



Determination of $|V_{ub}|$ and $|V_{cb}|$ at Belle (II)

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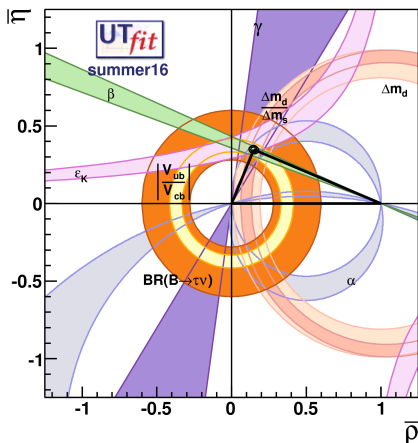
CIPANP 2018

Palm Springs, CA, USA

Wednesday, May 30th, 2018

*On behalf of the Belle II collaboration

The importance of $|V_{ub}|$ and $|V_{cb}|$



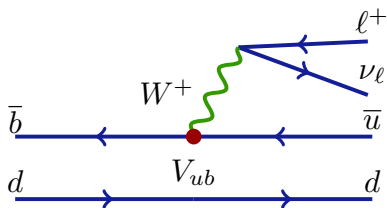
Back-reference to Abi Soffer's plenary talk in case you missed it

- Central for testing the CKM sector of the SM
- Era of searching for new physics (NP) → **precision measurements**
- $|V_{qb}|$ puzzle:
 - $\delta|V_{ub}| \sim 4\%$, difference $\sim 3.5\sigma$
 - $\delta|V_{cb}| \sim 2\%$, difference $\sim 2.9\sigma$
- $|V_{ub}|$ has **largest** error among unitarity triangle (UT) parameters, offers best handle on NP

Exclusive $|V_{qb}|$

From measurements of the branching decay rates to specific final states

- $|V_{ub}|$ determined exclusive $B \rightarrow X_u \ell \nu$ decays
 - most precise in $B \rightarrow \pi \ell \nu$ with $\ell = e, \mu$
- $|V_{cb}|$ determined exclusive $B \rightarrow X_c \ell \nu$ decays
 - most precise in $B \rightarrow D^{(*)} \ell \nu$ with $\ell = e, \mu$



Please see [arXiv:1606.08030](https://arxiv.org/abs/1606.08030) for a nice summary of BGL, BCL and CLN parametrizations

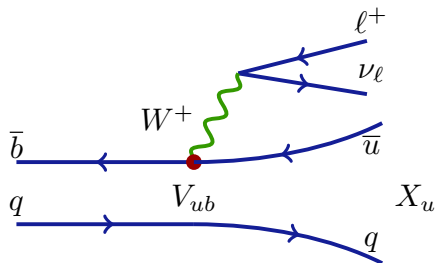
Theoretical input: Lattice QCD and Light-Cone Sum Rules

- $|V_{ub}|^{\text{excl.}} = (3.65 \pm 0.09_{\text{exp}} \pm 0.11_{\text{theo.}}) \times 10^{-3}$
 $B \rightarrow \pi \ell \nu$, LQCD, LCSR, BCL param.
- $|V_{cb}|^{\text{excl.}} = (39.05 \pm 0.47_{\text{exp}} \pm 0.58_{\text{theo.}}) \times 10^{-3}$
 $B \rightarrow D^* \ell \nu$, LQCD, CLN param.
 $(39.18 \pm 0.94_{\text{exp}} \pm 0.36_{\text{theo.}}) \times 10^{-3}$
 $B \rightarrow D \ell \nu$, LQCD, CLN param.

Inclusive $|V_{qb}|$

From measurements of the **total** or **partial** inclusive semi-leptonic branching decay rates

- $|V_{ub}|$ determined in inclusive charmless semileptonic decays
 $b \rightarrow u\ell\nu$
- $|V_{cb}|$ determined in inclusive charmed semileptonic decays
 $b \rightarrow c\ell\nu$



- $|V_{ub}|^{\text{incl.}} = \left(4.52 \pm 0.15_{\text{exp}}^{+0.11}_{-0.14_{\text{theo}}}\right) \times 10^{-3}$
GGOU
- $|V_{cb}|^{\text{incl.}} = (42.19 \pm 0.78) \times 10^{-3}$
Kinetic scheme

Status of $|V_{ub}|$ and $|V_{cb}|$

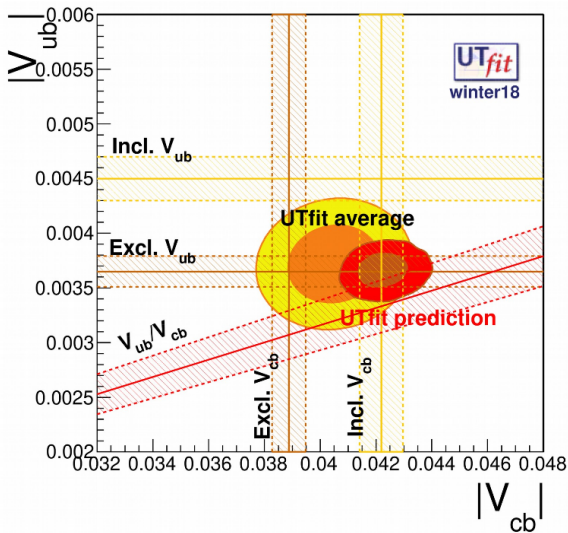


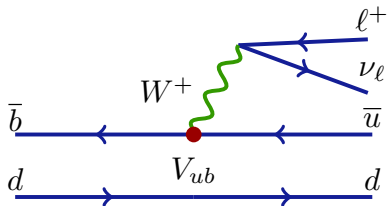
Image: Luca Silvestrini @ La Thuile 2018

Exclusive measurements prefer smaller values than inclusive ones.

Determination of exclusive $|V_{ub}|$ at B-factories

Differential \mathcal{B} for pseudoscalar* $B^0 \rightarrow \pi^- \ell^+ \nu_\ell$ decay

$$\frac{d\mathcal{B}}{dq^2} = |V_{ub}|^2 \frac{G_F^2 \tau_B}{24\pi^3} p_\pi^3 |f_+^{B\pi}(q^2)|^2$$



Experimental measurement of the branching fraction and theoretical input on form factors needed to determine $|V_{ub}|$.

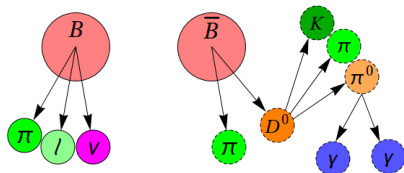
* Simplified for low mass charged leptons (e and μ)

Experimental measurements at B factories

- Initial state well known: $e^+e^- \rightarrow \Upsilon(4S)$ (at rest)
- Neutrino escapes detection: $p_{miss} = p_{\Upsilon(4S)} - p_{B_{rec}} - p_{B_{comp}}$
- If neutrino is the only missing particle: $p_\nu = p_{miss}$

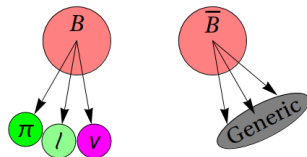
Reconstruction methods

Tagged measurement



Many hadronic modes

Untagged measurement



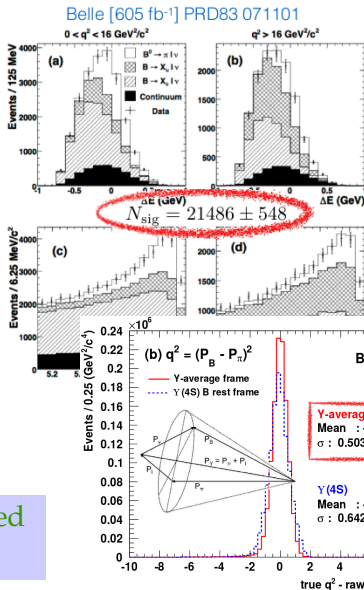
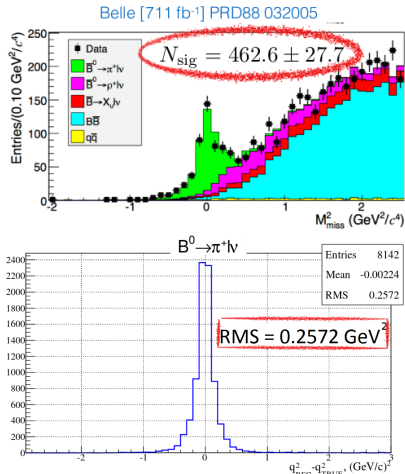
Remaining tracks and clusters

Tagged \rightarrow Efficiency \rightarrow Untagged
Tagged $\leftarrow q^2$ res. \leftarrow Untagged

Tagged (Belle)

vs.

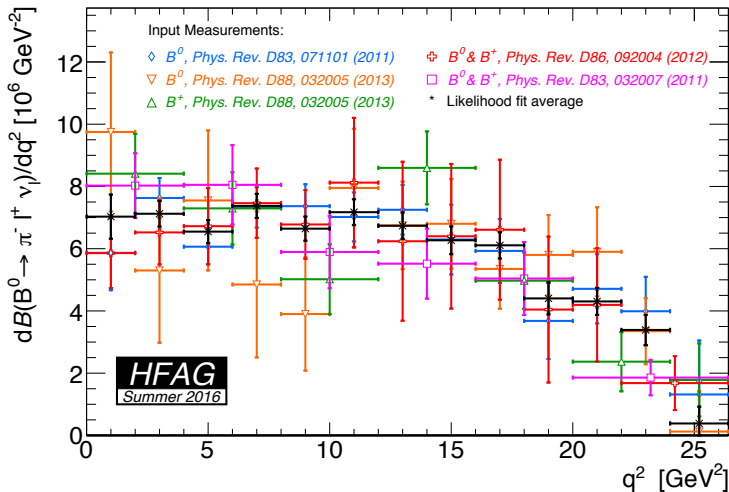
Untagged (Belle)



Tagged \rightarrow Efficiency \rightarrow Untagged
 Tagged \leftarrow q^2 res. \leftarrow Untagged

Combined tagged/untagged Belle/BaBar

The most precise measurements averaged with a likelihood fit.



Theoretical input: form factor calculations

Low ← q^2 region → High

LCSR

- Low q^2 region ($q^2 < 6-7 \text{ GeV}^2$), mostly at $q^2 = 0$
- Unperturbative
- For pseudoscalar and vector decays

LQCD

- Intermediate to high q^2 region ($q^2 > 14 \text{ GeV}^2$)
- Unquenched (quark-loops in QCD vacuum incorporated)
- For a limited set of decays, hard to figure out for complex states

$|V_{ub}|$ extraction process

- Need to extrapolate theory input to a certain or full q^2 region
- Model dependent/independent: Whether the model makes any assumptions regarding FF shape

Calculation from $\Delta\mathcal{B}$

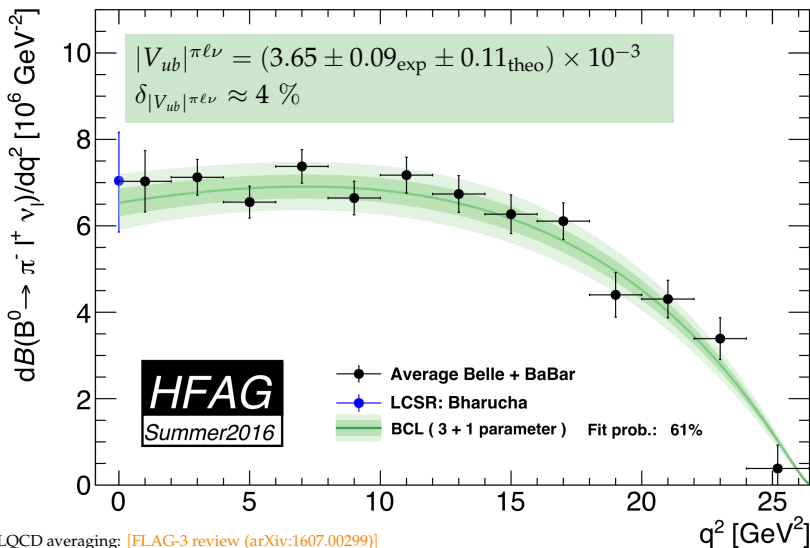
- Mostly obsolete process
- Measure partial branching ratio in a q^2 region ($\Delta\mathcal{B}$)
- Calculate reduced branching ratio in same region ($\Delta\zeta$)

$$|V_{ub}|^2 = \frac{\Delta\mathcal{B}(q_{\min}^2, q_{\max}^2)}{\tau_B \Delta\zeta(q_{\min}^2, q_{\max}^2)}$$

Simultaneous fit to data and theory

- Measure $\Delta\mathcal{B}/\Delta q^2$ spectrum in bins of q^2
- Extract from simultaneous fit (least squares) to data (shape + scale) and theory input (shape) by minimizing
$$\chi^2 = \chi_{\text{data}}^2 + \chi_{\text{theory}}^2$$

$|V_{ub}|$ from simultaneous fit to $B \rightarrow \pi \ell \nu$ data



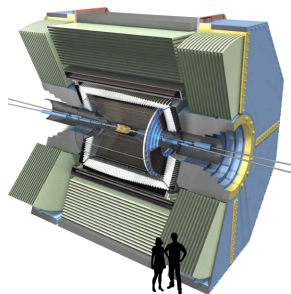
LQCD averaging: [FLAG-3 review (arXiv:1607.00299)]

LQCD: [Fermilab/MILC, Phys.Rev. D92 (2015) no.1, 014024]

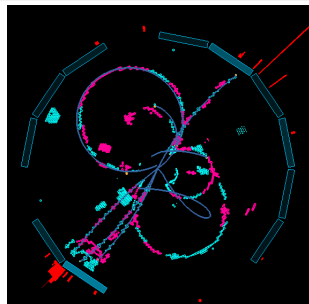
LQCD: [RBC/UKQCD, Phys.Rev. D91 (2015) no.7, 074510]

LCSR: [A. Bharucha, JHEP 1205 (2012) 092]

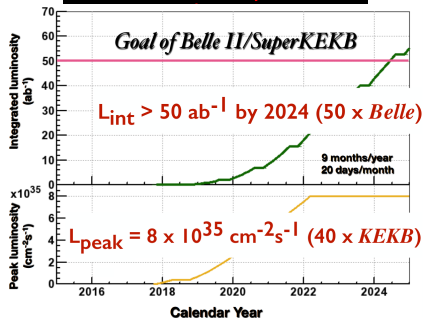
Expected improvements at Belle II



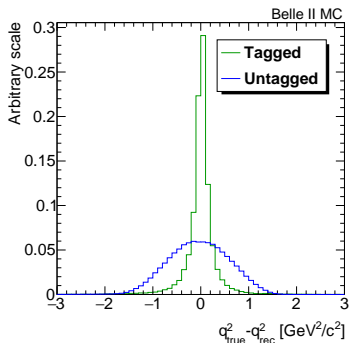
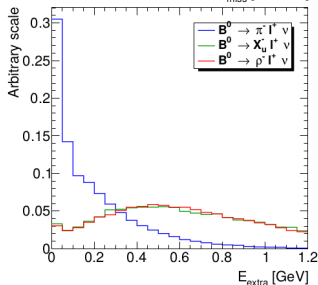
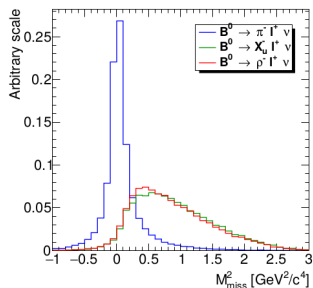
More on
Belle II prospects
in next talk
by A. Vossen



- First collisions in April 26th
- Higher luminosity (Belle $\times 40$)
- More data expected ($\sim 50 \text{ ab}^{-1}$)
- Improved detector efficiency and purity (tracking, PID, K/π)
- Smarter software and more precise algorithms



$B \rightarrow \pi \ell \nu$ tagged prospects at Belle II (MC study)



Better tagging algorithm with significantly higher tagging reconstruction efficiency

$B \rightarrow \pi \ell \nu$ efficiency compared to Belle tagged [Phys.Rev. D88 (2013) no.3, 032005]:
0.3 % \rightarrow 0.55 %

[B2TiP, to be published]

Hadronic B_{tag} efficiency improvement

Hadronic tag channels

B^+ modes	B^0 modes	D^+, D^{*+}, D_s^+ modes	D^0, D^{*0} modes
$B^+ \rightarrow \bar{D}^0 \pi^+$	$B^0 \rightarrow D^- \pi^+$	$D^+ \rightarrow K^- \pi^+ \pi^+$	$D^0 \rightarrow K^- \pi^+$
$B^+ \rightarrow \bar{D}^0 \pi^+ \pi^0$	$B^0 \rightarrow D^- \pi^+ \pi^0$	$D^+ \rightarrow K^- \pi^+ \pi^+ \pi^0$	$D^0 \rightarrow K^- \pi^+ \pi^0$
$B^+ \rightarrow \bar{D}^0 \pi^+ \pi^0 \pi^0$	$B^0 \rightarrow D^- \pi^+ \pi^+ \pi^-$	$D^+ \rightarrow K^- K^+ \pi^+$	$D^0 \rightarrow K^- \pi^+ \pi^+ \pi^-$
$B^+ \rightarrow \bar{D}^0 \pi^+ \pi^+ \pi^-$	$B^0 \rightarrow D_s^+ D^-$	$D^+ \rightarrow K^- K^+ \pi^+ \pi^0$	$D^0 \rightarrow \pi^- \pi^+$
$B^+ \rightarrow D_s^+ \bar{D}^0$	$B^0 \rightarrow D^{*+} \pi^+$	$D^+ \rightarrow K_s^0 \pi^+$	$D^0 \rightarrow \pi^- \pi^+ \pi^0$
$B^+ \rightarrow \bar{D}^{*0} \pi^+$	$B^0 \rightarrow D^{*+} \pi^+$	$D^+ \rightarrow K_s^0 \pi^+ \pi^0$	$D^0 \rightarrow K_s^0 \pi^0$
$B^+ \rightarrow \bar{D}^{*0} \pi^+ \pi^0$	$B^0 \rightarrow D^{*+} \pi^+ \pi^0$	$D^+ \rightarrow K_s^0 \pi^+ \pi^+ \pi^-$	$D^0 \rightarrow K_s^0 \pi^+ \pi^-$
$B^+ \rightarrow \bar{D}^{*0} \pi^+ \pi^+ \pi^-$	$B^0 \rightarrow D^{*+} \pi^+ \pi^+ \pi^-$	$D^+ \rightarrow K_s^0 \pi^+ \pi^+ \pi^0$	$D^0 \rightarrow K_s^0 \pi^+ \pi^- \pi^0$
$B^+ \rightarrow \bar{D}^{*0} \pi^+ \pi^+ \pi^- \pi^0$	$B^0 \rightarrow D_s^{*+} D^-$	$D^{*+} \rightarrow D^0 \pi^+$	$D^0 \rightarrow K^- K^+$
$B^+ \rightarrow D_s^{*+} \bar{D}^0$	$B^0 \rightarrow D_s^{*+} D^{*-}$	$D^{*+} \rightarrow D^+ \pi^0$	$D^0 \rightarrow K^- K^+ K_s^0$
$B^+ \rightarrow D_s^+ \bar{D}^{*0}$	$B^0 \rightarrow D_s^{*+} D^{*-}$	$D_s^+ \rightarrow K^+ K_s^0$	$D^{*0} \rightarrow D^0 \pi^0$
$B^+ \rightarrow \bar{D}^0 K^+$	$B^0 \rightarrow J/\psi K_s^0$	$D_s^+ \rightarrow K^+ \pi^+ \pi^-$	$D^{*0} \rightarrow D^0 \pi^0$
$B^+ \rightarrow D^- \pi^+ \pi^+$	$B^0 \rightarrow J/\psi K^+ \pi^+$	$D_s^+ \rightarrow K^+ K^- \pi^+$	$D^{*0} \rightarrow D^0 \gamma$
$B^+ \rightarrow J/\psi K^+$	$B^0 \rightarrow J/\psi K_s^0 \pi^+ \pi^-$	$D_s^+ \rightarrow K^+ K^- \pi^+ \pi^0$	
$B^+ \rightarrow J/\psi K^+ \pi^+ \pi^-$		$D_s^+ \rightarrow K^+ K_s^0 \pi^+ \pi^-$	
$B^+ \rightarrow J/\psi K^+ \pi^0$		$D_s^+ \rightarrow K^- K_s^0 \pi^+ \pi^+$	
$B^+ \rightarrow J/\psi K_s^0 \pi^+$		$D_s^+ \rightarrow K^+ K^- \pi^+ \pi^+ \pi^-$	
$B^+ \rightarrow D^- \pi^+ \pi^+ \pi^0$	$B^0 \rightarrow D^- \pi^+ \pi^0 \pi^0$	$D_s^+ \rightarrow \pi^+ \pi^0$	$D^0 \rightarrow K^- \pi^+ \pi^0 \pi^0$
$B^+ \rightarrow \bar{D}^0 \pi^+ \pi^+ \pi^- \pi^0$	$B^0 \rightarrow D^- \pi^+ \pi^+ \pi^- \pi^0$	$D_s^+ \rightarrow \pi^+ \pi^+ \pi^-$	$D^0 \rightarrow K^- \pi^+ \pi^+ \pi^- \pi^0$
$B^+ \rightarrow \bar{D}^0 D^+$	$B^0 \rightarrow \bar{D}^0 \pi^+ \pi^-$	$D_s^+ \rightarrow D_s^+ \pi^0$	$D^0 \rightarrow \pi^- \pi^+ \pi^+ \pi^-$
$B^+ \rightarrow \bar{D}^0 D^+ K_s^0$	$B^0 \rightarrow D^- D^0 K^+$	$D^+ \rightarrow \pi^+ \pi^0$	$D^0 \rightarrow \pi^- \pi^+ \pi^+ \pi^-$
$B^+ \rightarrow \bar{D}^{*0} D^+ K_s^0$	$B^0 \rightarrow D^- D^0 K^+$	$D^+ \rightarrow \pi^+ \pi^+ \pi^-$	$D^0 \rightarrow \pi^- \pi^+ \pi^0 \pi^0$
$B^+ \rightarrow \bar{D}^0 D^+ K_s^0$	$B^0 \rightarrow D^{*-} D^{*0} K^+$	$D^+ \rightarrow \pi^+ \pi^+ \pi^- \pi^0$	$D^0 \rightarrow \pi^- \pi^+ \pi^0 \pi^0$
$B^+ \rightarrow \bar{D}^{*0} D^+ K_s^0$	$B^0 \rightarrow D^- D^+ K_s^0$	$D^+ \rightarrow K^+ K_s^0 K_s^0$	$D^0 \rightarrow K^- K^+ \pi^0$
$B^+ \rightarrow \bar{D}^0 D^0 K^+$	$B^0 \rightarrow D^- D^+ K_s^0$	$D^{*+} \rightarrow D^+ \gamma$	
$B^+ \rightarrow \bar{D}^0 D^0 K^+$	$B^0 \rightarrow D^{*-} D^+ K_s^0$	$D_s^+ \rightarrow K_s^0 \pi^+$	
$B^+ \rightarrow \bar{D}^0 D^0 K^+$	$B^0 \rightarrow D^- D^+ K_s^0$	$D_s^+ \rightarrow K_s^0 \pi^+ \pi^0$	
$B^+ \rightarrow \bar{D}^0 D^0 K^+$	$B^0 \rightarrow D^{*-} D^+ K_s^0$	$D_s^+ \rightarrow D_s^+ \pi^0$	
$B^+ \rightarrow \bar{D}^{*0} \pi^+ \pi^0 \pi^0$	$B^0 \rightarrow D^{*-} \pi^+ \pi^0 \pi^0$		

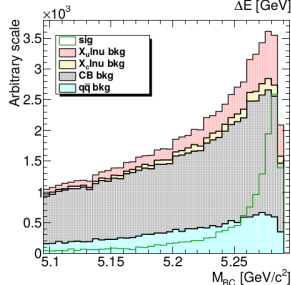
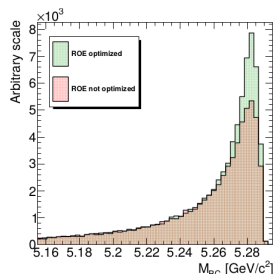
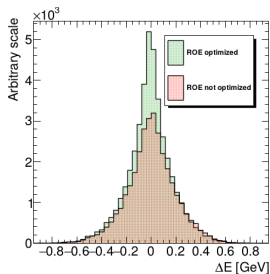
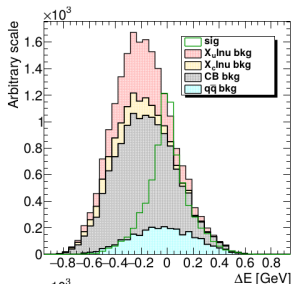
New channels

Tag	Old	New
B^+	0.28 %	0.49 %
B^0	0.19 %	0.33 %

- More channels included in the tag reconstruction
- Best candidate selection allows also inclusion of high multiplicity modes

[B2TiP, to be published]

$B \rightarrow \pi \ell \nu$ untagged at Belle II (MC study)



RestOfEvent (ROE): tracks and cluster not used in signal B reco

Perform "clean-up" of ROE to discard extra tracks and clusters from beam BKG

$B \rightarrow \pi \ell \nu$ efficiency compared to Belle untagged [Phys.Rev. D83 (2011) 071101]:
11 % \rightarrow 20 %

[B2TiP, to be published]

Assumptions for lattice forecasts

We provide 5 types of the lattice input

- current: input with the current precision basically taken from the updated FLAG-3 review (in preparation; to be appeared on the FLAG webpage: <http://itpwiki.unibe.ch/flag/>).
- 5 yr w/o EM: We assume a factor of 2 reduction of the lattice QCD uncertainty in the next five years and that the uncertainty of the EM correction is negligible (for processes insensitive to the EM correction).
- 5 yr w/ EM: LQCD uncertainty is reduced by a factor of 2 but add in quadrature 1% uncertainty from the EM correction.
- 10 yr w/o EM: We assume a factor of 5 reduction of the lattice QCD uncertainty in the next ten years (or as a milestone of lattice QCD simulations). We also assume that the EM correction will be under control and its uncertainty is negligible.
- 10 yr w/ EM: LQCD uncertainty is reduced by a factor of 5 but add in quadrature 1% uncertainty from the EM correction.

Error scaling

Total error scaling with integrated luminosity L

$$\sigma_{\text{tot}}(L) = \sqrt{(\sigma_{\text{stat}}^2(L_0) + \sigma_{\text{sysred}}^2(L_0)) \times \frac{L_0}{L} + \sigma_{\text{sysirred}}^2(L_0)}$$

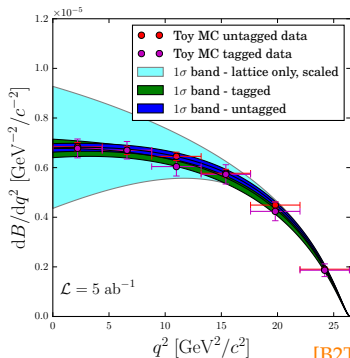
Systematics

- Belle II systematics estimated from Belle
- Reducible and irreducible systematics (with L)
- **Tagged**: 4.6 % red., **2.0 % irred.**, biggest contribution: tagging algorithm
- **Untagged**: 4.2 % red., **1.6 % irred.**, biggest contribution: $X_{u,c}\ell\nu$, FF shapes and background

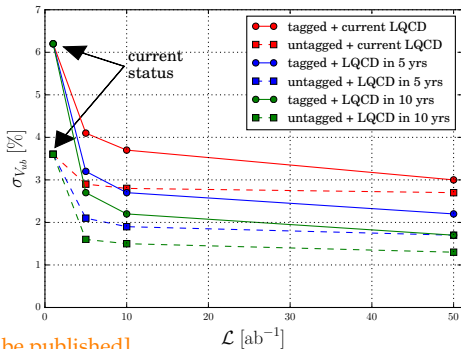
[B2TiP, to be published]

$|V_{ub}|$ from $B \rightarrow \pi \ell \nu$ @ Belle II

Toy MC studies based on Belle II MC, LQCD forecasts estimated at 5 years (5, 10 ab^{-1}) and 10 years (50 ab^{-1})



[B2TiP, to be published]



$|V_{ub}|^{\pi \ell \nu}$ from simultaneous fit for $L = 5 \text{ ab}^{-1}$, including lattice forecasts and error scaling.

$\delta_{|V_{ub}|^{\pi \ell \nu}}$ estimates for
5, 10 and 50 ab^{-1} :
Tagged: 3.2, 2.7 and 1.7 %
Untagged: 2.1, 1.9 and 1.3 %

LQCD forecasts: [A. Kronfeld, T. Kaneko, S. Simula]

Determinations of exclusive $|V_{cb}|$

- As mentioned, $B \rightarrow D^{(*)} \ell \nu$ preferred channels
- Measurement of differential decay rate as a function of $w = v_B \cdot v_{D^{(*)}}$ (recoil variable from 4-velocities)

Differential \mathcal{B} of $B \rightarrow \bar{D}^* \ell^+ \nu$ for massless fermions

$$\frac{d\Gamma}{dw} = |V_{cb}|^2 \frac{G_F^2 m_{D^*}^3}{48\pi^3} (m_B - m_{D^*})^2 \chi(w) \eta_{EW}^2 \mathcal{F}^2(w)$$

and $B \rightarrow \bar{D} \ell^+ \nu$ for massless fermions

$$\frac{d\Gamma}{dw} = |V_{cb}|^2 \frac{G_F^2 m_D^3}{48\pi^3} (m_B + m_D)^2 (w^2 - 1)^{3/2} \eta_{EW}^2 \mathcal{G}^2(w)$$

where $\chi(w)$ is a phase-space function, η_{EW} is the EW correction and $\mathcal{F}(w)$ and $\mathcal{G}(w)$ contain the appropriate information on $D^{(*)}$ form factors \rightarrow similar procedure for extraction as in case of V_{ub} !

Exclusive $|V_{cb}|$ opportunities at Belle II

No extensive MC studies performed, but some predictions can be made

- Belle precision limited by systematics (hadronic tag calibration, tracking efficiency) \rightarrow need to bring them down to improve excl. $|V_{cb}|$ precision
- Replace CLN with model-independent BGL parametrization \rightarrow this issue might play a role in the excl./incl. puzzle

Authors of [arXiv:1703.06124](#) have reanalyzed $B \rightarrow D^* \ell \nu$ results from [arXiv:1702.01521](#) \rightarrow large differences between CLN to BGL parametrizations.

BGL result closer to incl. values, but further studies needed.

Summary

- Current precision $\delta_{|V_{ub}|} \approx 4\%$ and $\delta_{|V_{cb}|} \approx 2\%$
- $|V_{ub}|$ puzzle persists, error still large compared to other CKM parameters (waiting on Belle II data)
 - Belle II MC study with 50 ab^{-1} for tagged/untagged excl. $B \rightarrow \pi \ell \nu$ predicts errors of about 1.7/1.3 %
- $|V_{cb}|$ puzzle also persists, waiting for more data while looking into existing results with better extraction methods
- Predictions for branching ratio precision of $B \rightarrow \pi \ell \nu$ on full Belle II sample enter the order of 1 – 2 % \rightarrow good precision for LUV studies in these channels
- Errors of inclusive $|V_{qb}|$ are limited by theoretical uncertainties \rightarrow more data needed to constrain the dominant sources

Thank you!

BACKUP

Belle II prospects for exclusive $|V_{ub}|: B \rightarrow (\rho, \omega)\ell\nu$

No extensive studies for these projections.

Possible to assume sample sizes in the future based on Belle (hadronic tag) @ 711 fb^{-1} with efficiency improvements:

- $N_{\rho^0} = (621.7 \pm 35.0) \rightarrow \sim 80\text{k}$ ($\delta_{\text{stat}} \approx 0.5\%$) @ 50 ab^{-1}
- $N_{\rho^+} = (343.3 \pm 28.3) \rightarrow \sim 44\text{k}$ ($\delta_{\text{stat}} \approx 0.7\%$) @ 50 ab^{-1}
- $N_{\omega(3\pi)} = (96.7 \pm 14.5) \rightarrow \sim 12.5\text{k}$ ($\delta_{\text{stat}} \approx 1.3\%$) @ 50 ab^{-1}

- With such sample possible to do a full helicity angle analysis
- Also possible to check for right-handed currents
- Will contribute to better understanding of the $b \rightarrow u$ spectrum
- Can we expect lattice for these modes by then?