text{eq}}/\text{cm}^2$, but from the experience on the BaBar DSSD re-
 235 ported above, we expect no significant impact on our operation.

236 The leakage currents are generated in both bulk and surface,
 237 thus affected by both ionizing and non-ionizing damage. The
 238 upper plot of Fig. 6 shows the linear correlation between the
 239 current and the integrated dose. The slopes for all the sen-
 240 sors are 2–5 $\mu\text{A}/\text{cm}^2/\text{Mrad}$, as summarized in the lower plot
 241 of Fig. 6. The large variations can be explained by temperature
 242 effects and the deviation from averaging the dose in each layer
 243 in the estimation. The slopes are in the same order of mag-
 244 nitude as previously measured in the BaBar experiment [10],
 245 1 $\mu\text{A}/\text{cm}^2/\text{Mrad}$ at 20°C. The precise temperature in layer 3 of
 246 the SVD is unknown but expected to be in a similar regime.
 247 While the leakage current is increasing, the impact on the strip
 248 noise is suppressed by the short shaping time (50 ns) in APV25.
 249 It is expected to be comparable to the strip-capacitive noise

250 only after 10 Mrad irradiation and not problematic for ten years 264
 251 where the integrated dose is estimated to be 2 Mrad.

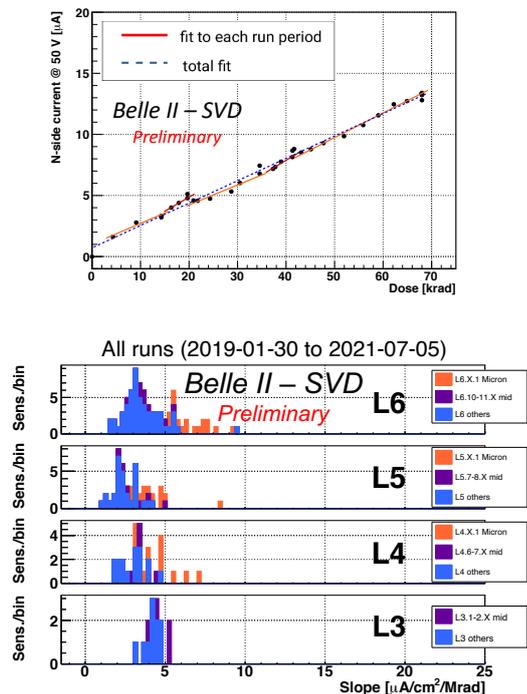


Figure 6: (upper) Effect of the integrated dose on the leakage current in the n/v-
 side of one layer-3 sensor. The slope is fitted for each run period (solid red line)
 and all the runs (dashed blue line). Both fit results agree with each other and are
 consistent with the linear increase. (lower) The fit results of all the sensors for
 all runs. The sensors are classified as trapezoidal sensors in the forward region
 (Micron), sensors around the midplane, and the others.

252 The noise increases non-linearly to the integrated dose, as 291
 253 shown in Fig. 7. The observed 20–25% increase in layer 3 does 292
 254 not affect the SVD performance. Fixed oxide charges on sensor 293
 255 surface increase with dose, with saturation expected at around 294
 256 100 krad, also non-linearly enlarging the inter-strip capacitance, 296
 257 The noise saturation is already observed on the v/n-side and 297
 258 starts to be seen on the u/p-side.

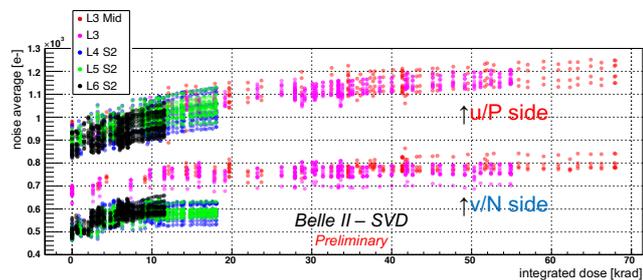


Figure 7: Effect of the integrated dose on the noise average in electron. The
 upper (lower) series shows the u/p-side (v/n-side) results, respectively.

259 In conclusion, all the initial effects from radiation damage 317
 260 in the SVD measured so far are within the expectation and do 318
 261 not affect detector performance. We expect good SVD perfor- 319
 262 mance can be kept after ten years with high luminosity, with 320
 263 some safety margin on top of the extrapolation from BG sim- 322

ulation, affected by large uncertainty. A new irradiation cam-
 265 paign on the SVD sensors has also recently started to further
 266 study bulk damage effects even behind bulk type inversion.

267 5. Conclusions

268 The SVD has been taking data in Belle II since March 2019
 269 smoothly and reliably. The detector performance is excellent
 270 and agrees with expectations. We are ready to cope with the
 271 increased background during higher luminosity running by re-
 272 jecting the off-time background hits using hit-time and operat-
 273 ing in the three/six-mixed acquisition mode. In the recent study,
 274 the efficiency loss in the three-samples data is confirmed to be
 275 less than 0.1% for the trigger timing shift within ± 30 ns. The
 276 observed first effects of radiation damage are also within expect-
 277 ation and do not affect the detector performance.

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 and MNiSW (Poland).

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