

1 The Silicon Vertex Detector of the Belle II Experiment

2 Y. Uematsu<sup>a</sup>, K. Adamczyk<sup>t</sup>, L. Aggarwal<sup>l</sup>, H. Aihara<sup>q</sup>, T. Aziz<sup>j</sup>, S. Bacher<sup>t</sup>,  
3 S. Bahinipati<sup>f</sup>, G. Batignani<sup>k,l</sup>, J. Baudot<sup>e</sup>, P. K. Behera<sup>g</sup>, S. Bettarini<sup>k,l</sup>,  
4 T. Bilka<sup>c</sup>, A. Bozek<sup>t</sup>, F. Buchsteiner<sup>b</sup>, G. Casarosa<sup>k,l</sup>, L. Corona<sup>k,l</sup>, T. Czank<sup>p</sup>,  
5 S. B. Das<sup>h</sup>, G. Dujany<sup>e</sup>, C. Finck<sup>e</sup>, F. Forti<sup>k,l</sup>, M. Friedl<sup>b</sup>, A. Gabrielli<sup>m,n</sup>,  
6 E. Ganiev<sup>m,n</sup>, B. Gobbo<sup>n</sup>, S. Halder<sup>j</sup>, K. Hara<sup>r,o</sup>, S. Hazra<sup>j</sup>, T. Higuchi<sup>p</sup>,  
7 C. Irmeler<sup>b</sup>, A. Ishikawa<sup>r,o</sup>, H. B. Jeon<sup>s</sup>, Y. Jin<sup>m,n</sup>, C. Joo<sup>p</sup>, M. Kaleta<sup>t</sup>,  
8 A. B. Kaliyar<sup>j</sup>, J. Kandra<sup>c</sup>, K. H. Kang<sup>s</sup>, P. Kapusta<sup>t</sup>, P. Kodyš<sup>c</sup>, T. Kohriki<sup>r</sup>,  
9 M. Kumar<sup>h</sup>, R. Kumar<sup>i</sup>, C. La Licata<sup>p</sup>, K. Lalwani<sup>h</sup>, R. Le Boucher<sup>d</sup>,  
10 S. C. Lee<sup>s</sup>, J. Libby<sup>g</sup>, L. Martel<sup>e</sup>, L. Massacesi<sup>k,l</sup>, S. N. Mayekar<sup>j</sup>,  
11 G. B. Mohanty<sup>j</sup>, T. Morii<sup>p</sup>, K. R. Nakamura<sup>r,o</sup>, Z. Natkaniec<sup>t</sup>, Y. Onuki<sup>q</sup>,  
12 W. Ostrowicz<sup>t</sup>, A. Paladino<sup>k,l</sup>, E. Paoloni<sup>k,l</sup>, H. Park<sup>s</sup>, G. Polat<sup>d</sup>, K. K. Rao<sup>j</sup>,  
13 I. Ripp-Baudot<sup>e</sup>, G. Rizzo<sup>k,l</sup>, D. Sahoo<sup>j</sup>, C. Schwanda<sup>b</sup>, J. Serrano<sup>d</sup>,  
14 J. Suzuki<sup>r</sup>, S. Tanaka<sup>r,o</sup>, H. Tanigawa<sup>q</sup>, R. Thalmeier<sup>b</sup>, R. Tiwari<sup>j</sup>,  
15 T. Tsuboyama<sup>r,o</sup>, O. Verbycka<sup>t</sup>, L. Vitale<sup>m,n</sup>, K. Wan<sup>q</sup>, Z. Wang<sup>q</sup>, J. Webb<sup>a</sup>,  
16 J. Wiechczynski<sup>l</sup>, H. Yin<sup>b</sup>, L. Zani<sup>d</sup>,

17 (Belle-II SVD Collaboration)

18 <sup>a</sup>*School of Physics, University of Melbourne, Melbourne, Victoria 3010, Australia*

19 <sup>b</sup>*Institute of High Energy Physics, Austrian Academy of Sciences, 1050 Vienna, Austria*

20 <sup>c</sup>*Faculty of Mathematics and Physics, Charles University, 121 16 Prague, Czech Republic*

21 <sup>d</sup>*Aix Marseille Université, CNRS/IN2P3, CPPM, 13288 Marseille, France*

22 <sup>e</sup>*IPHC, UMR 7178, Université de Strasbourg, CNRS, 67037 Strasbourg, France*

23 <sup>f</sup>*Indian Institute of Technology Bhubaneswar, Satya Nagar, India*

24 <sup>g</sup>*Indian Institute of Technology Madras, Chennai 600036, India*

25 <sup>h</sup>*Malaviya National Institute of Technology Jaipur, Jaipur 302017, India*

26 <sup>i</sup>*Punjab Agricultural University, Ludhiana 141004, India*

27 <sup>j</sup>*Tata Institute of Fundamental Research, Mumbai 400005, India*

28 <sup>k</sup>*Dipartimento di Fisica, Università di Pisa, I-56127 Pisa, Italy*

29 <sup>l</sup>*INFN Sezione di Pisa, I-56127 Pisa, Italy*

30 <sup>m</sup>*Dipartimento di Fisica, Università di Trieste, I-34127 Trieste, Italy*

31 <sup>n</sup>*INFN Sezione di Trieste, I-34127 Trieste, Italy*

32 <sup>o</sup>*The Graduate University for Advanced Studies (SOKENDAI), Hayama 240-0193, Japan*

33 <sup>p</sup>*Kavli Institute for the Physics and Mathematics of the Universe (WPI), University of*

34 *Tokyo, Kashiwa 277-8583, Japan*

35 <sup>q</sup>*Department of Physics, University of Tokyo, Tokyo 113-0033, Japan*

36 <sup>r</sup>*High Energy Accelerator Research Organization (KEK), Tsukuba 305-0801, Japan*

37 <sup>s</sup>*Department of Physics, Kyungpook National University, Daegu 41566, Korea*

38 <sup>t</sup>*H. Niewodniczanski Institute of Nuclear Physics, Krakow 31-342, Poland*

39 **Abstract**

40 The Silicon Vertex Detector (SVD) is a part of the vertex detector in the  
41 Belle II experiment at the SuperKEKB collider (KEK, Japan). Since the start  
42 of data taking in spring 2019, the SVD has been operating stably and reliably

43 with a high signal-to-noise ratio and hit efficiency, achieving good spatial resolu-  
44 tion and high track reconstruction efficiency. The hit occupancy, which mostly  
45 comes from the beam-related background, is currently about 0.5% in the in-  
46 nermost layer, causing no impact on the SVD performance. In anticipation of  
47 the operation at higher luminosity in the next years, two strategies to sustain  
48 the tracking performance in future high beam background conditions have been  
49 developed and tested on data. One is to reduce the number of signal waveform  
50 samples to decrease dead time, data size, and occupancy. The other is to utilize  
51 the good hit-time resolution to reject the beam background hits. We also mea-  
52 sured the radiation effects on the sensor current, strip noise, and full depletion  
53 voltage caused during the first two and a half years of operation. The results  
54 show no detrimental effect on the SVD performance.

55 *Keywords:* Silicon strip detector, Vertex detector, Tracking detector, Belle II

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## 56 1. Introduction

57 The Belle II experiment [1] aims to probe new physics beyond the Standard  
58 Model in high-luminosity  $e^+e^-$  collisions at the SuperKEKB collider (KEK,  
59 Japan) [2]. SuperKEKB consists of the following components: injector LINAC,  
60 positron damping ring, and main storage ring with the electron and positron  
61 beamlines. The Belle II detector is located at the interaction point (IP) of  
62 the two beamlines. The main collision energy in the center-of-mass system is  
63 10.58 GeV on the  $\Upsilon(4S)$  resonance, which enables various physics programs  
64 based on the large samples of B mesons,  $\tau$  leptons, and D mesons. Also, the  
65 asymmetric energy of the 7 GeV electron beam and 4 GeV positron beam is  
66 adopted for time-dependent  $CP$  violation measurements. The target of Su-  
67 perKEKB is to accumulate an integrated luminosity of  $50 \text{ ab}^{-1}$  with peak lu-  
68 minosity of about  $6 \times 10^{35} \text{ cm}^{-2}\text{s}^{-1}$ . In June 2021, SuperKEKB recorded the  
69 world's highest instantaneous luminosity of  $3.1 \times 10^{34} \text{ cm}^{-2}\text{s}^{-1}$ . The data accu-  
70 mulated before July 2021 corresponds to an integrated luminosity of  $213 \text{ fb}^{-1}$ .

71 The Vertex Detector (VXD) is the innermost detector in the Belle II detector

72 system. The VXD has six layers: the inner two layers (layers 1 and 2) are the  
 73 Pixel Detector (PXD), and the outer four layers (layers 3 to 6) are the Silicon  
 74 Vertex Detector (SVD). The schematic cross-sectional view of the VXD is shown  
 75 in Fig. 1. The PXD consists of DEPFET pixel sensors, and its innermost radius  
 76 is 1.4 cm from the IP. A detailed description of the SVD appears in Sec. 2.

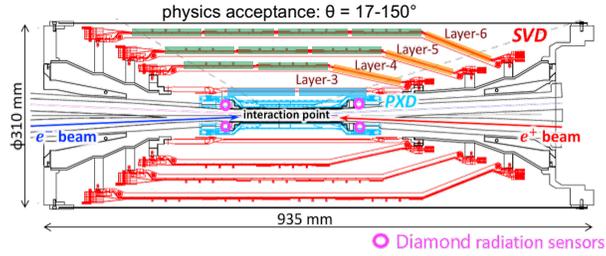


Figure 1: Schematic cross-sectional view of the VXD. The SVD is in red, the PXD in light-blue, and the IP beam pipe diamonds in pink circles. The locations of the three types of DSSDs are indicated by boxes in three colors: blue for small sensors, green for large sensors, and orange for trapezoidal sensors as described in Tab. 1.

77 Besides the VXD, diamond sensors [3] are mounted on the IP beam pipe and  
 78 the bellows pipes outside of the VXD. The pink circles in Fig. 1 indicate the  
 79 locations of the diamond sensors on the IP beam pipe. They measure the dose  
 80 rates in these locations. The measured doses are used to estimate the dose in  
 81 the SVD. They also send beam abort requests to SuperKEKB if the radiation  
 82 level gets too high to avoid severe damage to the detector.

## 83 2. Belle II Silicon Vertex Detector

84 The SVD is crucial for extrapolating the tracks to the PXD. This task is  
 85 essential for measuring the decay vertices with the PXD and pointing at a  
 86 region-of-interest limiting the PXD readout volume. Also, the SVD plays a  
 87 critical role in the decay vertex measurement in the case of long-lived particles  
 88 like  $K_S$  mesons, which decay inside the SVD volume. Other roles of the SVD  
 89 are the standalone track reconstruction of low-momentum charged particles and  
 90 their particle identification using ionization energy deposits.

91 The SVD [4] consists of four layers of double-sided silicon strip detectors  
 92 (DSSDs). The material budget of the SVD is about 0.7% of a radiation length  
 93 per layer. The aluminum readout strips are AC-coupled to every other n/p-  
 94 side strips (electrodes) on the n-type substrate over the silicon oxide layer. On  
 95 each DSSD plane, a local coordinate is defined with  $u$  and  $v$ :  $u$ -axis along n-side  
 96 strips and  $v$ -axis perpendicular to  $u$ -axis. In other words, p-side strips and n-side  
 97 strips provide  $u$  and  $v$  information, respectively. In the cylindrical coordinate,  $u$   
 98 corresponds to  $r-\varphi$  information and  $v$  corresponds to  $z$  information. The SVD  
 99 consists of three types of sensors: “small” sensors in layer 3, “large” sensors in  
 100 the barrel region of layers 4, 5, and 6, and “trapezoidal” sensors in the forward  
 101 region of layers 4, 5, and 6, which is slanted. They are indicated in blue, green,  
 102 and orange boxes in Fig. 1. The dimensions for these three types of sensors  
 103 are summarized in Tab. 1. The sensors are manufactured by two companies:  
 104 the small and large sensors by Hamamatsu and trapezoidal sensors by Micron.  
 105 The full depletion voltage is 60 V for Hamamatsu sensors and 20 V for Micron  
 106 sensors; both types of sensors are operated at 100 V. In total, 172 sensors are  
 107 assembled, corresponding to a total sensor area of 1.2 m<sup>2</sup> and 224,000 readout  
 108 strips.

	Small	Large	Trapezoidal
No. of u/p-strips	768	768	768
u/p-strip pitch	50 $\mu\text{m}$	75 $\mu\text{m}$	50–75 $\mu\text{m}$
No. of v/n-strips	768	512	512
v/n-strip pitch	160 $\mu\text{m}$	240 $\mu\text{m}$	240 $\mu\text{m}$
Thickness	320 $\mu\text{m}$	300 $\mu\text{m}$	300 $\mu\text{m}$
Manufacturer	Hamamatsu		Micron

Table 1: Table of dimensions for three types of sensors. Only readout strips are taken into account for number of strips and strip pitch.

109 The front-end ASIC used in the SVD is APV25 [5], which was originally  
 110 developed for the CMS silicon tracker. The APV25 is radiation hard for a

111 dose up to 100 Mrad radiation. It has 128 channel inputs and shapers for  
112 each channel with a shaping time of about 50 ns. For the SVD, the APV25 is  
113 operated in “multi-peak” mode. The mechanism of the data sampling in the  
114 multi-peak mode is explained in Fig. 2. The chip samples the height of the  
115 signal waveform with the 32 MHz clock and stores each sample’s information  
116 in an analog ring buffer. Since the bunch-crossing frequency is eight times  
117 faster than the sampling clock, the stored samples are not synchronous to the  
118 beam collision, in contrast to CMS, which motivates operation in the multi-  
119 peak mode. In the present readout configuration (the six-samples mode), at  
120 every reception of the Belle II global Level-1 trigger, the chip reads out six  
121 successive samples of the signal waveform stored in the buffers. The six-samples  
122 mode offers enough time window ( $6/32 \text{ MHz}^{-1} = 187 \text{ ns}$ ) to accommodate large  
123 timing shifts of the trigger. In preparation for operation with higher luminosity,  
124 where background occupancy, trigger dead-time, and the data size increase, we  
125 developed the three/six-mixed acquisition mode (mixed-mode). The mixed-  
126 mode is a new method to read out the signal samples from the APV25, in  
127 which the number of the samples changes between three and six in each event,  
128 depending on the timing precision of each Level-1 trigger signal in that event.  
129 For triggers with good timing precision, three-samples data are read out and the  
130 data have half time window and half data size compared to ones of six-samples  
131 data, resulting in the reduction of the effect due to higher luminosity. This  
132 functionality was already implemented in the running system and confirmed by  
133 a few hours of smooth physics data-taking. Before we start to use the mixed-  
134 mode, the effect on the performance due to the change of the acquisition mode  
135 is to be assessed. As the first step, the effect in the hit efficiency was evaluated  
136 as described in Sec. 3.

137 The APV25 chips are mounted on each middle sensor (chip-on-sensor con-  
138 cept) with thermal isolation foam in between. The merit of this concept is  
139 shorter signal propagation length, leading to smaller capacitance of the signal  
140 line and hence reduced noise level. To minimize the material budget the APV25  
141 chips on the sensor are thinned down to 100  $\mu\text{m}$ . APV25s are mounted on a

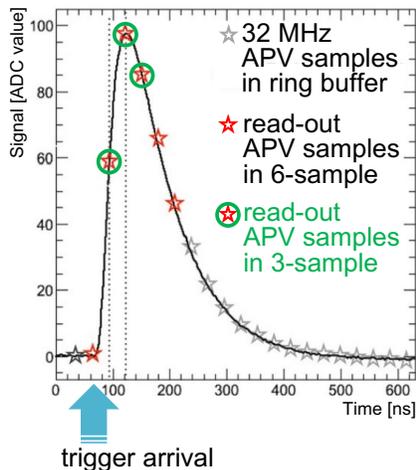


Figure 2: Sampling in the “multi-peak” mode of APV25. The black line shows the signal waveform after the CR-RC shaper circuit. The stars show the sampled signal height recorded in the analog ring buffer according to the 32 MHz sampling clock. The red stars indicate the six successive samples read out at the trigger reception in the six-samples mode. The red stars with a green circle indicate the samples read out in the three-samples acquisition.

142 single side of the sensor and readout of the signals is from the other side via  
 143 wrapped flexible printed circuits. The power consumption of the APV25 chip  
 144 is 0.4 W/chip and in total 700 W in the entire SVD. The chips are chilled by  
 145 bi-phase  $-20^{\circ}\text{C}$   $\text{CO}_2$ .

### 146 3. Performance

147 The SVD was combined with the PXD to complete the VXD assembly in  
 148 October 2018, and the VXD was installed to the Belle II detector system in  
 149 November 2018. Since March 2019, the SVD has been operating reliably and  
 150 smoothly for two and a half years, without any major problems. The total  
 151 fraction of masked strips is about 1%. There was only one issue where one  
 152 APV25 chip (out of 1,748 chips) was disabled during the spring of 2019, which  
 153 was remediated by reconnecting a cable in the summer of 2019.

154 The SVD has also demonstrated stable and excellent performance [6]. The

155 hit efficiency is continuously over 99% in most of the sensors. The cluster  
 156 charge distributions are also reasonable. On the u/p-side, the most probable  
 157 values agree with the calculated charge amount induced by MIPs within the  
 158 uncertainty in calibration. On the v/n-side, 10–30% of the collected charge is  
 159 lost compared to MIP due to the smaller inter-strip capacitance of the floating  
 160 strips with larger strip pitches than the u/p-side. The most probable values of  
 161 the cluster signal-to-noise ratio distributions range from 13 to 30.

162 We measured the cluster position resolution by analyzing the  $e^+e^- \rightarrow \mu^+\mu^-$   
 163 data [7]. The cluster position resolution is estimated from the residual between  
 164 the cluster position and the track position not biased by the target cluster after  
 165 subtracting the effect of the track extrapolation error. The cluster position  
 166 resolutions for different incident angles are shown in Fig. 3. For normal incident  
 167 tracks, it agrees with the expectations from the strip pitch including floating  
 168 strips. For tracks with an incident angle, it is expected to get a better resolution,  
 169 which is indeed the case in the v/n-side results. However, this effect is not  
 170 observed on the u/p-side, and the study is still ongoing to improve the cluster  
 171 position estimation.

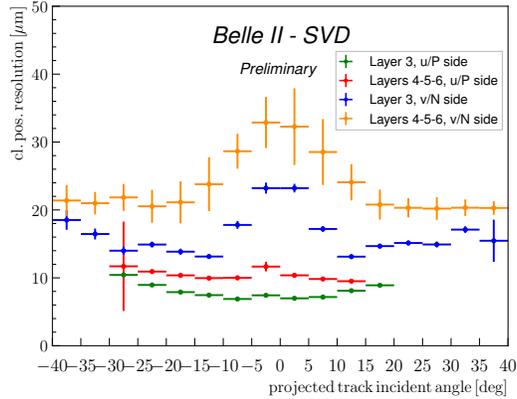


Figure 3: The SVD cluster position resolution depending on the projected track incident angle. The green (blue) plot shows the resolution in the u/p-side (n/v-side) of layer-3 sensors, and the red (yellow) one shows the u/p-side (n/v-side) of layers-4, 5, and 6 sensors.

172 The cluster hit-time resolution was also evaluated in hadron-event<sup>1</sup> data  
 173 using the reference event time estimated by the Central Drift Chamber (CDC)  
 174 outside of the SVD. The error on the event time, about 0.7 ns, was subtracted  
 175 to evaluate the intrinsic SVD hit-time resolution. The resulting resolution is  
 176 2.9 ns on the u/p-side and 2.4 ns on the v/n-side. With such precise hit-  
 177 time information, it is possible to reject off-time background hits efficiently.  
 178 The hit-time distributions for signal<sup>2</sup> and off-time background<sup>3</sup> are shown in  
 179 Fig. 4. The signal distribution has a narrow peak, while the background hit-time  
 180 distribution is broad and almost flat in the signal peak region. The separation  
 181 power of the hit-time is high, as expected. For example, if we reject hits with  
 182 the hit-time less than  $-38$  ns in this plot, we can reject 45% of the background  
 183 hits while keeping 99% of the signal hits. The background rejection based on  
 184 the hit-time is essential to sustain the good tracking performance in the future  
 185 high beam background condition.

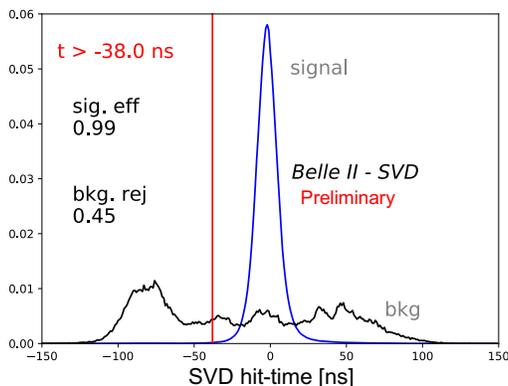


Figure 4: Example of the background hit rejection using hit-time. The blue distribution shows the signal, and the black distribution shows the off-time background. Assuming the hit-time cut at  $-38$  ns, the signal hit efficiency of 99% and the background hit rejection of 45% are achieved.

<sup>1</sup>The event with more than three good tracks and not like Bhabha scattering.

<sup>2</sup>The clusters found to be used in the tracks in the hadron events.

<sup>3</sup>The clusters in events triggered by delayed-Bhabha pseudo-random trigger.

186 The performance in three-samples data was compared with that in six-  
 187 samples data to evaluate the performance in the mixed-mode. If the trigger  
 188 timing has no deviation, the three-samples data will show comparable perfor-  
 189 mance to the six-samples data because the relevant part of the signal waveform  
 190 to evaluate the necessary signal properties, which are the signal height and the  
 191 signal timing, can be accommodated in the three-sample's time window. How-  
 192 ever, when the trigger has a jitter and the timing shift happens, some part of  
 193 the signal waveform can be out of the three-sample's time window, and the  
 194 reconstruction performance deteriorates. We examined the effect on the hit ef-  
 195 ficiency as a function of the trigger timing shift. The effect is evaluated by the  
 196 relative hit efficiency, which is defined as the ratio of the hit efficiency in the  
 197 three-samples data to the one in the six-samples data. For this study, the three-  
 198 samples data are emulated in the offline analysis from the six-samples data by  
 199 selecting consecutive three samples at fixed positions in the six samples. The  
 200 trigger timing shift is evaluated by the CDC event time. The resulting relative  
 201 efficiencies as a function of the trigger timing shift in the hadron-event data are  
 202 shown in Fig. 5. The decreasing trend is observed for the shift of the trigger  
 203 timing, as expected. As a result, the relative efficiency is over 99.9% for the  
 204 trigger timing shift within  $\pm 30$  ns, which is almost all the events.

#### 205 **4. Beam-related background effects on SVD**

206 The beam-related background increases the hit occupancy of the SVD, which  
 207 in turn degrades the tracking performance. Considering this performance degra-  
 208 dation, we set the occupancy limit in layer-3 sensors to be about 3%, which will  
 209 be loosened roughly by a factor of two after we apply the hit-time rejection  
 210 described in Sec. 3. With the current luminosity, the average hit occupancy in  
 211 layer-3 sensors is less than 0.5%. However, the projection of the hit occupancy  
 212 at the luminosity of  $8 \times 10^{35} \text{ cm}^{-2}\text{s}^{-1}$  is about 3% in layer-3 sensors. The pro-  
 213 jected occupancy comes from the Monte Carlo (MC) simulation scaled by the  
 214 data/MC ratio determined from the beam background data of the current beam

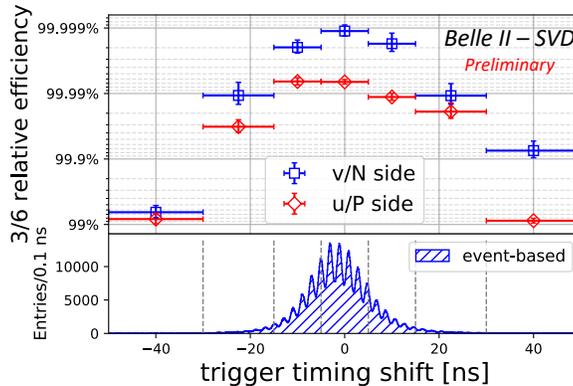


Figure 5: The relative hit efficiencies as a function of the trigger timing shift for v/n-side (blue square) and u/p-side (red diamond). The positive (negative) trigger timing shift corresponds to early (late) trigger timing.

215 optics. The corresponding dose is about 0.2 Mrad/smy, and the equivalent 1-  
 216 MeV neutron fluence is about  $5 \times 10^{11}$   $n_{\text{eq}}/\text{cm}^2/\text{smy}$  (smy: Snowmass Year =  
 217  $10^7$  sec). The long-term extrapolation of the beam background is affected by  
 218 large uncertainties from the optimization of collimator settings in MC and the  
 219 future evolution of the beam injection background, which is not simulated. This  
 220 uncertainty motivates the VXD upgrade which improves the tolerance of the hit  
 221 rates and the radiation damage, and the technology assessment is ongoing for  
 222 multiple sensor options.

223 From the measured dose on diamond sensors, the integrated radiation dose  
 224 in the layer-3 mid-plane sensors, which are the most exposed in the SVD,  
 225 is estimated to be 70 krad. The estimation is based on the correlation be-  
 226 tween the SVD occupancy and the diamonds dose. The estimated dose in-  
 227 cludes uncertainties of about 30% due to the unavailability of the appropri-  
 228 ate trigger before December 2020. Assuming the dose/ $n_{\text{eq}}$  fluence ratio of  
 229  $2.3 \times 10^9$   $n_{\text{eq}}/\text{cm}^2/\text{krad}$  from MC, 1-MeV equivalent neutron fluence is eval-  
 230 uated to be about  $1.6 \times 10^{11}$   $n_{\text{eq}}/\text{cm}^2$  in the first two and a half years.

231 The effect of the integrated dose on the sensor leakage current is measured,  
 232 and the results show a clear linear correlation as in the upper plot of Fig. 6.

233 The slopes for all the sensors are summarized in the lower plot of Fig. 6. They  
234 are around 2–5  $\mu\text{A}/\text{cm}^2/\text{Mrad}$ . The large variations can be explained by tem-  
235 perature effects and the deviation of sensor-by-sensor dose from the average in  
236 each layer used in the estimation. The slopes are in the same order of magni-  
237 tude as previously measured in the BaBar experiment [8], 1  $\mu\text{A}/\text{cm}^2/\text{Mrad}$  at  
238 20°C. While the leakage current is increasing, the impact on the strip noise is  
239 suppressed by the short shaping time (50 ns) in APV25. It is expected to be  
240 comparable to the strip-capacitive noise only after 10 Mrad irradiation and not  
241 problematic for ten years where the integrated dose is estimated to be 2 Mrad.

242 The relation between the noise and the integrated dose is shown in Fig. 7.  
243 The noise increase of 20–25% is observed in layer 3, but this does not affect the  
244 SVD performance. This noise increase is likely due to the radiation effects on  
245 the sensor surface. Fixed oxide charges on sensor surface increase non-linearly,  
246 enlarging inter-strip capacitance. The noise saturation is observed on the v/n-  
247 side and also starts to be seen on the u/p-side. This behavior agrees with the  
248 increase of fixed oxide charges.

249 The full depletion voltage of the sensor is also a key property that can be  
250 affected by the radiation damage. It can be measured from the v/n-side strip  
251 noise, which suddenly decreases at the full depletion voltage because the sensor  
252 substrate is n-type and thus the v/n-side strips can be fully isolated at the full  
253 depletion. From this measurement, reasonable full depletion voltages, which are  
254 consistent with the values mentioned in Sec. 2, were confirmed, and so far no  
255 change in full depletion voltage is observed in the first two and a half years of  
256 operation, which is consistent with the expectation from low integrated neutron  
257 fluence of  $1.6 \times 10^{11} \text{ n}_{\text{eq}}/\text{cm}^2$ .

## 258 5. Conclusions

259 The SVD has been taking data in Belle II since March 2019 smoothly and  
260 reliably. The detector performance is excellent and agrees with expectations.  
261 We are ready to cope with the increased background during higher luminosity

262 running by rejecting the off-time background hits using hit-time and operating  
263 in the three/six-mixed acquisition mode. In the recent study, the efficiency  
264 loss in the three-samples data is confirmed to be less than 0.1% for the trigger  
265 timing shift within  $\pm 30$  ns. The observed first effects of radiation damage are  
266 also within expectation and do not affect the detector performance.

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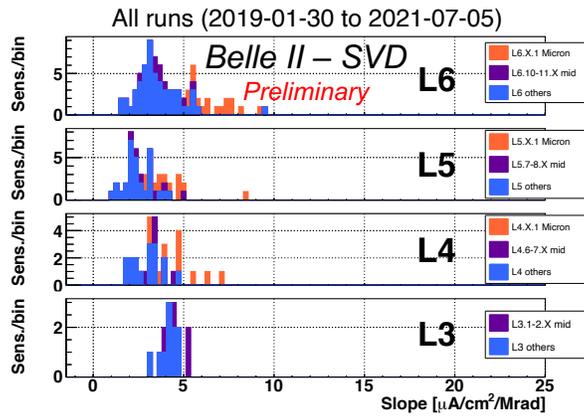
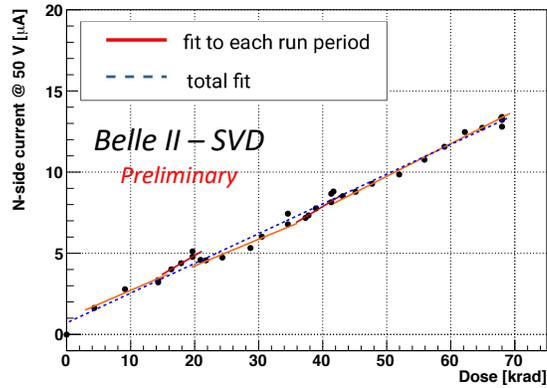


Figure 6: (upper) Effect of the integrated dose on the leakage current in the n/v-side of one layer-3 sensor. The slope is fitted for each run period (solid red line) and for all the runs (dashed blue line). Both fit results agree with each other and are consistent with the linear increase. (lower) The fit results of all the sensors for all runs. The sensors are classified as trapezoidal sensors in the forward region (Micron), sensors around the midplane, and the others.

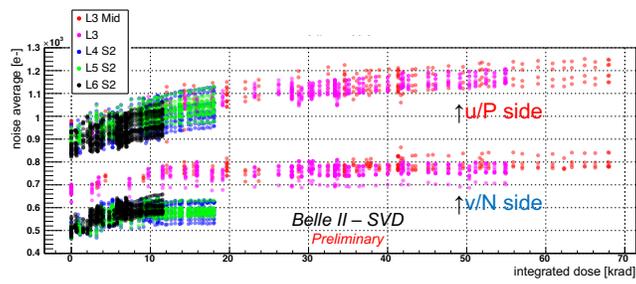


Figure 7: Effect of the integrated dose on the noise average in electron. The clear increase is observed and saturated (or start to be saturated) for layer-3 sensors.