

Review of $|V_{ub}|$ and $|V_{cb}|$ measurements at the B-factories

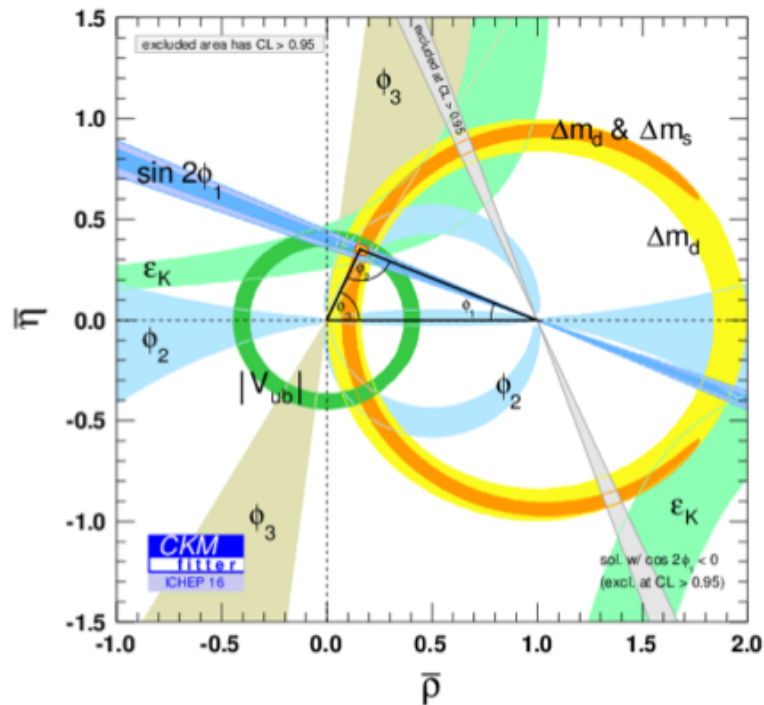
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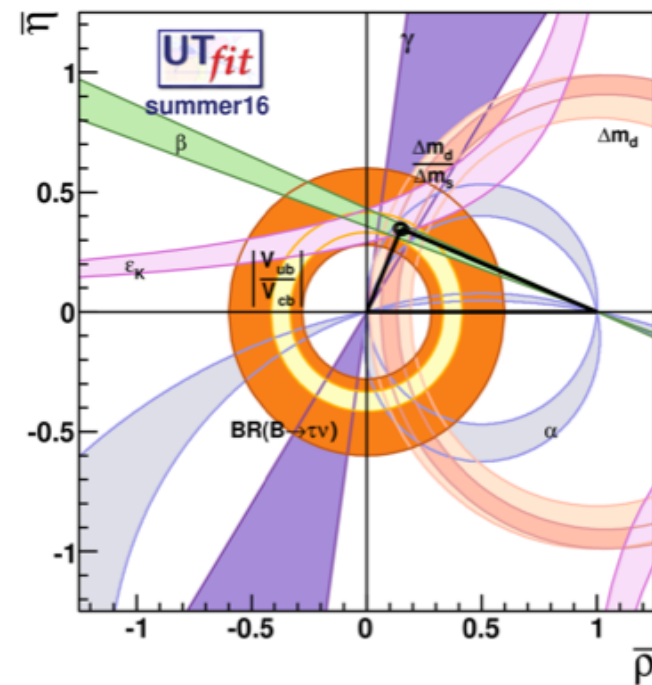
10th International Workshop on the CKM Unitarity Triangle
Heidelberg University, September 14-21, 2018

Current status (summer 2016)

<http://ckmfitter.in2p3.fr/>

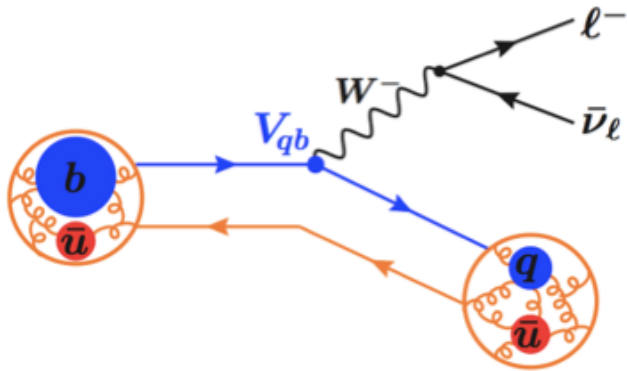


<http://www.utfit.org/>



- Largest pull: V_{ub} inclusive (UTfit)

$|V_{xb}|$ from semileptonic B decays



$$d\Gamma \propto G_F^2 |V_{qb}|^2 |L_\mu \langle X | \bar{q} \gamma_\mu P_L b | B \rangle|^2$$

- $|V_{cb}|$
 - Exclusive ($D\ell\nu$, $D^*\ell\nu$)
 - talk by Kilian Lieret in the session yesterday
 - Inclusive ($X_c\ell\nu$)
- $|V_{ub}|$
 - Exclusive ($\pi\ell\nu$)
 - Inclusive ($X_u\ell\nu$)
 - talk by Raynette Van Tonder in the session yesterday

$|V_{cb}|$

Inclusive vs. exclusive

	Experiment	Theory
Exclusive V_{cb}	$B \rightarrow Dlv, D^*lv$ (low backgrounds)	Lattice QCD, light cone sum rules
Inclusive V_{cb}	$B \rightarrow Xlv$ (higher background)	Operator product expansion

- Consistency between exclusive and inclusive is a crucial cross-check of our understanding...

Let's look at $B \rightarrow D^{(*)}l\nu$

$$w = \frac{P_B \cdot P_{D^{(*)}}}{m_B m_{D^{(*)}}} = \frac{m_B^2 + m_{D^{(*)}}^2 - q^2}{2m_B m_{D^{(*)}}}$$

$$B \rightarrow D^*l\nu \quad \frac{d\Gamma}{dw} = \frac{G_F^2 m_{D^*}^3}{48\pi^3} (m_B - m_{D^*})^2 \sqrt{w^2 - 1} \chi(w) \mathcal{F}^2(w) |V_{cb}|^2$$

$$B \rightarrow D l\nu \quad \frac{d\Gamma}{dw} = \frac{G_F^2 m_D^3}{48\pi^3} (m_B + m_D)^2 (w^2 - 1)^{3/2} \mathcal{G}^2(w) |V_{cb}|^2$$

Form factor parameterizations

- Caprini, Lellouch, Neubert [Nucl.Phys. B530, 153(1998)]

$B \rightarrow D^* | \nu$

$$h_{A_1}(w) = h_{A_1}(1) [1 - 8\rho^2 z + (53\rho^2 - 15)z^2 - (231\rho^2 - 91)z^3],$$
$$R_1(w) = R_1(1) - 0.12(w - 1) + 0.05(w - 1)^2,$$
$$R_2(w) = R_2(1) + 0.11(w - 1) - 0.06(w - 1)^2,$$

$B \rightarrow D | \nu$

$$\mathcal{G}(z) = \mathcal{G}(1)(1 - 8\rho^2 z + (51\rho^2 - 10)z^2 - (252\rho^2 - 84)z^3)$$

Parameters: $F(1), \rho^2, R_1(1), R_2(1)$
 $G(1), \rho^2$

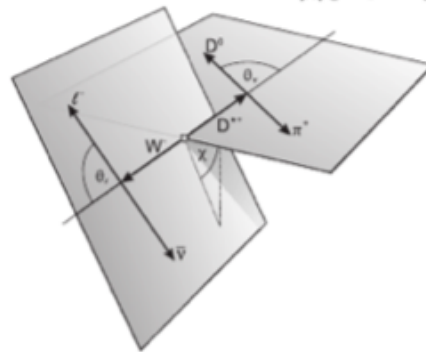
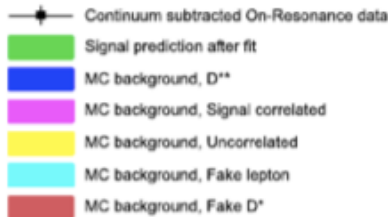
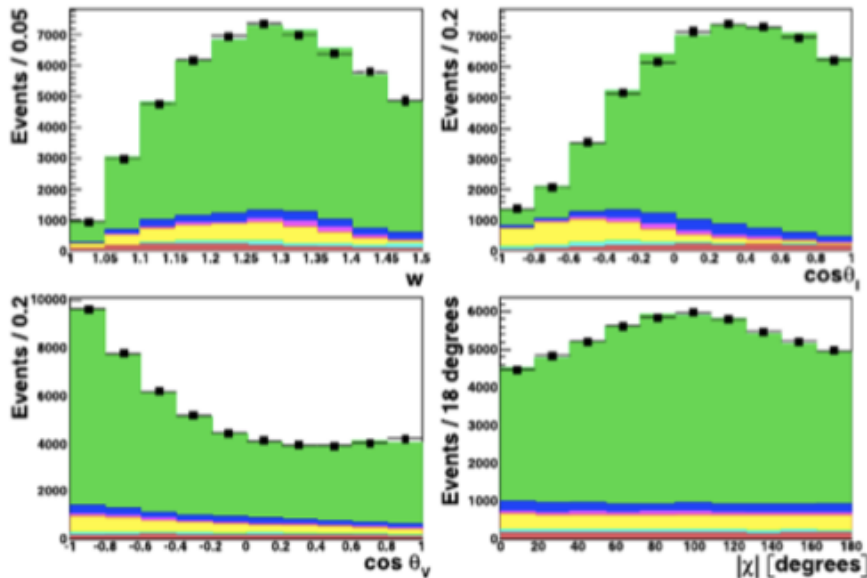
- Boyd, Grinstein, Lebed [Phys. Rev. Lett. 74, 4603 (1995)]

$$f_i(z) = \frac{1}{P_i(z)\phi_i(z)} \sum_{n=0}^N a_{i,n} z^n, \quad z(w) = \frac{\sqrt{w+1} - \sqrt{2}}{\sqrt{w+1} + \sqrt{2}}$$

Parameters: coefficients $a_{i,n}$

$B^0 \rightarrow D^{*-} l^+ \nu$ at Belle

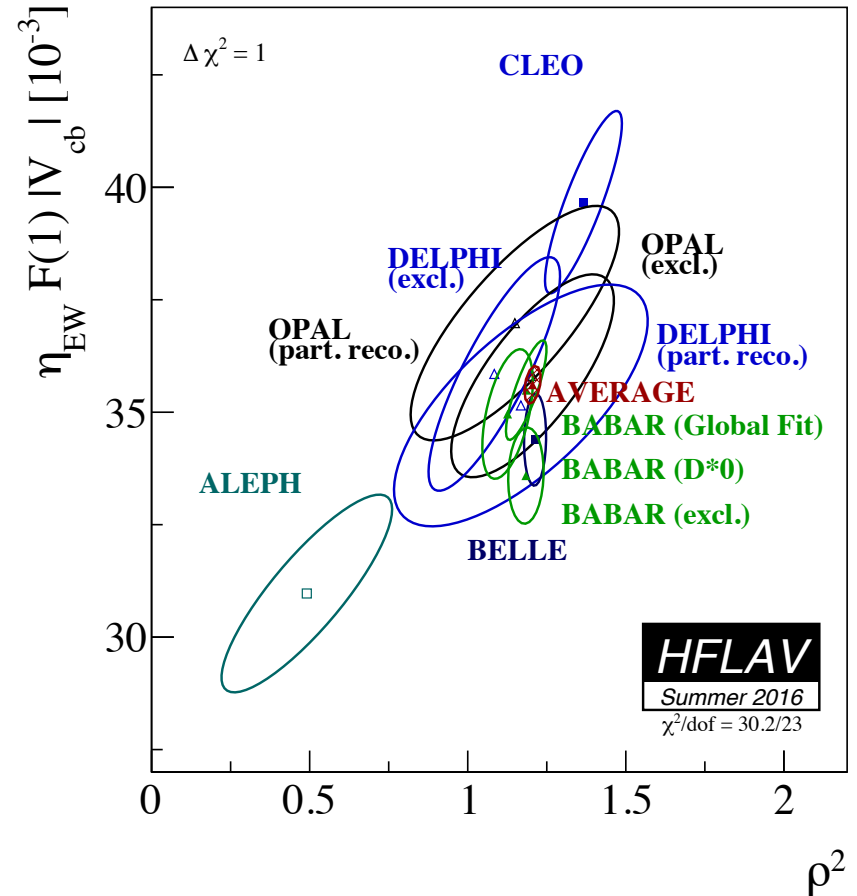
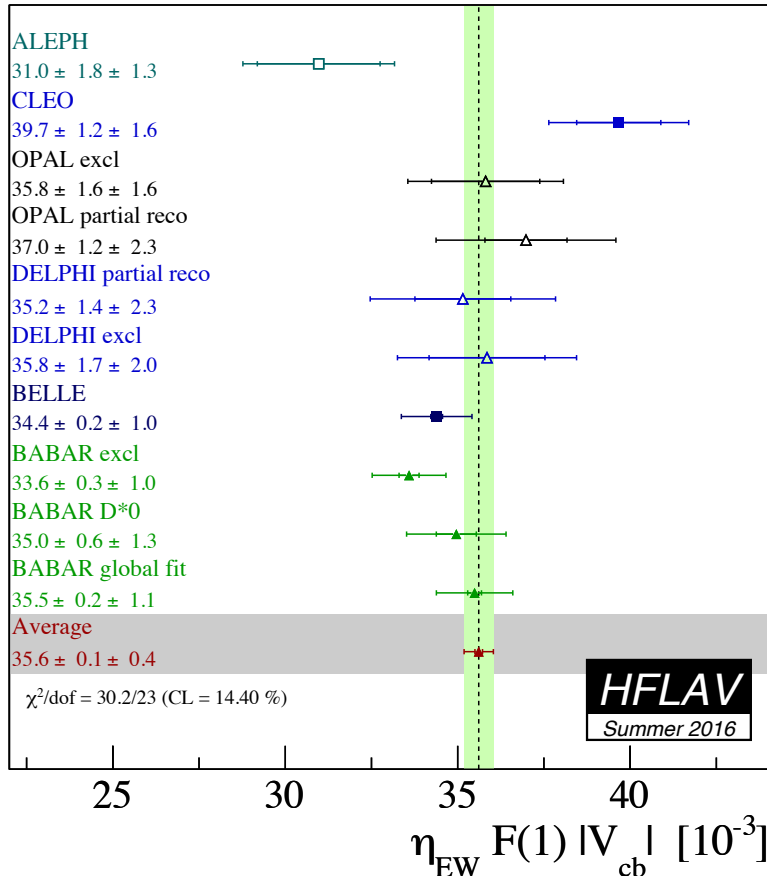
[W. Dungen, CS, Phys. Rev. D 82, 112007 (2010)]



- 711/fb of Belle Y(4S) data
- About 120,000 reconstructed $B^0 \rightarrow D^{*-} l^+ \nu$ decays
- Fit in 40 bins of w , $\cos \theta_l$, θ_ν and χ to obtain CLN F.F. parameters
- Dominant experimental systematics: tracking

$$\begin{aligned}
 \mathcal{F}(1)|V_{cb}| &= (34.6 \pm 0.2 \pm 1.0) \times 10^{-3} \\
 \rho^2 &= 1.214 \pm 0.034 \pm 0.009 \\
 R_1(1) &= 1.401 \pm 0.034 \pm 0.018 \\
 R_2(1) &= 0.864 \pm 0.024 \pm 0.008 \\
 \chi^2/ndf &= 138.8/155
 \end{aligned}$$

$\eta_{EW} F(1) |V_{cb}|$ ($B \rightarrow D^* \ell \nu$) [Eur. Phys. J. C77 (2017) 895]



$$\eta_{EW} F(1) |V_{cb}| = (35.61 \pm 0.11 \pm 0.41) \times 10^{-3}$$

HFLAV analysis of $|V_{cb}|$ exclusive (2016)

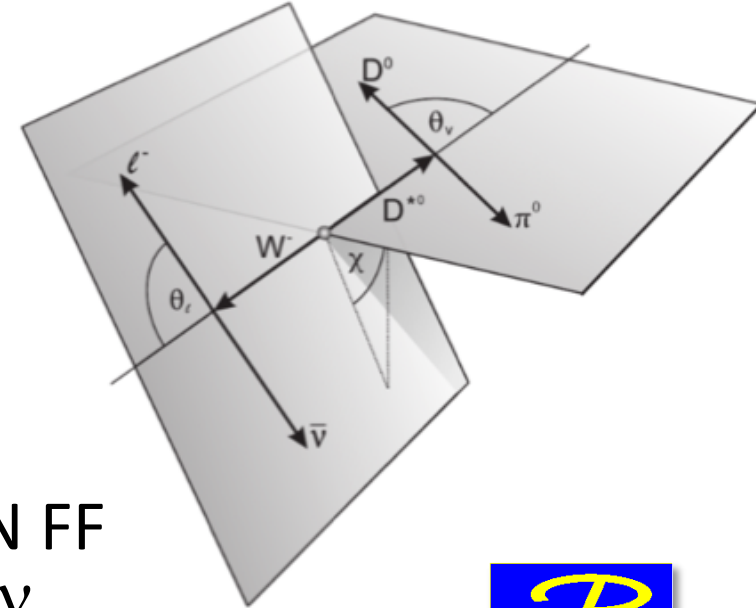
- HFLAV average $B \rightarrow D^* \ell \nu$
 - $\eta_{EW} F(1) |V_{cb}| = (35.61 \pm 0.11_{\text{stat}} \pm 0.41_{\text{syst}}) \times 10^{-3}$
- Lattice input [FNAL/MILC, PRD89, 114504]
 - $\eta_{EW} F(1) = (0.912 \pm 0.014)$
- Value of $|V_{cb}|$ assuming the CLN FF
 - $|V_{cb}| = (39.05 \pm 0.47_{\text{exp}} \pm 0.58_{\text{th}}) \times 10^{-3}$

B \rightarrow D* l ν hadronic tag [arXiv:1702.01521]

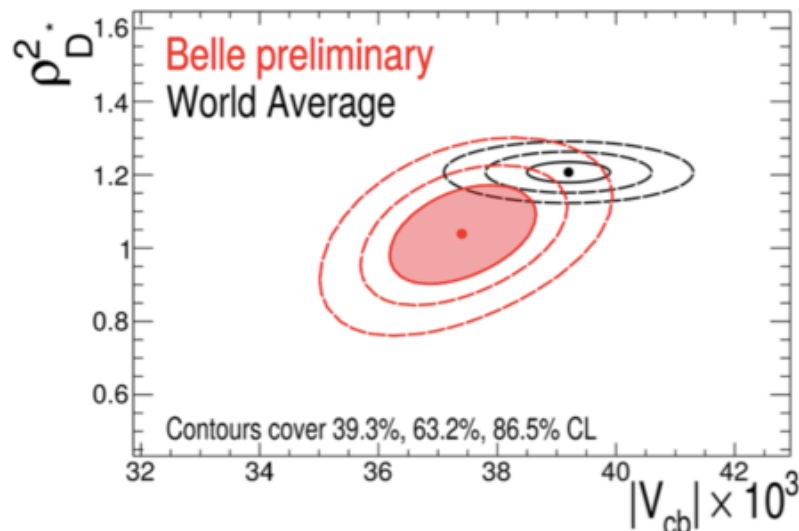
- CLN FF parameterization
[NPB 530, 153 (1998)]

$$\frac{d^4\Gamma(B \rightarrow D^* l \nu)}{dw d\cos\theta_v d\cos\theta_\ell d\chi} = f(|V_{cb}|^2, \underbrace{\rho_{D^*}^2, R_1, R_2}_{\text{form factor parameters}})$$

$$W = \frac{m_B^2 - m_{D^*}^2 - q^2}{m_B m_{D^*}}$$



- Belle measured $|V_{cb}|$ and the CLN FF parameters in the decay B \rightarrow D* l ν



Parameter	Measurement	World average
$ V_{cb} \cdot 10^3$	37.4 ± 1.2	39.2 ± 0.7
$\rho_{D^*}^2$	1.04 ± 0.13	1.20 ± 0.03
R_1	1.38 ± 0.07	1.40 ± 0.03
R_2	0.86 ± 0.10	0.85 ± 0.02

CLN parametrisation

Model-independent analysis of arXiv:1702.01521 data

- D. Bigi, P. Gambino, S. Schacht, Phys. Lett. B769 (2017) 441

BGL Fit:	Data + lattice	Data + lattice + LCSR
χ^2/dof	27.9/32	31.4/35
$ V_{cb} $	0.0417 $\left(\begin{smallmatrix} +20 \\ -21 \end{smallmatrix}\right)$	0.0404 $\left(\begin{smallmatrix} +16 \\ -17 \end{smallmatrix}\right)$
a_0^f	0.01223(18)	0.01224(18)
a_1^f	-0.054 $\left(\begin{smallmatrix} +58 \\ -43 \end{smallmatrix}\right)$	-0.052 $\left(\begin{smallmatrix} +27 \\ -15 \end{smallmatrix}\right)$
a_2^f	0.2 $\left(\begin{smallmatrix} +7 \\ -12 \end{smallmatrix}\right)$	1.0 $\left(\begin{smallmatrix} +0 \\ -5 \end{smallmatrix}\right)$
$a_1^{\mathcal{F}1}$	-0.0100 $\left(\begin{smallmatrix} +61 \\ -56 \end{smallmatrix}\right)$	-0.0070 $\left(\begin{smallmatrix} +54 \\ -52 \end{smallmatrix}\right)$
$a_2^{\mathcal{F}1}$	0.12 (10)	0.089 $\left(\begin{smallmatrix} +96 \\ -100 \end{smallmatrix}\right)$
a_0^g	0.012 $\left(\begin{smallmatrix} +11 \\ -8 \end{smallmatrix}\right)$	0.0289 $\left(\begin{smallmatrix} +57 \\ -37 \end{smallmatrix}\right)$
a_1^g	0.7 $\left(\begin{smallmatrix} +3 \\ -4 \end{smallmatrix}\right)$	0.08 $\left(\begin{smallmatrix} +8 \\ -22 \end{smallmatrix}\right)$
a_2^g	0.8 $\left(\begin{smallmatrix} +2 \\ -17 \end{smallmatrix}\right)$	-1.0 $\left(\begin{smallmatrix} +20 \\ -0 \end{smallmatrix}\right)$

CLN Fit:	Data + lattice	Data + lattice + LCSR
χ^2/dof	34.3/36	34.8/39
$ V_{cb} $	0.0382 (15)	0.0382 (14)
$\rho_{D^*}^2$	1.17 $\left(\begin{smallmatrix} +15 \\ -16 \end{smallmatrix}\right)$	1.16 (14)
$R_1(1)$	1.391 $\left(\begin{smallmatrix} +92 \\ -88 \end{smallmatrix}\right)$	1.372 (36)
$R_2(1)$	0.913 $\left(\begin{smallmatrix} +73 \\ -80 \end{smallmatrix}\right)$	0.916 $\left(\begin{smallmatrix} +65 \\ -70 \end{smallmatrix}\right)$
$h_{A_1}(1)$	0.906 (13)	0.906 (13)

- B. Grinstein, A. Kobach, Phys. Lett. B771 (2017) 359

$$|V_{cb}| = (37.4 \pm 1.3) \times 10^{-3} \quad (\text{CLN})$$

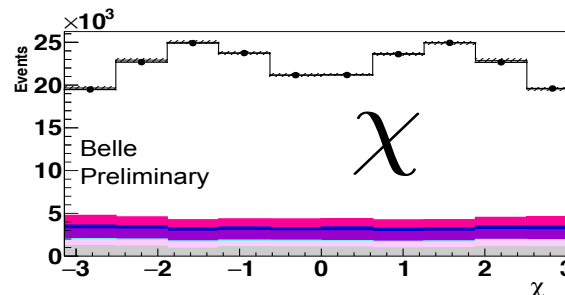
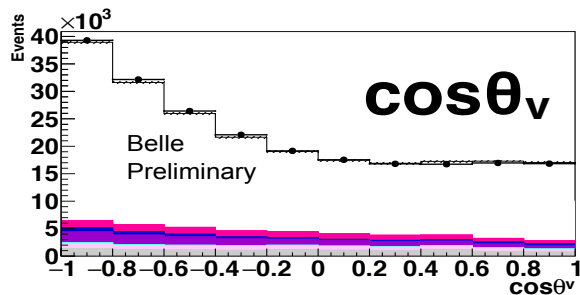
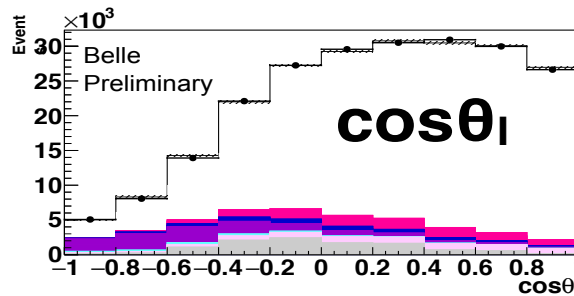
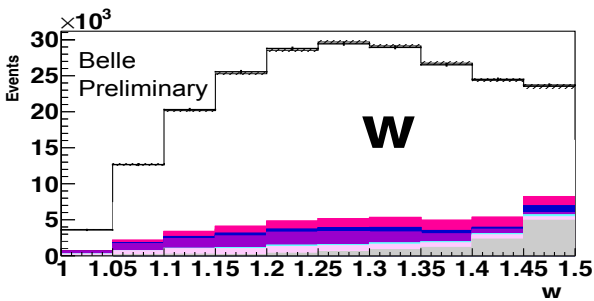
$$|V_{cb}| = (41.9 \pm_{-1.9}^{+2.0}) \times 10^{-3} \quad (\text{BGL})$$

Belle update $B^0 \rightarrow D^{*l} \nu$ untagged [arXiv:1809.032090]

Simultaneous fit of 1D projections of w , $\cos\theta_l$, $\cos\theta_\nu$, χ to extract the coefficients of the BGL expansion (up to 3rd order) and $F(1)|V_{cb}|$

$$F(1)|V_{cb}|\eta_{EW} 10^3 = 38.7 \pm 0.3 \pm 0.6$$

First Model independent measurement of exclusive $F(1)|V_{cb}|$



Parameters	Value
$\tilde{a}_0^f \times 10^2$	0.05635 ± 0.0004
$\tilde{a}_1^f \times 10^2$	-0.0701 ± 0.01834
$\tilde{a}_1^F \times 10^2$	-0.0276 ± 0.0071
$\tilde{a}_2^F \times 10^2$	-0.3242 ± 0.1388
$\tilde{a}_0^g \times 10^2$	-0.1037 ± 0.0020



$$|V_{cb}| = (42.5 \pm 0.3 \pm 0.7 \pm 0.6) \times 10^{-3} \quad \text{Exclusive } |V_{cb}| \text{ (BGL)}$$

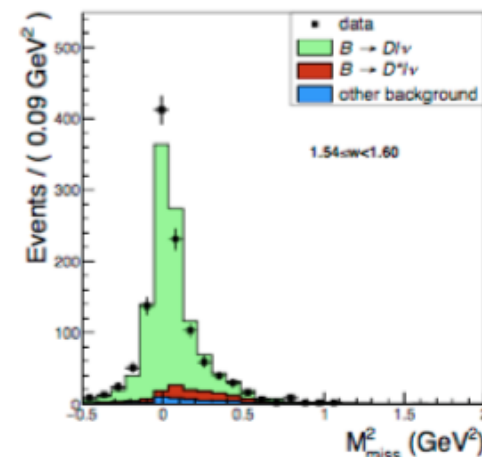
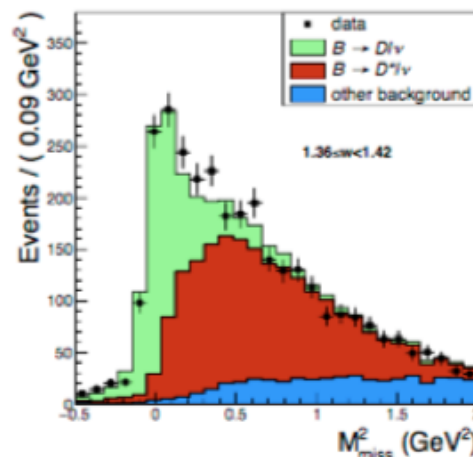
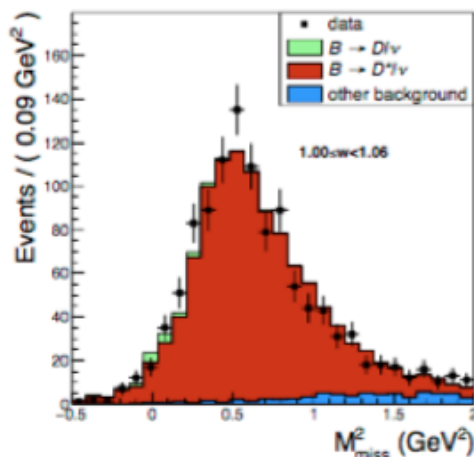
$$|V_{cb}| = (38.4 \pm 0.2 \pm 0.6 \pm 0.6) \times 10^{-3} \quad \text{Exclusive } |V_{cb}| \text{ (CLN)}$$

B → Dlv at Belle

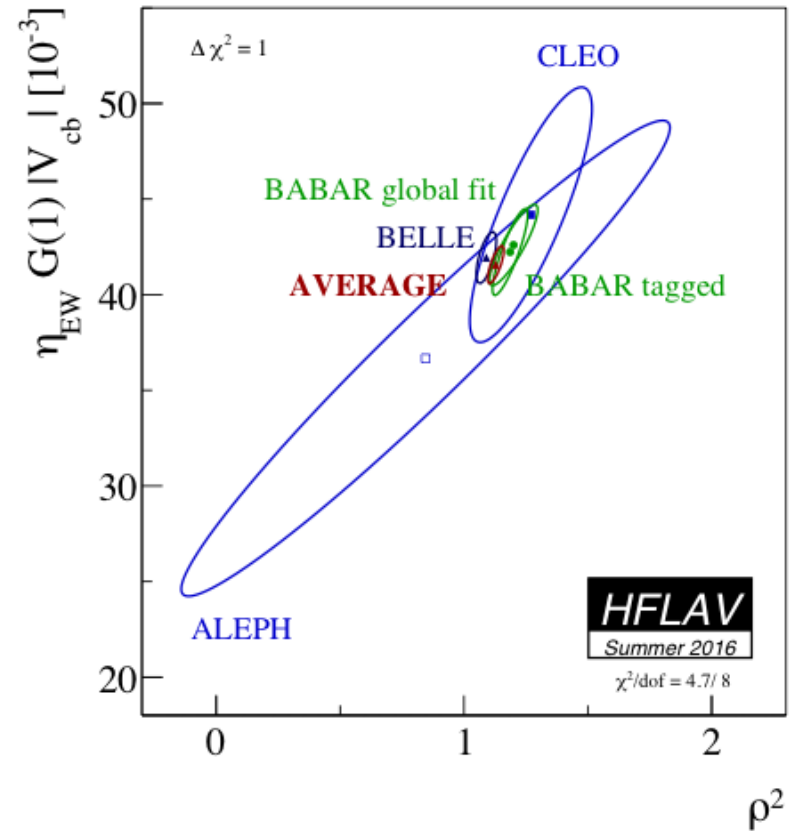
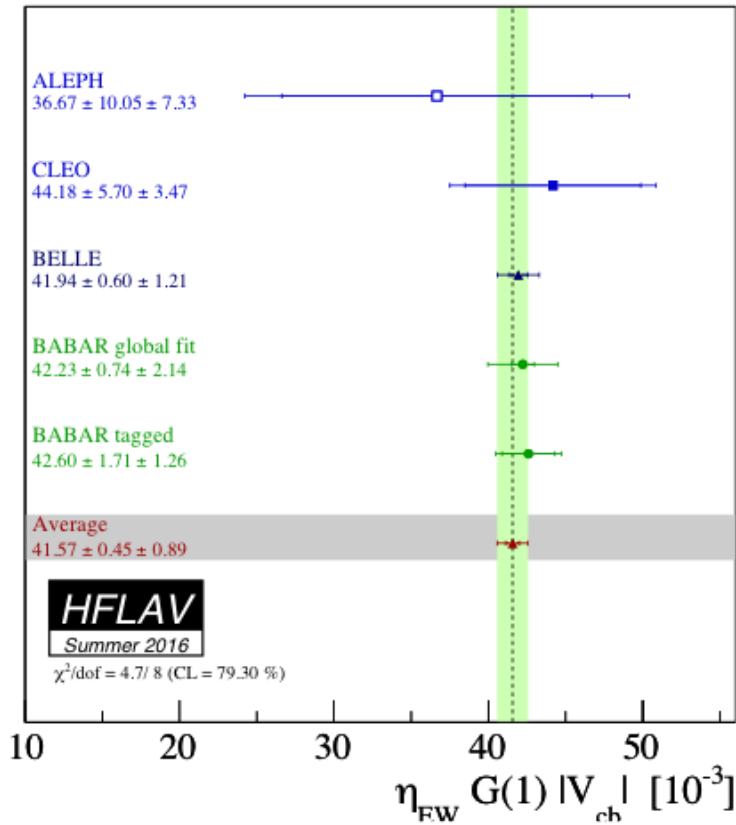
[R. Glattauer, CS, Phys. Rev. D93, 032006 (2016)]



- 711/fb of Belle Y(4S) data
- Full reconstruction of one B (hadronic tag)
- 10 D^+ and 13 D^0 modes are used on the signal side, covering 28.9% and 40.1% of the width
- Signal extraction from M_{miss}^2 in 10 bins of w
- 16,992 +/- 192 signal events
(5150 +/- 95 neutral, 11,843 +/- 167 charged B events)



$\eta_{EW} G(1) |V_{cb}|$ ($B \rightarrow D|v$)



$$\eta_{EW} G(1) |V_{cb}| = (41.57 \pm 0.45 \pm 0.89) \times 10^{-3}$$

HFLAV analysis of $|V_{cb}|$ exclusive (2016)

- $B \rightarrow D|v$

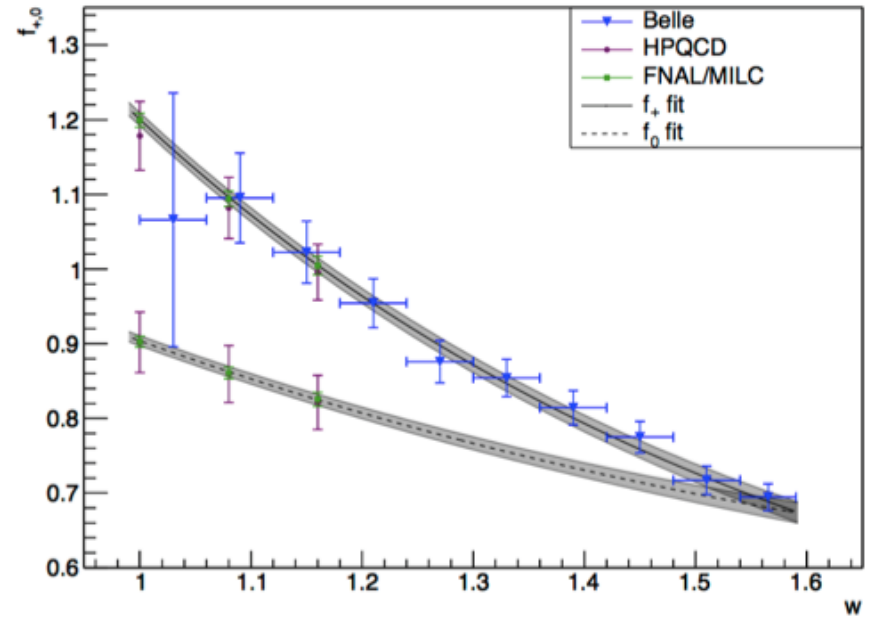
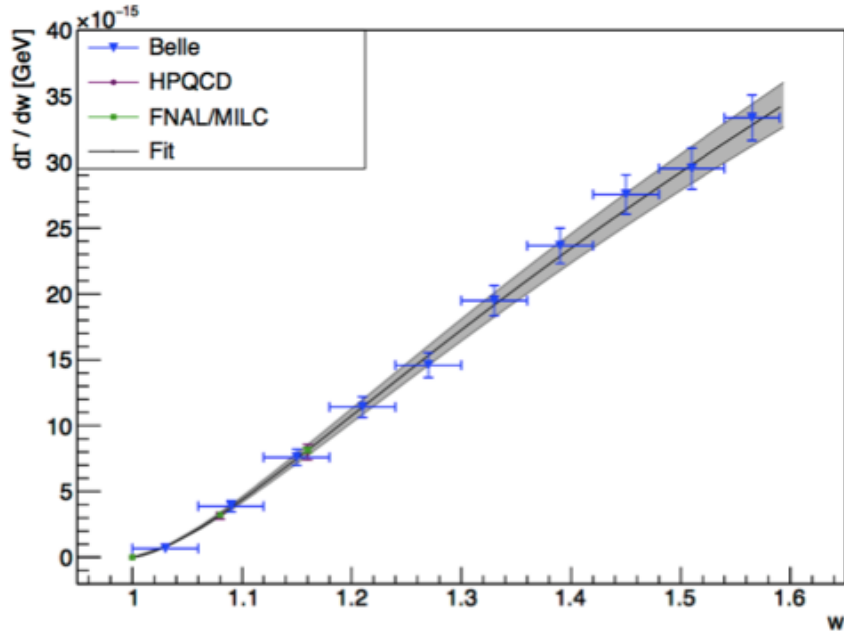
- $\eta_{EW} G(1) |V_{cb}| = (41.57 \pm 0.45 \pm 0.89) \times 10^{-3}$

- $G(1) = 1.0541 \pm 0.0083$ [FNAL/MILC, PRD92, 034506]

- $\eta_{EW} = 1.0066 \pm 0.0016$ [NPB 196, 83]

- $|V_{cb}| = (39.18 \pm 0.94_{\text{exp}} \pm 0.36_{\text{th}}) \times 10^{-3}$

Belle 2016: BGL fit with lattice data



	$N = 2$	$N = 3$	$N = 4$
$a_{+,0}$	0.0127 ± 0.0001	0.0126 ± 0.0001	0.0126 ± 0.0001
$a_{+,1}$	-0.091 ± 0.002	-0.094 ± 0.003	-0.094 ± 0.003
$a_{+,2}$	0.34 ± 0.03	0.34 ± 0.04	0.34 ± 0.04
$a_{+,3}$	–	-0.1 ± 0.6	-0.1 ± 0.6
$a_{+,4}$	–	–	0.0 ± 1.0
$a_{0,0}$	0.0115 ± 0.0001	0.0115 ± 0.0001	0.0115 ± 0.0001
$a_{0,1}$	-0.058 ± 0.002	-0.057 ± 0.002	-0.057 ± 0.002
$a_{0,2}$	0.22 ± 0.02	0.12 ± 0.04	0.12 ± 0.04
$a_{0,3}$	–	0.4 ± 0.7	0.4 ± 0.7
$a_{0,4}$	–	–	0.0 ± 1.0
$\eta_{EW} V_{cb} $	40.01 ± 1.08	41.10 ± 1.14	41.10 ± 1.14
χ^2/n_{df}	24.7/16	11.4/16	11.3/16
Prob.	0.075	0.787	0.787

Lattice data	$\eta_{EW} V_{cb} [10^{-3}]$	χ^2/n_{df}	Prob.
FNAL/MILC [15]	40.96 ± 1.23	6.01/10	0.81
HPQCD [32]	41.14 ± 1.88	4.83/10	0.90
FNAL/MILC & HPQCD [15, 32]	41.10 ± 1.14	11.35/16	0.79

$|V_{cb}|$ from inclusive decays

$$B \rightarrow Xlv \quad \Gamma = \frac{G_F^2 m_b^5}{192\pi^3} |V_{cb}|^2 \left(1 + \frac{c_5(\mu) \langle O_5 \rangle(\mu)}{m_b^2} + \frac{c_6(\mu) \langle O_6 \rangle(\mu)}{m_b^3} + \mathcal{O}\left(\frac{1}{m_b^4}\right) \right)$$

- Based on the Operator Product Expansion (OPE)
- $\langle O_i \rangle$: hadronic matrix elements (non-perturbative)
 c_i : coefficients (perturbative)
- **Parton-hadron duality** \rightarrow the hadronic ME depend only on the initial state

	Kinetic [JHEP 1109 (2011) 055]	1S [PRD70, 094017 (2004)]
$O(1)$	m_b, m_c	m_b
$O(1/m_b^2)$	μ_π^2, μ_G^2	λ_1, λ_2
$O(1/m_b^3)$	ρ_D^3, ρ_{LS}^3	ρ_1, τ_{1-3}

Moments of the E_ℓ and M_X^2 spectrum

Also other observables in $B \rightarrow X\ell\nu$ can be expanded into an OPE with the same heavy quark parameters, e.g.,

- The n^{th} moment of the (truncated) lepton energy spectrum

$$R_n(E_{\text{cut}}, \mu) = \int_{E_{\text{cut}}} (E_\ell - \mu)^n \frac{d\Gamma}{dE_\ell} dE_\ell, \quad \langle E_\ell^n \rangle_{E_{\text{cut}}} = \frac{R_n(E_{\text{cut}}, 0)}{R_0(E_{\text{cut}}, 0)}$$

- The n^{th} moment of the (truncated) M_X^2 spectrum

$$\langle m_X^{2n} \rangle_{E_{\text{cut}}} = \frac{\int_{E_{\text{cut}}} (m_X^2)^n \frac{d\Gamma}{dm_X^2} dm_X^2}{\int_{E_{\text{cut}}} \frac{d\Gamma}{dm_X^2} dm_X^2}$$

Master plan:

- Measure the quark masses and heavy quark parameters using moments
- Substitute them in the formula of the semileptonic width
- Determine $|V_{cb}|$ from the semileptonic branching fraction

Two sets of theoretical calculations

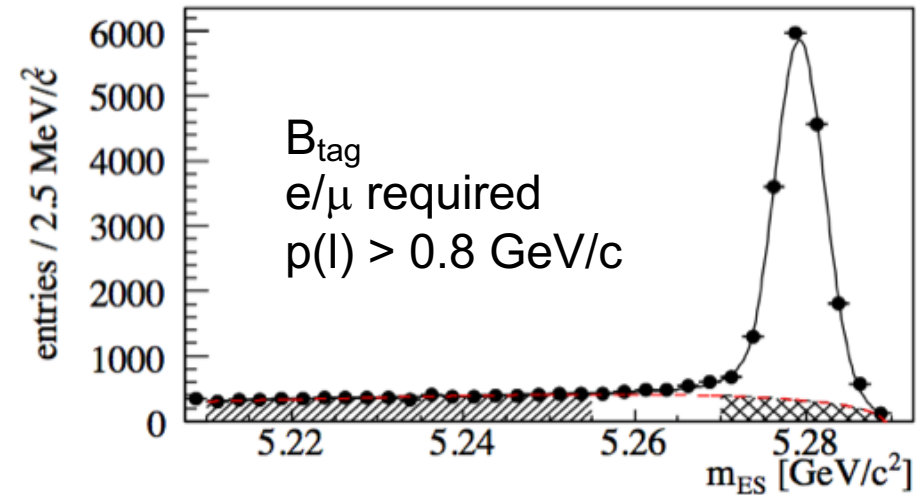
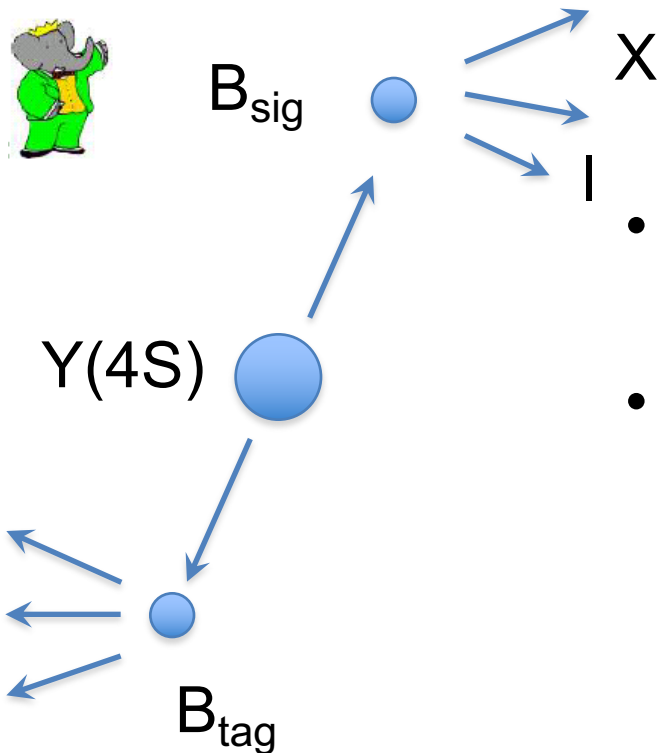
- “Kinetic running mass”
 - P. Gambino, N. Uraltsev, Eur. Phys. J. C34, 181 (2004)
 - P. Gambino, JHEP 1109 (2011) 055
 - A. Alberti, P. Gambino, K.J. Healey, S. Nandi, Phys. Rev. Lett. 114, 061802 (2015)
- “1S mass”
 - C. Bauer, Z. Ligeti, M. Luke, A. Manohar, M. Trott, Phys. Rev. D70, 094017 (2004)
- Non-perturbative parameters in the $1/m_b$ expansion

	Kinetic	1S
$O(1)$	m_b, m_c	m_b
$O(1/m_b^2)$	μ_π^2, μ_G^2	λ_1, λ_2
$O(1/m_b^3)$	ρ_D^3, ρ_{LS}^3	ρ_1, τ_{1-3}

BaBar hadronic moments

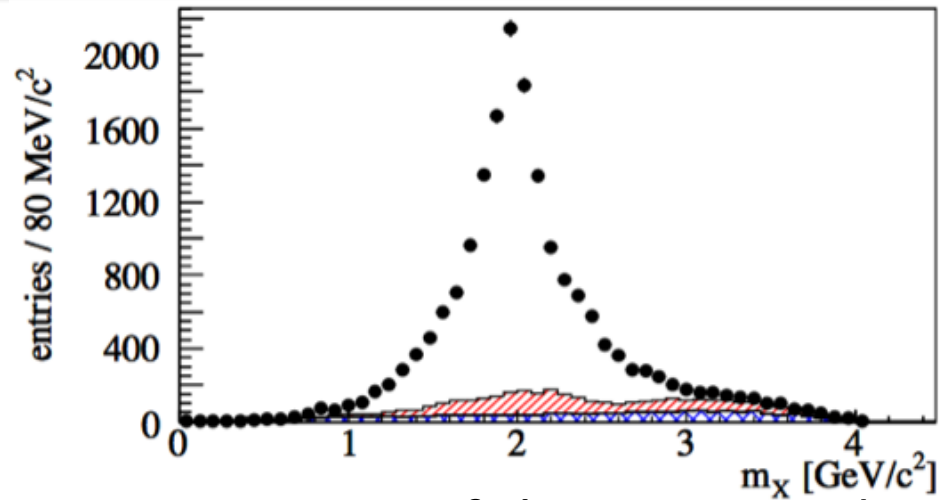
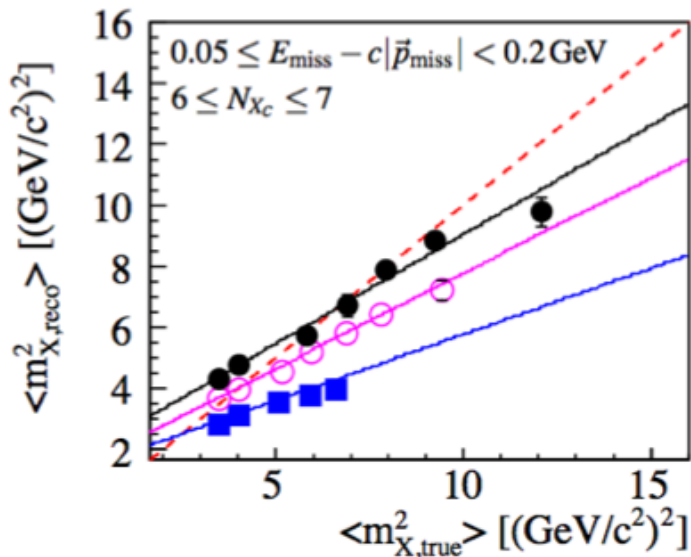
232M BB

- Fully reconstruct the hadronic decay of one B in $Y(4S) \rightarrow BB$ (efficiency $\sim 0.4\%$, purity $\sim 80\%$)



- Require one identified lepton amongst the signal-side particles ($p > 0.8$ GeV/c)
- Combine all remaining particles to the X system and do a kinematic fit
 - 4-momentum conservation
 - Missing mass consistent with zero mass neutrino

- Hadronic mass spectrum after kinematic fit



- Linear correction of the measured moments in bins of X multiplicity, $E_{\text{miss}} - cp_{\text{miss}}$ and lepton momentum
- Moments of the hadronic mass spectrum up to M_X^6 for E_{cut} between 0.8 and 1.9 GeV are measured
- Also **mixed mass-energy moments** are determined and the **electron energy moments** from [PRD69, 111104] are re-evaluated

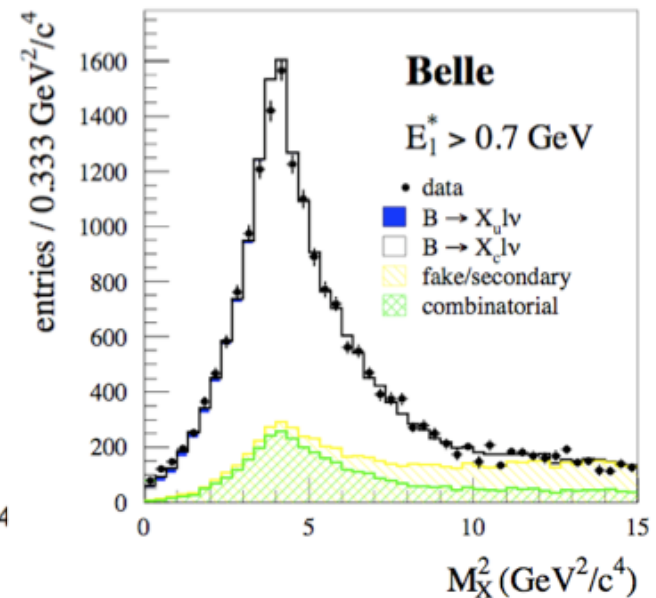
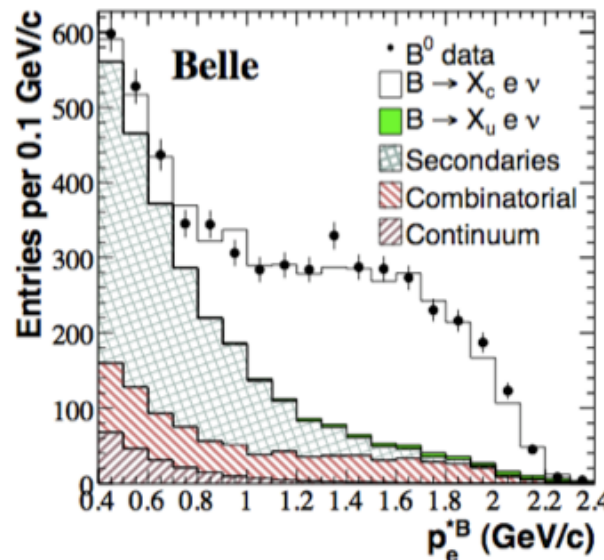
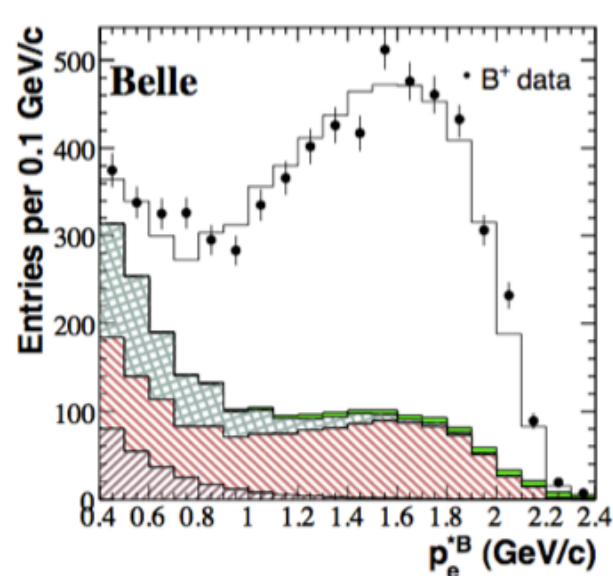
Belle E_1 and M_X^2 moments

PRD 75, 032001 (2007)

PRD 75, 032005 (2007)

152M BB

- For both the E_1 and M_X^2 measurements, similar experimental method using fully reconstructed events
- The finite detector resolution is unfolded with SVD algorithm [NIM A372, 469 (1996)]
- $\langle E_e^n \rangle$ measured for $n=0, \dots, 4$ and $E_{\text{cut}}=0.4-2.0$ GeV
- $\langle M_X^{2n} \rangle$ measured for $n=1, 2$ and $E_{\text{cut}}=0.7-1.9$ GeV



Data used in $b \rightarrow c$ inclusive analyses

BaBar	$\langle E_1^n \rangle$: $n=0,1,2,3$ [PRD 69, 111104 (2004), PRD 81, 032003 (2010)] $\langle M_x^{2n} \rangle$: $n=1,2, 3$ [PRD 81, 032003 (2010)]
Belle	$\langle E_1^n \rangle$: $n=0,1,2,3$ [PRD 75, 032001 (2007)] $\langle M_x^{2n} \rangle$: $n=1,2$ [PRD 75, 032005 (2007)]
CDF	$\langle M_x^{2n} \rangle$: $n=1,2$ [PRD 71, 051103 (2005)]
CLEO	$\langle M_x^{2n} \rangle$: $n=1,2$ [PRD 70, 032002 (2004)] $\langle E_\gamma^n \rangle$: $n=1$ [PRL 87, 251807 (2001)]
DELPHI	$\langle E_1^n \rangle$: $n=1,2,3$ $\langle M_x^{2n} \rangle$: $n=1,2$ [EPJ C45, 35 (2006)]

- Newest measurement is from the year 2010!

Moments used in the HFLAV analysis

HFLAV

Summer 2016

Experiment	Hadron moments $\langle M_X^n \rangle$	Lepton moments $\langle E_\ell^n \rangle$
<i>BABAR</i>	$n = 2, c = 0.9, 1.1, 1.3, 1.5$ $n = 4, c = 0.8, 1.0, 1.2, 1.4$ $n = 6, c = 0.9, 1.3$ [495]	$n = 0, c = 0.6, 1.2, 1.5$ $n = 1, c = 0.6, 0.8, 1.0, 1.2, 1.5$ $n = 2, c = 0.6, 1.0, 1.5$ $n = 3, c = 0.8, 1.2$ [495, 496]
Belle	$n = 2, c = 0.7, 1.1, 1.3, 1.5$ $n = 4, c = 0.7, 0.9, 1.3$ [497]	$n = 0, c = 0.6, 1.4$ $n = 1, c = 1.0, 1.4$ $n = 2, c = 0.6, 1.4$ $n = 3, c = 0.8, 1.2$ [498]
CDF	$n = 2, c = 0.7$ $n = 4, c = 0.7$ [499]	
CLEO	$n = 2, c = 1.0, 1.5$ $n = 4, c = 1.0, 1.5$ [500]	
DELPHI	$n = 2, c = 0.0$ $n = 4, c = 0.0$ $n = 6, c = 0.0$ [489]	$n = 1, c = 0.0$ $n = 2, c = 0.0$ $n = 3, c = 0.0$ [489]

- 23 measurements from BaBar, 15 measurements from Belle, 12 from other experiments

Kinetic scheme analysis

HFLAV

Summer 2016

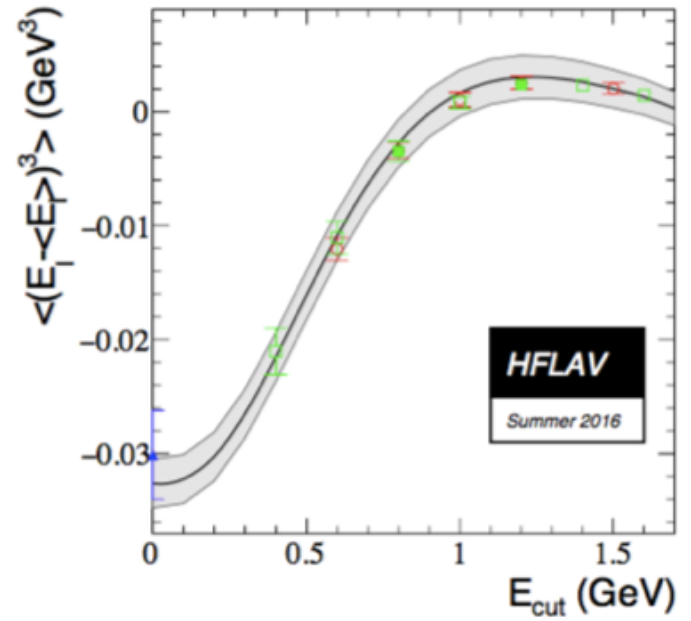
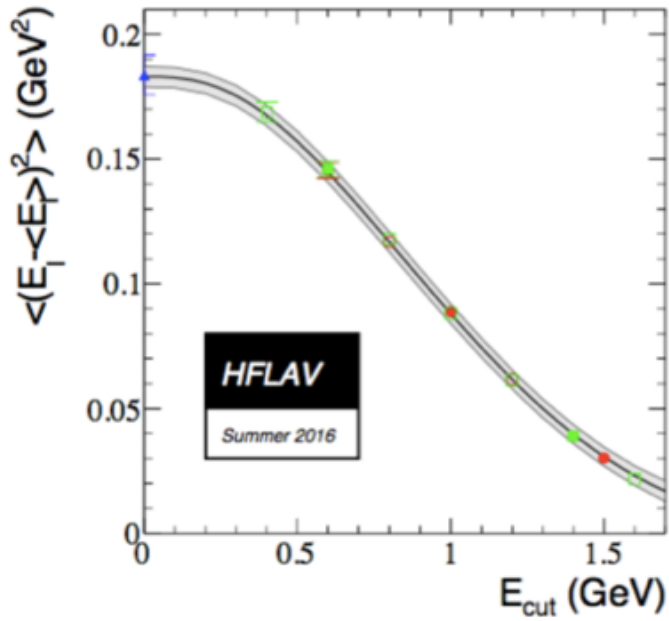
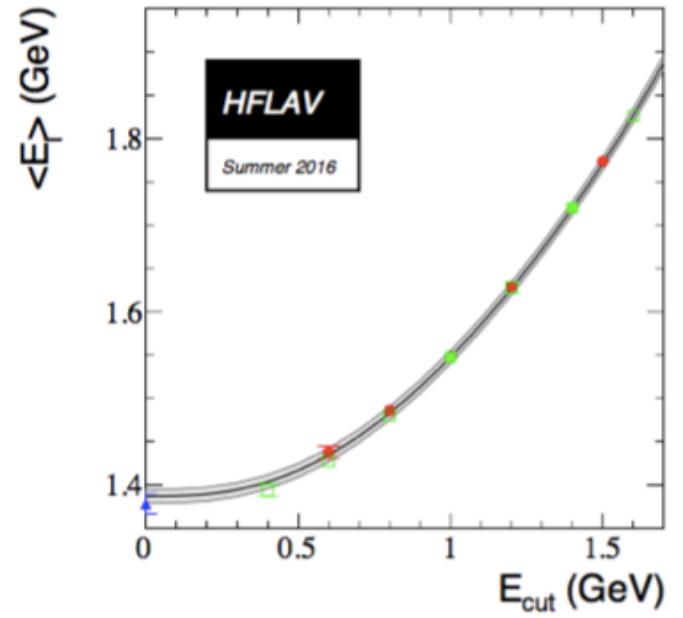
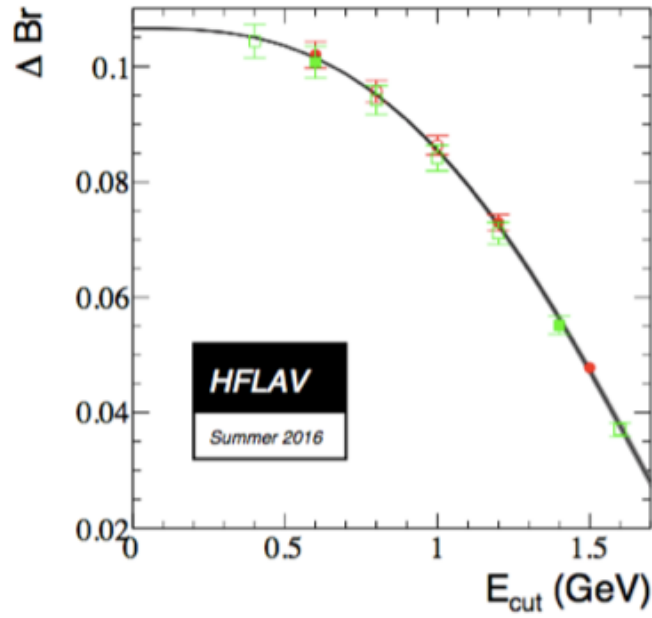
	$ V_{cb} $ [10^{-3}]	m_b^{kin} [GeV]	$m_c^{\overline{\text{MS}}}$ [GeV]	μ_π^2 [GeV^2]	ρ_D^3 [GeV^3]	μ_G^2 [GeV^2]	ρ_{LS}^3 [GeV^3]
value	42.19	4.554	0.987	0.464	0.169	0.333	-0.153
error	0.78	0.018	0.015	0.076	0.043	0.053	0.096
$ V_{cb} $	1.000	-0.257	-0.078	0.354	0.289	-0.080	-0.051
m_b^{kin}		1.000	0.769	-0.054	0.097	0.360	-0.087
$m_c^{\overline{\text{MS}}}$			1.000	-0.021	0.027	0.059	-0.013
μ_π^2				1.000	0.732	0.012	0.020
ρ_D^3					1.000	-0.173	-0.123
μ_G^2						1.000	0.066
ρ_{LS}^3							1.000

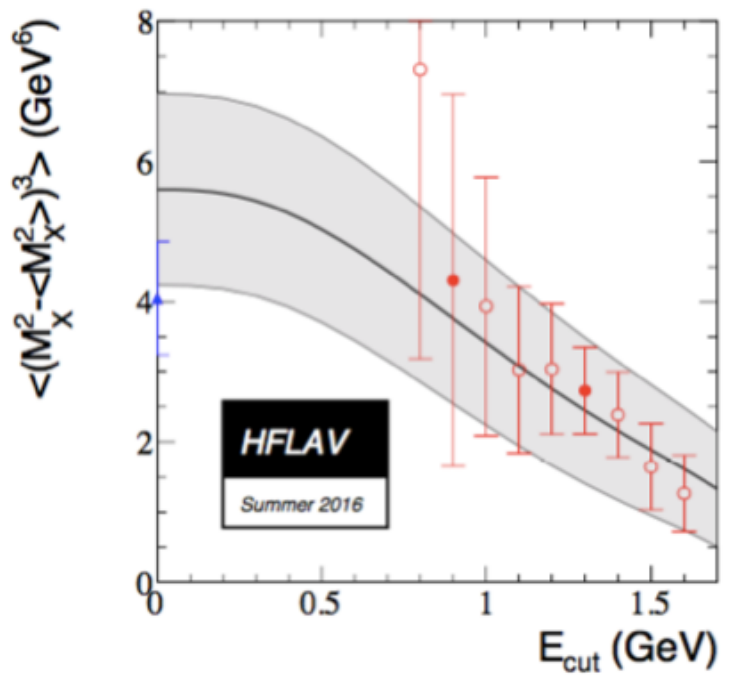
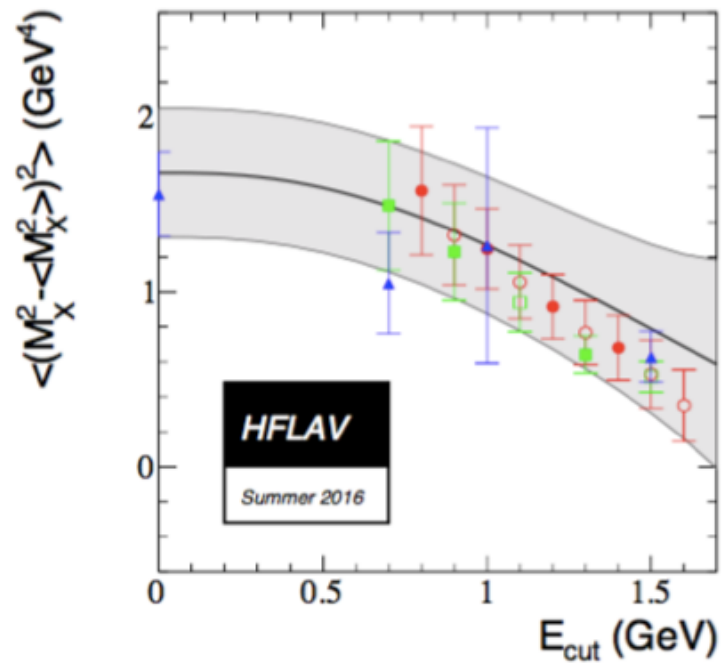
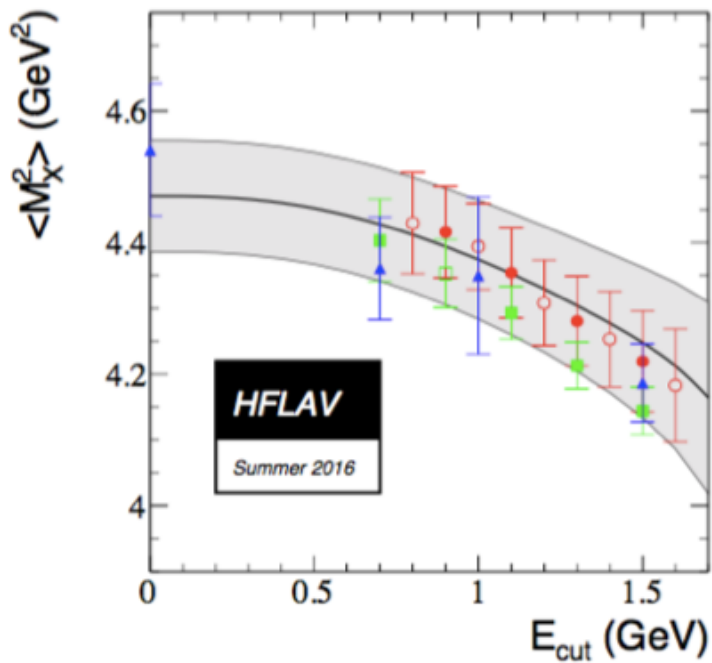
$$\mathcal{B}(\overline{B} \rightarrow X_c \ell^- \bar{\nu}_\ell) = (10.65 \pm 0.16)\%$$

χ^2 of 15.6 for 43 degrees of freedom.

- c quark mass constraints $m_c^{\overline{\text{MS}}}(3 \text{ GeV}) = 0.986 \pm 0.013 \text{ GeV}$
- Average B lifetime: (1.579 +/- 0.004) ps

- BaBar
- Belle
- ▲ Other





- BaBar
- Belle
- ▲ Other

1S scheme analysis

	m_b^{1S} [GeV]	λ_1 [GeV ²]	ρ_1 [GeV ³]	τ_1 [GeV ³]	τ_2 [GeV ³]	τ_3 [GeV ³]	$ V_{cb} $ [10 ⁻³]
value	4.691	-0.362	0.043	0.161	-0.017	0.213	41.98
error	0.037	0.067	0.048	0.122	0.062	0.102	0.45
m_b^{1S}	1.000	0.434	0.213	-0.058	-0.629	-0.019	-0.215
λ_1		1.000	-0.467	-0.602	-0.239	-0.547	-0.403
ρ_1			1.000	0.129	-0.624	0.494	0.286
τ_1				1.000	0.062	-0.148	0.194
τ_2					1.000	-0.009	-0.145
τ_3						1.000	0.376
$ V_{cb} $							1.000

χ^2 of 23.0 for 59 degrees of freedom

- B quark mass constrained with $B \rightarrow X_s \gamma$ data
- Average B lifetime: (1.579 +/- 0.004) ps

$|V_{ub}|$

Determination of $|V_{ub}|$

Exclusive
 $B \rightarrow \pi \ell \nu$

$$\frac{d\Gamma(B^0 \rightarrow \pi^- \ell^+ \nu)}{dq^2} = \frac{G_F^2}{24\pi^3} |V_{ub}|^2 p_\pi^3 |f_+(q^2)|^2$$

- Form factor f_+ from lattice QCD or from QCD sum rules

Inclusive
 $B \rightarrow X_u \ell \nu$

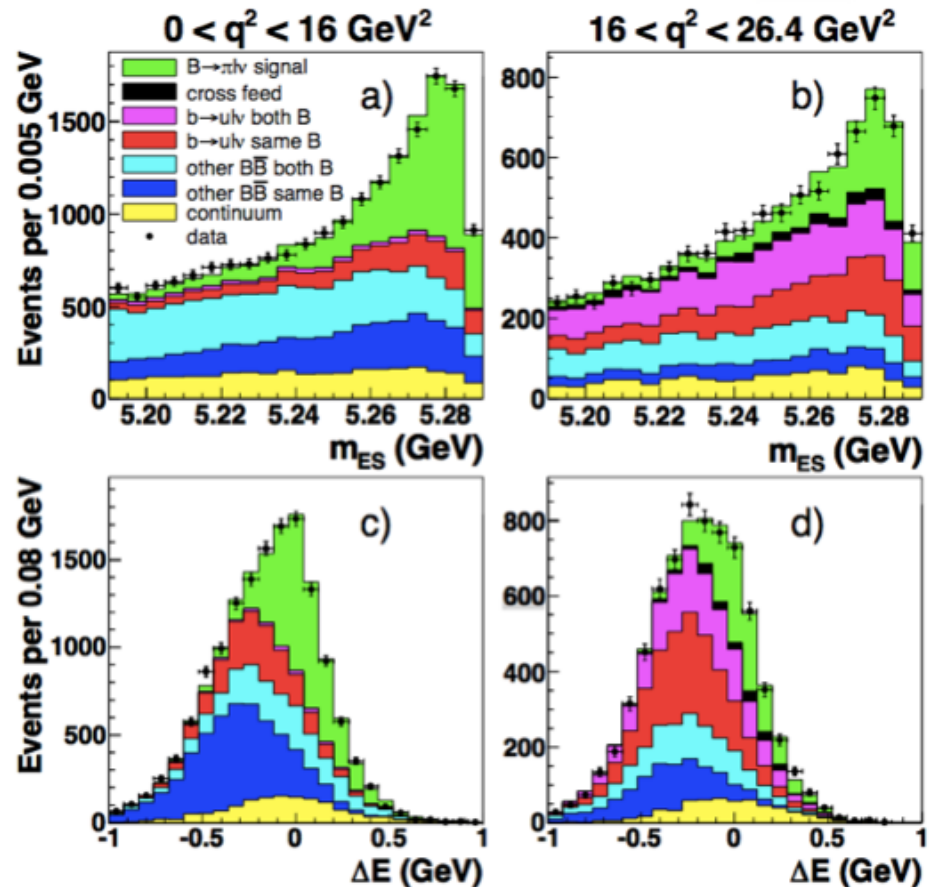
- Also based on the OPE as for $b \rightarrow c$
- Experimental selections can comprise the convergence of the OPE \rightarrow **shape function**
- Calculations used by HFLAV
 - BLNP [PRD 72, 073006(2005)]
 - DGE [JHEP 0601:097 (2006)]
 - GGOU [JHEP 0710:058 (2007)]

B \rightarrow $\pi\nu$ untagged

[PRD 86, 092004 (2012)]



- 416/fb of BaBar Y(4S) data
- Reconstruct only $\pi e/\pi\mu$, infer neutrino momentum from p_{miss} (loose neutrino reconstruction technique)
- About 12,000 signal events, S/N ~ 0.1
- Partial branching fractions obtained in 12 q^2 bins
- Systematics: detector effects, $b \rightarrow u$ background



$$m_{ES} = \sqrt{E_{beam}^{*2} - p_{\pi\nu}^{*2}}$$
$$\Delta E = E_{\pi\nu}^* - E_{beam}^*$$

B \rightarrow $\pi l \nu$ untagged

[PRD 86, 092004 (2012)]



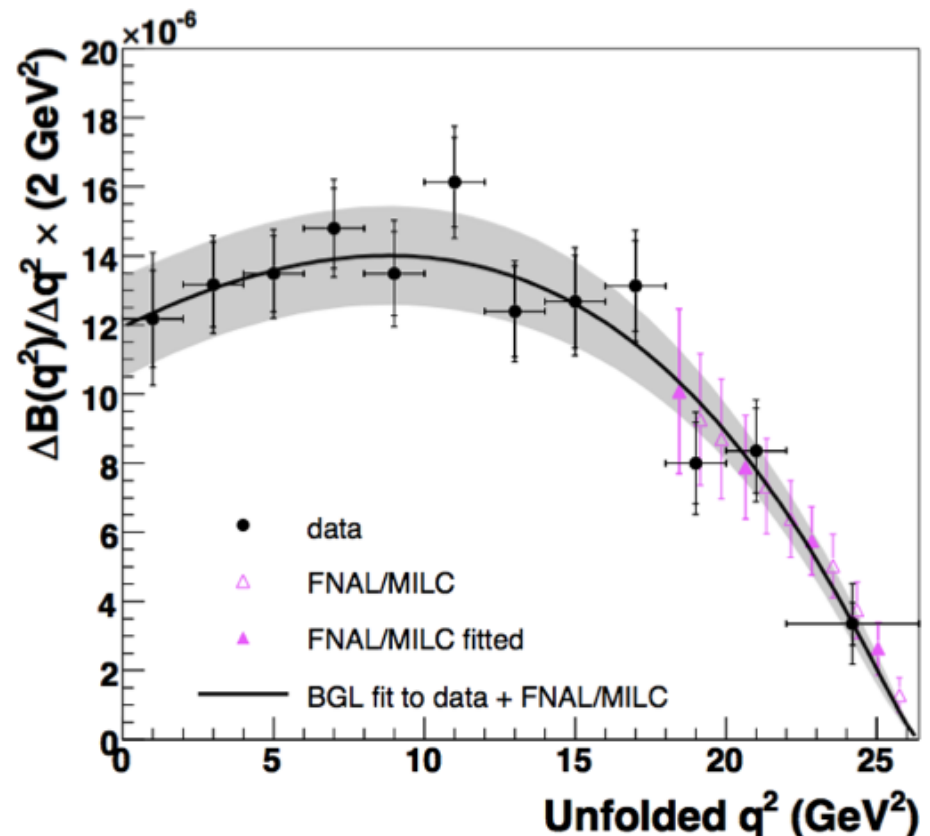
- FF parameterization: Boyd-Grinstein-Lebed

$$f_+(q^2) = \frac{1}{\mathcal{P}(q^2)\phi(q^2, q_0^2)} \sum_{k=0}^{k_{max}} a_k(q_0^2) [z(q^2, q_0^2)]^k \quad z(q^2, q_0^2) = \frac{\sqrt{m_+^2 - q^2} - \sqrt{m_+^2 - q_0^2}}{\sqrt{m_+^2 - q^2} + \sqrt{m_+^2 - q_0^2}}$$

- Combined fit with FNAL/MILC lattice data yields

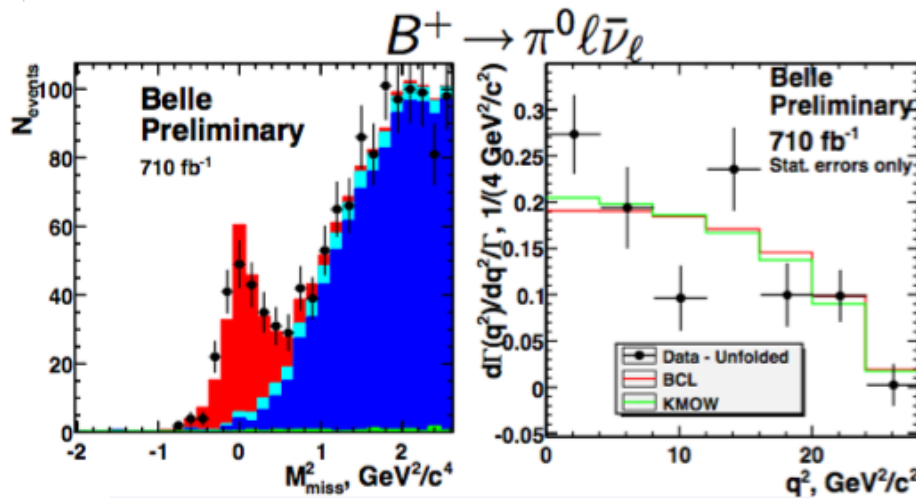
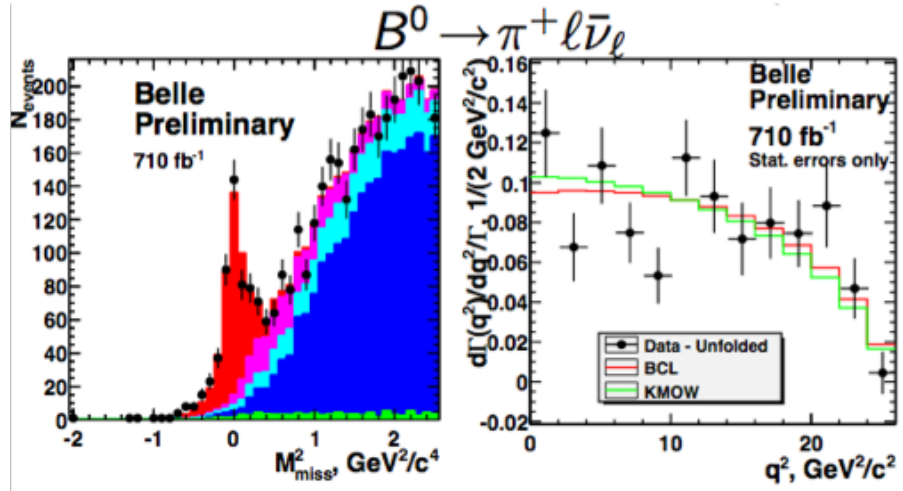
$$|V_{ub}| = (3.25 \pm 0.31) \times 10^{-3}$$

- Alternative extractions of $|V_{ub}|$ (using LCSR/LQCD in regions of q^2) consistent with the combined fit



B → πlv with hadronic tag

[PRD 88, 032005 (2013)]



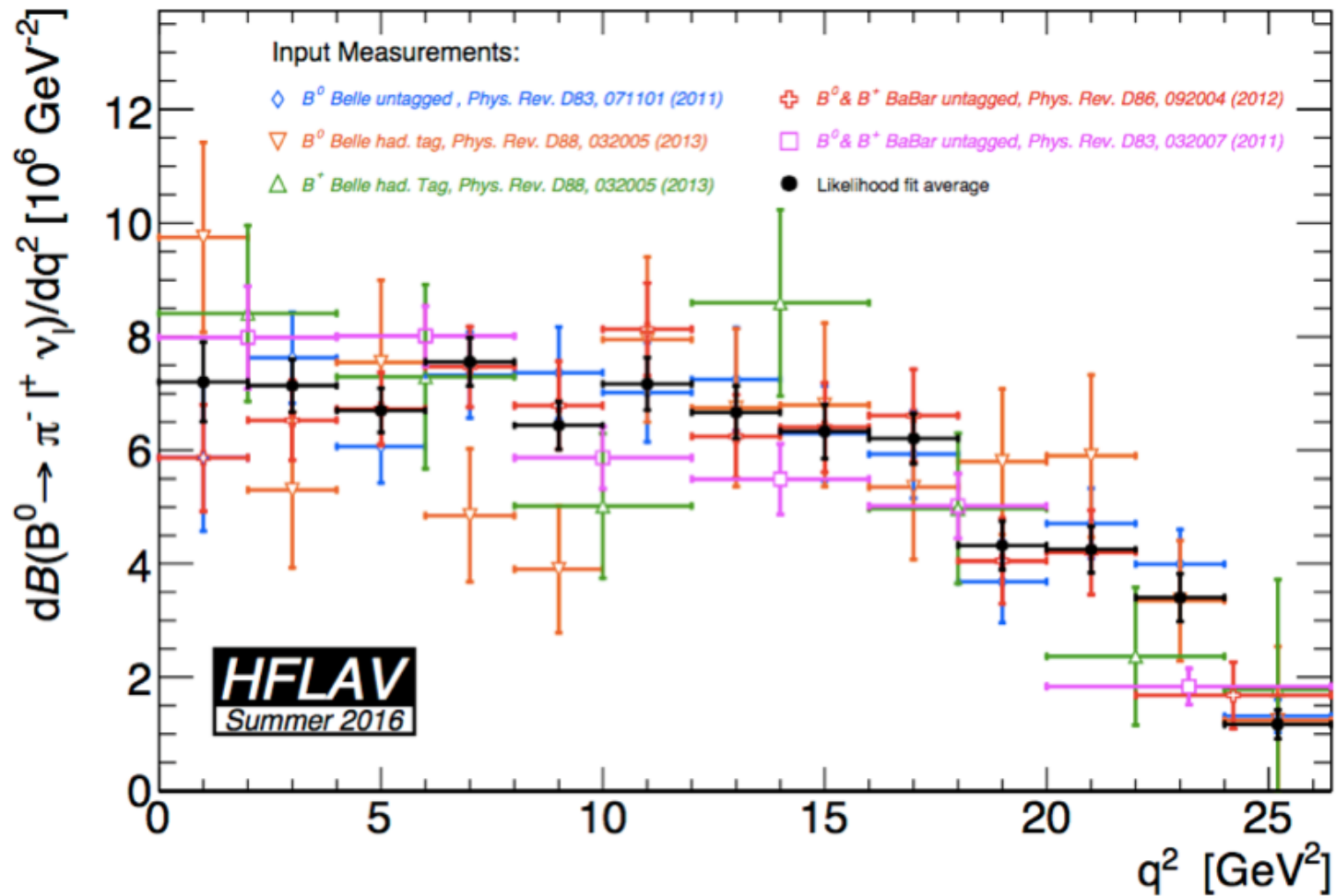
$\pi l \bar{\nu}_l$ $X_u l \bar{\nu}_l$ cross feed $\rho l \bar{\nu}_l$ cross feed $B\bar{B}$ $q\bar{q}$

- 703/fb of Belle Y(4S) data
- Hadronic tag
- Yield extracted from M_{miss}^2 in 13 (7) bins of q^2 for $B^0 \rightarrow \pi^+ l \nu$ ($B^+ \rightarrow \pi^0 l \nu$)
- Main systematics: tag calibration

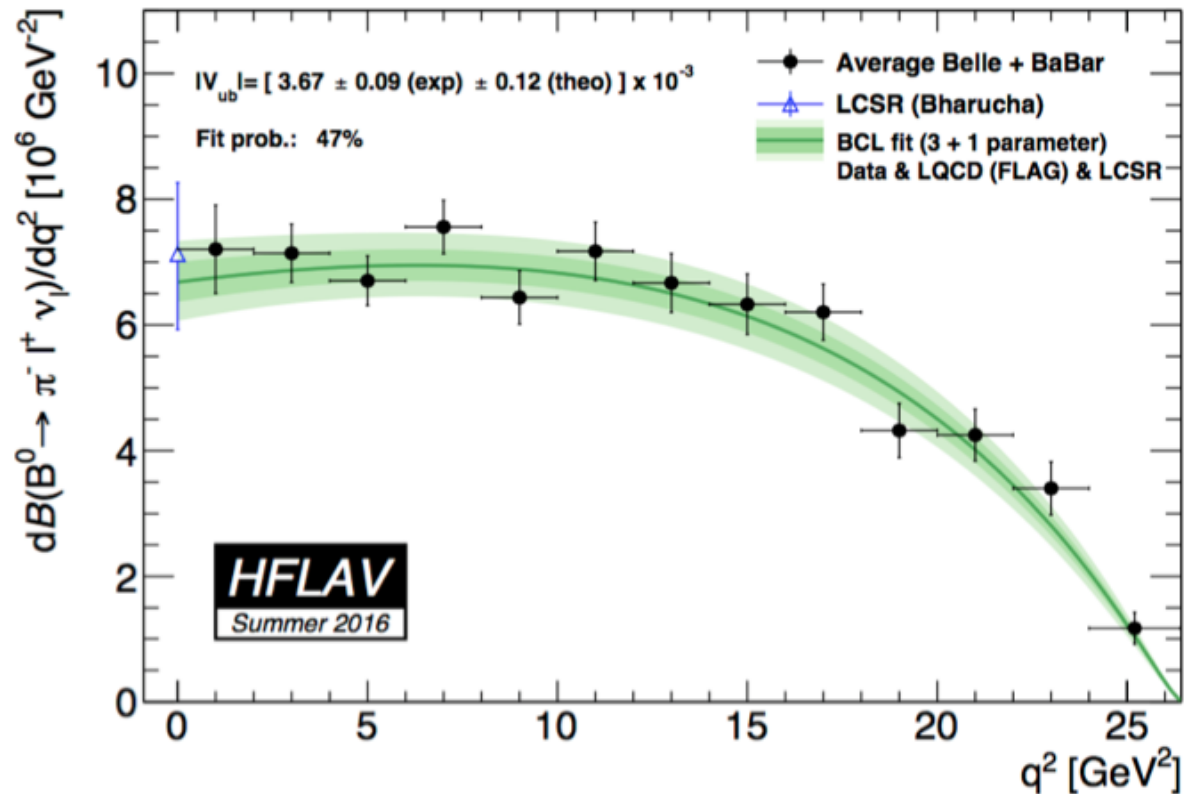
X_u	Yield	$\mathcal{B} \times 10^4$
π^+	461 ± 28	$1.49 \pm 0.09 \pm 0.07$
π^0	230 ± 22	$0.80 \pm 0.08 \pm 0.04$

X_u	Theory	$q^2, \text{GeV}^2/c^2$	$ V_{ub} \times 10^3$
π^0	LCSR1	< 12	$3.30 \pm 0.22 \pm 0.09^{+0.35}_{-0.30}$
	LCSR2	< 16	$3.62 \pm 0.20 \pm 0.10^{+0.60}_{-0.40}$
	HPQCD	> 16	$3.45 \pm 0.31 \pm 0.09^{+0.58}_{-0.38}$
	FNAL/MILC	> 16	$3.30 \pm 0.30 \pm 0.09^{+0.36}_{-0.30}$
π^+	LCSR1	< 12	$3.38 \pm 0.14 \pm 0.09^{+0.36}_{-0.32}$
	LCSR2	< 16	$3.57 \pm 0.13 \pm 0.09^{+0.59}_{-0.39}$
	HPQCD	> 16	$3.86 \pm 0.23 \pm 0.10^{+0.66}_{-0.44}$
	FNAL/MILC	> 16	$3.69 \pm 0.22 \pm 0.09^{+0.41}_{-0.34}$

HFLAV 2016: Average q^2 spectrum...



...and BCL fit



Proportional to

$$|V_{ub}|^2 |f_+(q^2)|^2$$



$$\chi^2 = \left(\vec{B} - \Delta \vec{\Gamma} \tau \right)^T C^{-1} \left(\vec{B} - \Delta \vec{\Gamma} \tau \right) + \chi_{\text{LQCD}}^2 + \chi_{\text{LCSR}}^2$$



Lattice QCD enters the fit through a constraint on the BCL parameters (FLAG lattice average [[arXiv:1203.1359 \[hep-ph\]](https://arxiv.org/abs/1203.1359)])

HFLAV 2016 results for $|V_{ub}|$

- Exclusive

- Data + LQCD:

- $(3.70 \pm 0.10(\text{exp}) \pm 0.12(\text{th})) \times 10^{-3}$

- Data + LQCD + LCSR:

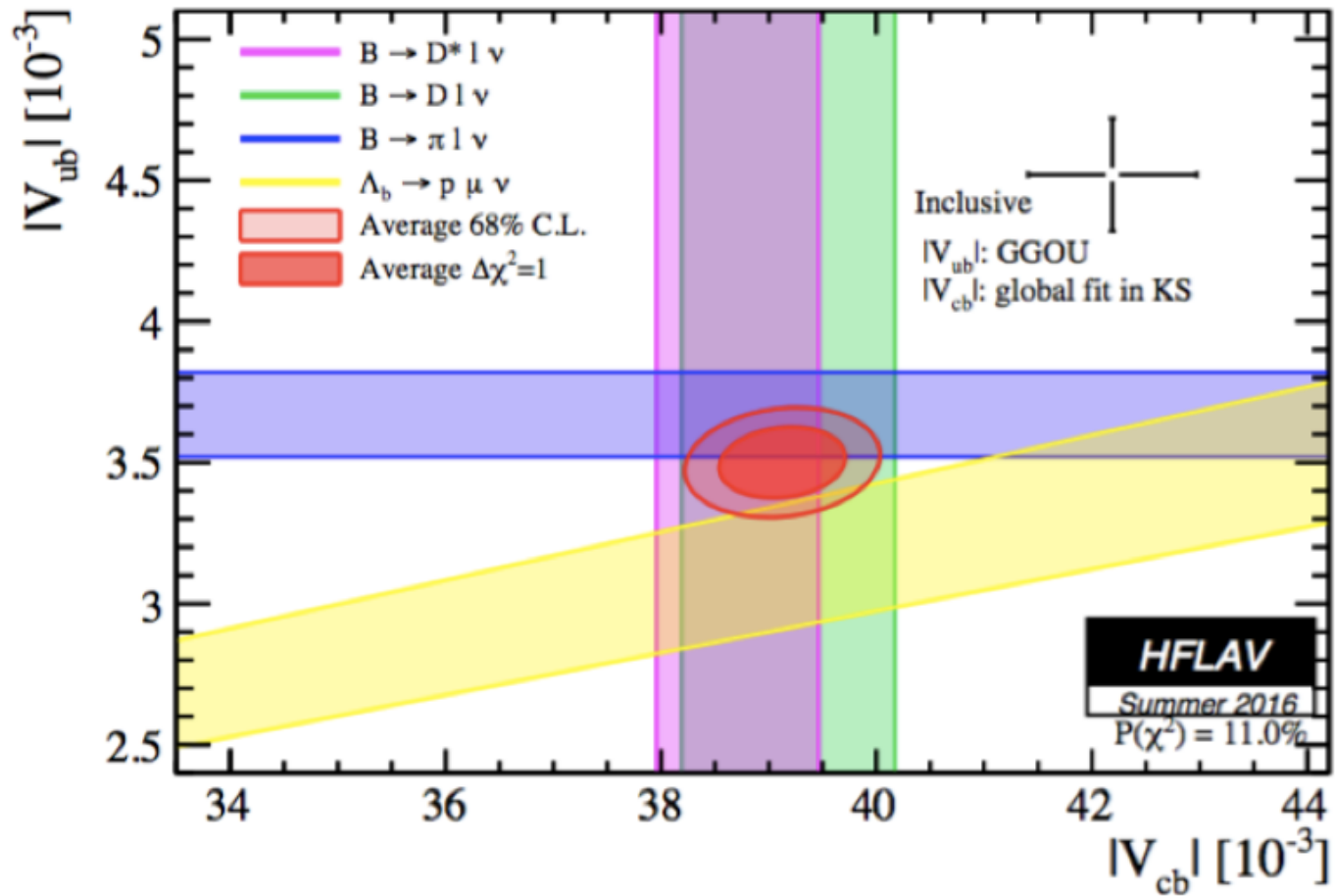
- $(3.67 \pm 0.09(\text{exp}) \pm 0.12(\text{th})) \times 10^{-3}$

- Inclusive

- BLNP: $(4.44 \pm 0.15 +0.21/-0.22) \times 10^{-3}$

- DGE: $(4.52 \pm 0.16 +0.15/-0.16) \times 10^{-3}$

- GGOU: $(4.52 \pm 0.15 +0.11/-0.14) \times 10^{-3}$



Summary

- $|V_{cb}|$
 - HFLAV 2016 results
 - exclusive (D^*lv): $(39.05 \pm 0.47(\text{exp}) \pm 0.58(\text{th})) \times 10^{-3}$
 - inclusive: $(42.19 \pm 0.78) \times 10^{-3}$
 - Evidence has been mounting in the past two years that the CLN parameterization is biasing the exclusive result
 - On two independent D^*lv data sets BGL results in $|V_{cb}|$ being $\sim 2\sigma$ higher than CLN
- $|V_{ub}|$
 - HFLAV 2016 results
 - πlv : $(3.70 \pm 0.10(\text{exp}) \pm 0.12(\text{th})) \times 10^{-3}$
 - inclusive (BLNP): $(4.44 \pm 0.15 +0.21/-0.22) \times 10^{-3}$
 - For $|V_{ub}|$ however, the $\sim 3\sigma$ discrepancy remains to be understood

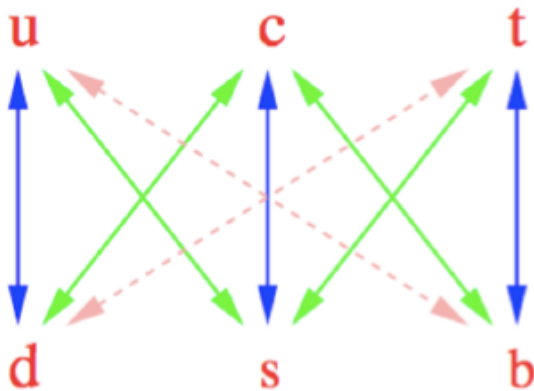
Backup

Cabibbo-Kobayashi-Maskawa quark mixing

$$\begin{pmatrix} d' \\ s' \\ b' \end{pmatrix} = \mathbf{V} \begin{pmatrix} d \\ s \\ b \end{pmatrix}$$

$$V_{\text{CKM}} = \begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{pmatrix}$$

$$\mathbf{V} \mathbf{V}^\dagger = \mathbf{V}^\dagger \mathbf{V} = 1$$



- The unitary Cabibbo-Kobayashi-Maskawa (CKM) matrix transforms the flavour eigenstates into the physical quark states
- The CKM element magnitudes determine the possible quark flavour transitions in charged current processes

$$-\mathcal{L}_{W^\pm} = \frac{g}{\sqrt{2}} \overline{u_{Li}} \gamma^\mu (V_{\text{CKM}})_{ij} d_{Lj} W_\mu^\pm + \text{h.c.}$$

CP violation

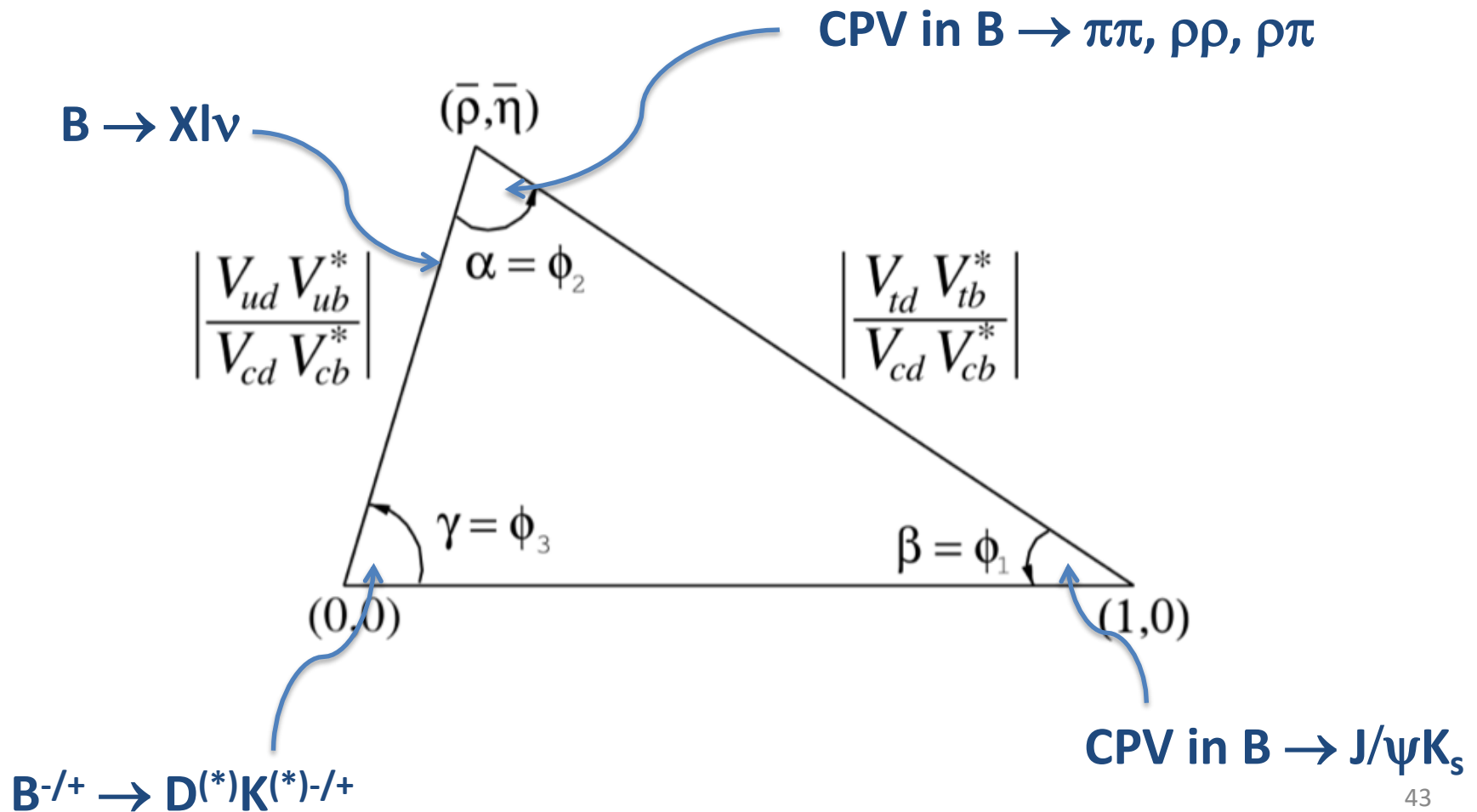
$$V_{\text{CKM}} = \begin{pmatrix} 1 - \frac{1}{2}\lambda^2 - \frac{1}{8}\lambda^4 & \lambda & A\lambda^3(\rho - i\eta) \\ -\lambda + \frac{1}{2}A^2\lambda^5[1 - 2(\rho + i\eta)] & 1 - \frac{1}{2}\lambda^2 - \frac{1}{8}\lambda^4(1 + 4A^2) & A\lambda^2 \\ A\lambda^3[1 - (1 - \frac{1}{2}\lambda^2)(\rho + i\eta)] & -A\lambda^2 + \frac{1}{2}A\lambda^4[1 - 2(\rho + i\eta)] & 1 - \frac{1}{2}A^2\lambda^4 \end{pmatrix}$$

Wolfenstein parametrization of V_{CKM}

- However, V_{CKM} also contains a complex phase, responsible for all CP-violating phenomena in the SM
- CPV established ($>5\sigma$) in 17 observables (in K and B physics)
→ extremely constrained system
- New physics would typically disturb the SM pattern of CPV

The CKM unitarity triangle

$$V_{ud}V_{ub}^* + V_{cd}V_{cb}^* + V_{td}V_{tb}^* = 0$$



1999 – 2010: B factory at KEK (Japan)

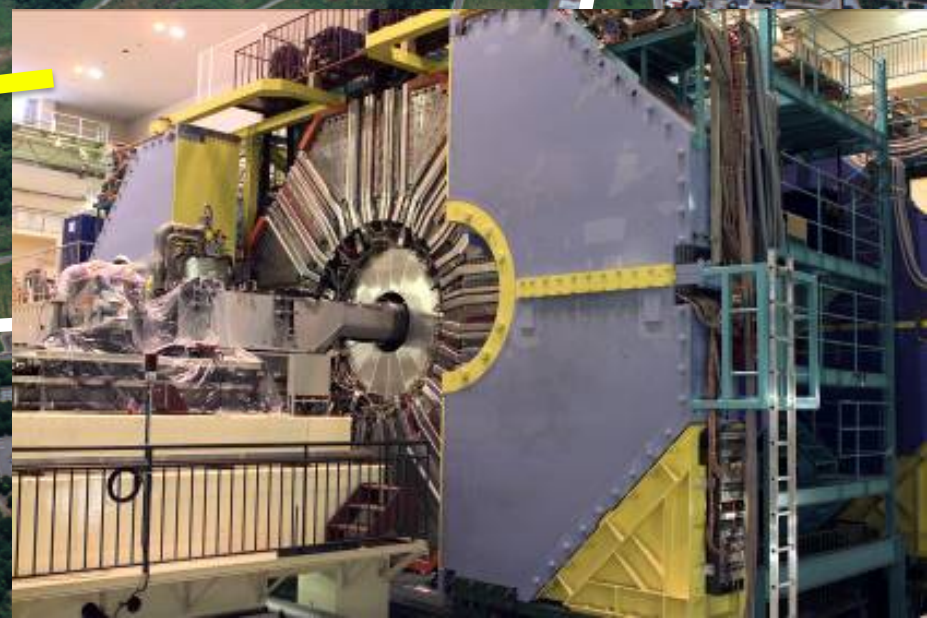


KEKB double
ring e^+e^- collider

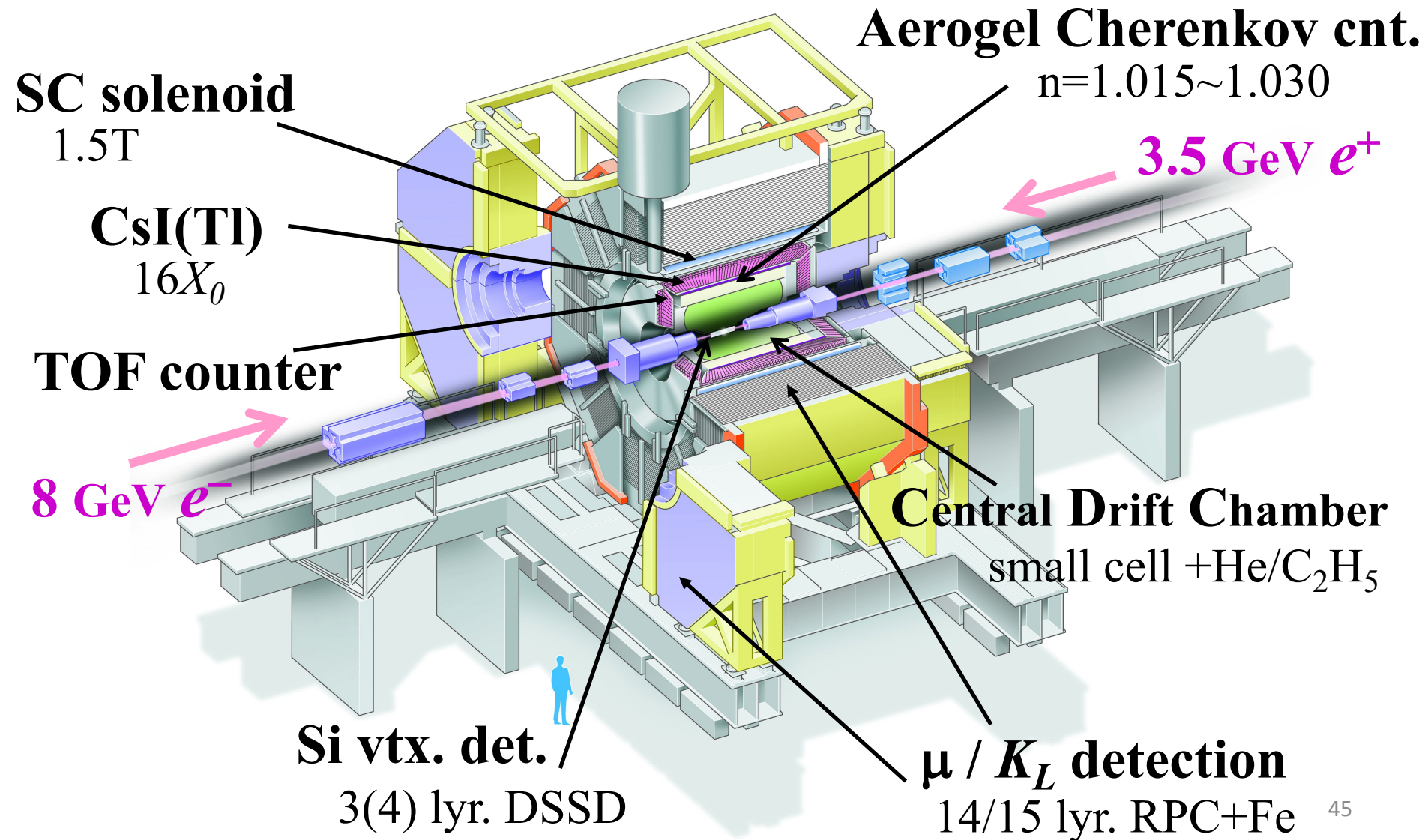
Linac



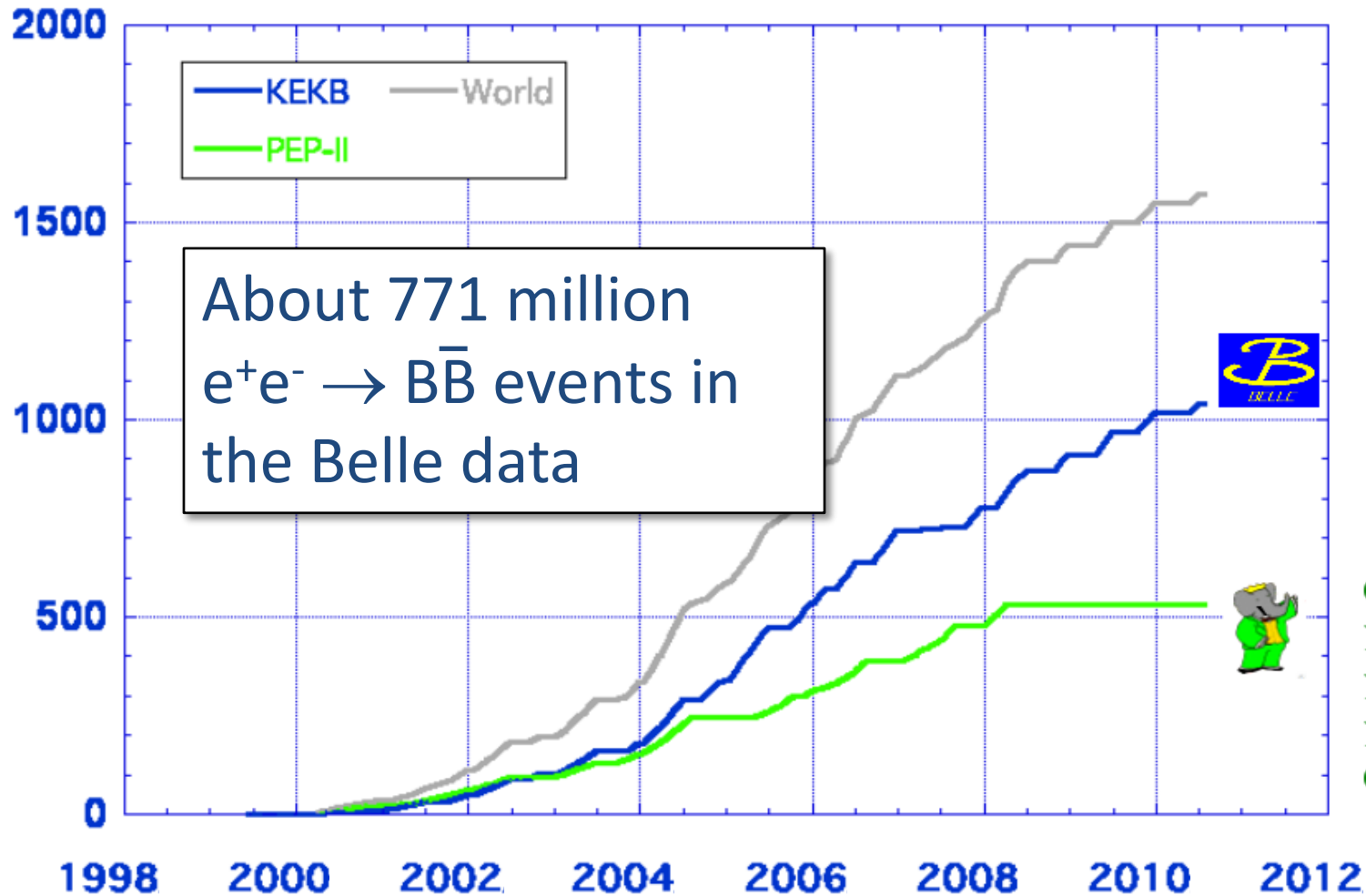
Belle detector



The Belle detector



Belle and BaBar luminosity



> 1 ab⁻¹

On resonance:

$\Upsilon(5S)$: 121 fb⁻¹

$\Upsilon(4S)$: 711 fb⁻¹

$\Upsilon(3S)$: 3 fb⁻¹

$\Upsilon(2S)$: 24 fb⁻¹

$\Upsilon(1S)$: 6 fb⁻¹

Off reson./scan:

~ 100 fb⁻¹

~ 550 fb⁻¹

On resonance:

$\Upsilon(4S)$: 433 fb⁻¹

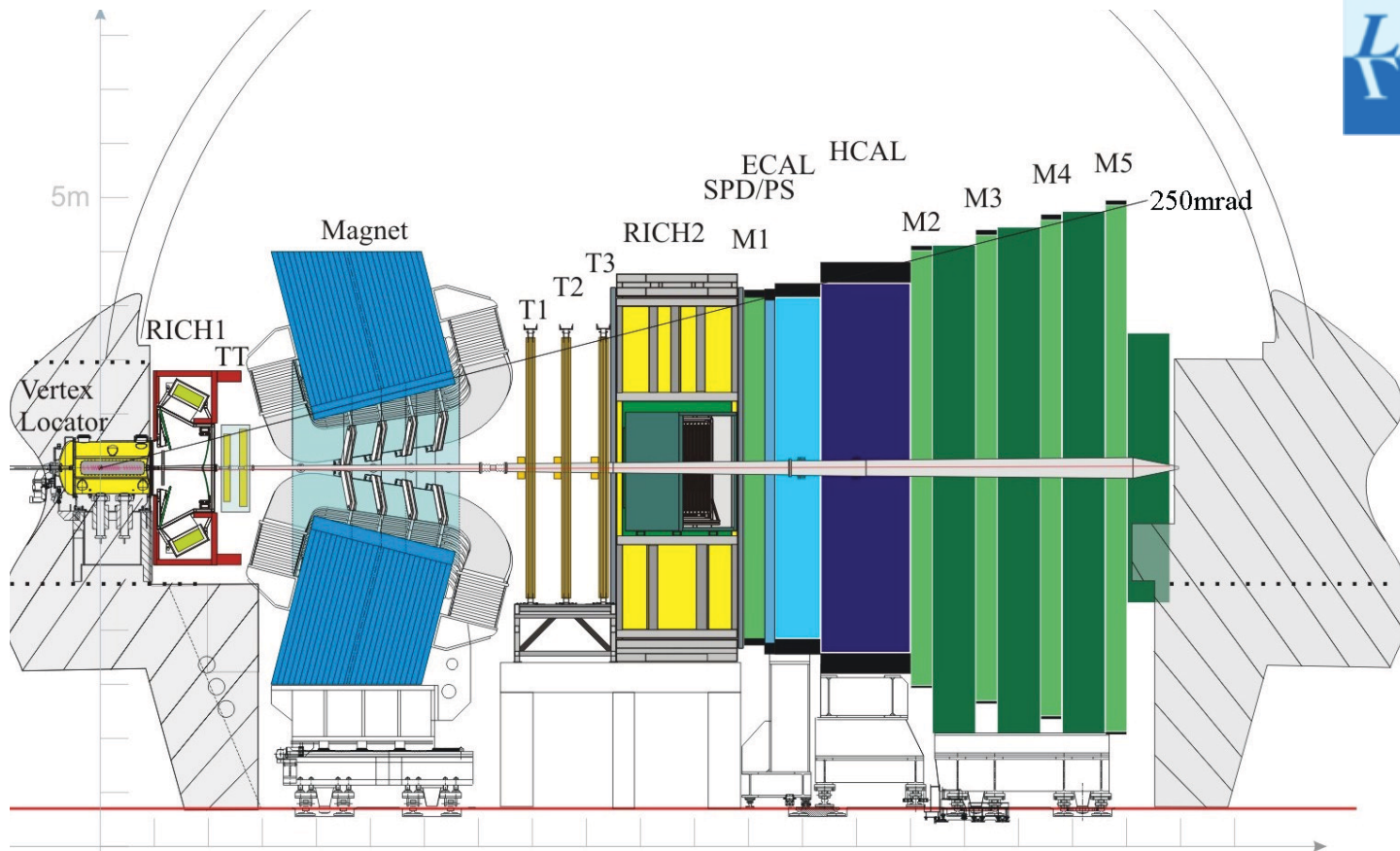
$\Upsilon(3S)$: 30 fb⁻¹

$\Upsilon(2S)$: 14 fb⁻¹

Off resonance:

~ 54 fb⁻¹

The LHCb experiment



- General purpose LHC experiment covering the forward region
- Precise tracking, excellent particle identification

LHCb data taking

