

Searches for non-standard model scalars in the Belle and Belle II experiments

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on behalf of the Belle Collaboration



Scalars 2019

11-14 September 2019
University of Warsaw

Belle&Belle II Experiment

► B-factory - asymmetric e^+e^- collider

KEKB

World Record Luminosity:
 $2.11 \times 10^{34} \text{ cm}^{-2}\text{s}^{-1}$

Belle:

collected 1 ab^{-1}
 $(772 \times 10^6 B\bar{B} \text{ at } \Upsilon(4S))$



SuperKEKB

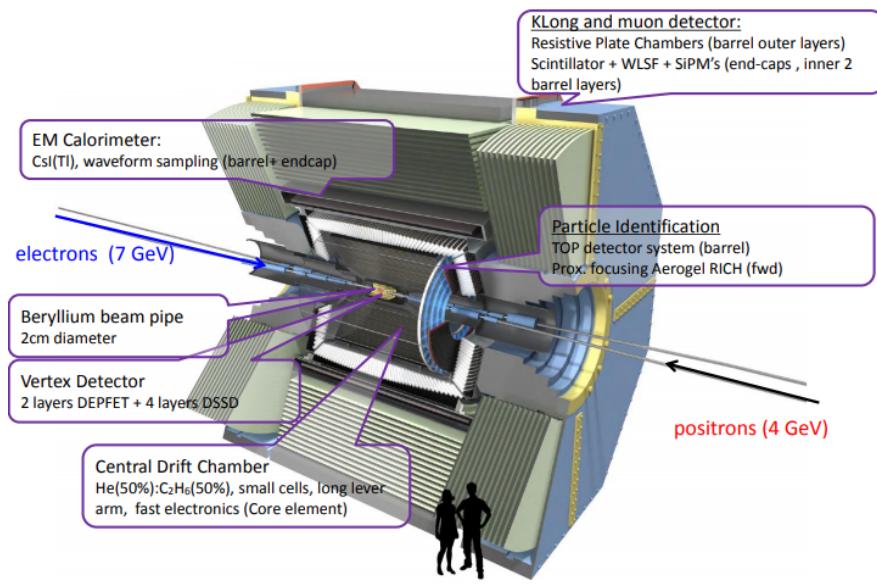
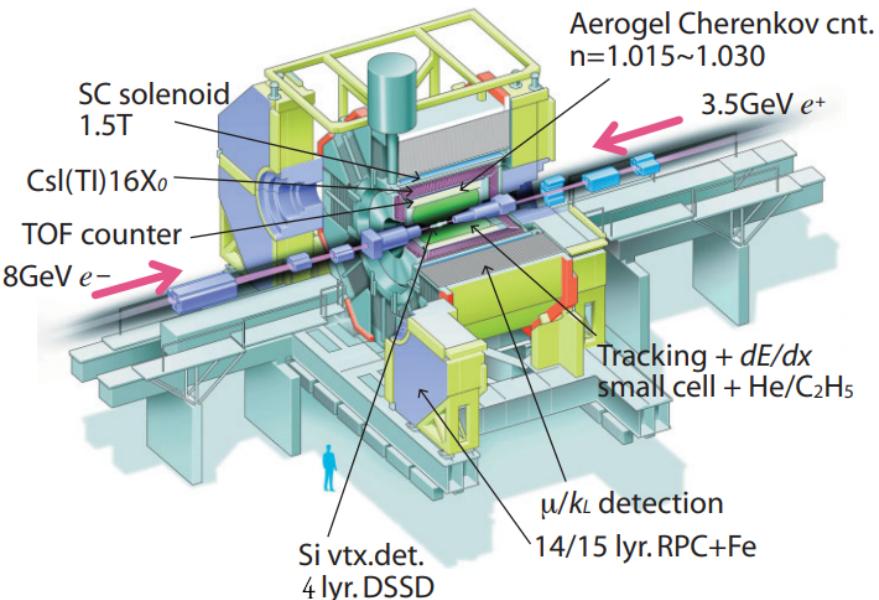
Designed Luminosity:
 $8 \times 10^{35} \text{ cm}^2\text{s}^{-1}$

$$e^+e^- \rightarrow \Upsilon(4S) \rightarrow B\bar{B}$$



Belle II:

aims to record 50 ab^{-1} at 2027
 $\Rightarrow 50 \times \text{Belle statistics}$



Phase 3: → Physics run (March 27-June 30th, 2019)

► many processes sensitive to BSM physics

BSM Scalars @ Belle experiment

New Belle results:

$$B \rightarrow D^{(*)} \tau \nu$$

$$\blacktriangleright R(D^{(*)}) = \frac{\mathcal{B}(B \rightarrow \bar{D}^{(*)} \tau^+ \nu_\tau)}{\mathcal{B}(B \rightarrow \bar{D}^{(*)} \ell^+ \nu_\ell)}$$

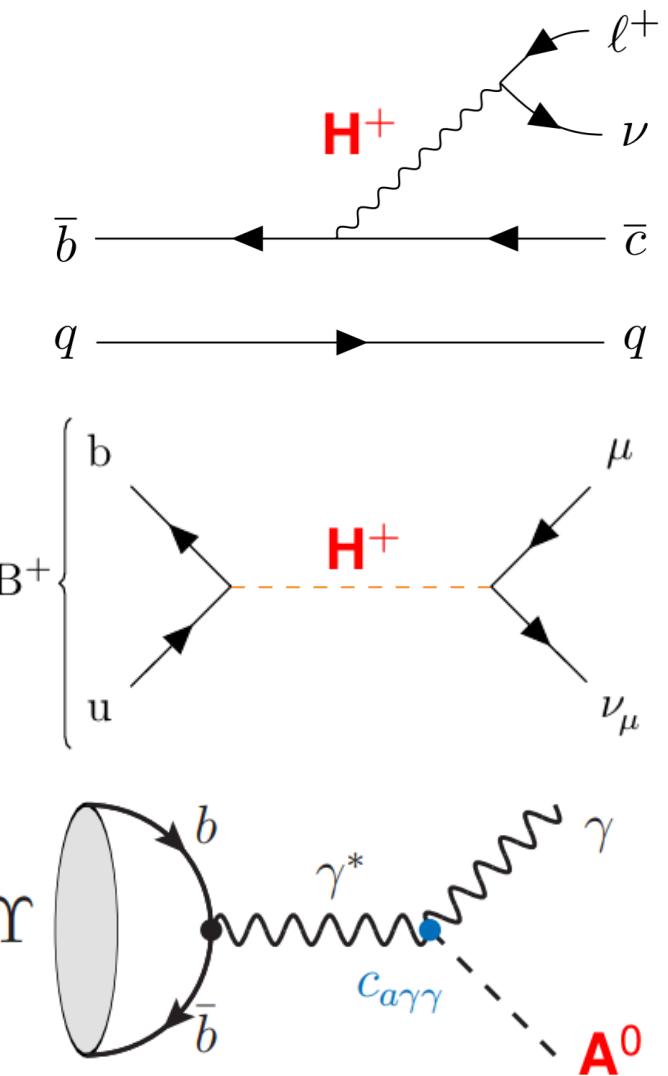
D^* polarization

$$B^+ \rightarrow \ell^+ \nu$$

$$\blacktriangleright B^+ \rightarrow \mu^+ \nu$$

$$\Upsilon(2S) \rightarrow \pi^+ \pi^- \Upsilon(1S)$$

\blacktriangleright light CP-odd Higgs (A^0)



$$B \rightarrow D^{(*)} \tau \nu$$

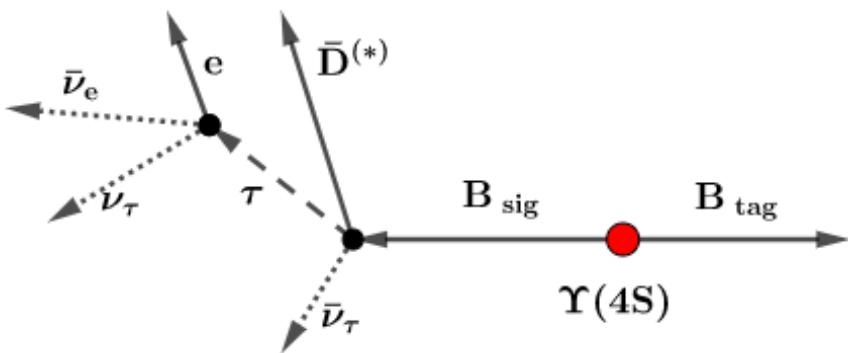
$$e^+ e^- \rightarrow \Upsilon(4S) \rightarrow B\bar{B} (B_{\text{sig}} B_{\text{tag}})$$

► Inclusive

$B_{\text{tag}} \rightarrow \text{hadrons}$ (inclusive modes)

PRL **99**, 191807, (2007).,
PRD **82**, 072005, (2010).

arXiv:1903.03102 (2019). **Preliminary**



► Semileptonic (SL)

$B_{\text{tag}} \rightarrow D^{(*)} \ell \nu_\ell$

PRD **94**, 072007, (2016).,

arXiv:1904.08794 (2019). **Preliminary**

- multiple neutrinos in final states
- basic tool at B-factories:
reconstruction of B_{tag}

► Full Reconstruction (FR)

$B_{\text{tag}} \rightarrow \text{many exclusive hadronic modes}$

PRD **92**, 072014, (2015).,
PRL **118**, 211801, (2017).
PRD **97**, 012004 (2018).

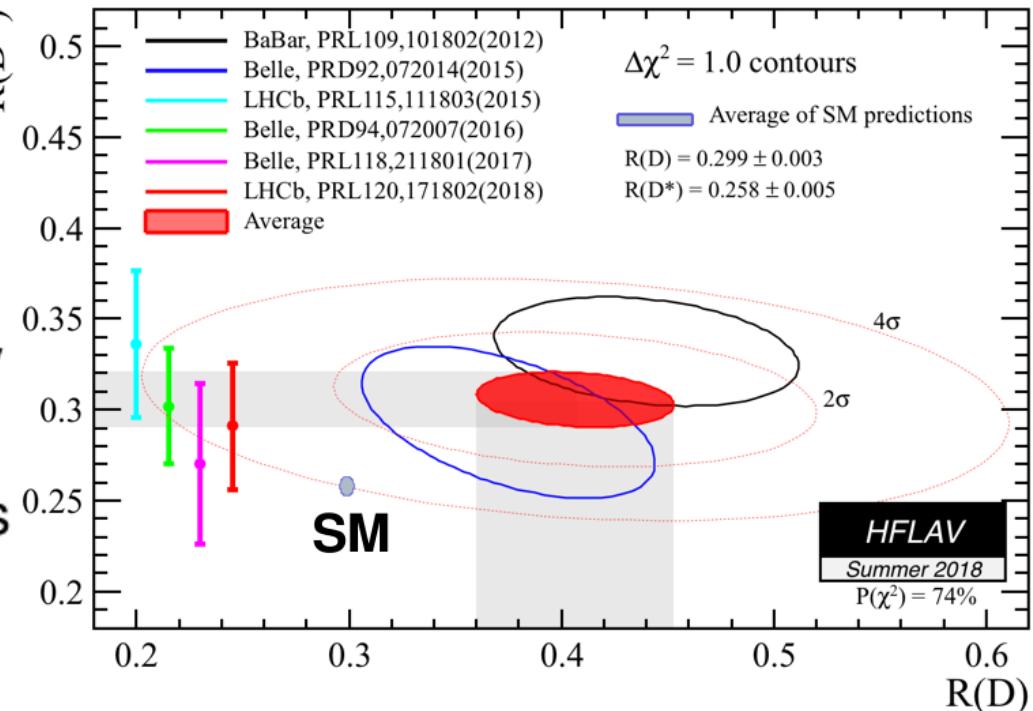
✓ enables (partial) kinematical reconstruction

⇒ M_{miss}^2 , q^2 , helicity angles in D^* and 2-body τ decays

$R(D)$ and $R(D^*)$ (2018)

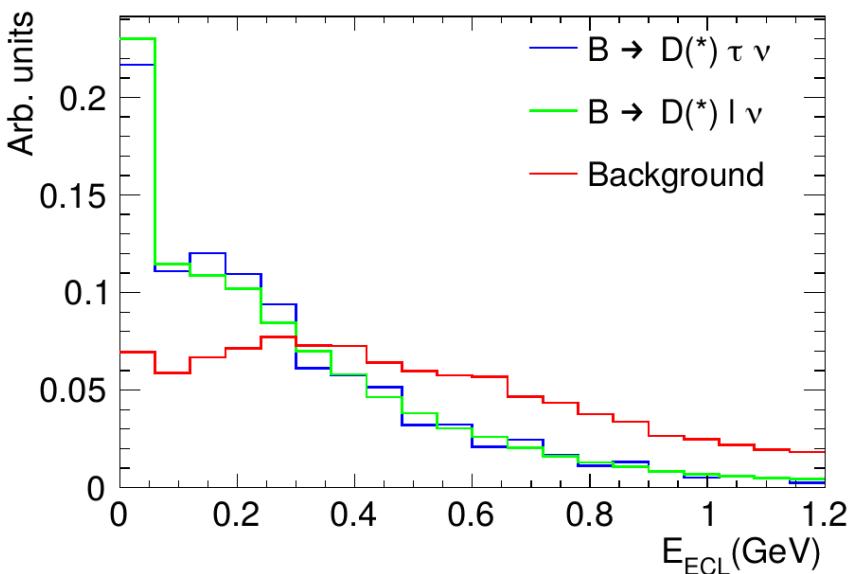
$$\mathcal{R}(D^{(*)}) = \frac{\mathcal{B}(\bar{B} \rightarrow D^{(*)}\tau^-\bar{\nu}_\tau)}{\mathcal{B}(\bar{B} \rightarrow D^{(*)}\ell^-\bar{\nu}_\ell)} \quad (\ell = e, \mu)$$

- ▶ $R(D)$ and $R(D^*)$ exceed SM by 2.3σ and 3.0σ respectively
- ▶ Combined $R(D)$ and $R(D^*)$ $\sim 3.8\sigma$ above SM predictions
- ▶ Hot topic in Flavour Physics



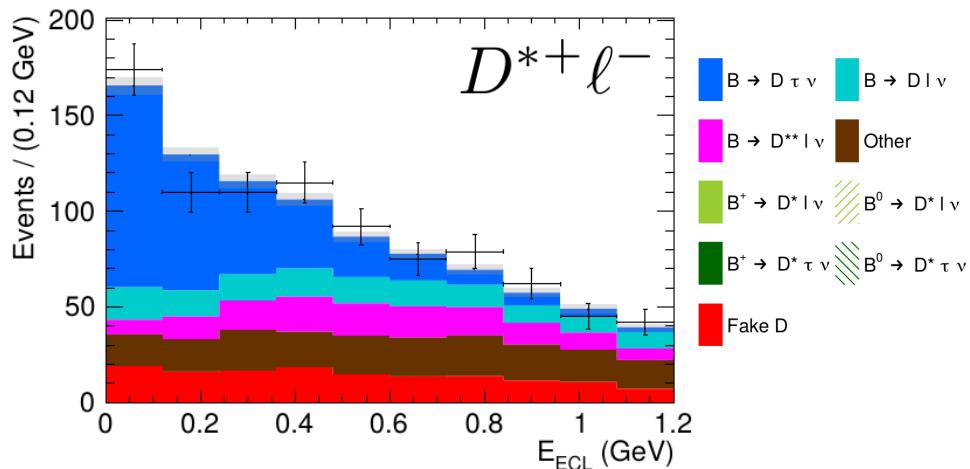
$R(D)$ and $R(D^*)$ with SL tagging

- ▶ update of Belle's analysis with SL tagging Phys. Rev D 94, 072007 (2016)
 - ▶ simultaneous measurement of $R(D)$ and $R(D^*)$ in:
 $B^0 \rightarrow D^{(*)-} \tau^+ \nu_\tau + \text{c.c.}$
 $B^+ \rightarrow D^{(*)0} \tau^+ \nu_\tau + \text{c.c.}$
 - ▶ improved tagging with Full Event Interpretation algorithm
 Comput Softw Big Sci 3, 6 (2019)



Signal extracted from E_{ECL} :
 summed energy of clusters remaining
 in the e-m calorimeter not used in the
 reconstruction of B_{sig} and B_{tag}

example fit result - $D^* \ell$ sample



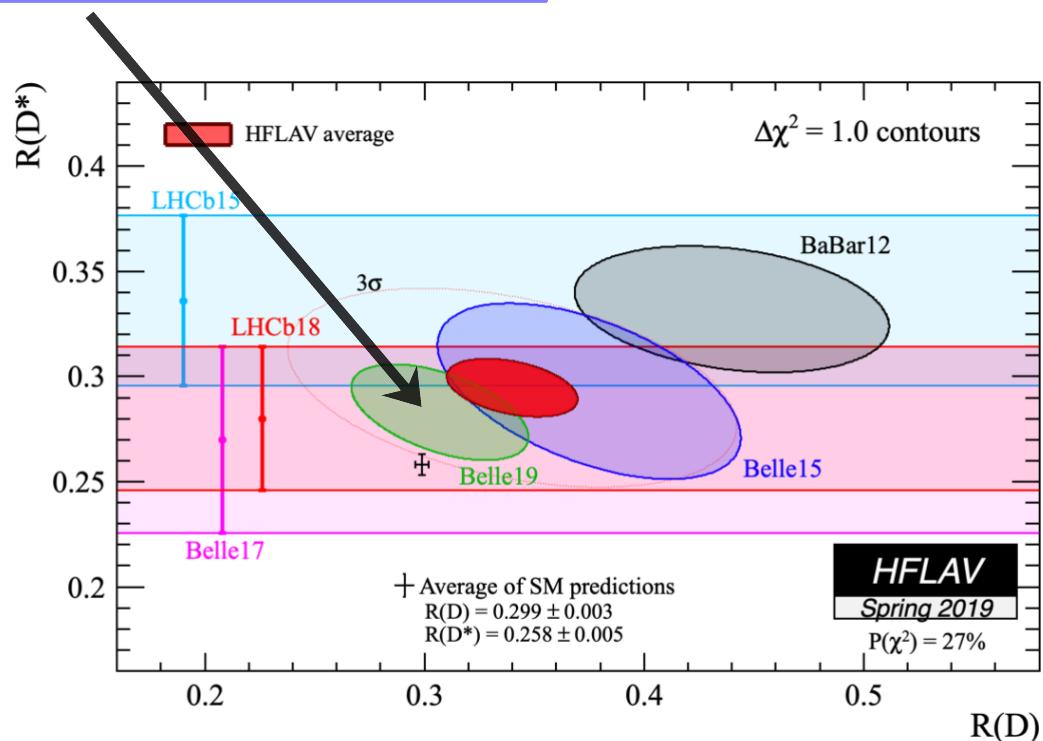
New Belle $R(D)$ and $R(D^*)$ measurement

$$R(D) = 0.307 \pm 0.037 \pm 0.016$$

$$R(D^*) = 0.283 \pm 0.018 \pm 0.014$$

Preliminary

- B_{tag} reconstructed using semileptonic decays
⇒ more efficient than hadronic B-tagging
 - Most precise $R(D^{(*)})$ to date
 - Results combination compatible with SM within 1.2σ
 - $R(D) - R(D^*)$ Belle average:
now within 2σ from SM
 - $R(D) - R(D^*)$ World average:
tension with SM decreases from 3.8σ to 3.1σ



Other observables in $B \rightarrow D^{(*)}\tau\nu$

► τ polarization $P_\tau = \frac{\Gamma(\lambda_\tau = +1/2) - \Gamma(\lambda_\tau = -1/2)}{\Gamma(\lambda_\tau = +1/2) + \Gamma(\lambda_\tau = -1/2)}$

$$\frac{d\Gamma}{d \cos \theta_{hel}(\tau)} = \frac{1}{2}(1 + \alpha P_\tau \cos \theta_{hel}(\tau))$$

SM: $P_\tau(D^*) \approx -0.5$

$$P_\tau(D^*) = -0.38 \pm 0.51(\text{stat})^{+0.21}_{-0.16}(\text{syst})$$

first measurement of $P_\tau(D^*)$; the result excludes $P_\tau(D^*) > +0.5$ at 90% C.L.

PRL 118, 211801 (2017)

► D^* polarization $F_L^{D^*} = \frac{\Gamma(\bar{B} \rightarrow D_L^{*+}\tau\bar{\nu}_\tau)}{\Gamma(\bar{B} \rightarrow D^*\tau\bar{\nu}_\tau)}$

$$\frac{1}{\Gamma} \frac{d\Gamma}{d \cos \theta_{hel}^{D^*}} = \frac{3}{4}[2F_L^{D^*} \cos^2 \theta_{hel}^{D^*} + F_T^{D^*} \sin^2 \theta_{hel}^{D^*}]$$

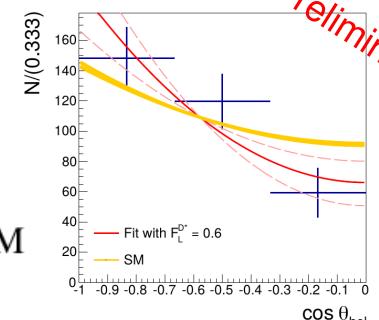
SM: $F_L^{D^*} = 0.46 \pm 0.03$

$$F_L^{D^*} = 0.60 \pm 0.08 \pm 0.04$$

SM dynamics assumed

agrees within $\sim 1.5\sigma$ with SM

arXiv:1903.03102[hep-ex]

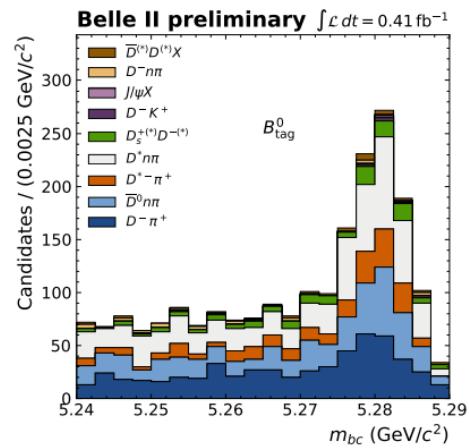
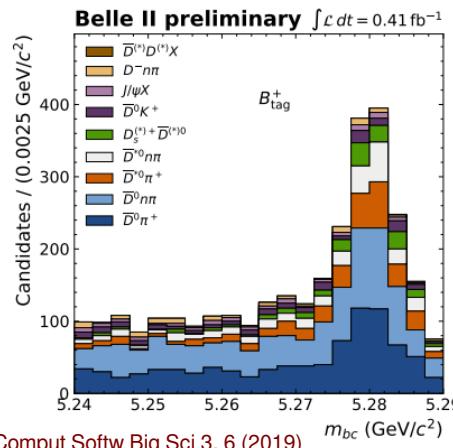


► uncertainties dominated by statistics
⇒ improvement @ Belle II

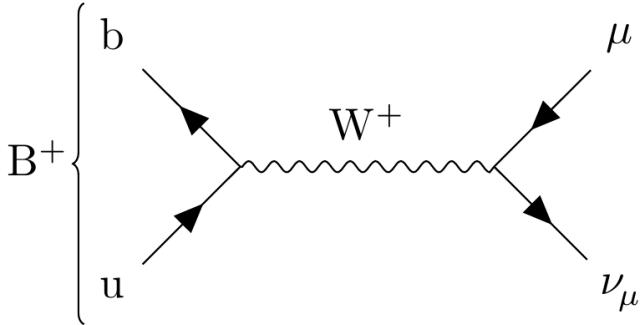
► Belle II prospects

	5 ab^{-1}	50 ab^{-1}
$R(D)$	$(\pm 6 \pm 4)\%$	$(\pm 2 \pm 3)\%$
$R(D^*)$	$(\pm 3 \pm 3)\%$	$(\pm 1 \pm 2)\%$
$P_\tau^{D^*}$	$\pm 0.18 \pm 0.08$	$\pm 0.06 \pm 0.04$
$F_L^{D^*}$	$\pm 0.04 \pm 0.04$	$\pm 0.01 \pm 0.04$

The Belle Physics Book:
arXiv:1808.10567[hep-ex]



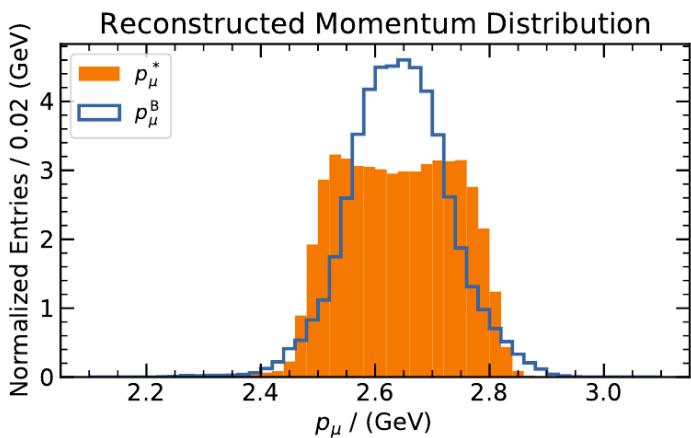
Search for $B^+ \rightarrow \mu^+ \nu$



SM prediction

$$\mathcal{B}(B^+ \rightarrow \ell^+ \nu_\ell) = \frac{G_F^2 m_B m_\ell^2}{8\pi} \left(1 - \frac{m_\ell^2}{m_B^2}\right)^2 f_B^2 |V_{ub}|^2 \tau_B$$

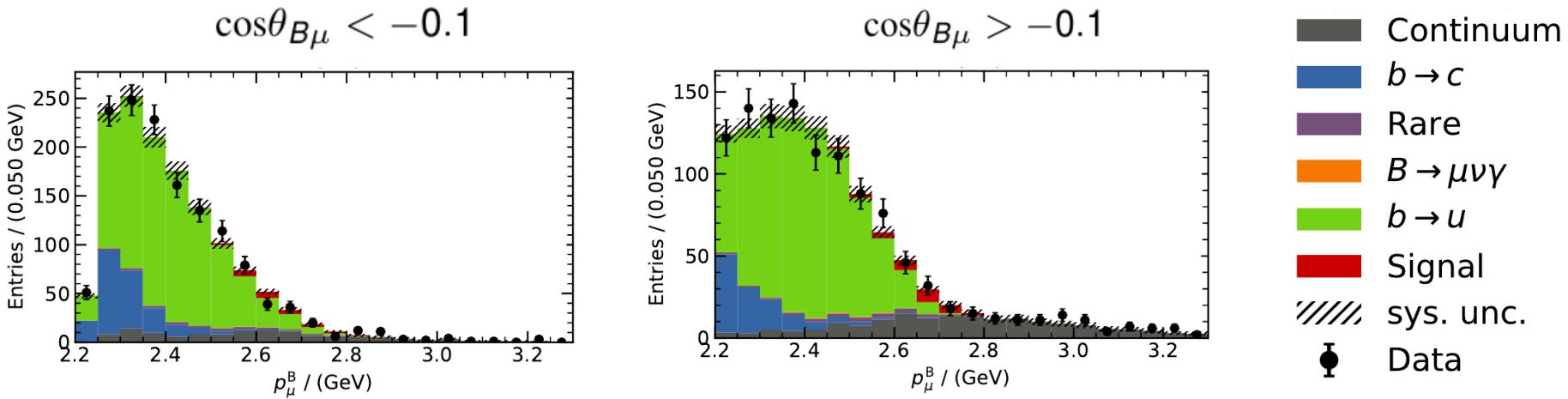
SM : $\mathcal{B}(B^+ \rightarrow \mu^+ \nu) = (4.3 \pm 0.8) \times 10^{-7}$ (inclusive WA for $|V_{ub}|$)
 SM : $\mathcal{B}(B^+ \rightarrow \mu^+ \nu) = (3.8 \pm 0.4) \times 10^{-7}$ (exclusive WA for $|V_{ub}|$)
 for $f_B = 184 \pm 4$ MeV S. Aoki et al., EPJ C77, 112 (2017)



- ▶ carry out analysis in the signal B rest frame ($p_\mu^B = 2.64$ GeV)
 ⇒ better resolution and sensitivity than using $\Upsilon(4S)$ frame
- ▶ employ fully inclusive B_{tag} reconstruction
 ⇒ high reconstruction efficiency and boost vector

Fit to data and result for $\mathcal{B}(B^+ \rightarrow \mu^+ \nu)$

- signal extracted by binned ML fit to p_μ^B $\longrightarrow N_{\text{sig}} = 117 \pm 48$



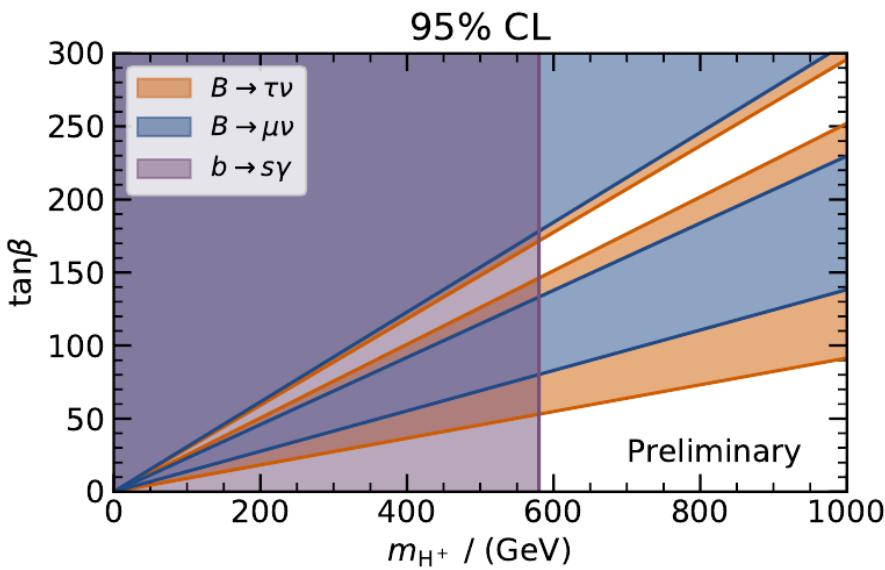
$$\mathcal{B}(B \rightarrow \mu\nu_\mu)$$

SM	4.26×10^{-7}
Belle (2018) ^a	$(6.6 \pm 2.2 \pm 1.6) \times 10^{-7}$ @ 2.4σ
Result (this)	$(5.3 \pm 2.0 \pm 0.9) \times 10^{-7}$ @ 2.8σ
Frequentist UL	$< 8.64 \times 10^{-7}$ @ 90% CL

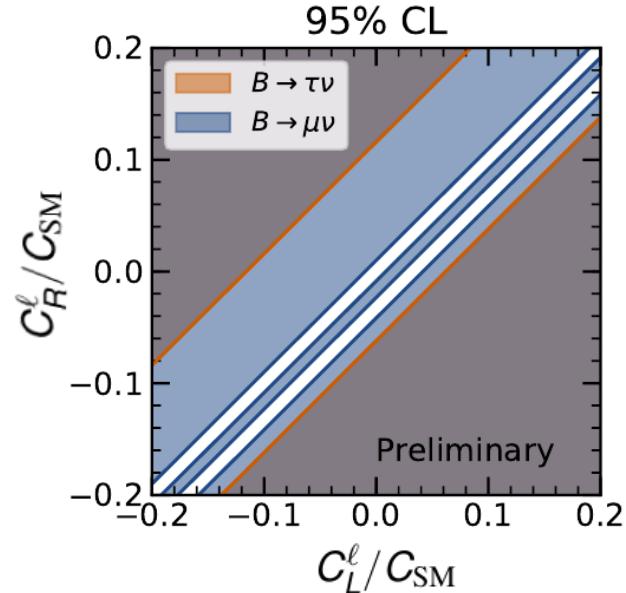
► improved description of background
($B \rightarrow X_u \ell \nu$, $e^+ e^- \rightarrow u\bar{u}, d\bar{d}, s\bar{s}$)

Exclusions for 2HDM parameters

Type-II($\tan\beta, m_{H^\pm}$)



Type-III(C_R^ℓ, C_L^ℓ)



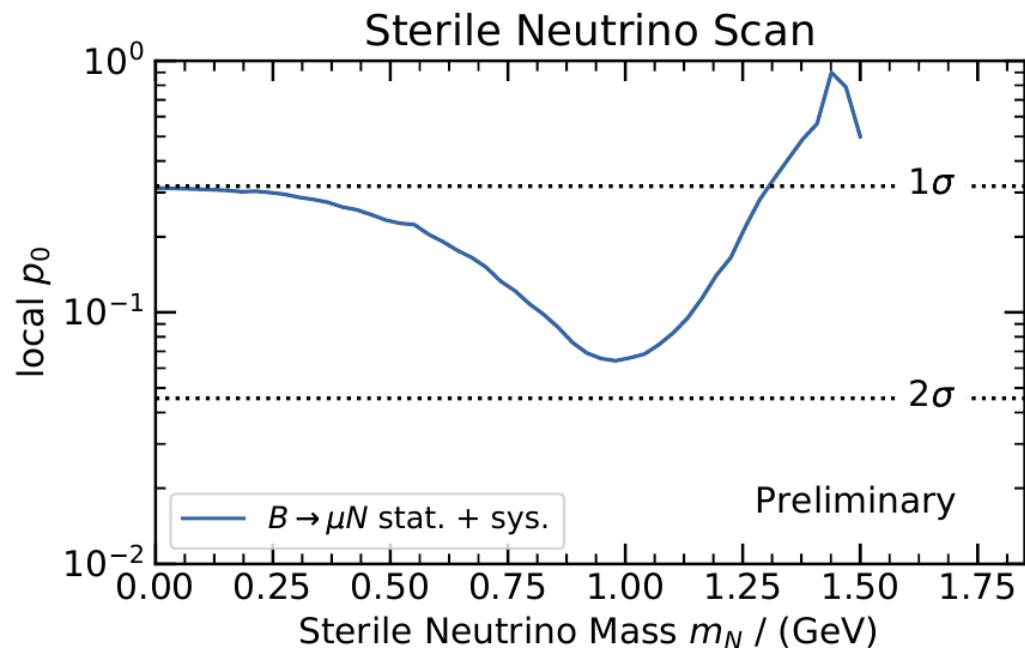
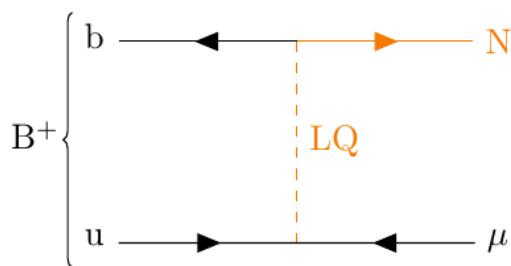
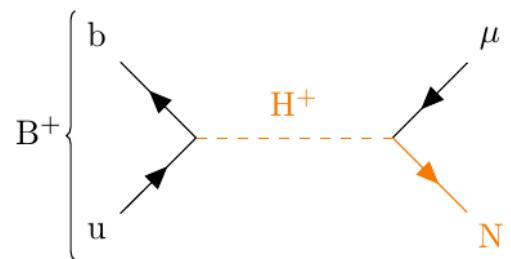
$$\mathcal{B}(B \rightarrow \mu\nu_\mu)_{\text{TypeII}} = \mathcal{B}(B \rightarrow \mu\nu_\mu)_{\text{SM}} \times \left| 1 - \frac{m_B^2 \tan^2 \beta}{m_{H^+}^2} \right|$$

$$\mathcal{B}(B \rightarrow \ell\nu_\ell)_{\text{TypeIII}} = \mathcal{B}(B \rightarrow \ell\nu_\ell)_{\text{SM}} \times \left| 1 + \frac{m_B^2}{m_b m_\ell} \frac{C_R^\ell - C_L^\ell}{C_{\text{SM}}} \right|$$

Wei-Shu Hou
Phys. Rev. D **48**, 2342

$B^+ \rightarrow \mu^+ N$ with a massive sterile neutrino

- $\mathcal{B}(B \rightarrow \mu + \text{missing energy}) = \mathcal{B}(B \rightarrow \mu\nu_\mu) + \mathcal{B}(B \rightarrow \mu N)$
- experimental signature of NP: shift in momentum spectrum due to sterile neutrino mass

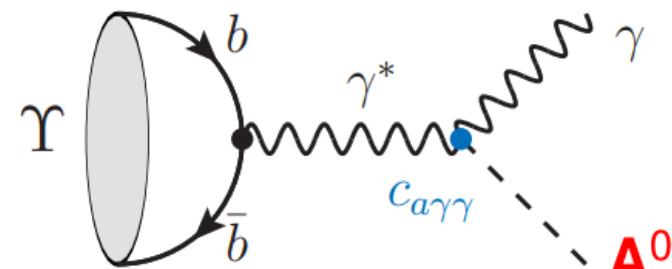


Search for a Light CP-odd Higgs and Low-Mass Dark Matter

- ▶ B-factories are probing Dark Sector mediators at the MeV-GeV scale
- ▶ low mass DM particles (χ) $\Rightarrow (M_\chi < m_b)$
- ▶ A^0 (neutral lightest CP-odd Higgs) in nMSSM

$$\Upsilon(2S) \rightarrow \pi^+ \pi^- \Upsilon(1S)$$

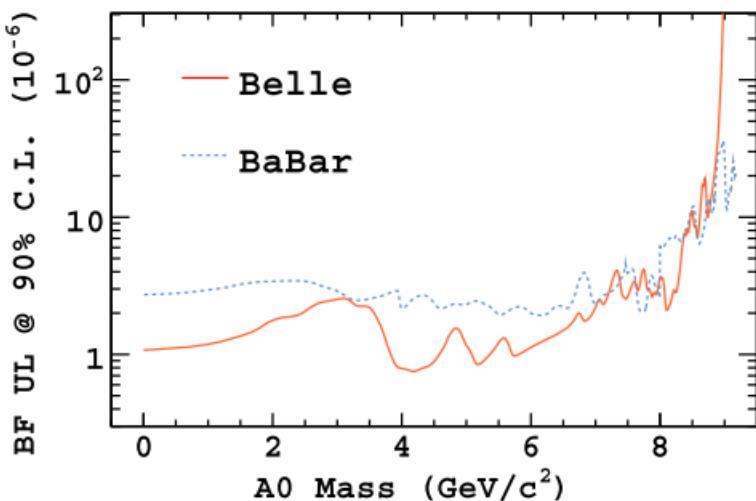
- ▶ **on-shell** $\Upsilon(1S) \rightarrow \gamma A^0$ with $A^0 \rightarrow \chi\chi$
- ▶ **off-shell** $\Upsilon(1S) \rightarrow \gamma\chi\chi$
- ▶ Belle data sample of $\Upsilon(2S)$: $(157.3 \pm 3.6) \times 10^6$ (24.9 fb^{-1})



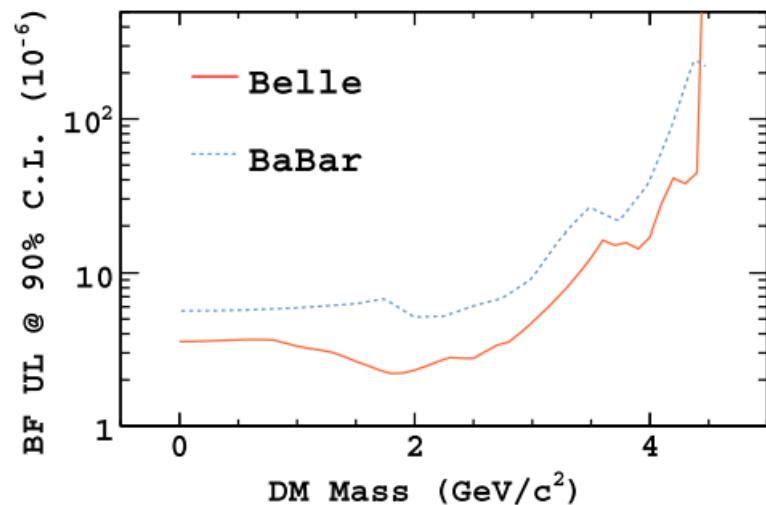
Search for a Light CP-odd Higgs and Low-Mass Dark Matter

- improvement of upper limit on the BF for:

on-shell $\gamma(1S) \rightarrow \gamma A^0$ with $A^0 \rightarrow \chi\chi$



off-shell $\gamma(1S) \rightarrow \gamma\chi\chi$



search region: $0 < M_{A^0} < 8.97 \text{ GeV}/c^2$ and $M_\chi < 4.44 \text{ GeV}/c^2$

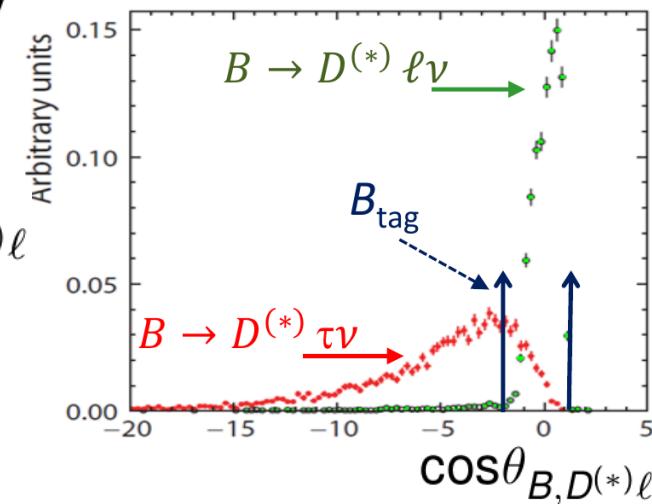
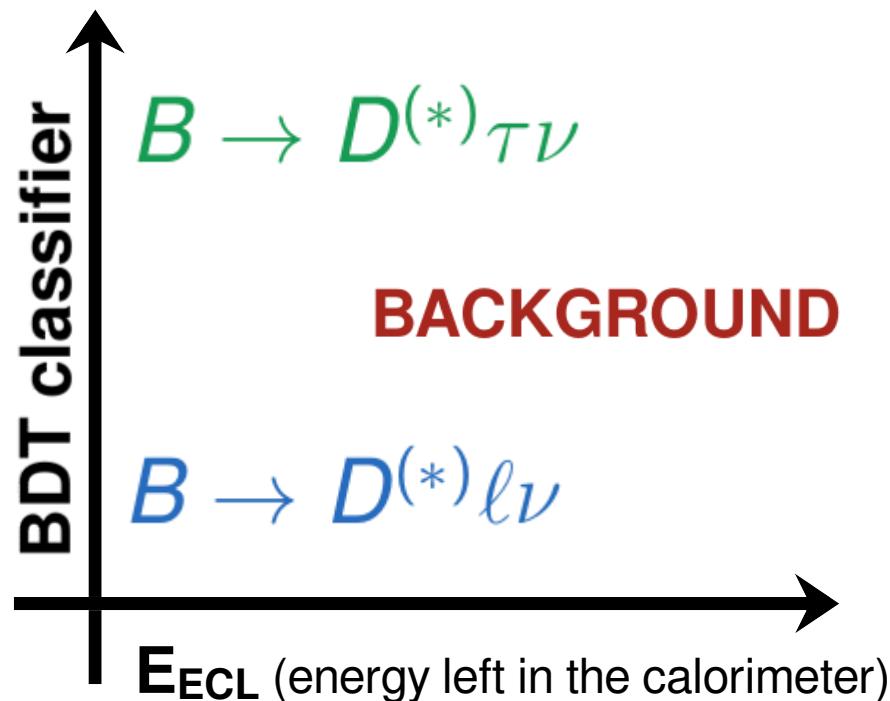
Summary and outlook

- ▶ New Belle results on $R(D)$, $R(D^*)$, $F_L^{D^*}$ consistent with SM:
 $R(D) \sim 0.2\sigma$, $R(D^*) \sim 1.1\sigma$, $F_L^{D^*} \sim 1.5\sigma$
 - ▶ Belle $R(D^{(*)})$ results average consistent with SM: $\sim 1.6\sigma$
- ▶ $\mathcal{B}(B^+ \rightarrow \mu^+ \nu) = (5.3 \pm 2.0 \pm 0.9) \times 10^{-7}$ @ 2.8σ
- ▶ Experimental precision limited by statistics
⇒ good prospects, 50x larger data sample @ Belle II expected in 7 years
- ▶ So far Belle II detector showed good performance confirmed by rediscoveries of known processes
- ▶ Competitive physics results on the Dark Sector even with early Belle II data
⇒ dedicated triggers for rich program of Dark Sector and exotic searches
- ▶ Start again in mid-October and continue until end of June 2020

BACKUP

$R(D)$ and $R(D^*)$ with SL tagging

- ▶ update of Belle's analysis with SL tagging [Phys. Rev D 94, 072007 \(2016\)](#)
- ▶ $R(D^*)$ only $\Rightarrow R(D)$ and $R(D^*)$ simultaneously
- ▶ for $R(D^*)$, B^0 only \Rightarrow charged and neutral B
- ▶ improved tagging (FEI)
- ▶ veto signal events on tag side using $\cos\theta_{B,D^{(*)}\ell}$



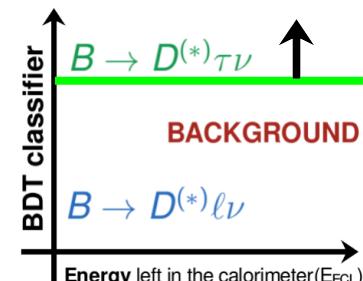
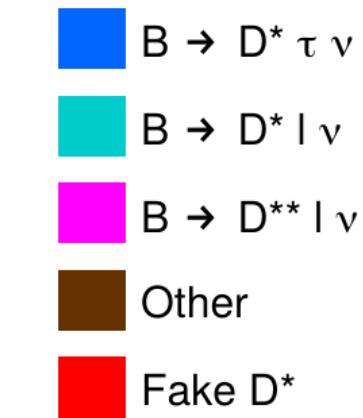
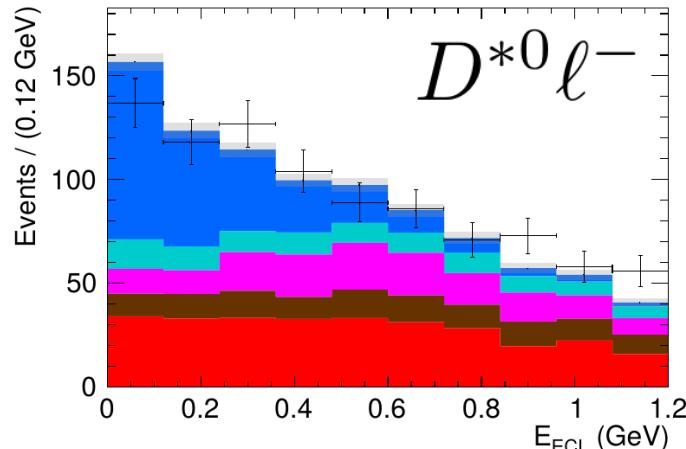
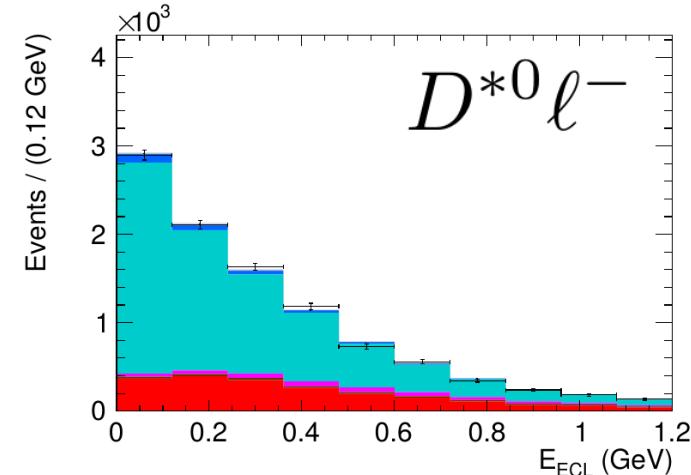
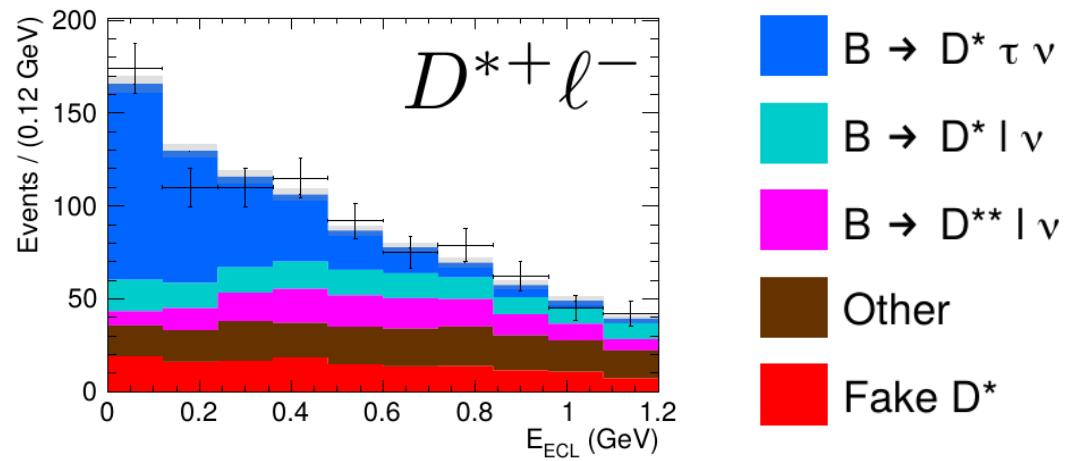
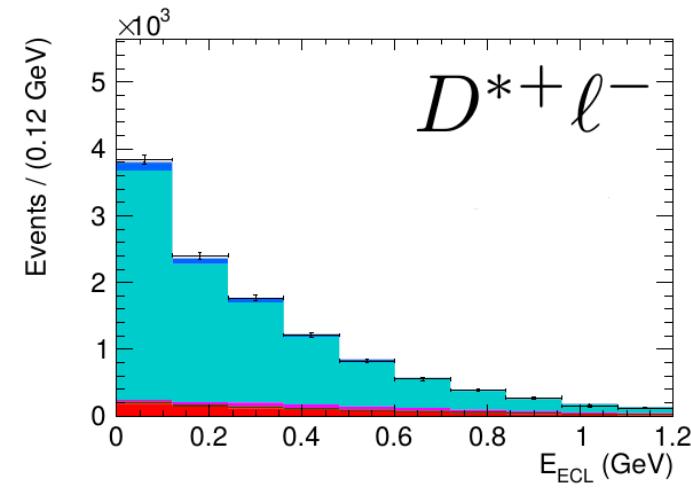
- ▶ E_{ECL} to suppress background
- ▶ BDT classifier to distinguish signal from normalization modes; uses approx. missing mass, visible energy, $\cos\theta_{B,D^{(*)}\ell}$
- ▶ signal yields extracted from 2D fit to (BDT class, E_{ECL})

Fit results - $D^* \ell$ sample

full classifier region



signal region (BDT class > 0.9)

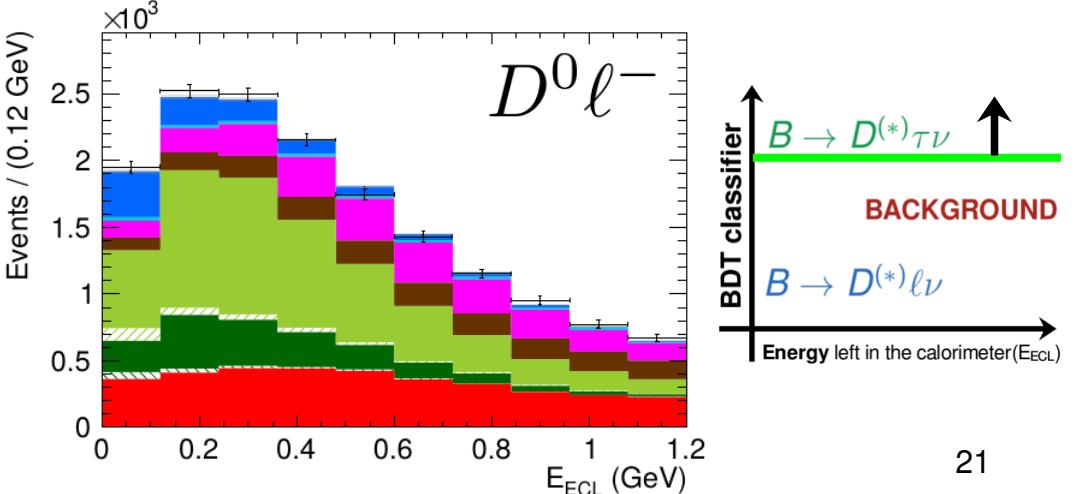
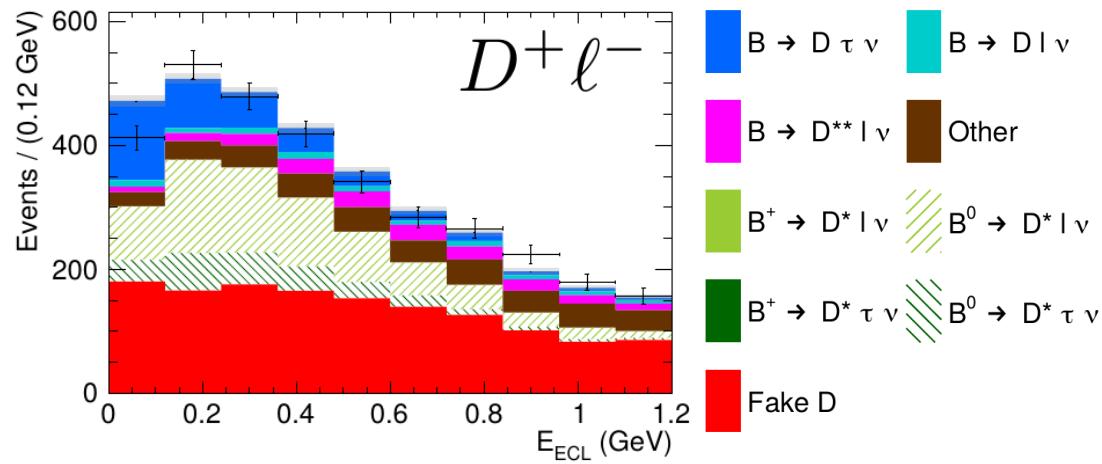
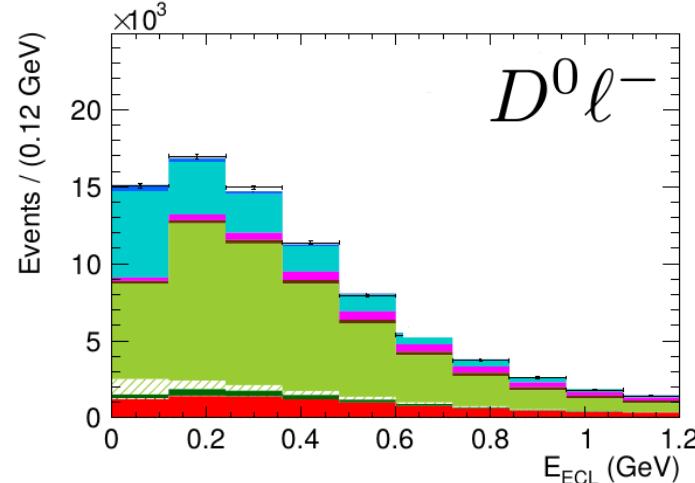
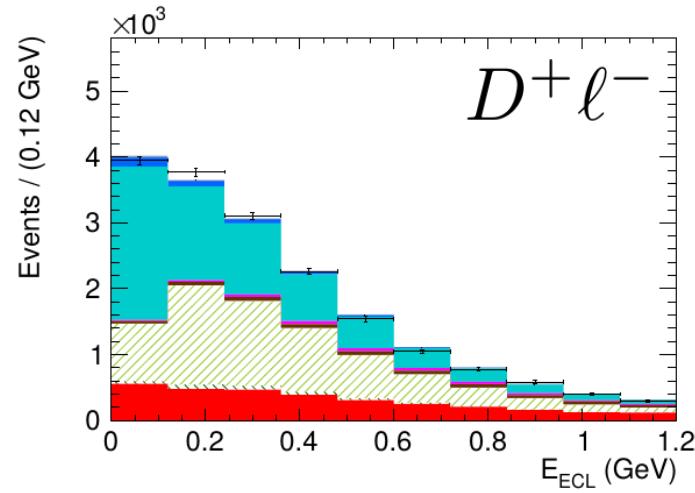


Fit results - $D\ell$ sample

full classifier region

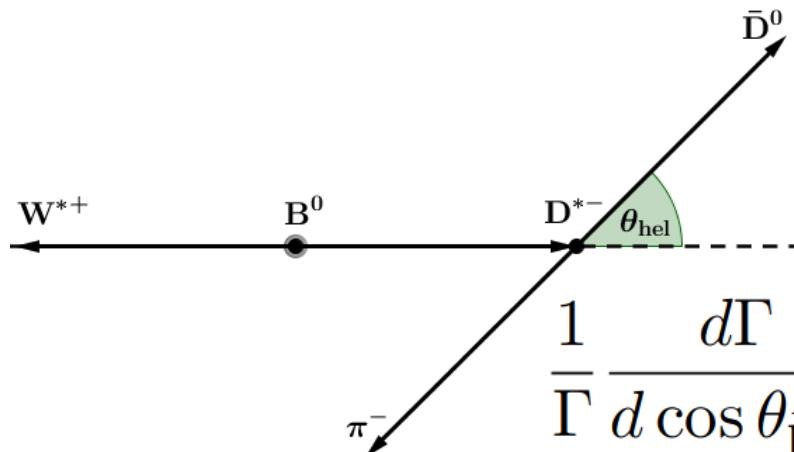


signal region (BDT class > 0.9)



D^* polarization in $B \rightarrow D^{(*)}\tau\nu$

Angular observables can provide a hint about NP structure



$$F_L^{D^*} = \frac{\Gamma(D_L^*)}{\Gamma(D_L^*) + \Gamma(D_T^*)}$$

$$\frac{1}{\Gamma} \frac{d\Gamma}{d \cos \theta_{\text{hel}}^{D^*}} = \frac{3}{4} [2F_L^{D^*} \cos^2 \theta_{\text{hel}}^{D^*} + F_T^{D^*} \sin^2 \theta_{\text{hel}}^{D^*}]$$

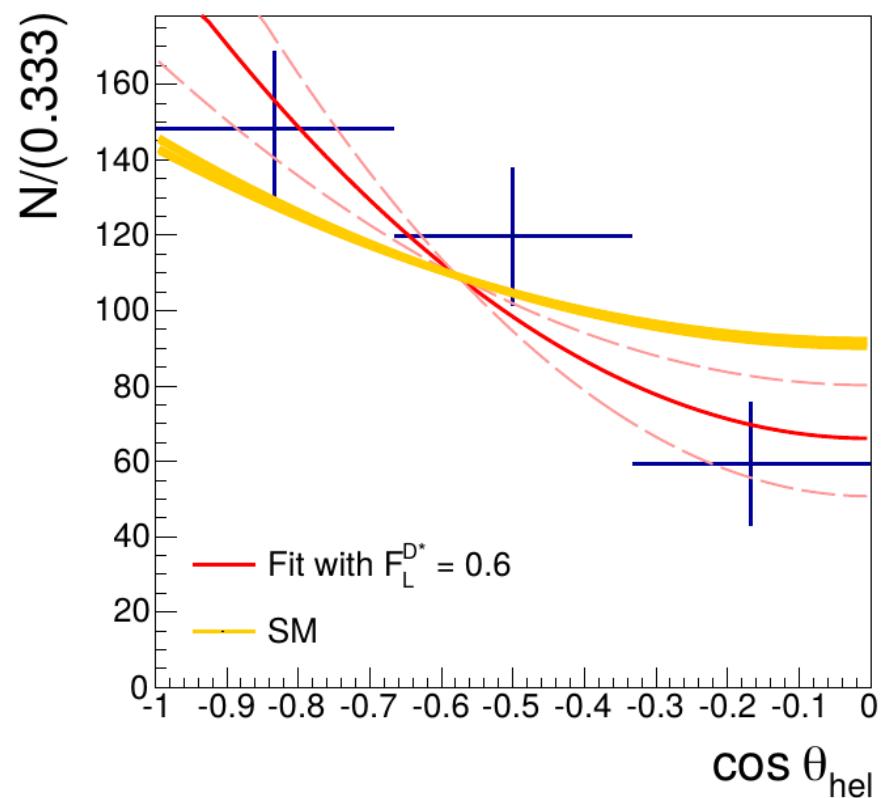
Analysis strategy

- ▶ B_{sig} reconstructed in $\tau \rightarrow \ell\nu\nu$ and $\tau \rightarrow \pi\nu$ modes
- ▶ B_{tag} reconstructed from remaining charged and neutral particles in event (**inclusively**) \Rightarrow consistency checked using: M_{bc} ($\equiv M_{\text{tag}}$), ΔE
- ▶ calibrate bkg. using side-bands \Rightarrow good description of combinatorial and peaking bkg. ($D^*\ell\nu$, $D^{**}\ell\nu$)
- ▶ suppress background using X_{mis} (similar to missing mass)

$$X_{\text{mis}} = \frac{E_{\text{mis}} - |\vec{p}_{D^*} + \vec{p}_{\ell,h}|}{\sqrt{E_{\text{beam}}^2 - M_B^2}}$$

$$E_{\text{mis}} = E_{\text{beam}} - E_{D^*} - E_{\ell,h}$$

Result on $F_L^{D^*}$ for $B^0 \rightarrow D^{*-} \tau^+ \nu$



Signal yields in bins:

I: 151 ± 21

II: 125 ± 19

III: 55 ± 15

✓ signal yields corrected for acceptance variations

$$\frac{1}{\Gamma} \frac{d\Gamma}{d \cos \theta_{\text{hel}}^{D^*}} = \frac{3}{4} [2F_L^{D^*} \cos^2 \theta_{\text{hel}}^{D^*} + F_T^{D^*} \sin^2 \theta_{\text{hel}}^{D^*}]$$

$$F_L^{D^*} = 0.60 \pm 0.08 \pm 0.04$$

SM dynamics assumed

SM predictions

► $(F_L^{D^*})_{\text{SM}} = 0.46 \pm 0.04$

1.42σ [1]

► $(F_L^{D^*})_{\text{SM}} = 0.441 \pm 0.006$

1.76σ [2]

► $(F_L^{D^*})_{\text{SM}} = 0.457 \pm 0.010$

1.58σ [3]

► $(F_L^{D^*})_{\text{SM}} = 0.47 \pm 0.01$

1.44σ [4]

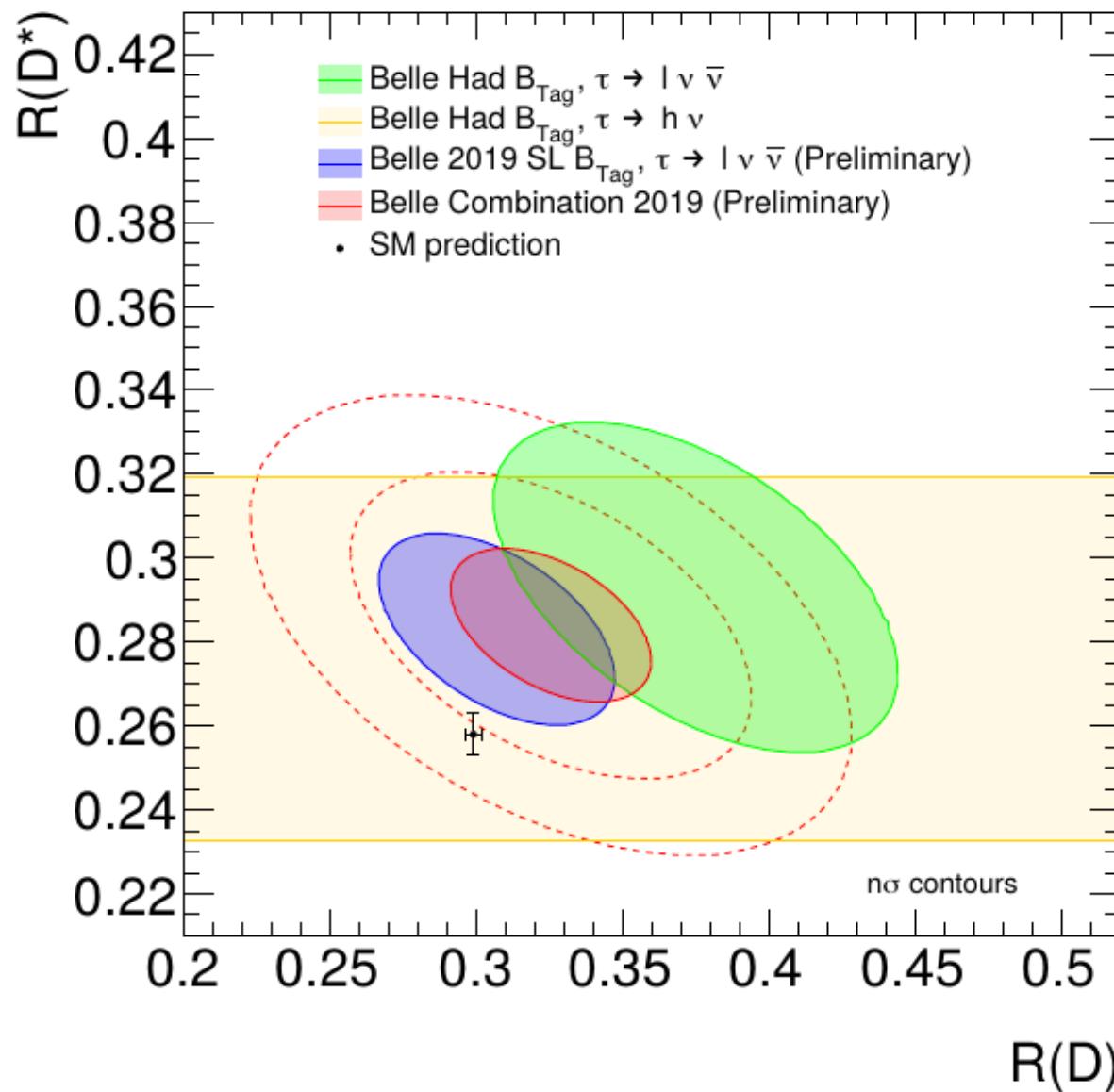
► $(F_L^{D^*})_{\text{SM}} = 0.455 \pm 0.009$

1.60σ [5]

$F_L^{D^*}$ agrees within $1.4 - 1.8\sigma$ with SM

1. A.K. Alok, D. Kumar, S. Kumbahar, S.U. Sankar, PRD **95**, 115038 (2017)
2. Z.-R. Huang, Y. Li, M.A. Paracha, C. Wang, PRD **98**, 095018 (2018)
3. S. Bhattacharya, S. Nandu, S.K. Patra, Eur.Phys.J C**79**, 268 (2018)
4. P. Gambino, M. Jung, S. Schacht, arXiv:1905.08209 [hep-ph]
5. R.-X. Shi, L.-S. Geng, B. Grinstein, S. Jager, J.M. Camalich, arXiv:1905.08498 [hep-ph]

$R(D)$ and $R(D^*)$ - Belle results

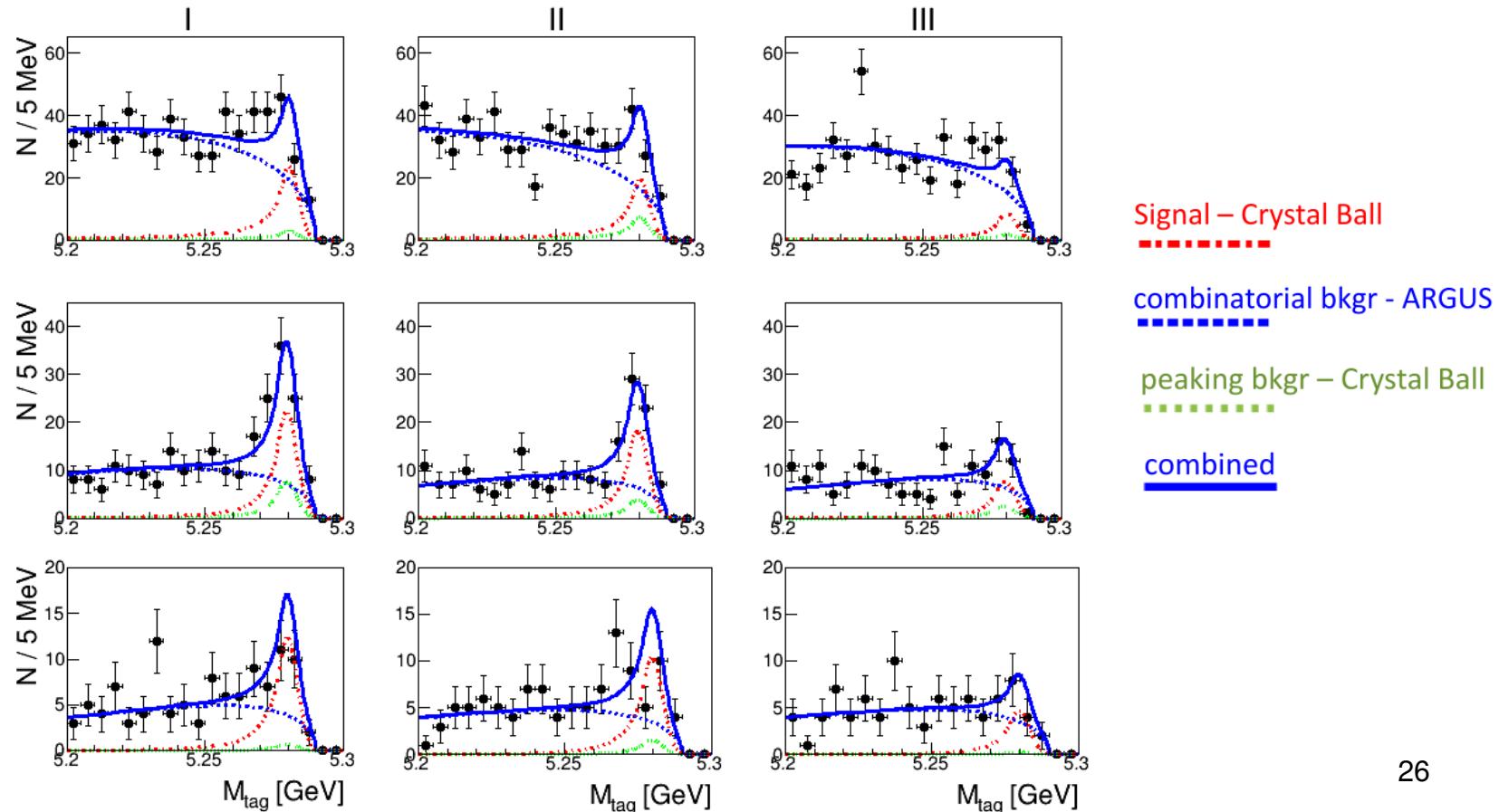


$R(D)$, $R(D^*)$ - 2019

- $R(D)$ and $R(D^*)$ exceed the SM predictions by 1.4σ and 2.5σ respectively.
- $R(D)-R(D^*)$) correlation of -0.38
- The difference with the SM predictions corresponds to about 3.08σ .

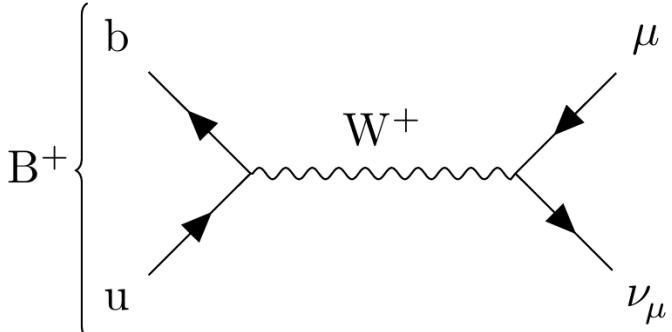
D^* polarization in $B \rightarrow D^{(*)}\tau\nu$

- Unbinned ML fit to M_{tag} distribution in three equal bins in $\cos\theta_{\text{hel}}$: I[-1,-0.67), II[-0.67, -0.33), III[-0.33,0); simultaneous fit to all decay chains
- $\cos\theta_{\text{hel}} > 0$ excluded due to low reconstruction efficiency for slow π from D^*

 $\tau \rightarrow \pi$  $\tau \rightarrow e$ $\tau \rightarrow \mu$

Search for $B^+ \rightarrow \mu^+ \nu$

precise SM prediction



$$\mathcal{B}(B^+ \rightarrow \ell^+ \nu_\ell) = \frac{G_F^2 m_B m_\ell^2}{8\pi} \left(1 - \frac{m_\ell^2}{m_B^2}\right)^2 f_B^2 |V_{ub}|^2 \tau_B$$

SM : $\mathcal{B}(B^+ \rightarrow \mu^+ \nu) = (4.3 \pm 0.8) \times 10^{-7}$ (inclusive WA for $|V_{ub}|$)
 SM : $\mathcal{B}(B^+ \rightarrow \mu^+ \nu) = (3.8 \pm 0.4) \times 10^{-7}$ (exclusive WA for $|V_{ub}|$)
 for $f_B = 184 \pm 4$ MeV S. Aoki et al., EPJ C77, 112 (2017)

\Rightarrow 300 possible signal events in Belle data

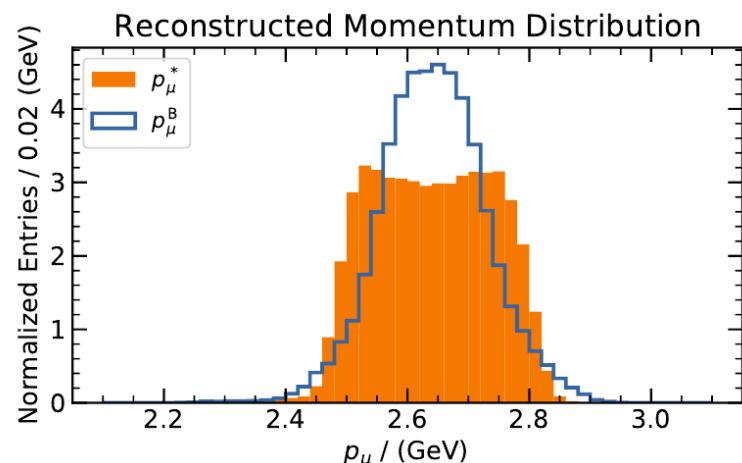
Improvements

- ▶ modeling of $b \rightarrow u \ell \nu$ (hybrid model), continuum bkg. (data-driven method)
- ▶ use inclusive B_{tag} to maximize efficiency of signal selection $\Rightarrow \vec{p}_{\text{sig}} = -\vec{p}_{\text{tag}}^*$
- ▶ carry out analysis in the signal B rest frame ($p_\mu^B = 2.64$ GeV) \Rightarrow better resolution and sensitivity than using $\Upsilon(4S)$ frame
 - ▶ sensitive to $B^+ \rightarrow \mu^+ N$ search for unknown neutral fermion (e.g. sterile ν) in range $m_N \in [0, 1.5]$ GeV

Belle 2018 result:

$$\mathcal{B}(B^+ \rightarrow \mu^+ \nu) = (6.6 \pm 2.2 \pm 1.6) \times 10^{-7} @ 2.4 \sigma$$

Phys. Rev. Lett. 121, 031801 (2018)



Systematics

TABLE I. Systematic uncertainties contributing to the $\mathcal{R}(D^{(*)})$ results.

Source	$\Delta R(D)$ (%)	$\Delta R(D^*)$ (%)
D^{**} composition	0.76	1.41
Fake $D^{(*)}$ calibration	0.19	0.11
B_{tag} calibration	0.07	0.05
Feed-down factors	1.69	0.44
Efficiency factors	1.93	4.12
Lepton efficiency and fake rate	0.36	0.33
Slow pion efficiency	0.08	0.08
MC statistics	4.39	2.25
B decay form factors	0.55	0.28
Luminosity	0.10	0.04
$\mathcal{B}(B \rightarrow D^{(*)}\ell\nu)$	0.05	0.02
$\mathcal{B}(D)$	0.35	0.13
$\mathcal{B}(D^*)$	0.04	0.02
$\mathcal{B}(\tau^- \rightarrow \ell^- \bar{\nu}_\ell \nu_\tau)$	0.15	0.14
Total	5.21	4.94

TABLE I. Summary of systematic uncertainties

Source	$\Delta F_L^{D^*}$
Monte Carlo statistics	± 0.032
Background modeling	± 0.010
	± 0.001
	± 0.003
	± 0.011
	± 0.005
	± 0.004
Signal modeling	± 0.002
	± 0.003
	± 0.015
	± 0.005
Total	± 0.039
	-0.037

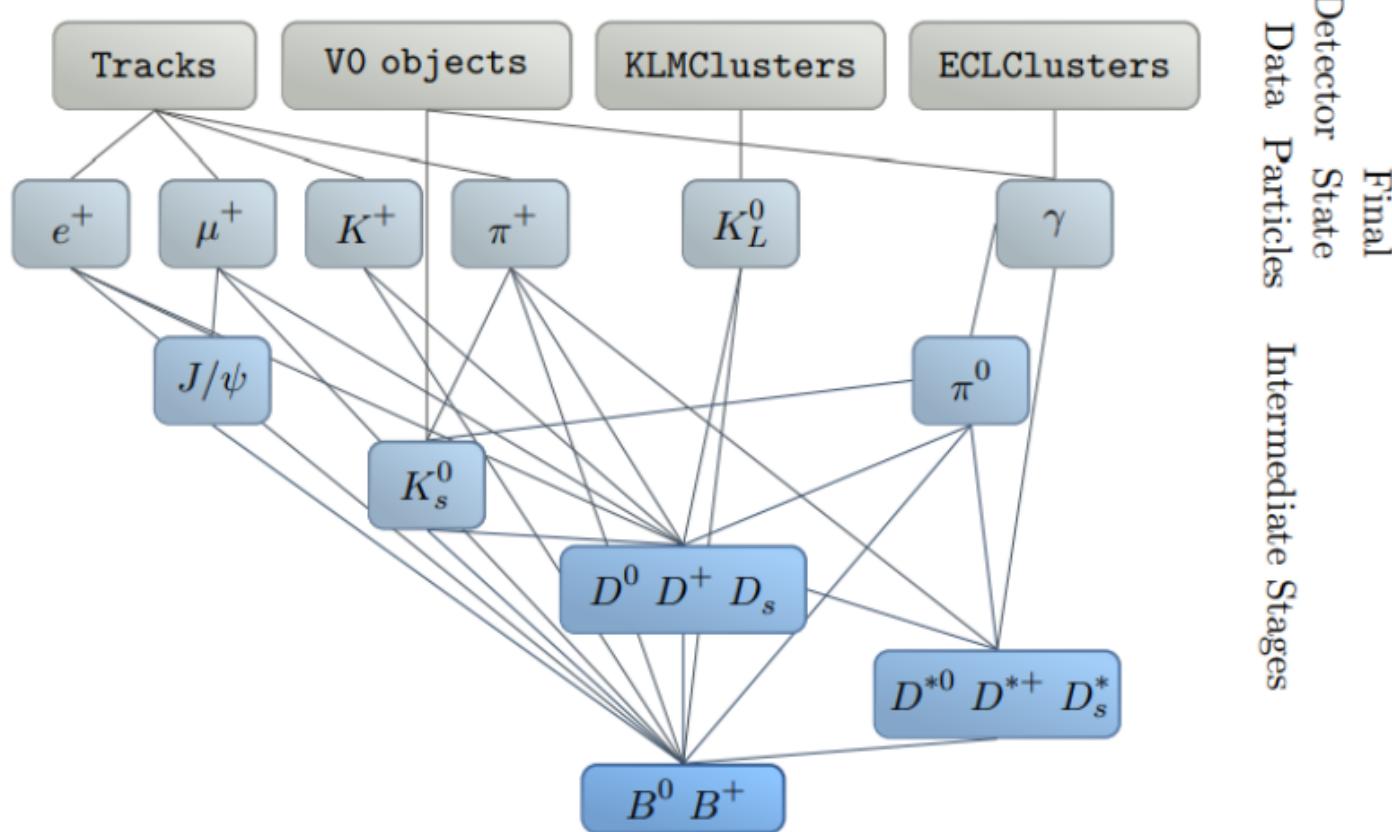
TABLE V. The fractional uncertainty on the extract $B^+ \rightarrow \mu^+ \nu_\mu$ branching fraction are shown.

Source of uncertainty	Fractional uncertainty
Additive uncertainties	
$B^+ \rightarrow \mu^+ \nu_\mu$ MC statistics	1.0 %
$b \rightarrow u \ell \nu_\ell$ modeling	11 %
$b \rightarrow c \ell \nu_\ell$ modeling	2.5 %
$\mathcal{B}(b \rightarrow s)$ processes	1.0 %
$\mathcal{B}(B^+ \rightarrow \mu^+ \nu_\mu \gamma)$	0.1 %
Continuum modeling	13 %
Multiplicative uncertainties	
$N_{B\bar{B}}$	1.4 %
Tracking efficiency	0.3 %
\mathcal{L}_{LID} efficiency	2.0 %
total syst. uncertainty	17 %

FEI

Exclusive Tagging: The Full Event Interpretation (FEI)

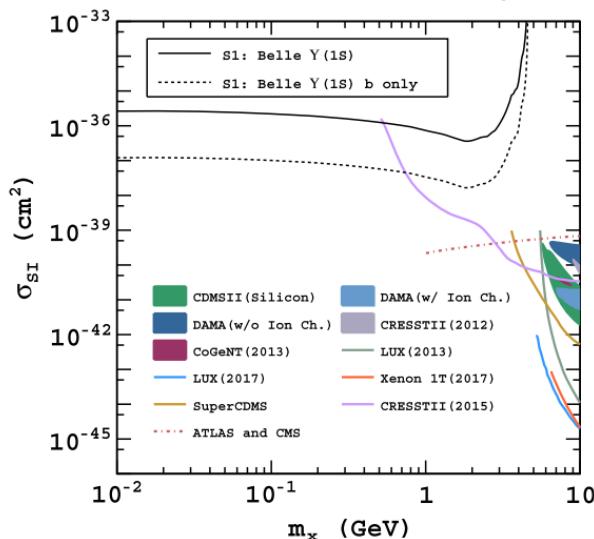
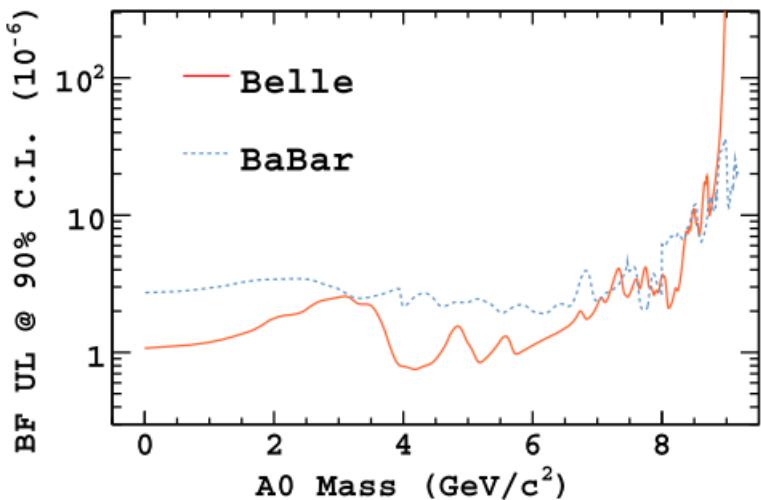
Keck, T., et al. Comput Softw Big Sci (2019)



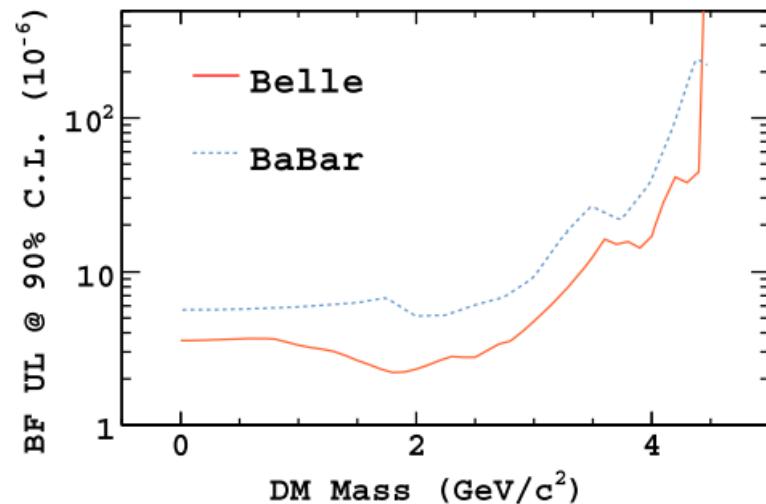
Search for a Light CP-odd Higgs and Low-Mass Dark Matter

- improvement of upper limit on the BF for:

on-shell $\Upsilon(1S) \rightarrow \gamma A^0$ with $A^0 \rightarrow \chi\chi$

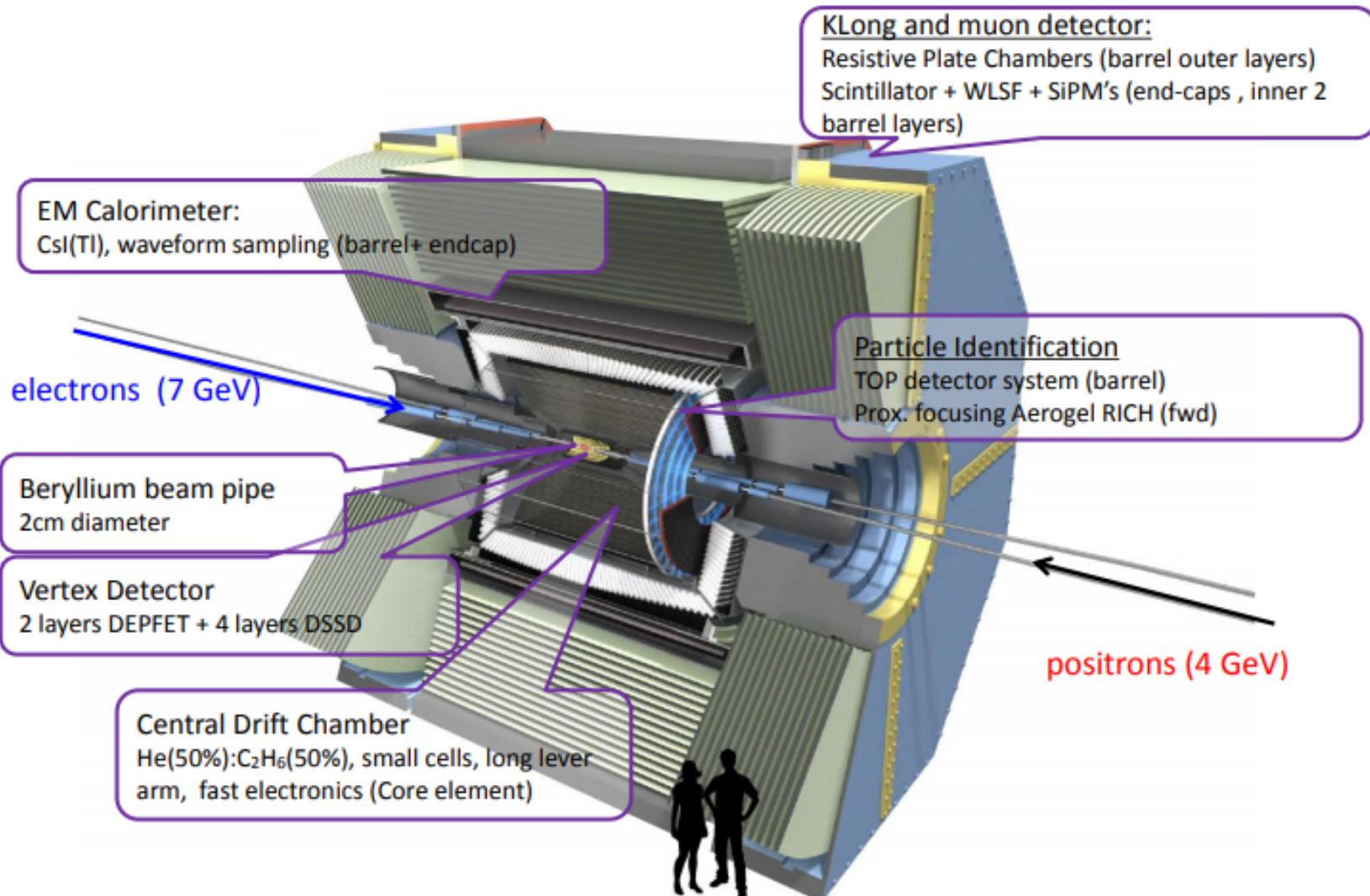


off-shell $\Upsilon(1S) \rightarrow \gamma\chi\chi$

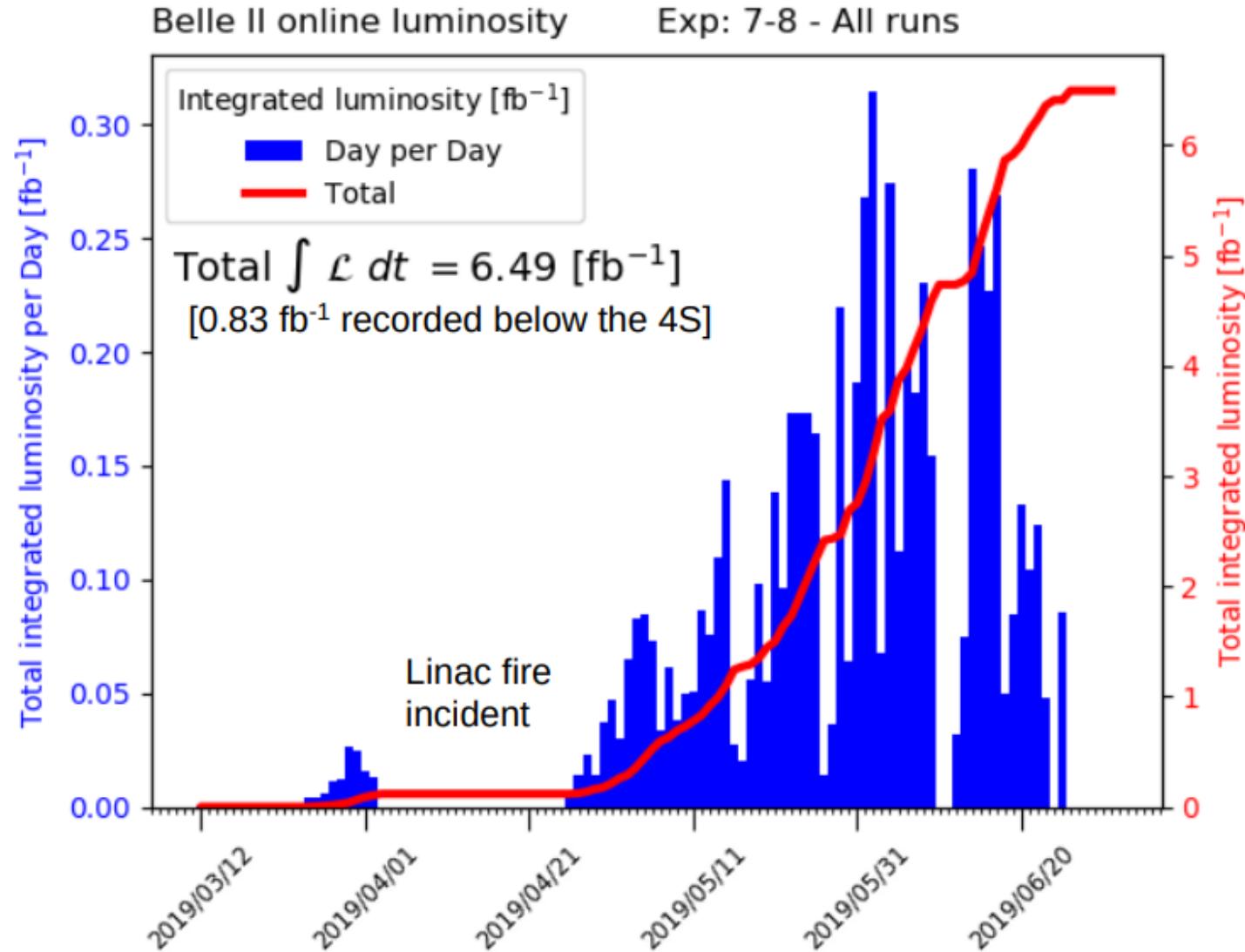


- new spin-independent (SI) WIMP-nucleon Xsection limit
→ extend low-mass WIMP region unreachable by direct detection

Belle II detector



Belle II - integrated Luminosity



Light CP-odd Higgs - fit to recoil mass and photon energy

