

# Measurements of $R(D^{(*)})$ and similar ratios

*from Belle, BaBar and an outlook for Belle II*

XXIV Cracow EPIPHANY Conference on Advances in Heavy Flavour Physics

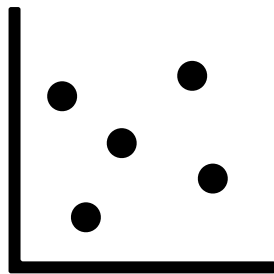
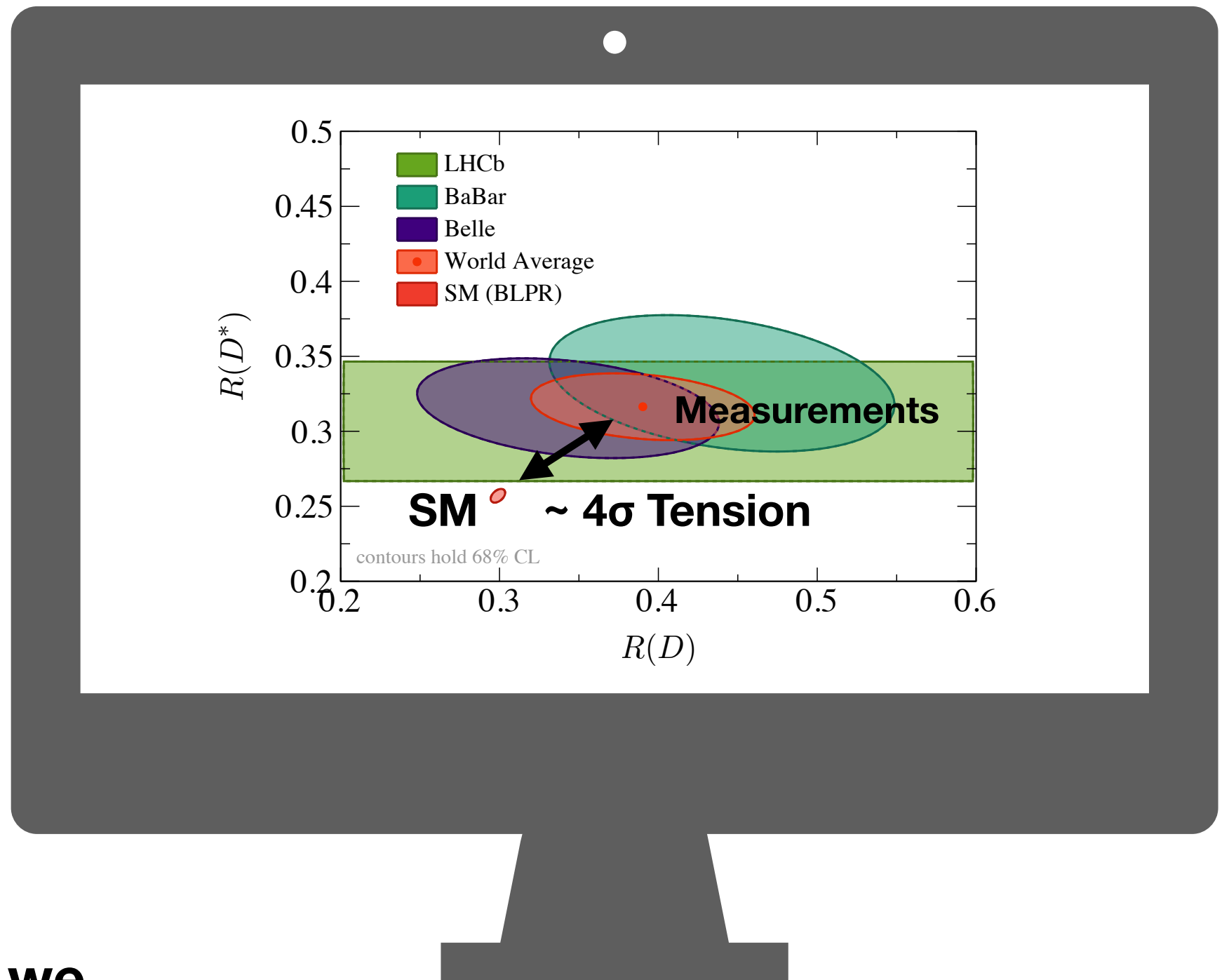


$$R = \frac{b \rightarrow q \tau \bar{\nu}_\tau}{b \rightarrow q \ell \bar{\nu}_\ell}$$

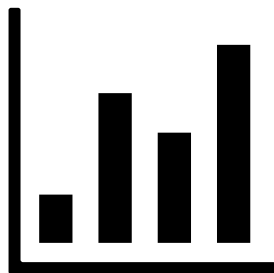
$\ell = e, \mu$

↓

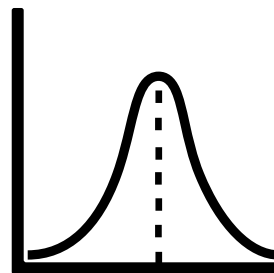
$$R(D^{(*)}), \pi, J/\psi$$



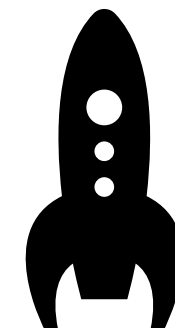
1. How do we measure?



2. How do we predict?



3. Is it really  $4\sigma$ ?

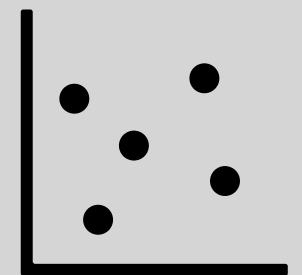


4. The future



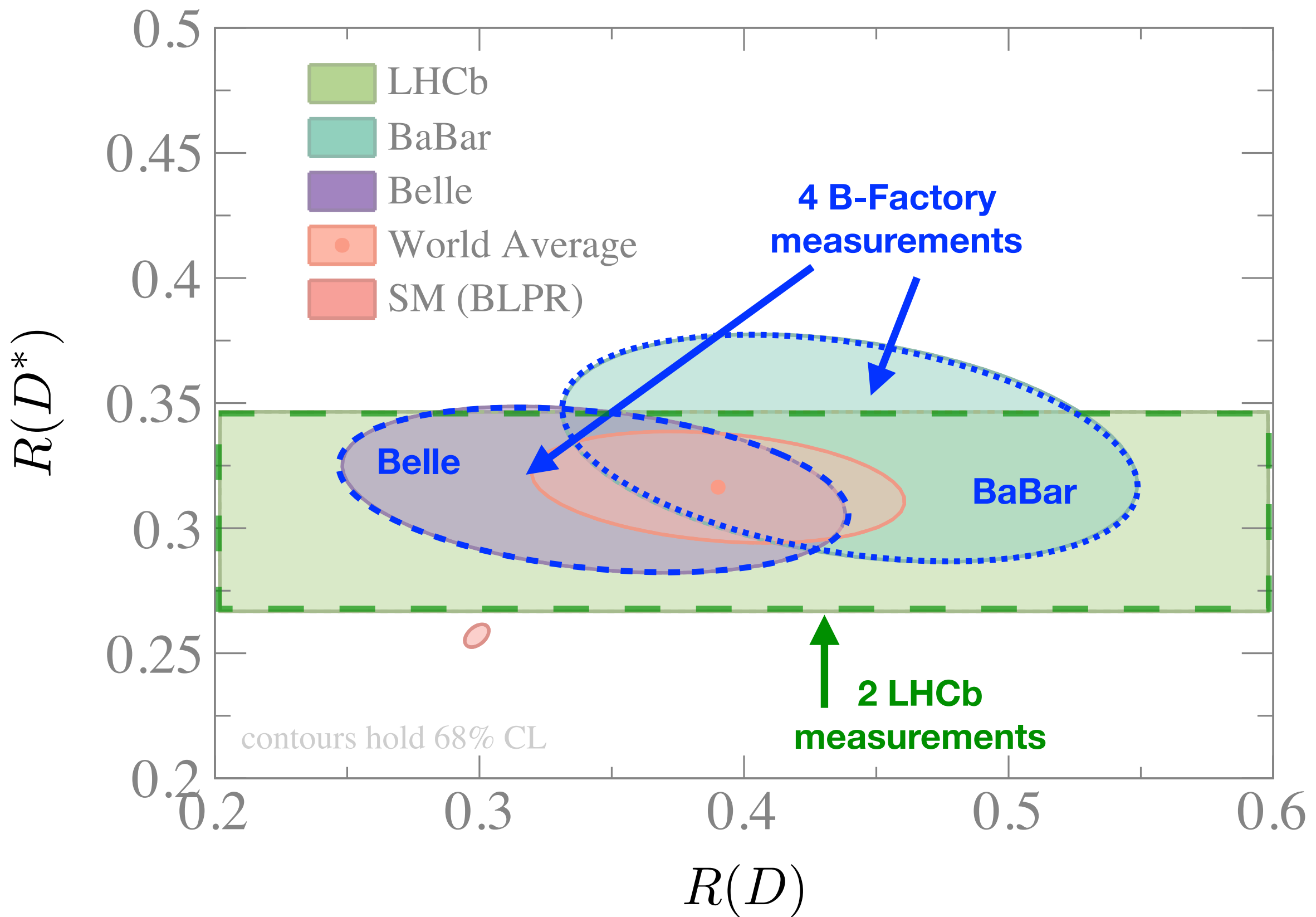


# 1. How do we measure?



*and why do we think we got it (mostly) right!*





+ 1 B-Factory  
 measurement of  $R(\pi)$

1 LHCb  
 measurement of  $R(J/\psi)$



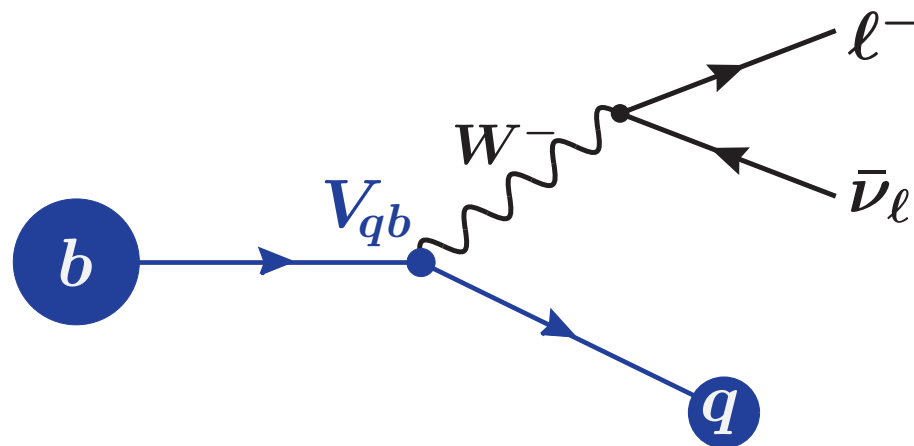
# Overview

$$R = \frac{b \rightarrow q \tau \bar{\nu}_\tau}{b \rightarrow q \ell \bar{\nu}_\ell}$$

$\ell = e, \mu$

## 1. Leptonic or Hadronic $\tau$ decays?

Some properties (e.g.  $\tau$  polarisation) only accessible in hadronic decays.



## 2. Albeit not necessarily a rare decay of O(%) in BF, TRICKY to separate from normalisation and backgrounds

**LHCb:** Isolation criteria, displacement of  $\tau$ , kinematics

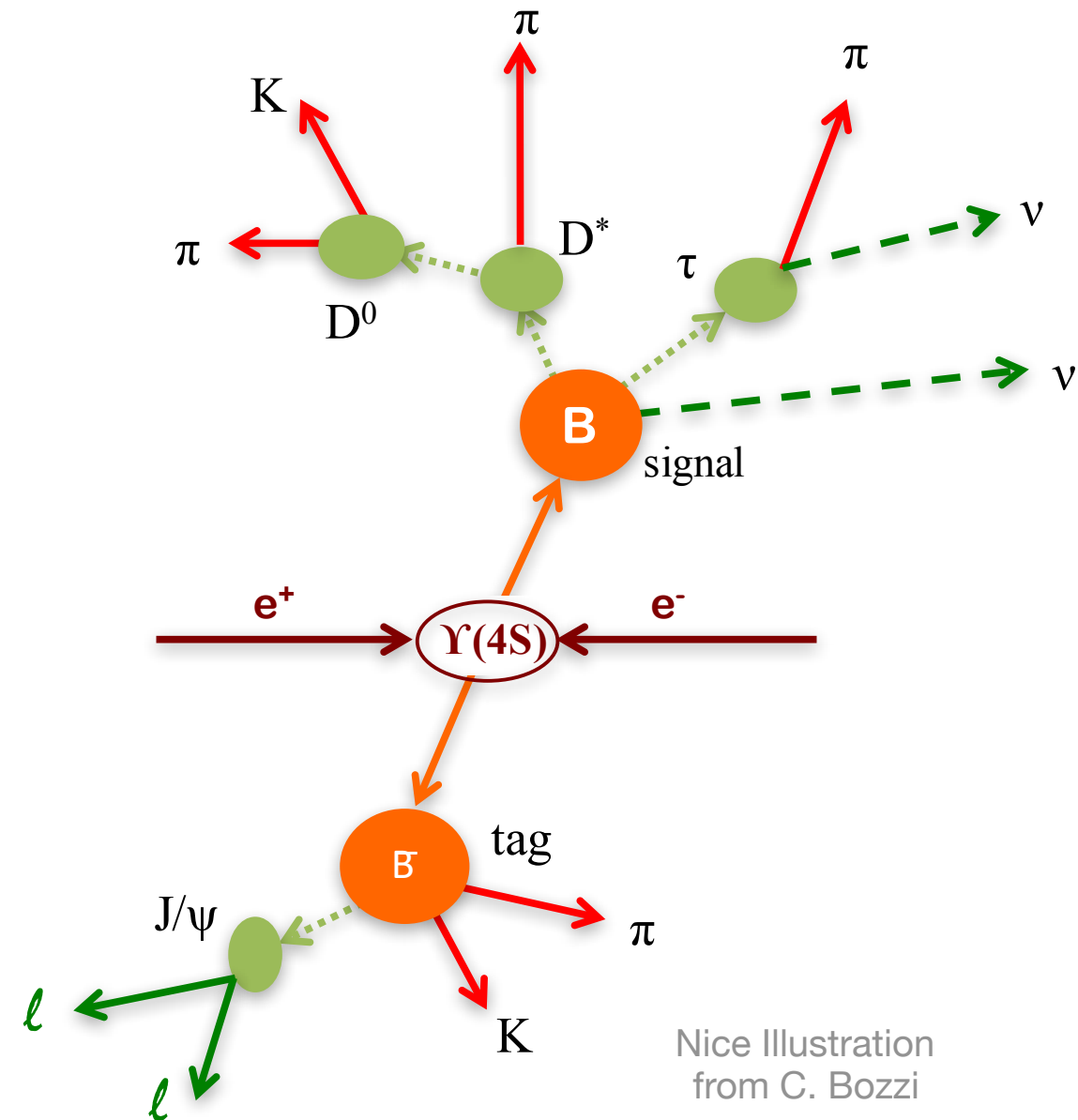
**B-Factories:** Full reconstruction of event (Tagging), matching topology, kinematics

## 3. Semileptonic decays at B-Factories

- ▶  $e^+/e^-$  collision produces  $Y(4S) \rightarrow B\bar{B}$
- ▶ Fully reconstruct one of the two B-mesons ('tag') → **possible** to measure **momentum** of signal B
- ▶ **Missing four-momentum (neutrinos)** can be reconstructed with high precision

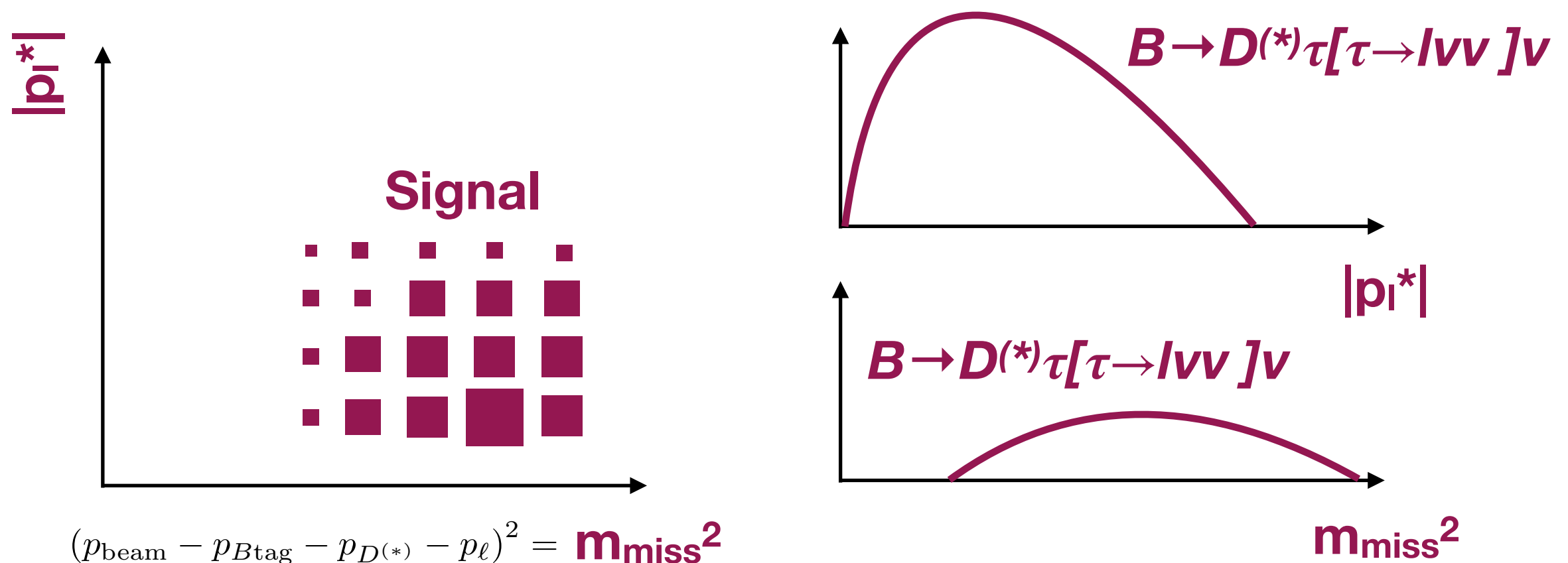
$$p_{\text{miss}} = (p_{\text{beam}} - p_{B\text{tag}} - p_{D^{(*)}} - p_{\ell})$$

✓ **Small efficiency (~0.2-0.4%) compensated by large integrated luminosity**

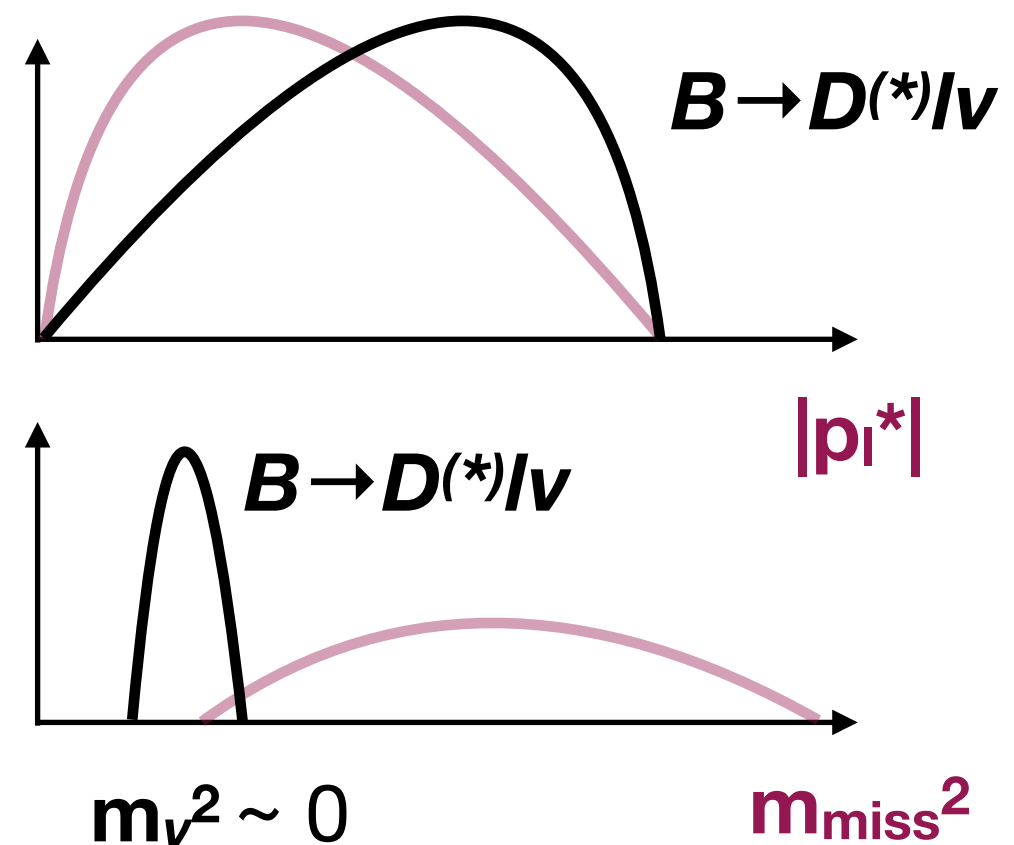
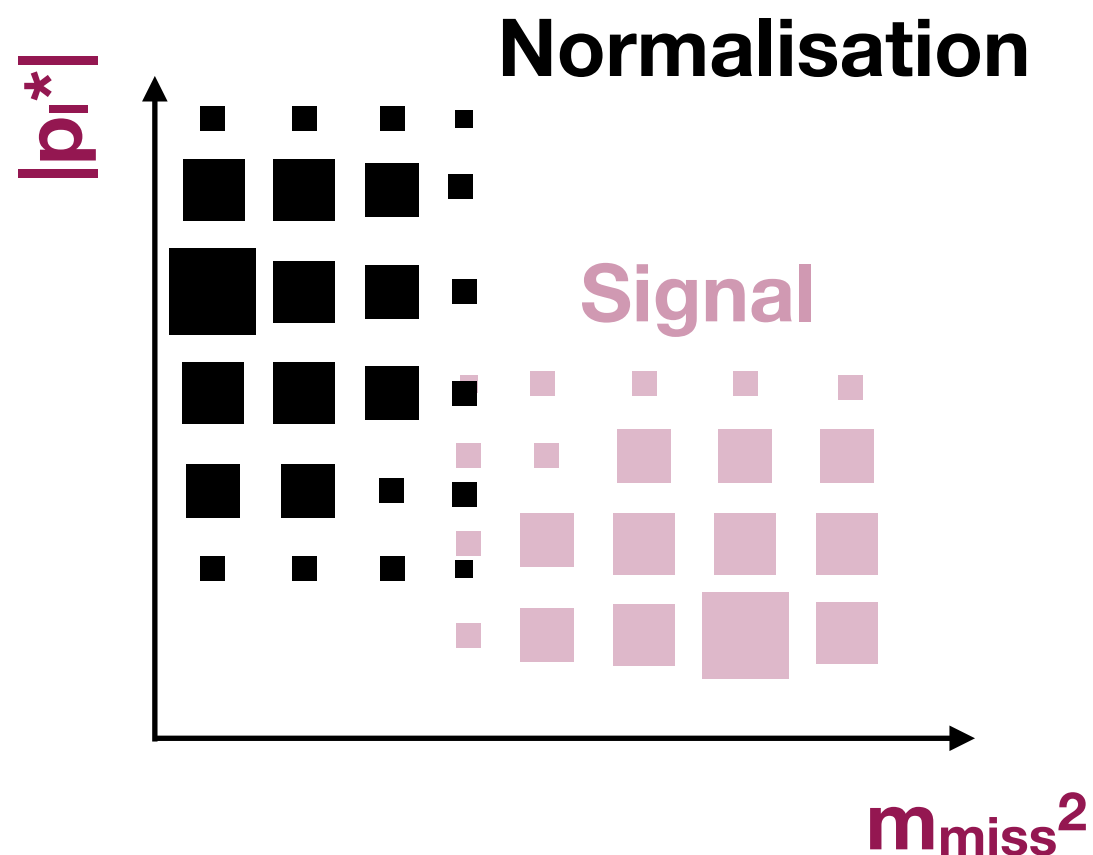




- ▶ Use of  $\tau \rightarrow e\nu\nu$  and  $\tau \rightarrow \mu\nu\nu$  to reconstruct  $\tau$ -lepton
- ▶ Simultaneous analysis of  $R(D)$  vs.  $R(D^*)$  using  $B^0 \rightarrow D^{*-}\tau\nu$ ,  $B^- \rightarrow D^{*0}\tau\nu$ ,  $B^0 \rightarrow D^-\tau\nu$ ,  $B^- \rightarrow D^0\tau\nu$
- ▶ Unbinned maximum likelihood fit in 2D to  $m_{\text{miss}}^2$  and  $|p_l^*|$

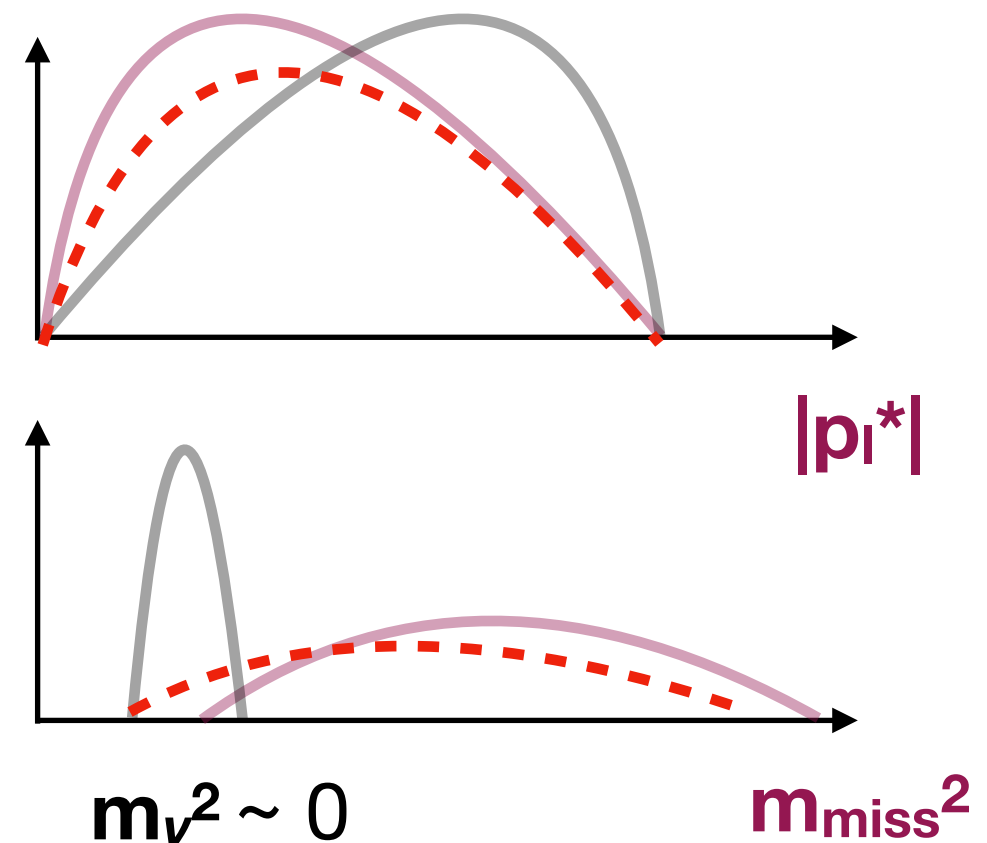
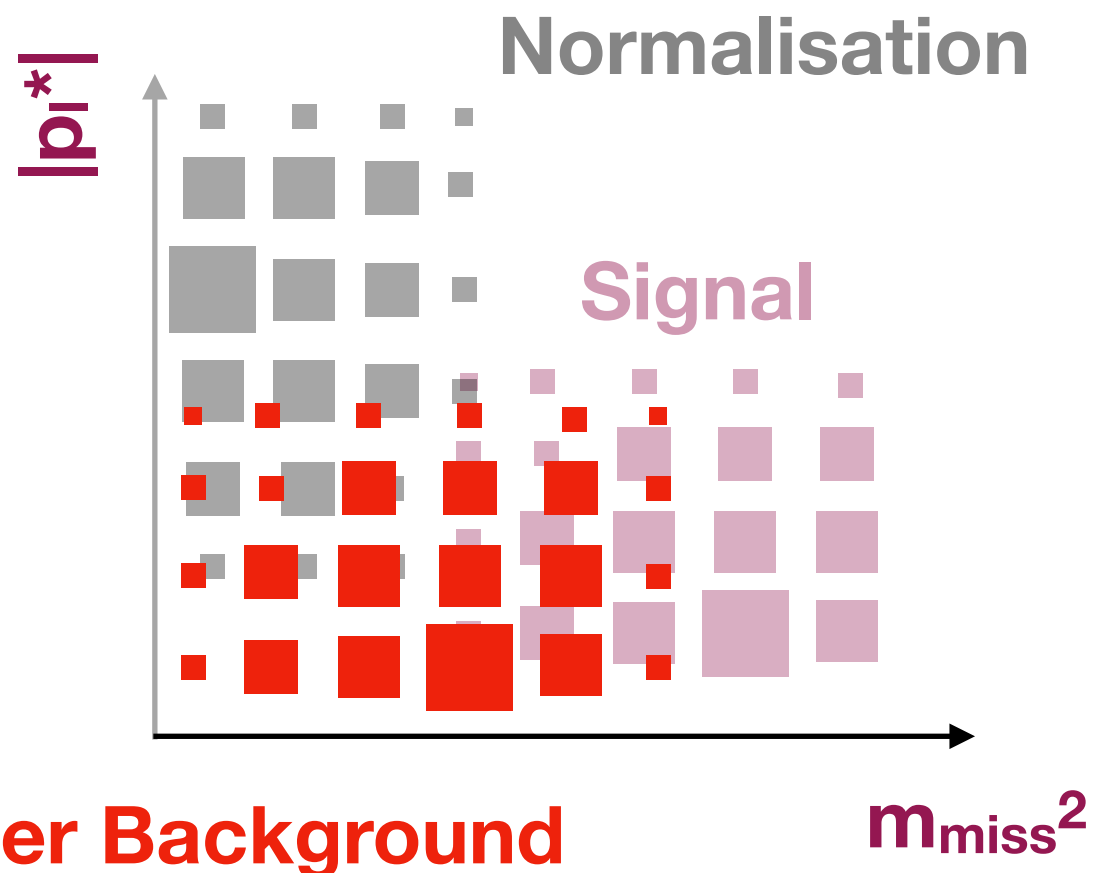


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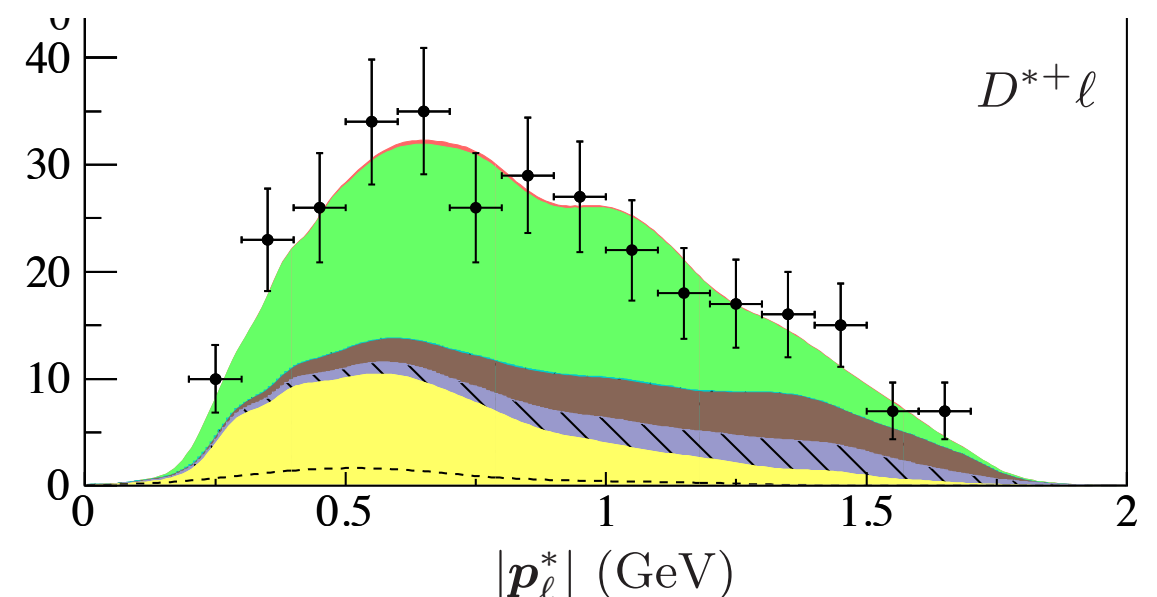
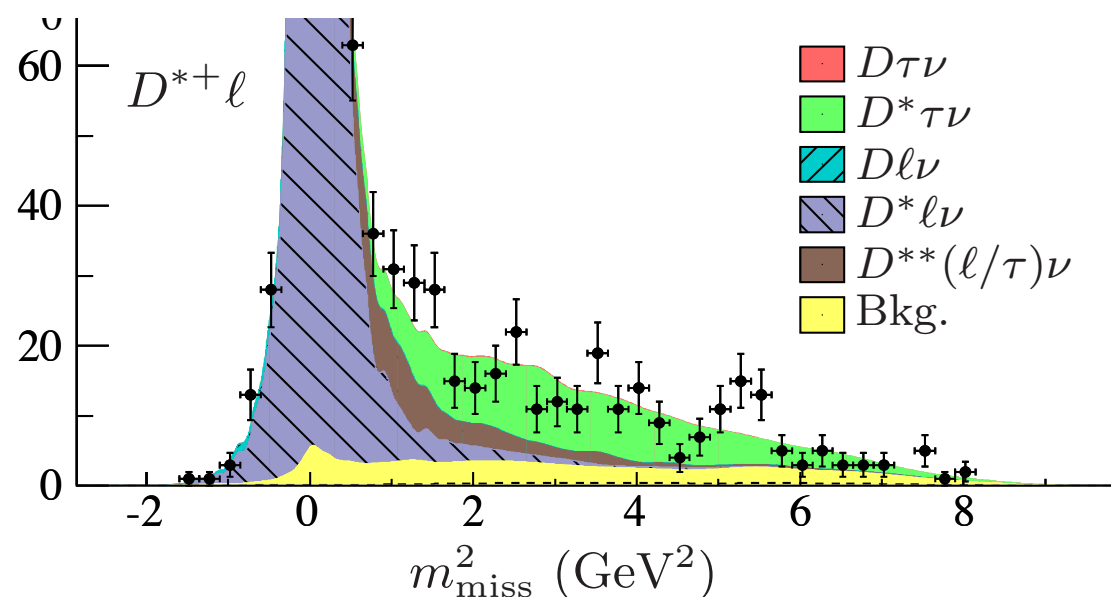




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$$\mathcal{R}(D^{(*)}) = \frac{N_{\text{sig}}}{N_{\text{norm}}} \frac{\varepsilon_{\text{norm}}}{\varepsilon_{\text{sig}}},$$

$$R(D) = 0.440 \pm 0.058 \text{ (stat)} \pm 0.042 \text{ (syst)} \text{ (} 2\sigma \text{ from SM)}$$

$$R(D^*) = 0.332 \pm 0.024 \text{ (stat)} \pm 0.018 \text{ (syst)} \text{ (} 2.7\sigma \text{ from SM)}$$

✓ **Combination is 3.4 $\sigma$  from SM**



Several results using different techniques:

- ▶  $\tau \rightarrow e\nu\nu$  and  $\tau \rightarrow \mu\nu\nu$ , *hadronic tag*

$$R(D) = 0.375 \pm 0.064 \text{ (stat)} \pm 0.026 \text{ (syst)}$$

$$R(D^*) = 0.293 \pm 0.038 \text{ (stat)} \pm 0.015 \text{ (syst)}$$

} Analysis very similar to BaBar

- ▶  $\tau \rightarrow e\nu\nu$  and  $\tau \rightarrow \mu\nu\nu$ , *semileptonic tag*

$$R(D^*) = 0.302 \pm 0.030 \text{ (stat)} \pm 0.011 \text{ (syst)}$$

- ▶  $\tau \rightarrow \pi\nu$  and  $\tau \rightarrow \rho\nu$ , *hadronic tag*

$$R(D^*) = 0.270 \pm 0.035 \text{ (stat)} \pm 0.027 \text{ (syst)}$$

$$P_{\tau}(D^*) = -0.38 \pm 0.51 \text{ (stat)} \pm 0.18 \text{ (syst)}$$

} First measurement of polarisation

Phys. Rev. D 95, 115008 (2017)

✓ All  $R(D^{(*)})$  measurements consistent but above SM

$$R(D)_{\text{SM}} = 0.299 \pm 0.003$$

$$R(D^*)_{\text{SM}} = 0.257 \pm 0.003$$

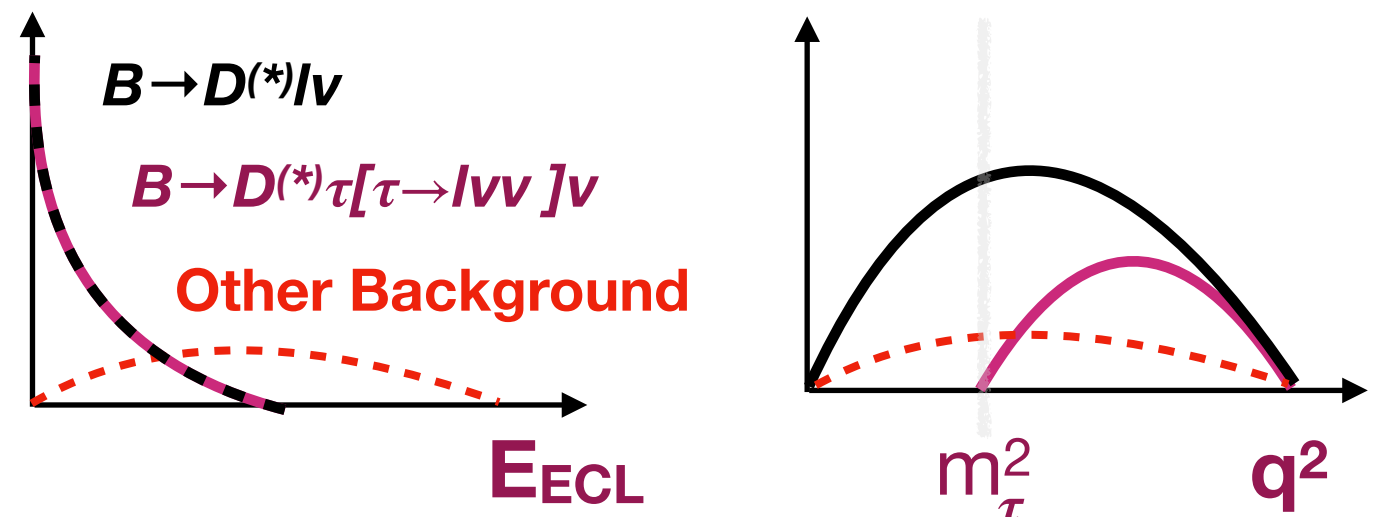
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- ▶ Multivariate hadronic tagging algorithm with **Neural Network**
- ▶ Use binned likelihood fit in 2D to  $m_{\text{miss}}^2$  and signal **Neural Network**

▶  $E_{\text{ECL}}$  (unassigned energy in the calorimeter)

▶  $q^2$  (four-momentum transfer)

$$q^2 = (p_{X_b} - p_{X_q})^2$$

▶  $|p_i^*|$  + more variables



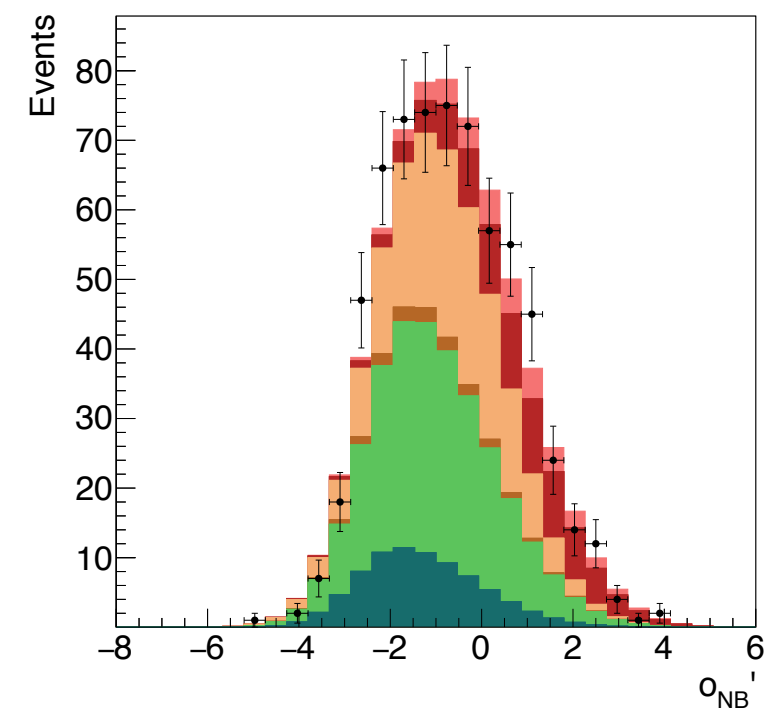
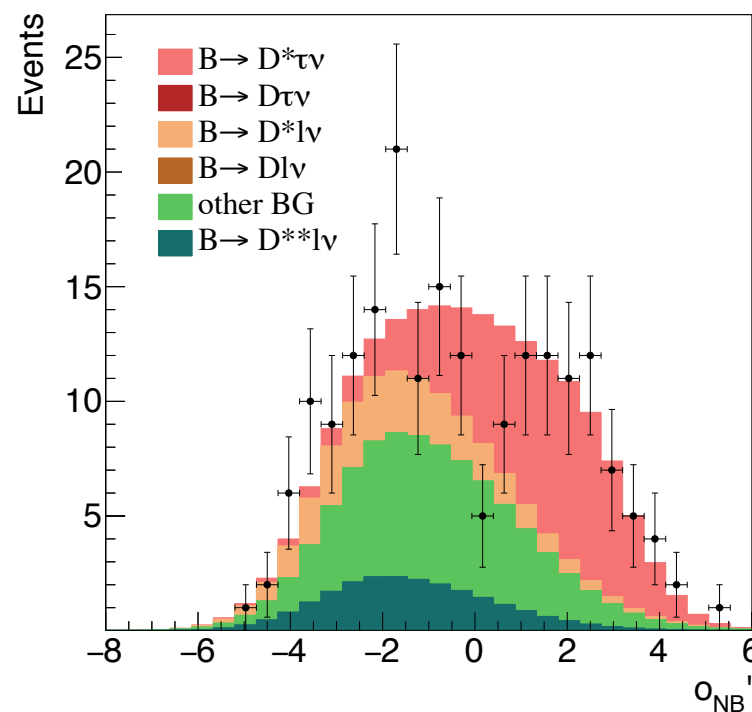
Most discriminating variable:  $E_{\text{ECL}}$



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Classifier Distributions after  $m_{\text{miss}}^2$  cut to enhance signal

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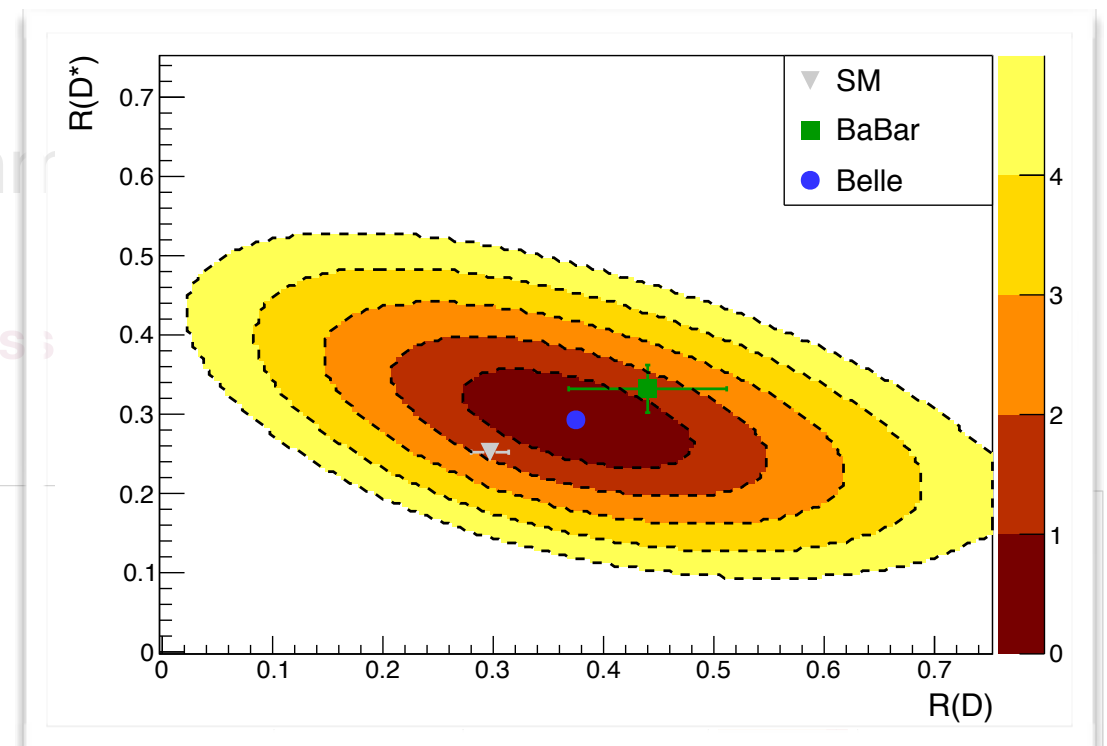


# Belle Measurements of $R(D^{(*)})$

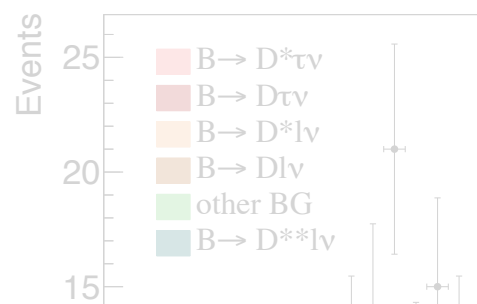
Phys.Rev.D 92, 072014 (2015)

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- ▶ Multivariate hadronic tagging algorithm
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Classifier Distributions after  $m_{\text{miss}}^2$  cut to enhance signal

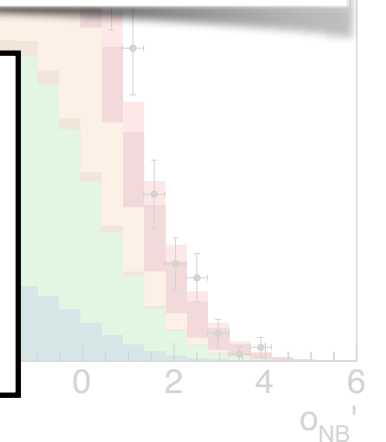


$\mathcal{R}(D^{(*)})$

$$R(D) = 0.375 \pm 0.064 \text{ (stat)} \pm 0.026 \text{ (syst)} \text{ (1.1}\sigma \text{ from SM)}$$

$$R(D^*) = 0.293 \pm 0.038 \text{ (stat)} \pm 0.015 \text{ (syst)} \text{ (0.9}\sigma \text{ from SM)}$$

✓ **Combination is 1.8 $\sigma$  from SM**

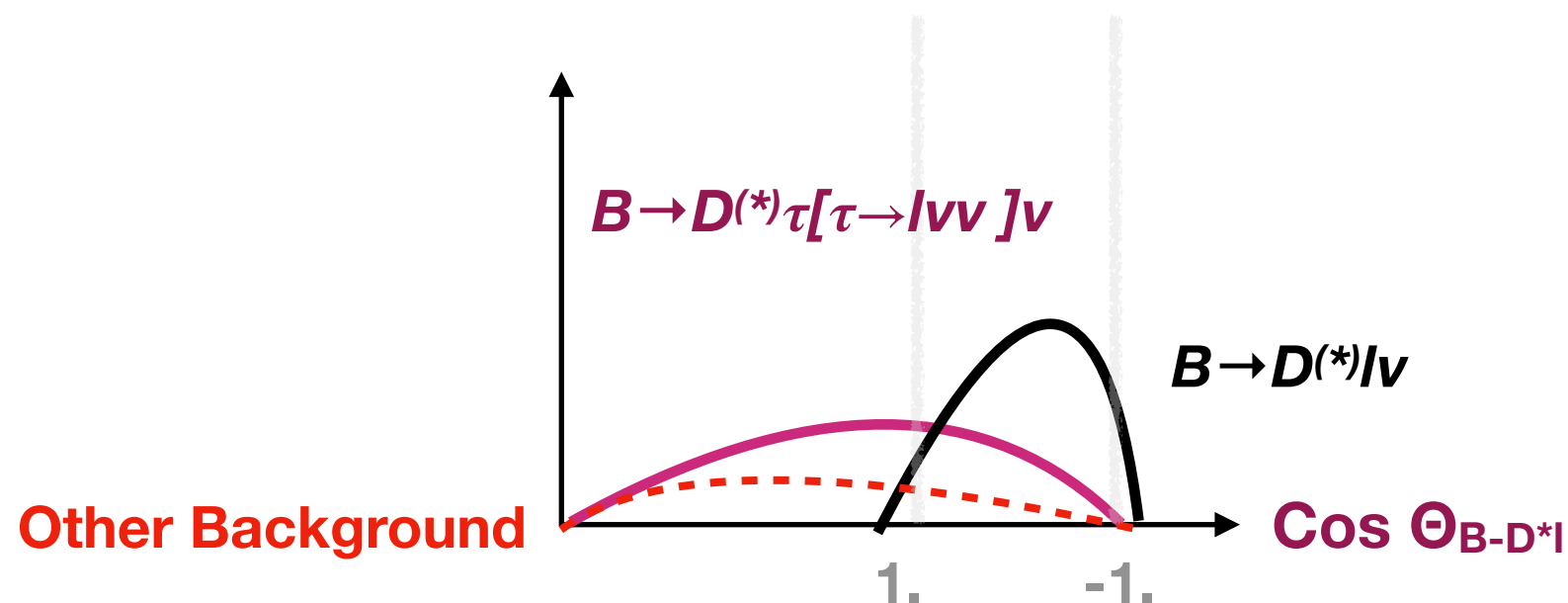




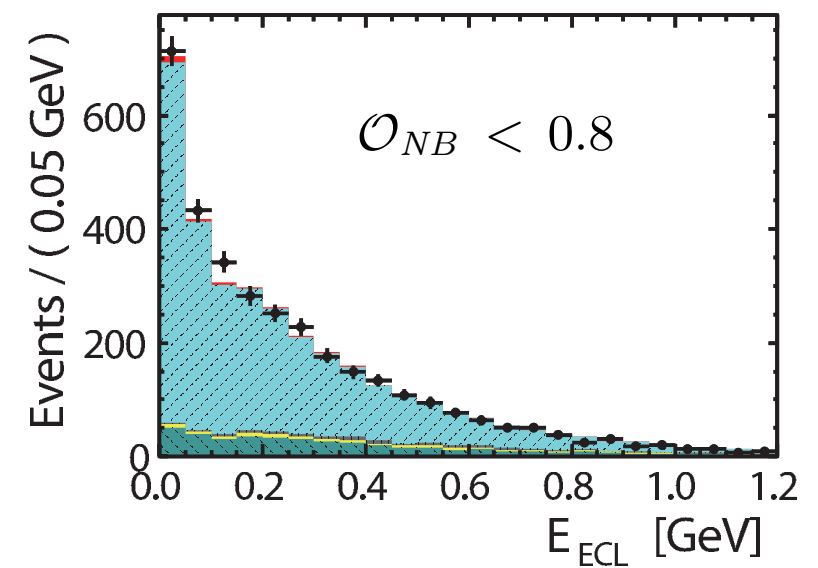
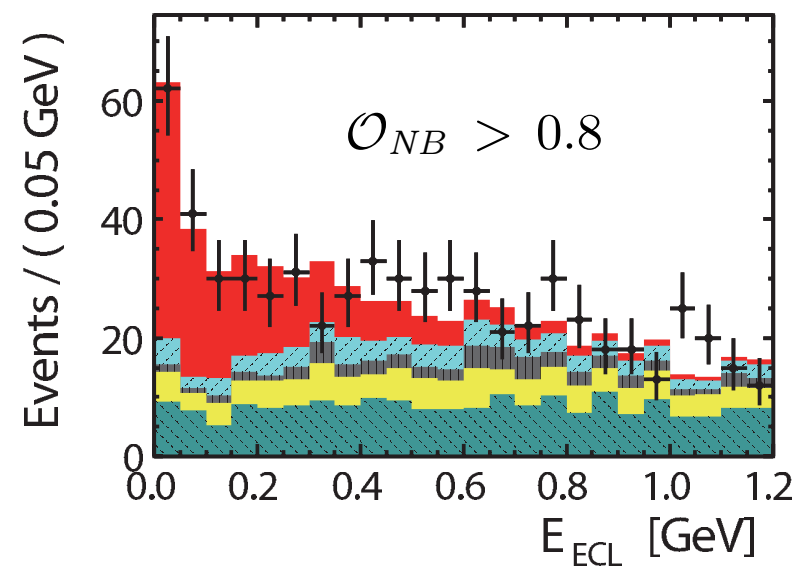
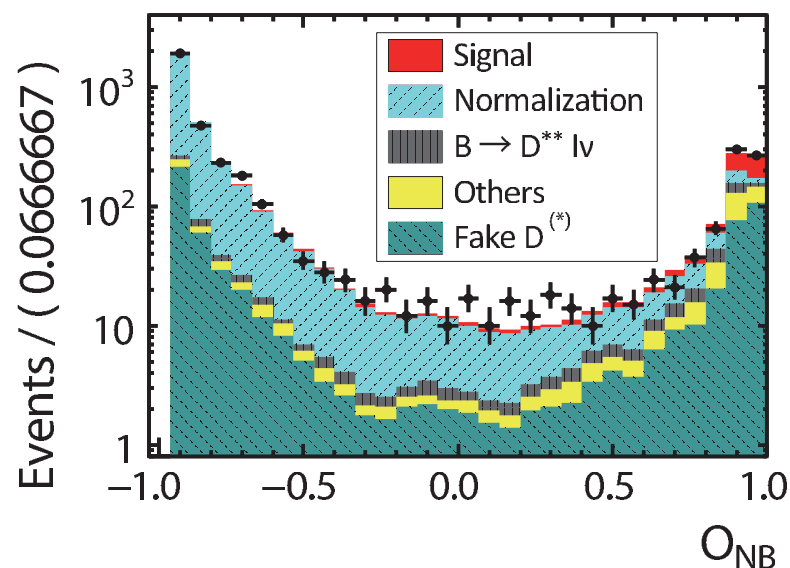
- ▶ Use of  $\tau \rightarrow e\nu\nu$  and  $\tau \rightarrow \mu\nu\nu$  to reconstruct  $\tau$ -lepton
- ▶ Instead of **hadronic** use prompt **semileptonic** for tag-side reconstruction; *only measures  $R(D^*)$  due to large backgrounds*
  - ▶ Larger BF, but less information due to tag-side neutrino

Discriminating variable  $m_{\text{miss}}^2$  less powerful due to second neutrino, but can use angle between  $B$  and  $D^*$

$$\cos \theta_{B-D^*\ell} \equiv \frac{2E_{\text{beam}}E_{D^*\ell} - m_B^2c^4 - M_{D^*\ell}^2c^4}{2|\vec{p}_B| \cdot |\vec{p}_{D^*\ell}|c^2},$$



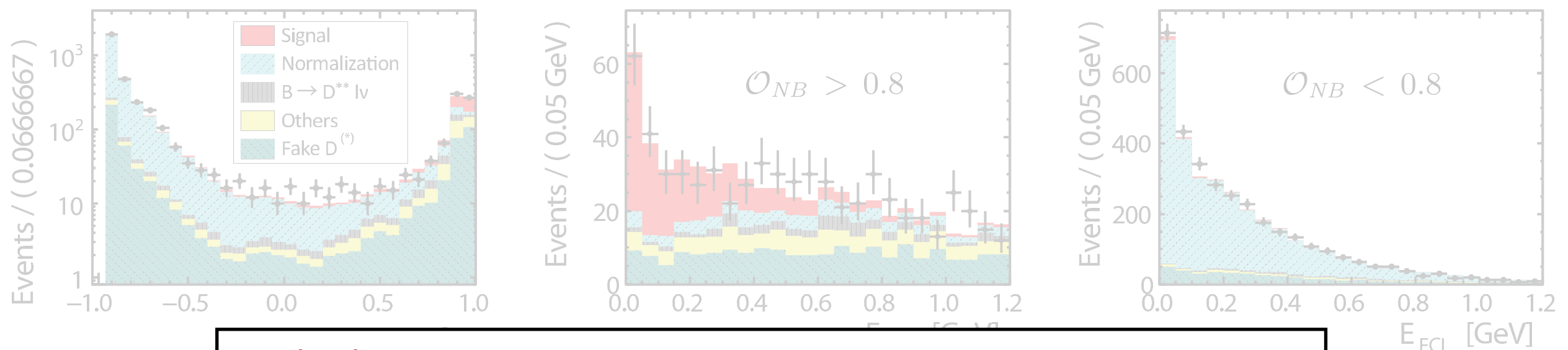
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  - ▶ **Neural Network** with  $\text{Cos } \Theta_{B-D^*l}$ ,  $m_{\text{miss}}^2$ , visible energy
- ▶ Use binned likelihood fit in 2D to  $E_{\text{ECL}}$  and **Neural Network**
  - ▶ Post-fit projections:





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$$R(D^*) = 0.302 \pm 0.030 \text{ (stat)} \pm 0.011 \text{ (syst)} \text{ (1.4}\sigma \text{ from SM)}$$

# Belle Measurements of $R(D^*)$

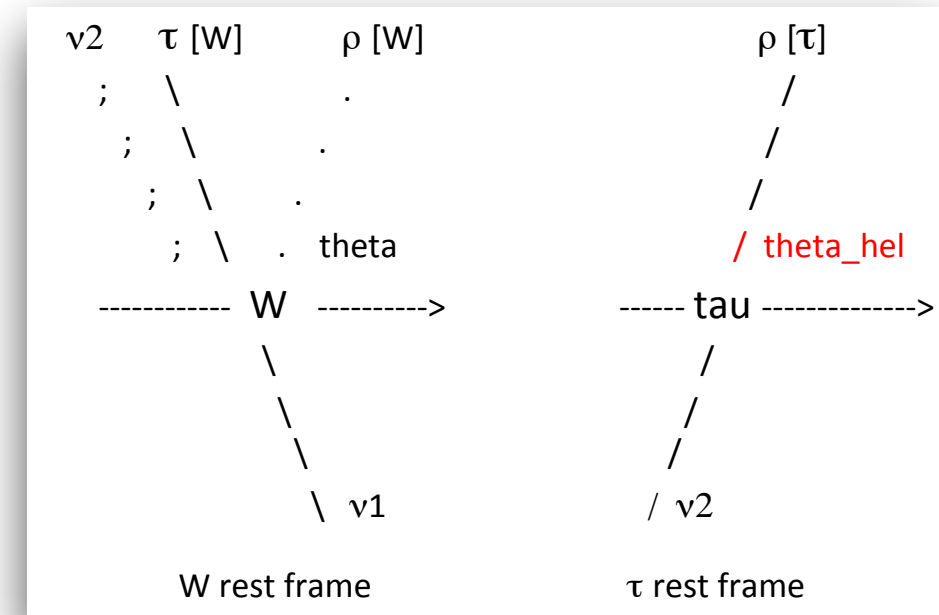
Phys.Rev.Lett.118,211801 (2017)  
+ [arXiv:1709.00129]

- ▶ Decay angles of  $\tau \rightarrow \pi \nu$  and  $\tau \rightarrow \rho \nu$  encode  $\tau$ -polarisation, sensitive to NP!

✓ Need to reconstruct helicity angle, but a-priorio  $\tau$ -restframe not accessible

✓ Luckily there is a relation between  $\langle \tau h \rangle$  in  $\tau \nu$ -frame and this angle

- ▶ **Hadronic** tagging essential to reconstruct this frame



Nice Illustration  
from V. Luth



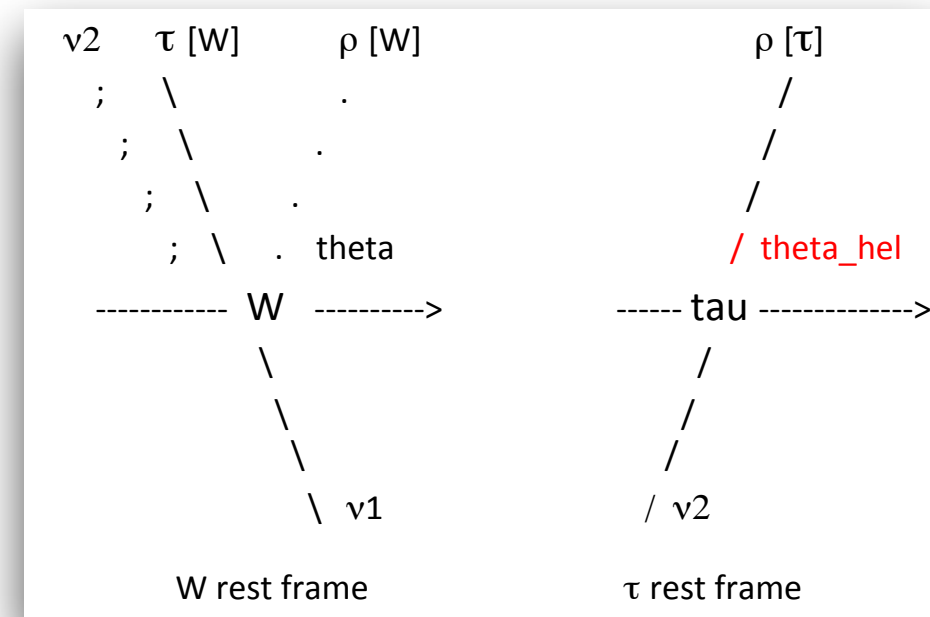
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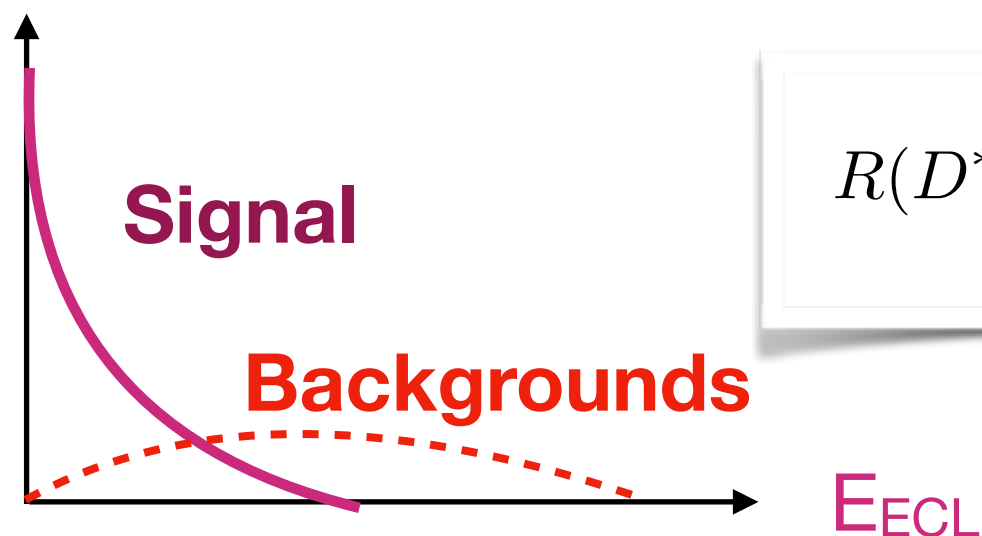
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- ▶ Signal extraction via  $E_{ECL}$  (unassigned energy in the calorimeter) and in two bins of helicity angle  $\cos\Theta_{hel}$  with binned likelihood fit



$$R(D^*) = \frac{\epsilon_{\text{norm}}^j N_{\text{sig}}^{ij}}{\mathcal{B}_{\tau}^i \epsilon_{\text{sig}}^{ij} N_{\text{norm}}^j},$$

$$P_{\tau}(D^*) = \frac{2}{\alpha_i} \frac{N_{\text{sig}}^{Fij} - N_{\text{sig}}^{Bij}}{N_{\text{sig}}^{Fij} + N_{\text{sig}}^{Bij}},$$

Normalisation:  $B \rightarrow D^* \ell \nu$

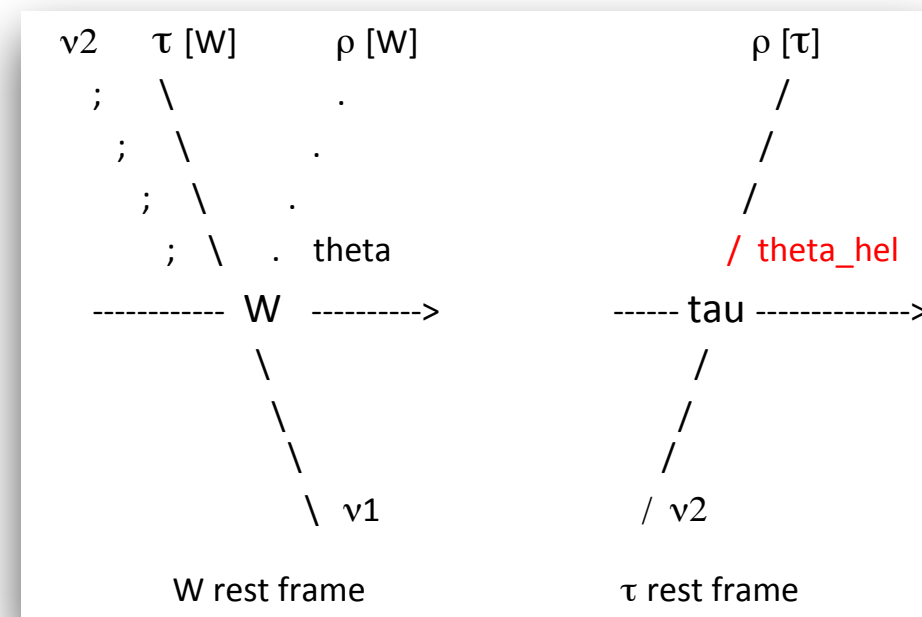
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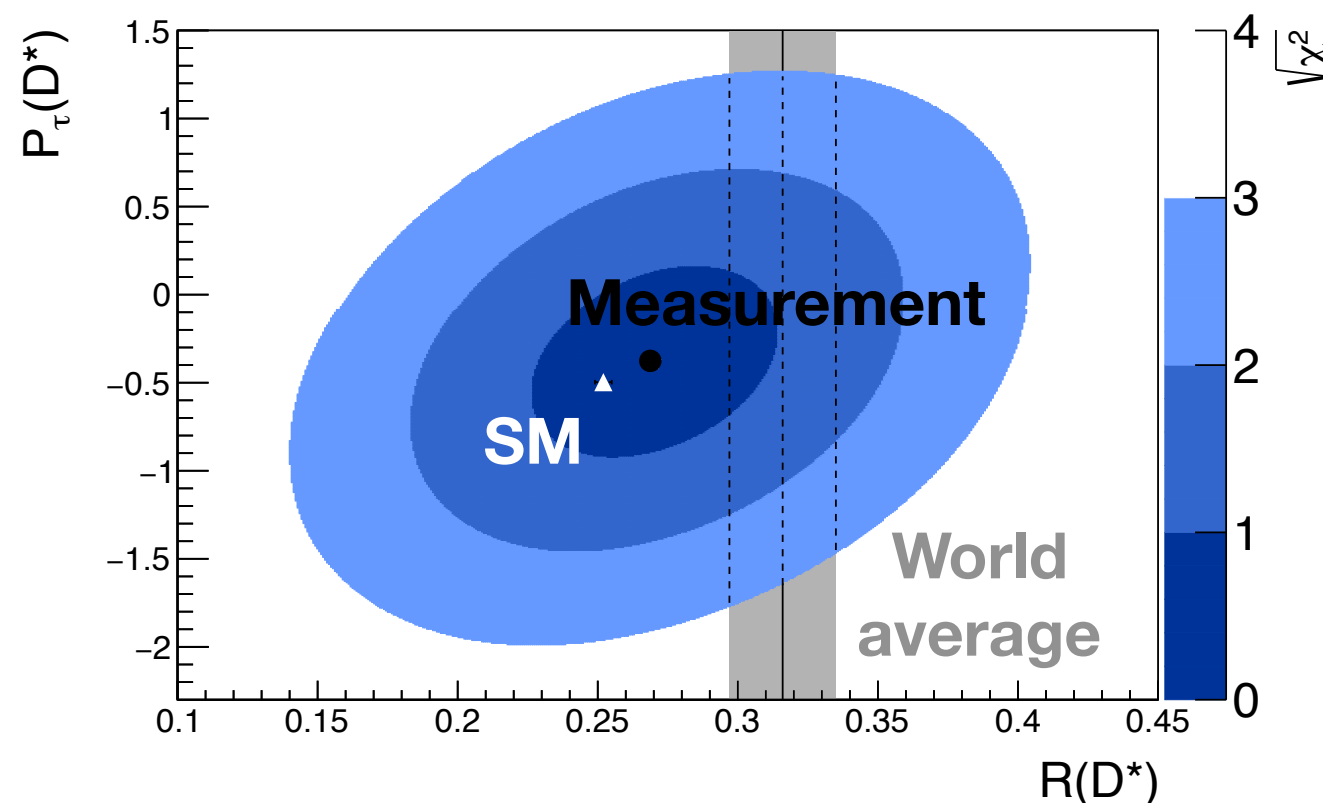
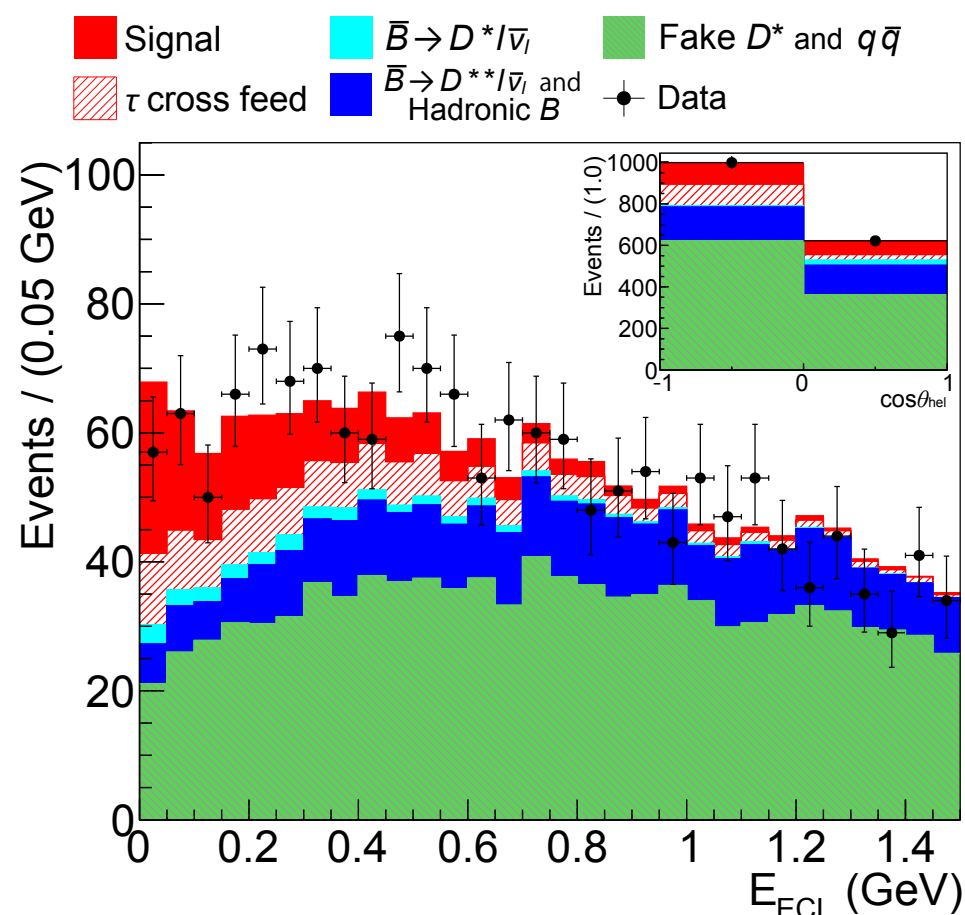
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Nice Illustration

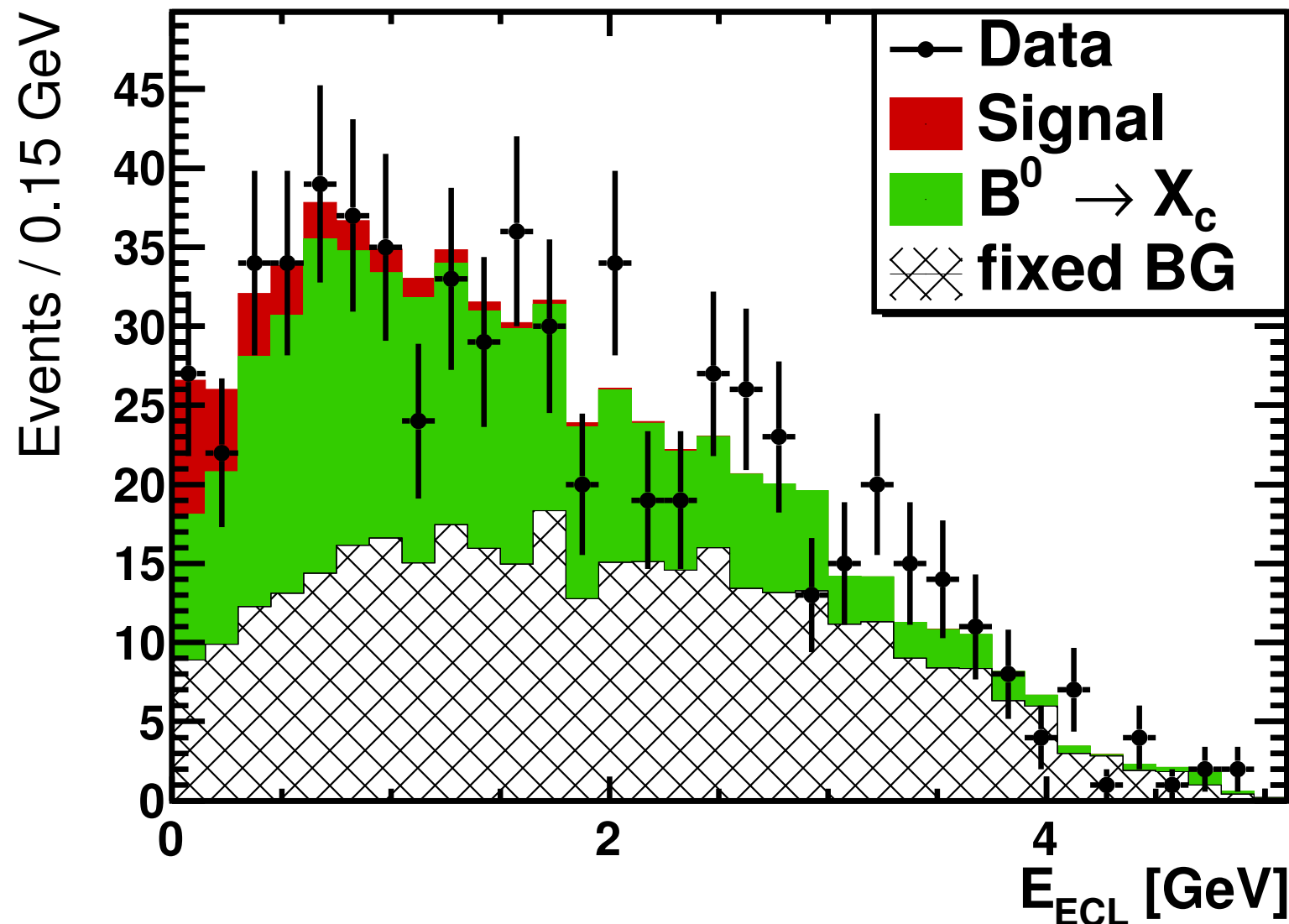




$$R(\pi) = \frac{\mathcal{B}(B \rightarrow \pi\tau\bar{\nu}_\tau)}{\mathcal{B}(B \rightarrow \pi\ell\bar{\nu}_\ell)}$$

- ▶ Use **Hadronic** tagging and reconstruct

$\tau \rightarrow \ell VV, \tau \rightarrow \pi VV, \tau \rightarrow \rho VV, \tau \rightarrow a_1 VV$



1D Likelihood fit in  $E_{ECL}$

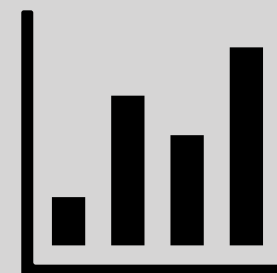
$$R(\pi) = 1.05 \pm 0.51$$

$$R(\pi)_{SM} = 0.641 \pm 0.016$$





## 2. How do we predict?



*with a focus on  $R(D/D^*/\pi)$ , similar things do apply though to  $R(J/\psi)$*





# Form Factor Bootcamp with $B \rightarrow D\ell\nu$ as an example

$$f_+(q^2)$$

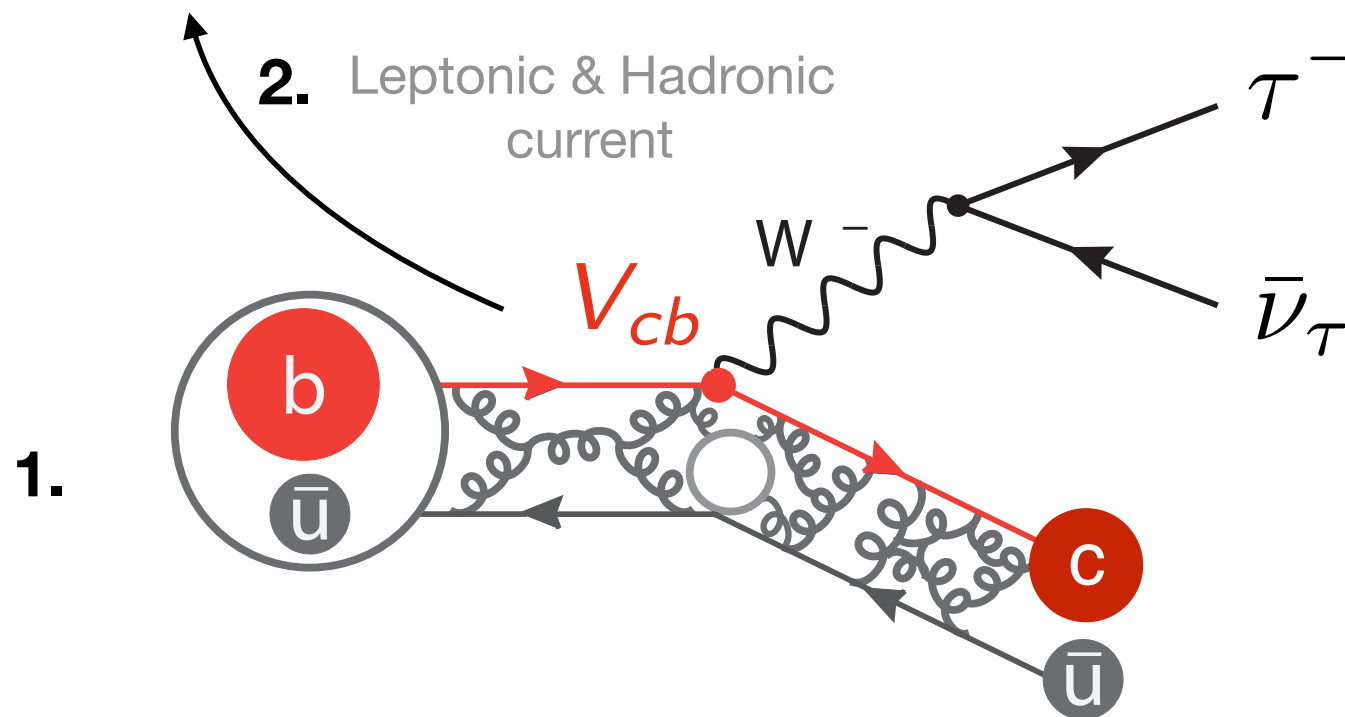


Four-momentum transfer squared encodes QCD dynamics

$$f_-(q^2)$$

$$\sim v(p_\ell) (\cancel{m}_\ell + \cancel{m}_\nu) \bar{u}(p_\nu)$$

$$H^\mu L_\mu = \langle B(p) | V^\mu - A^\mu | D(p') \rangle L_\mu = [f_+(q^2) (p + p')^\mu + f_-(q^2) (p - p')^\mu] L_\mu$$



# Form Factor Bootcamp with $B \rightarrow D\ell\nu$ as an example

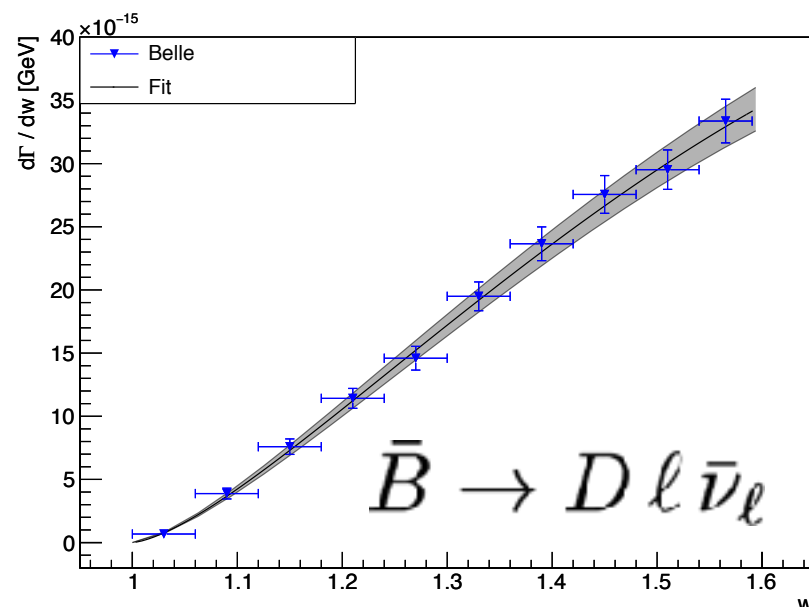
$$f_+(q^2)$$

$$f_-(q^2)$$

$$\sim v(p_\ell) (\cancel{m}_\ell + \cancel{m}_\nu) \bar{u}(p_\nu)$$



Can be **studied** with **light lepton modes**, but also in **lattice (high  $q^2$ )** or **sum rules**

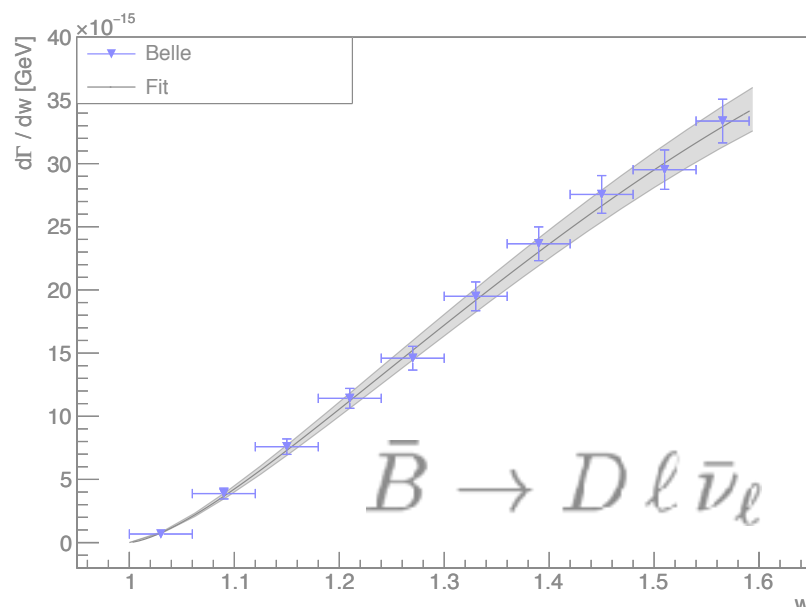


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$$f_-(q^2)$$

$$\sim v(p_\ell) (\cancel{m}_\ell + \cancel{m}_\nu) \bar{u}(p_\nu)$$



Proportional to **basically**  $\sim 0$  in **light lepton modes**, cannot be constrained experimentally in this way



But important for heavy leptons, **need input** from **lattice** or **HQET relations**



# Form Factor Bootcamp with $B \rightarrow D\ell\nu$ as an example

$$f_+(q^2)$$

$$f_-(q^2)$$

$$\sim v(p_\ell) (\cancel{m}_\ell + \cancel{m}_\nu) \bar{u}(p_\nu)$$

State of the art predictions combine light lepton measurements and lattice + QCD sum rules for

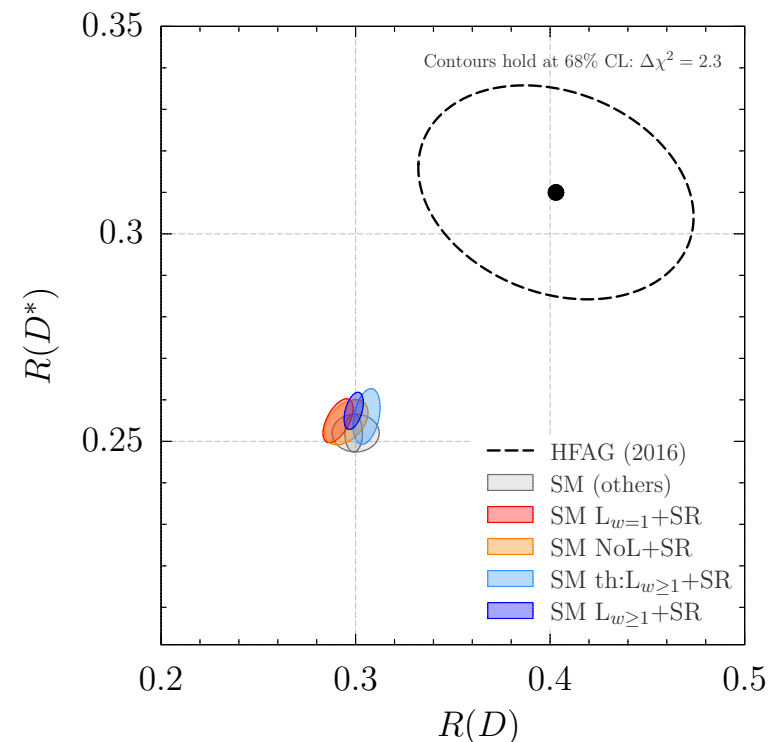
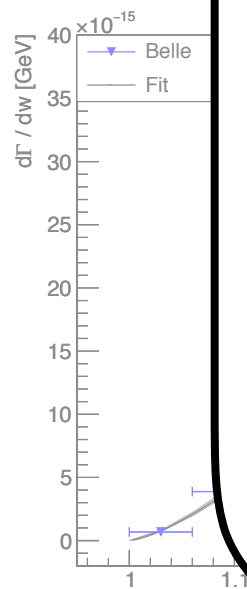
Different inputs leave a fairly consistent picture

arXiv: 1606.08030

arXiv: 1703.05330

arXiv: 1707.09509

...



Can be modes

$y \sim 0$  in cannot be ally in this

leptons, or HQET

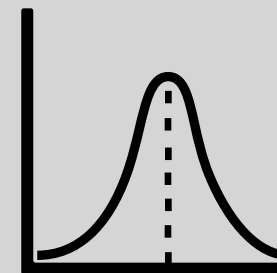




# 3. Is it really $4\sigma$ ?

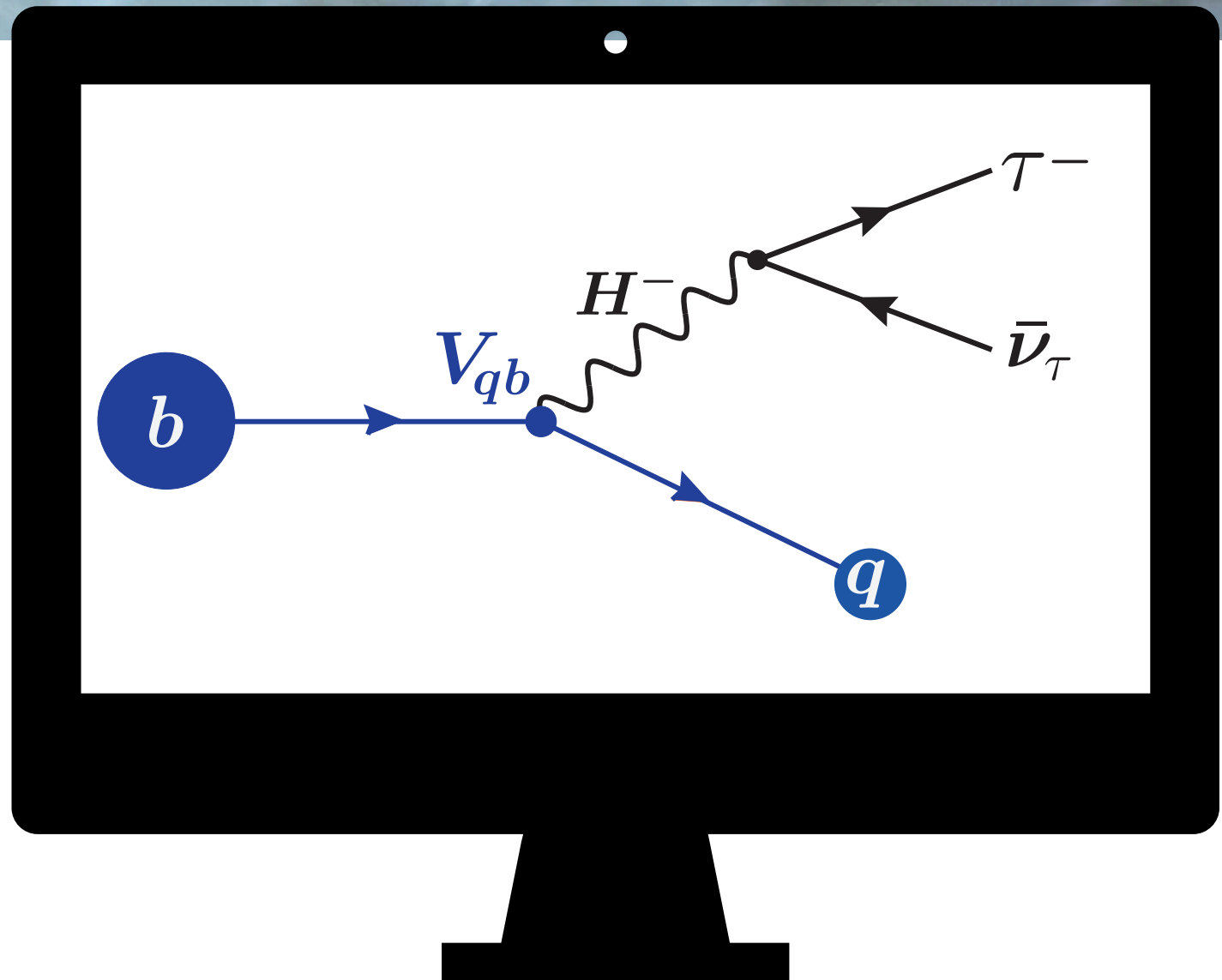


*well, depends what you want to conclude!*





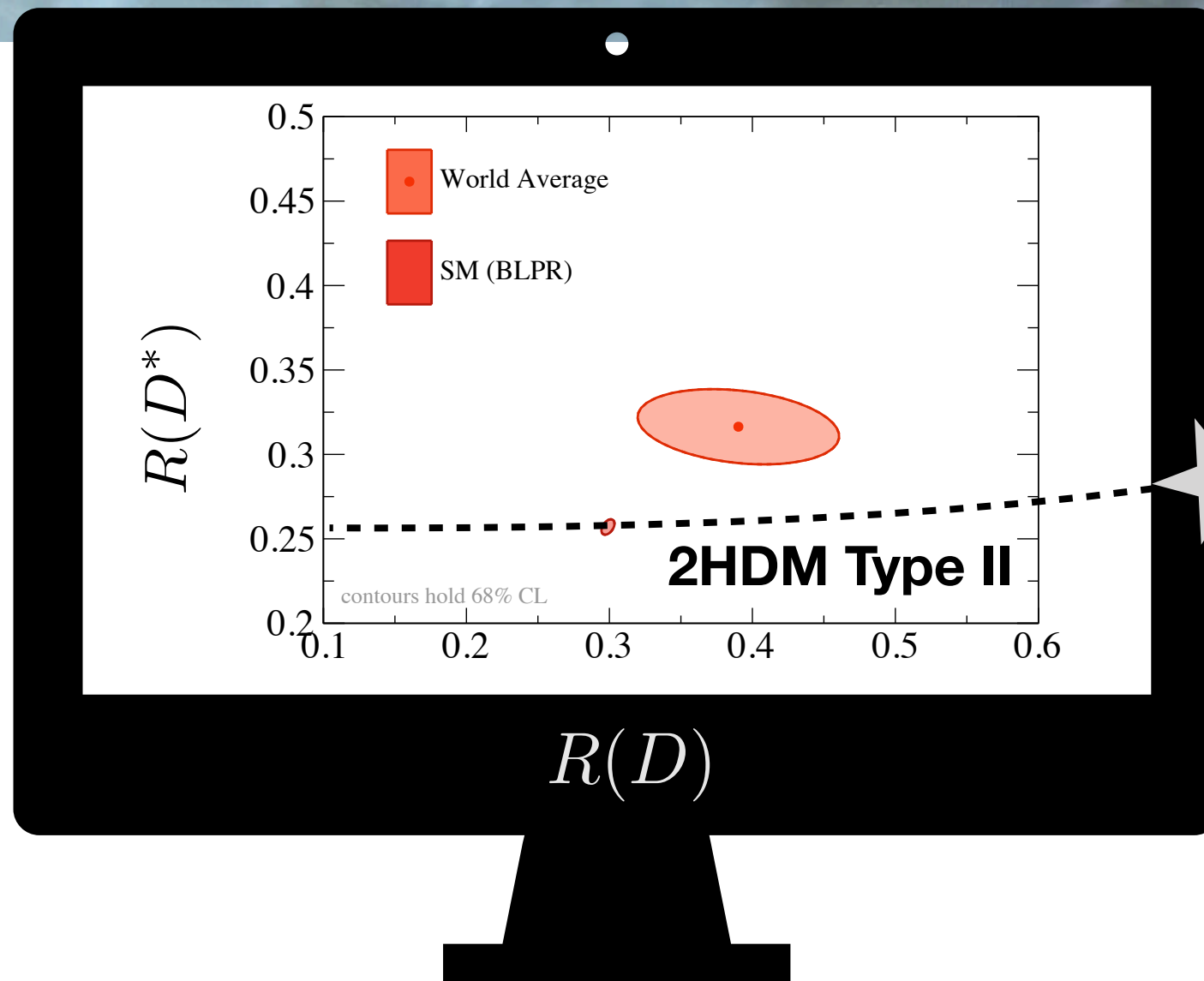
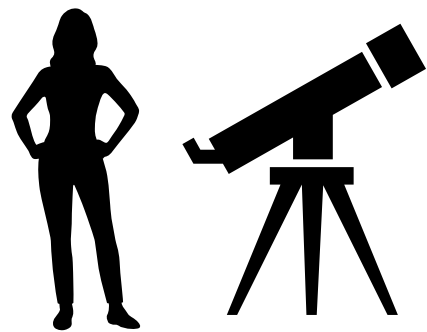
# Let me explain what I mean:



- ▶ Let's say you want to use the **measured ratios** to learn something about the anomaly and **your favourite model** that could explain it!

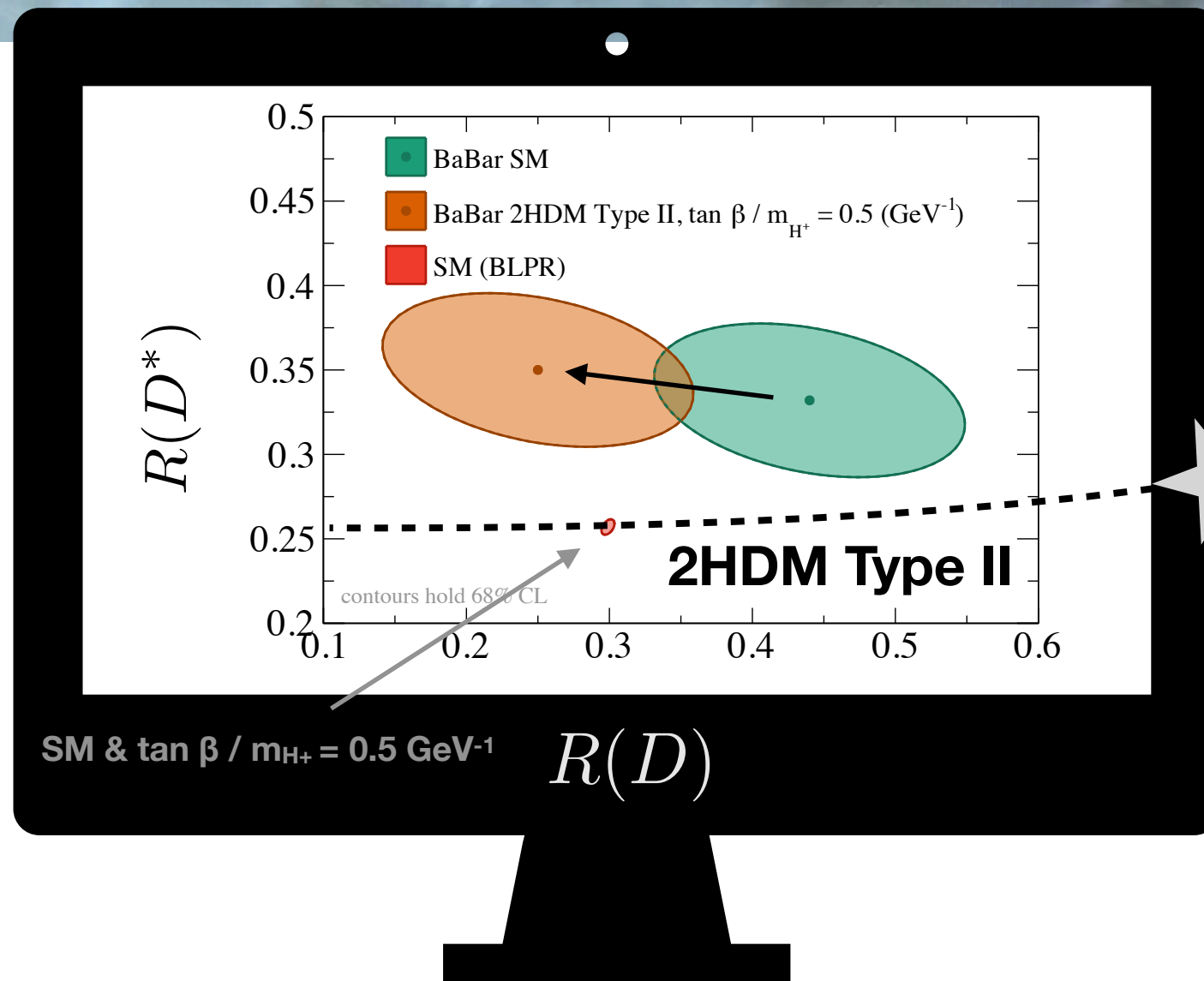
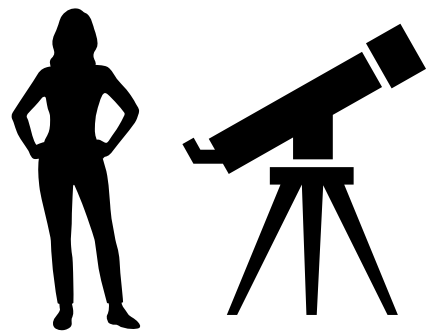


# Let me explain what I mean:



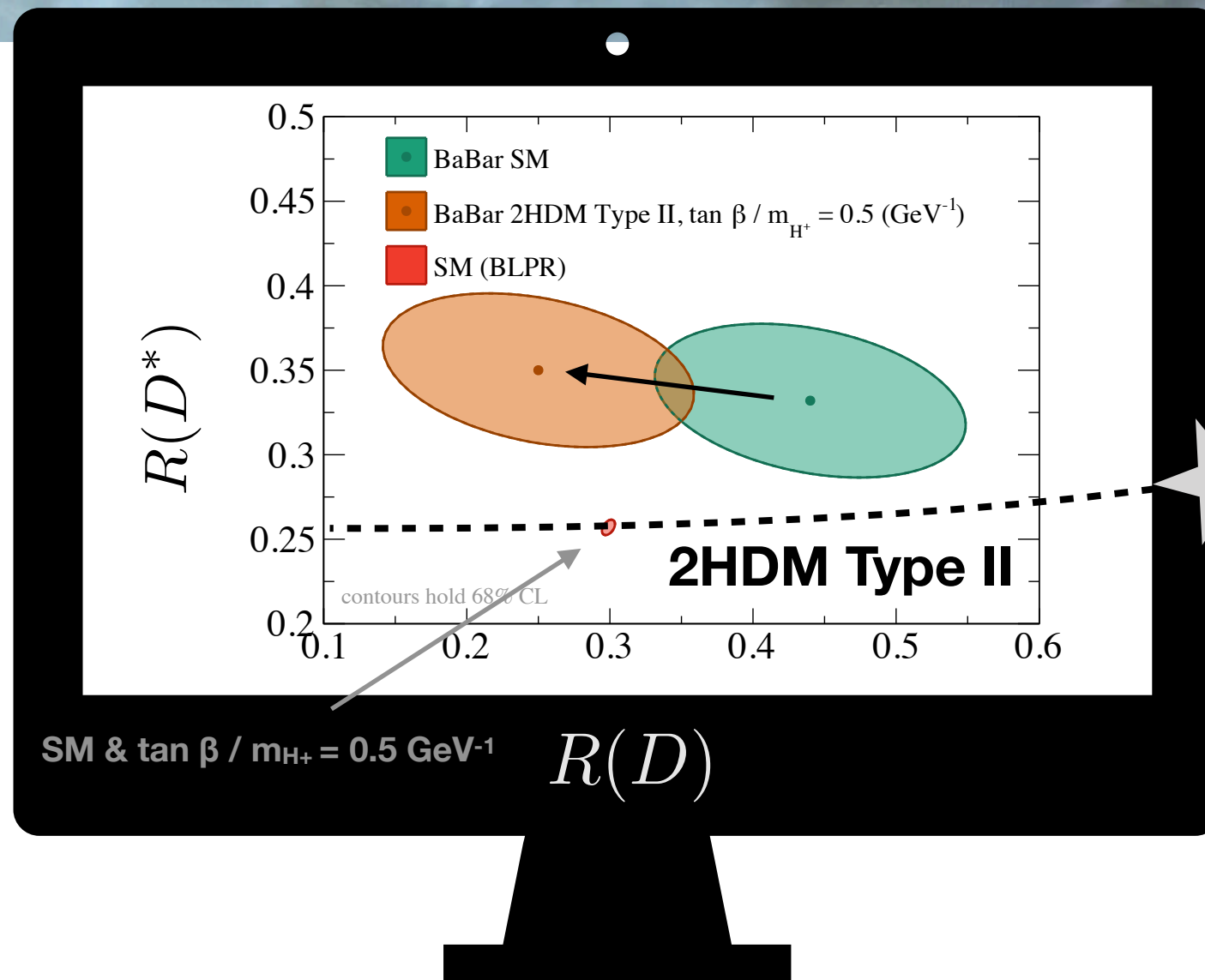
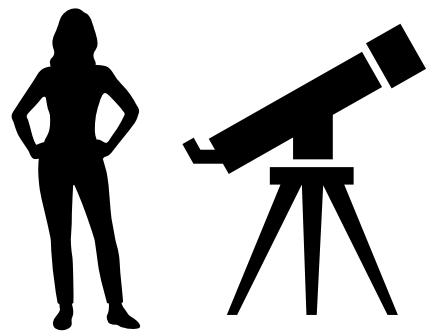
- ▶ Let's say you want to use the **measured ratios** to learn something about the anomaly and **your favourite model** that could explain it!

# Let me explain what I mean:



- ▶ As it turns out, **not that easy** — the **measured points** themselves are **extracted assuming the SM**.

# Let me explain what I mean:



## ► Why is this happening exactly?

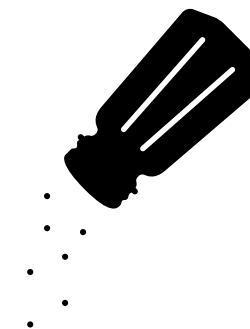
- **Change in kinematics** of final state particles ( $q^2$ !)
- Dominant effect here:  **$\tau$ -polarisation**

(full explanation in backup)



# Thus..

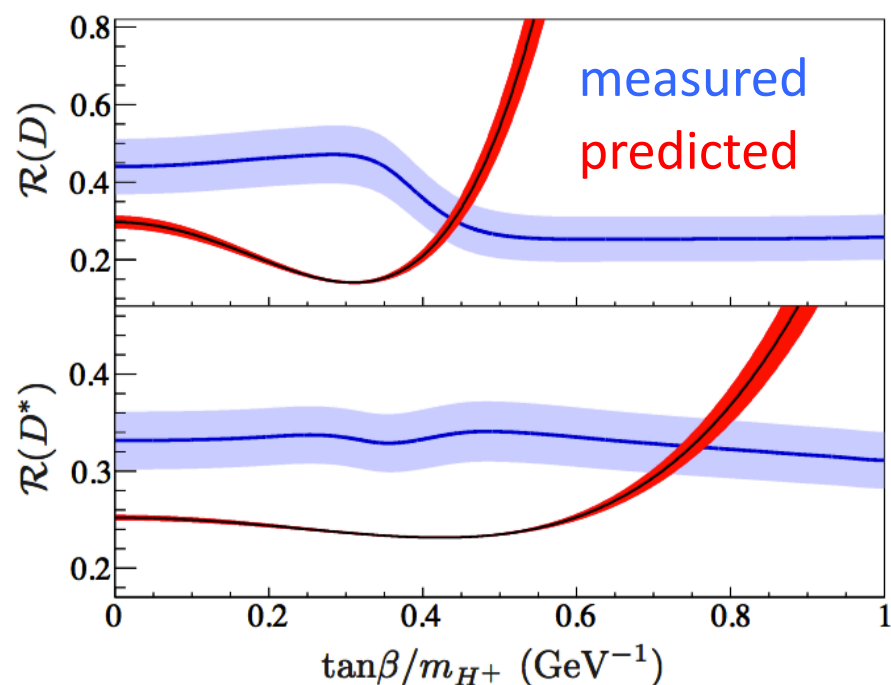
- ▶ You can **test models** against the measured ratios, but **keep in mind** that these **results** should be **taken with a grain of salt**



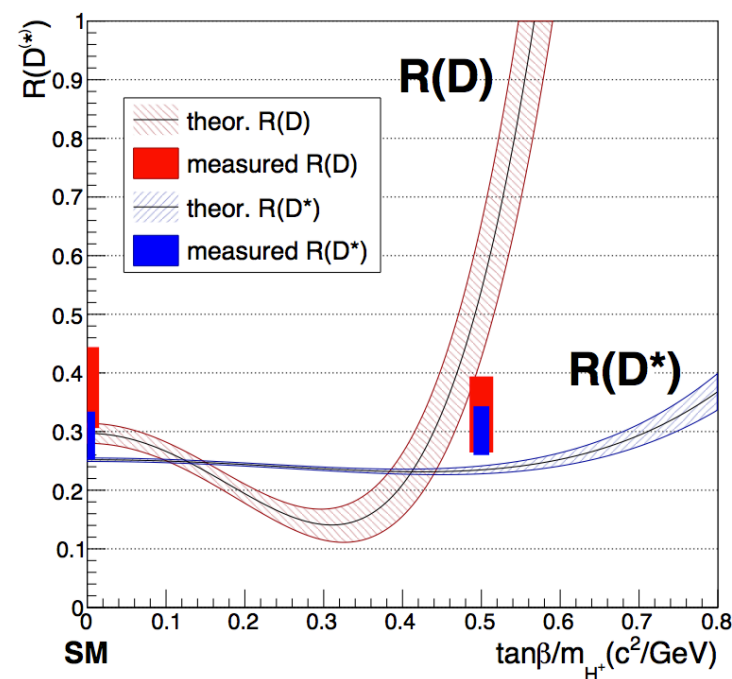
- ▶ Fully **consistent tests** right now **only possible within experiments**

Examples:

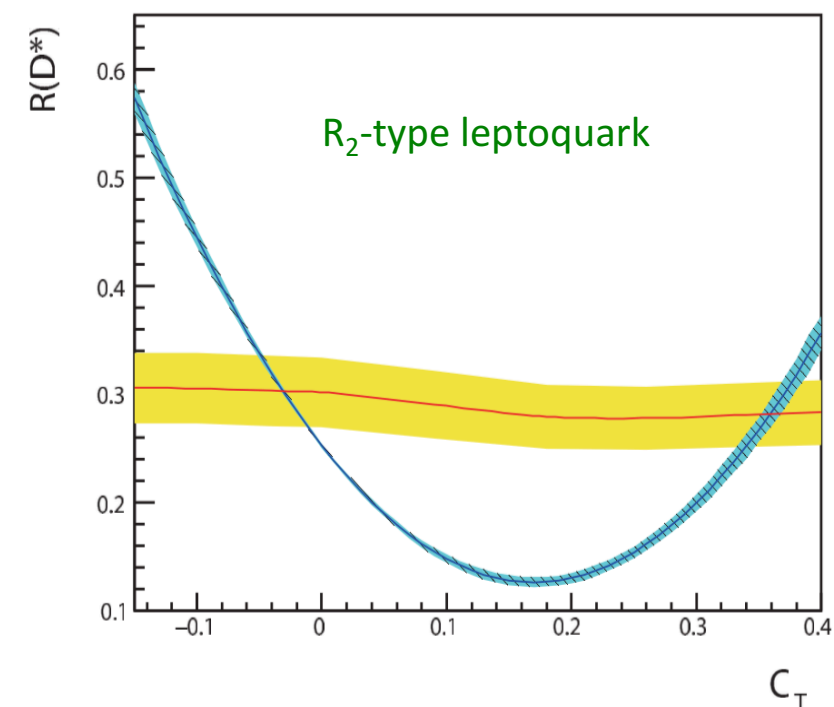
BaBar disfavours 2HDM type II



Belle more compatible



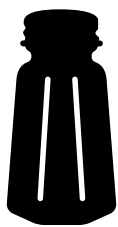
Belle studied two 2 types of leptoquark models. Results allow additional contributions from scalar and vector operators



# Thus..

- ▶ You can **test models** against the measured ratios, but **keep in mind** that these **results** should be **taken with a grain of salt**
- ▶ Fully **consistent tests** right now **only possible within experiments**

- ▶ **Better:** Experiments should extract limits on **Wilson coefficients** directly, that **allow meaningful reinterpretation**



✓ **Need help from theorists**



180x.xxxxx FB, S. Duell, M. Papucci, Z. Ligeti, D. Robinson





# 4. Looking ahead



$\frac{\text{BaBar data set}}{\text{CLEO data set}}$

~

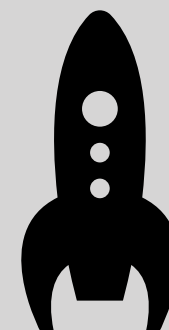
$\frac{\text{Belle II data set}}{\text{Belle data set}}$

~

50:1

~

$\frac{\text{LHCb Upgrade}}{\text{LHCb 1/fb}}$





# Belle II: a next generation B-Factory experiment

## Electromagnetic Calorimeter:

Thallium activated Caesium Iodide scintillation crystals

## KL and Muon detection system

RPC based

## Vertex detectors (PXD+SVD)

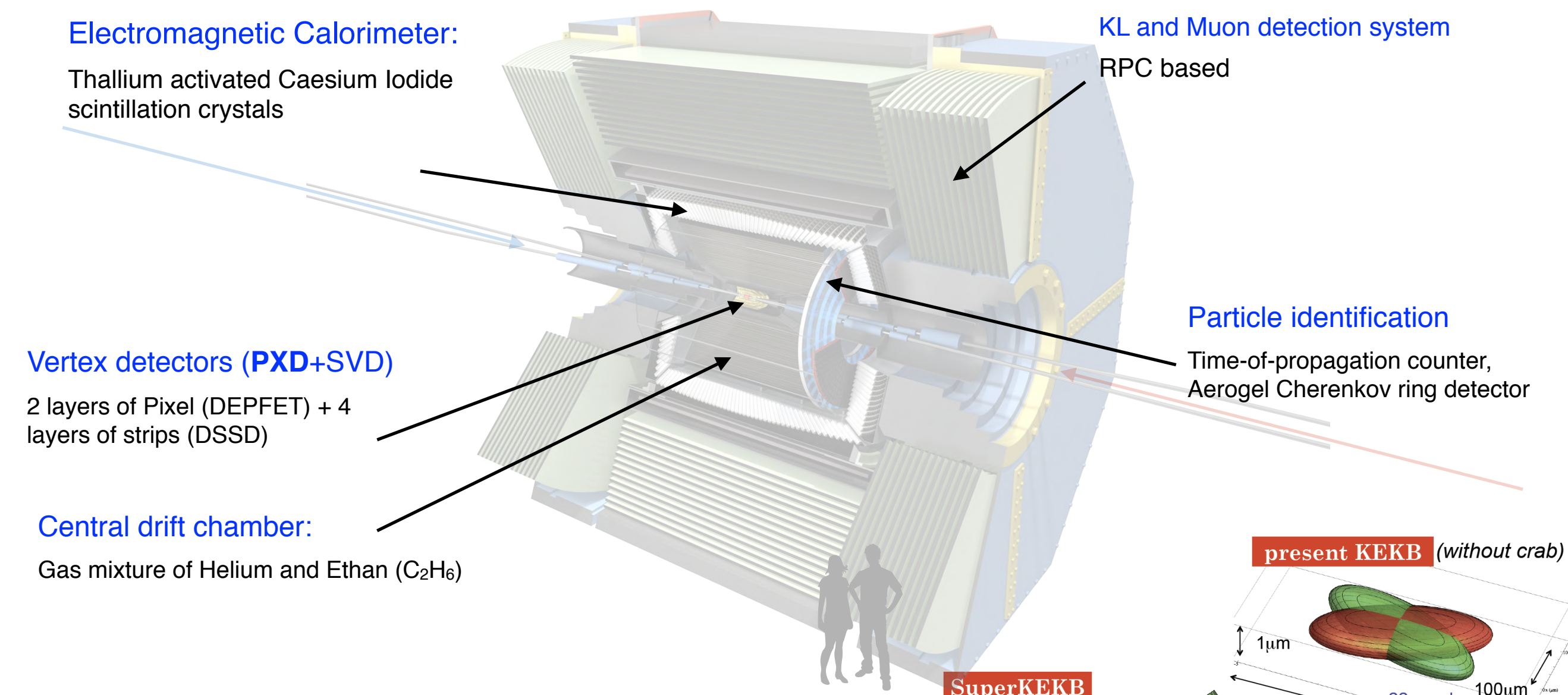
2 layers of Pixel (DEPFET) + 4 layers of strips (DSSD)

## Central drift chamber:

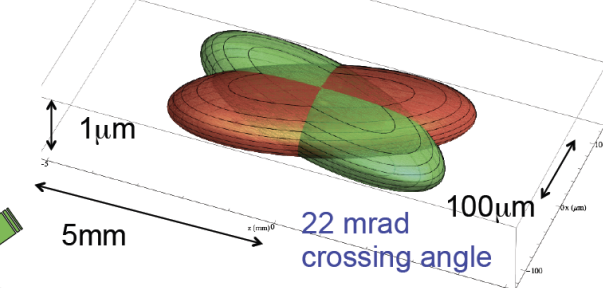
Gas mixture of Helium and Ethan ( $C_2H_6$ )

## Particle identification

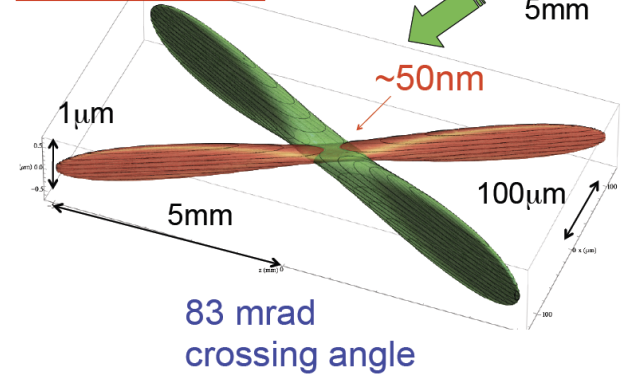
Time-of-propagation counter, Aerogel Cherenkov ring detector



present KEKB (without crab)



SuperKEKB

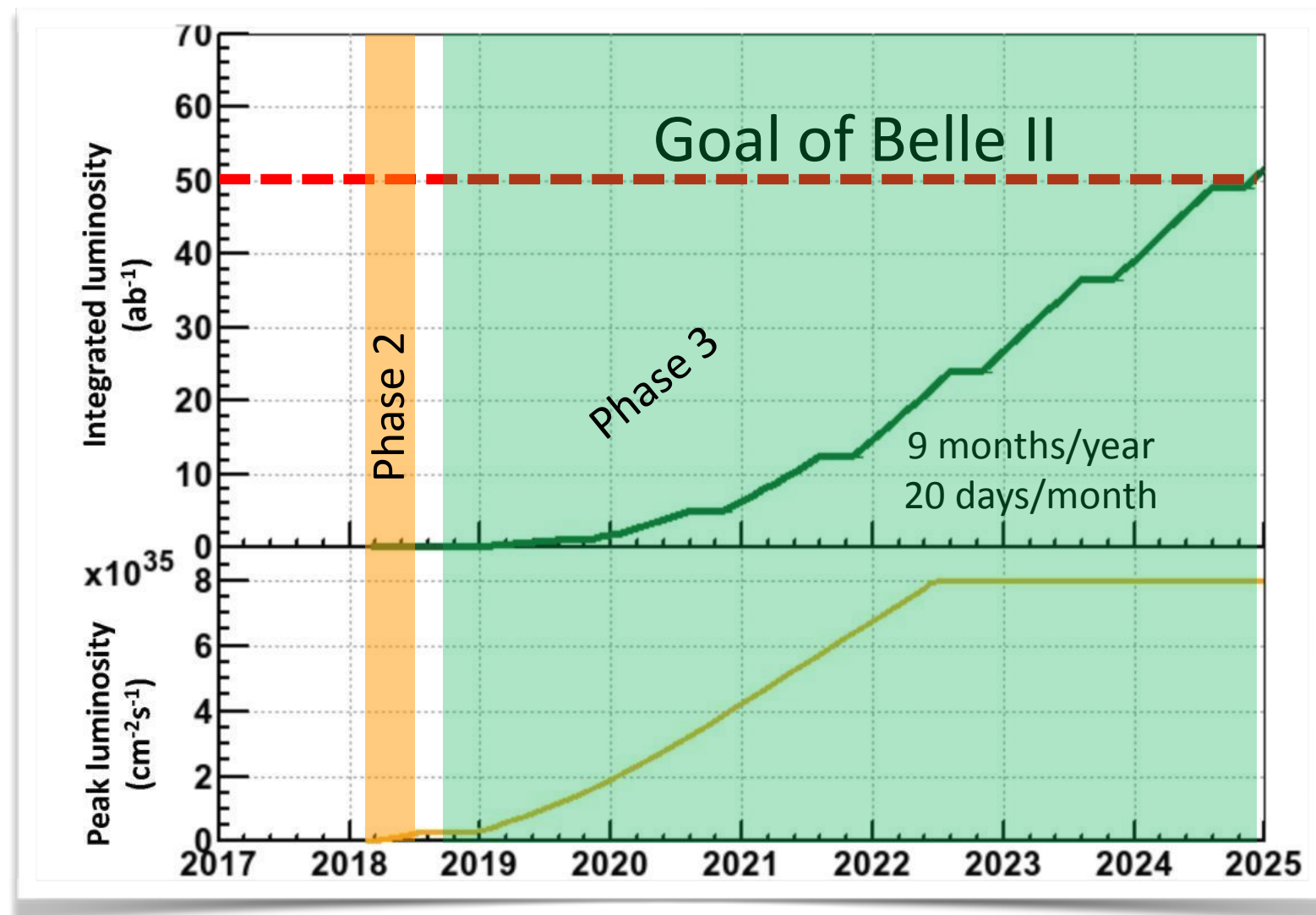


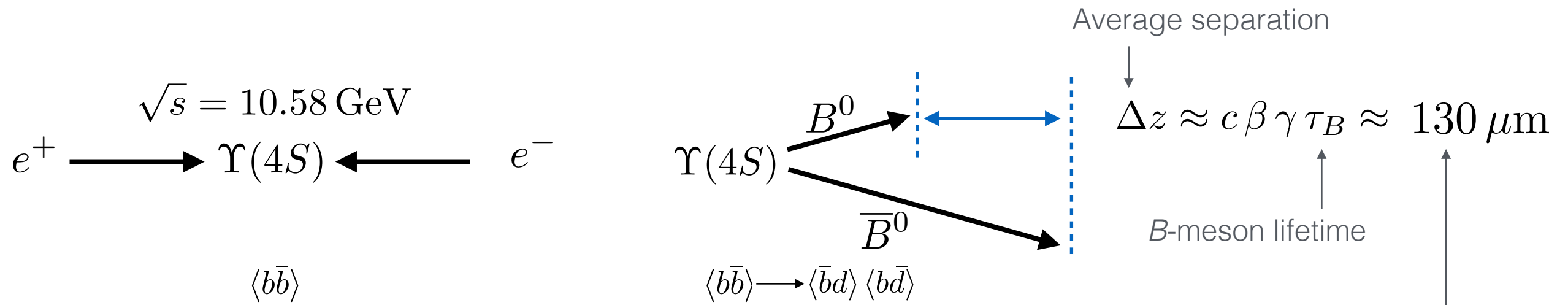
LER / HER	KEKB	SuperKEKB
Energy [GeV]	3.5 / 8	4.0 / 7.0
Luminosity [ $10^{34} \text{ cm}^{-2} \text{ s}^{-1}$ ]	2,1	<b>80</b>



# Belle II ramp up Phases

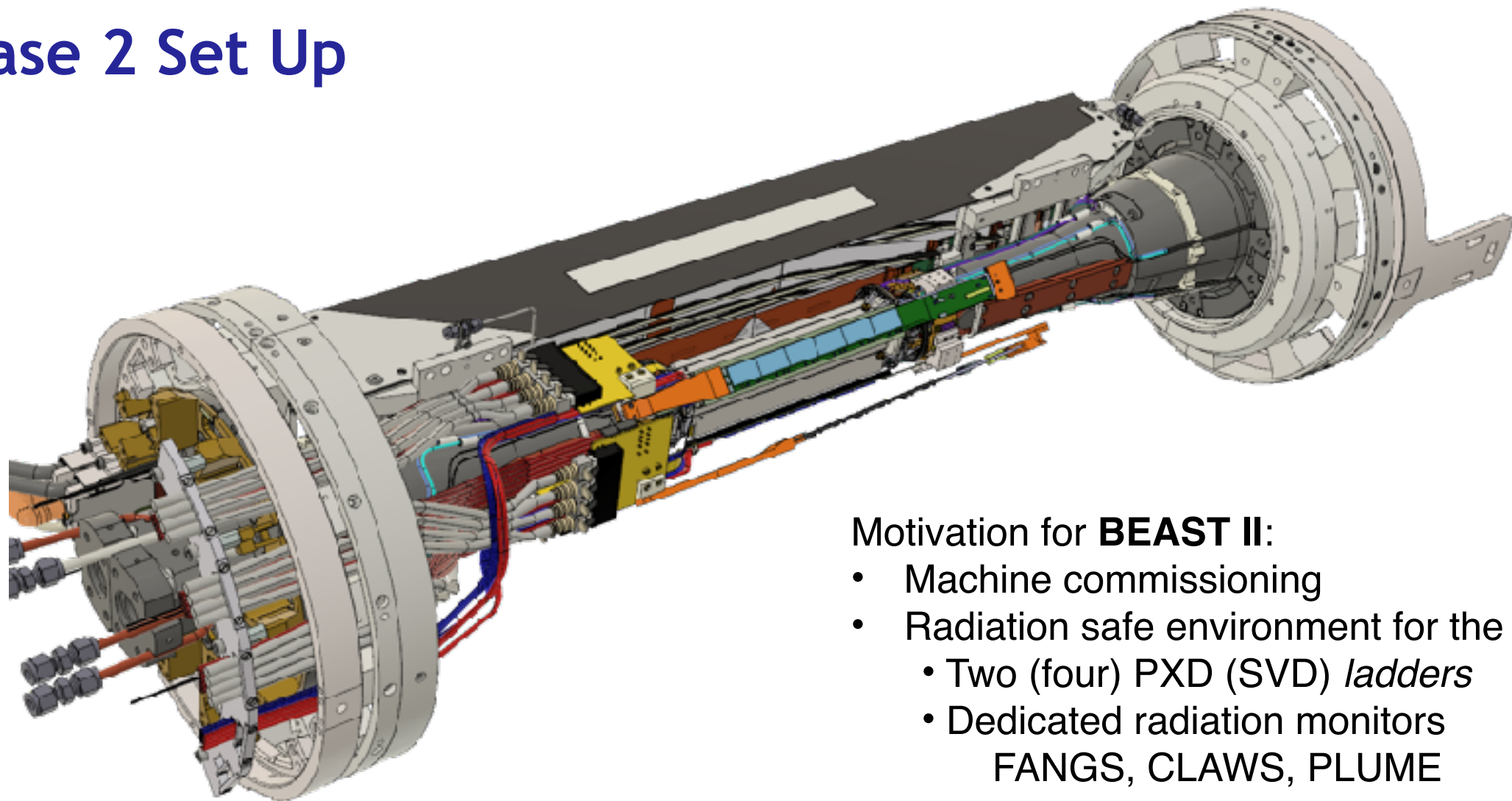
- ▶ Ramp up in **three** phases
  - ▶ **Phase I 2016:** No detector over interaction region, study of beam properties
  - ▶ **Phase II 2018:** First collisions, but no **PXD**. Instead **BEAST II (radiation monitoring system)**
  - ▶ **Phase III 2019:** First Physics with full detector





About a factor of two smaller than BaBar!

## Phase 2 Set Up



### Motivation for **BEAST II**:

- Machine commissioning
- Radiation safe environment for the VXD:
  - Two (four) PXD (SVD) *ladders*
  - Dedicated radiation monitors  
FANGS, CLAWS, PLUME





Tsukuba Hall (2016)



# Belle II Control Room

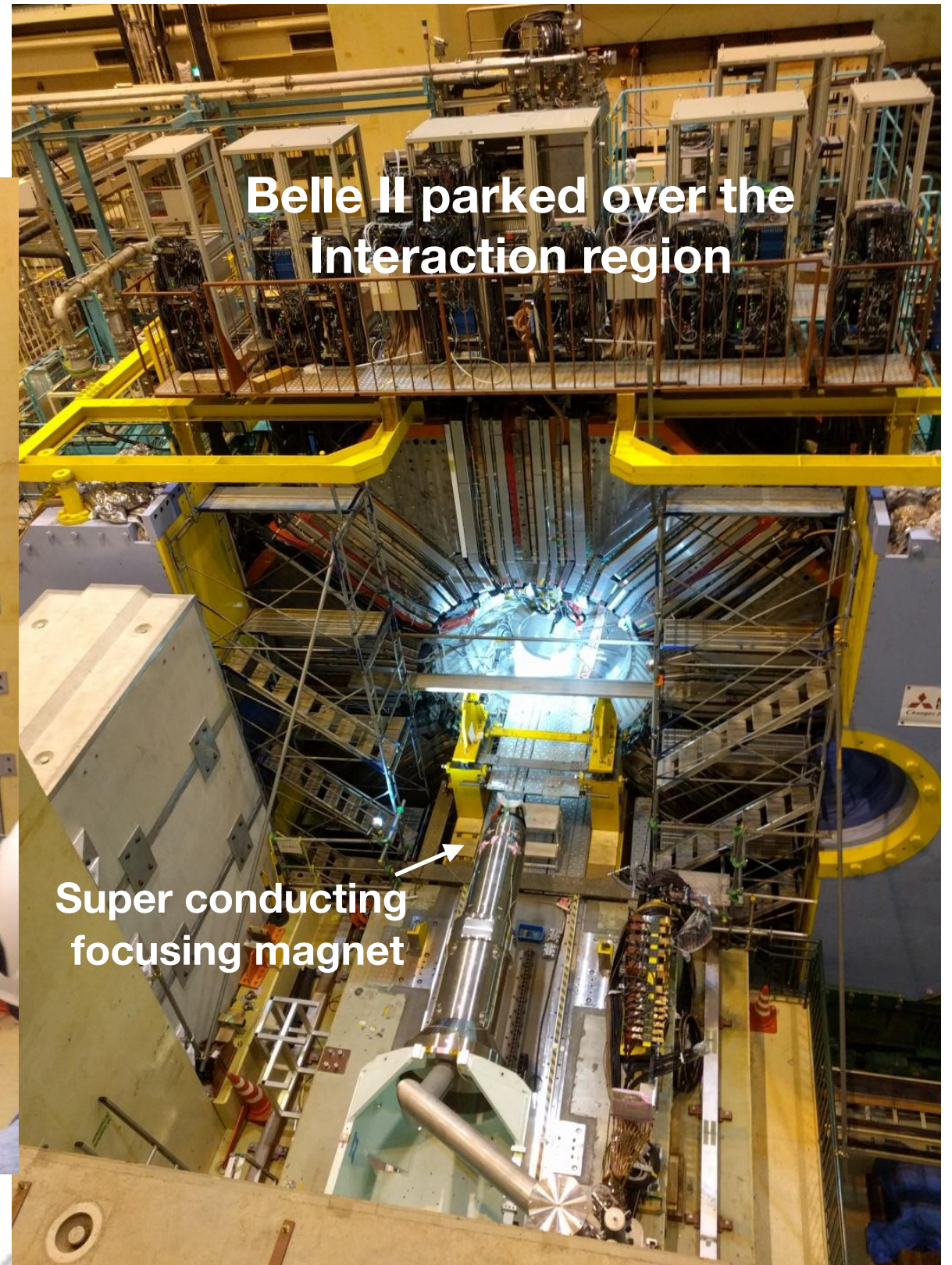




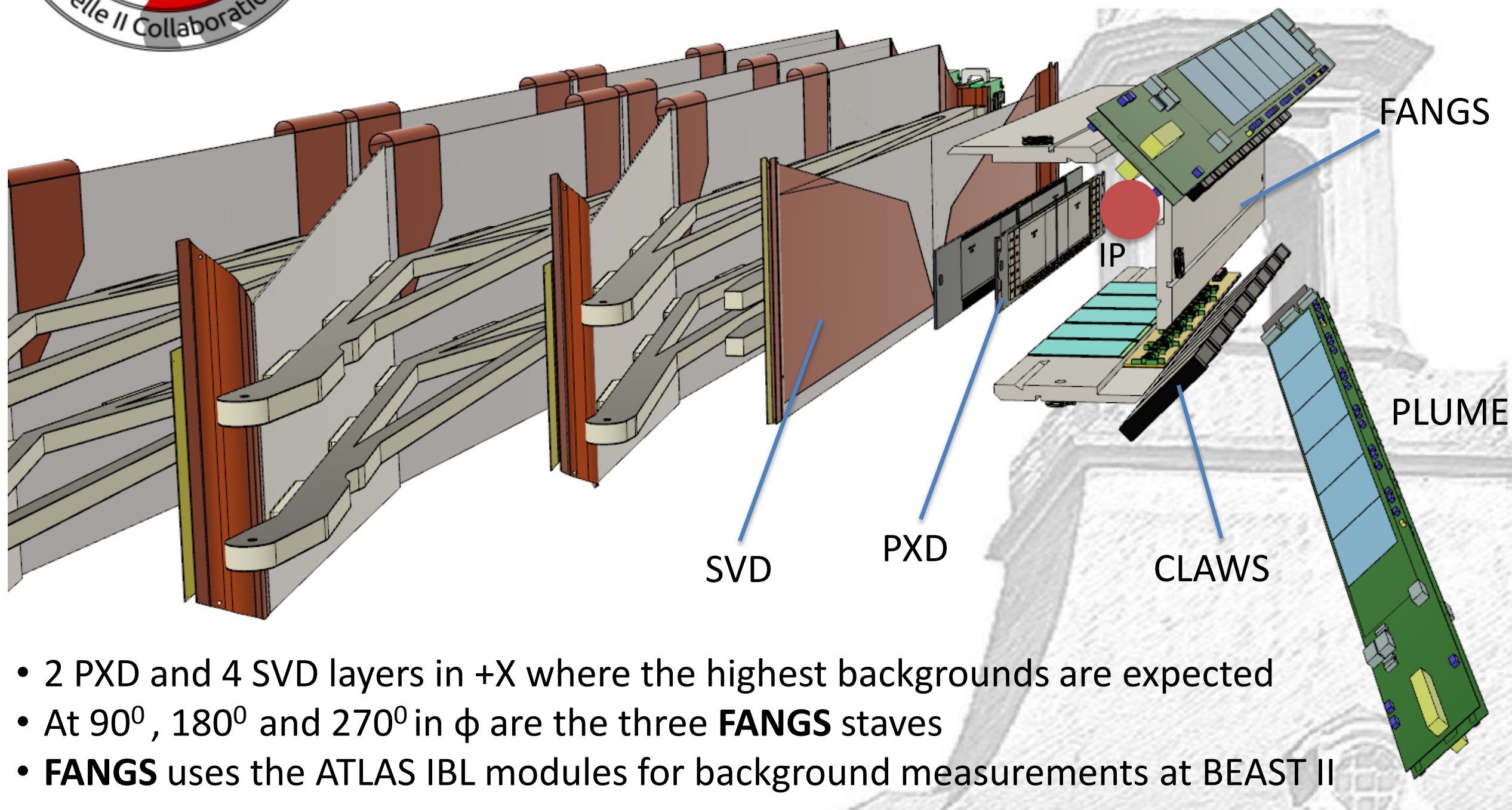
Tsukuba Hall (End of 2017)



Belle II parked over the Interaction region



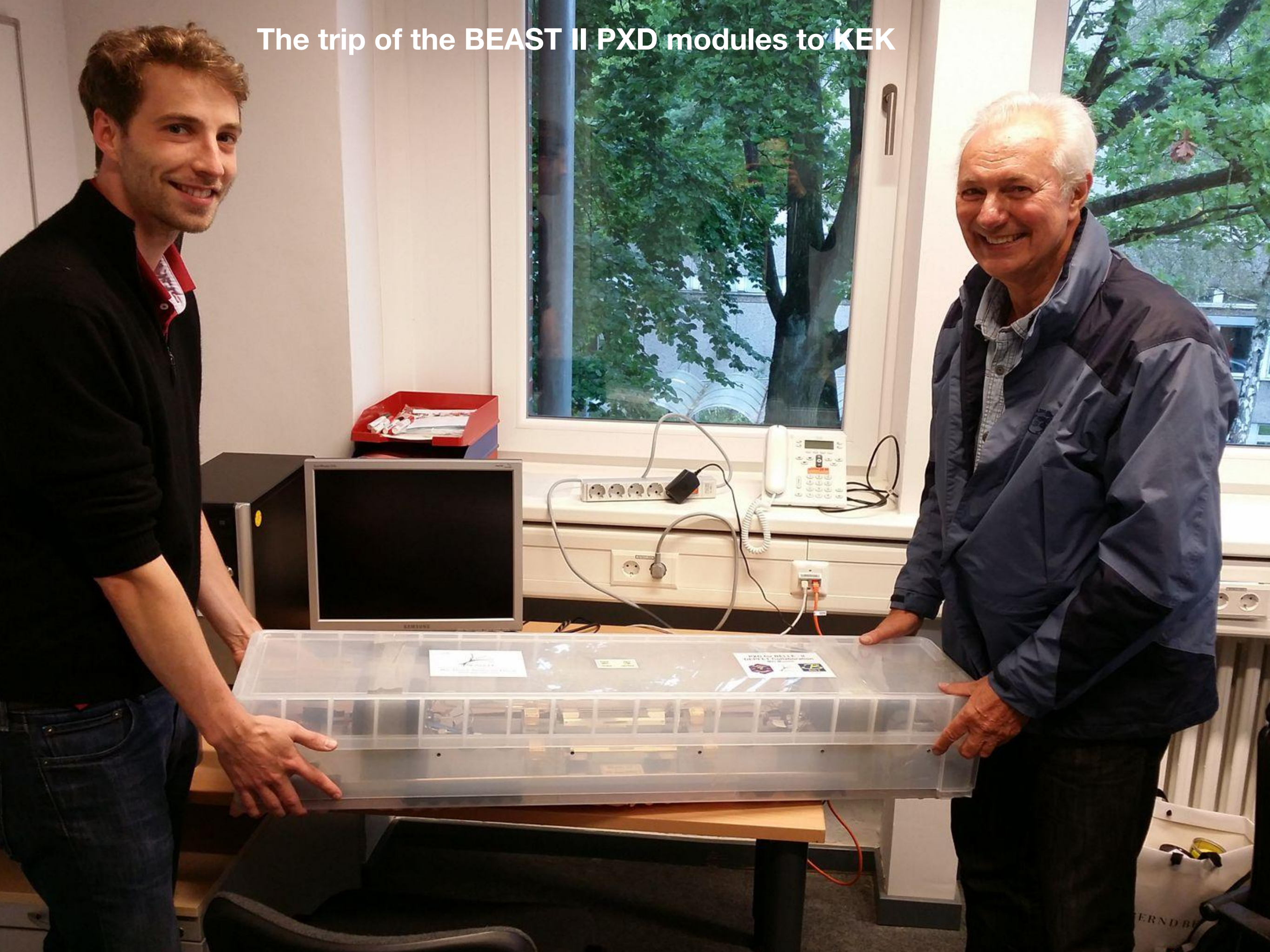




- 2 PXD and 4 SVD layers in +X where the highest backgrounds are expected
- At  $90^\circ$ ,  $180^\circ$  and  $270^\circ$  in  $\phi$  are the three **FANGS** staves
- **FANGS** uses the ATLAS IBL modules for background measurements at BEAST II



# The trip of the BEAST II PXD modules to KEK





**Airplane business class with extra pillows – 4000 Eur**



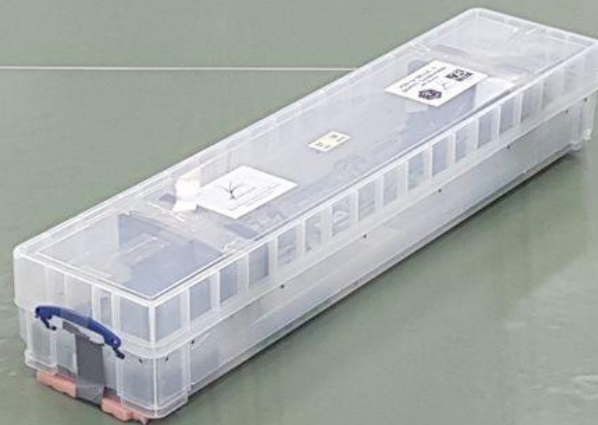


**Bus to Tsukuba station 1.5 hr - 10 Eur**



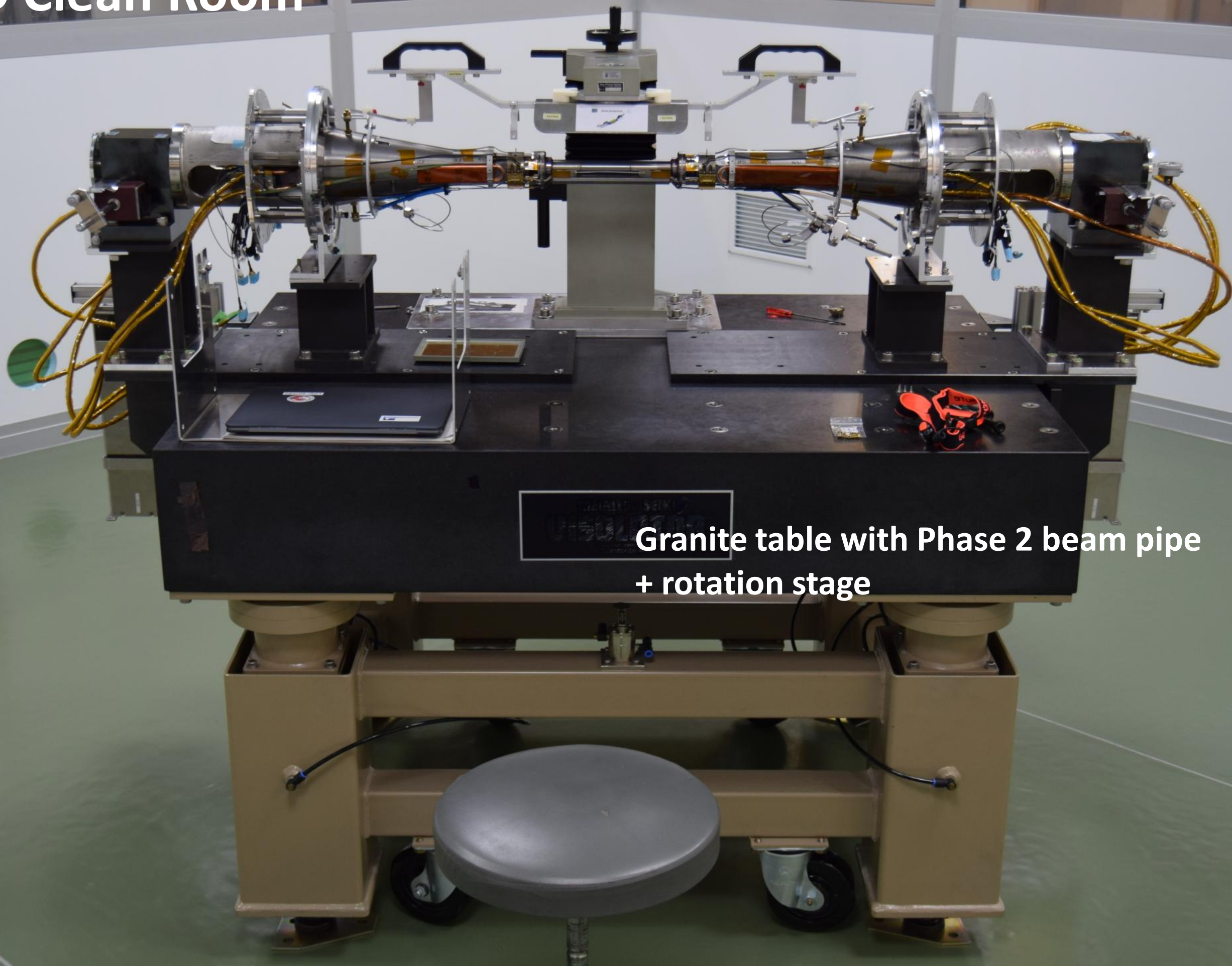


**BEAST PXD in the Belle clean room - priceless**





VXD Clean Room



Granite table with Phase 2 beam pipe  
+ rotation stage









Warm-up phase..





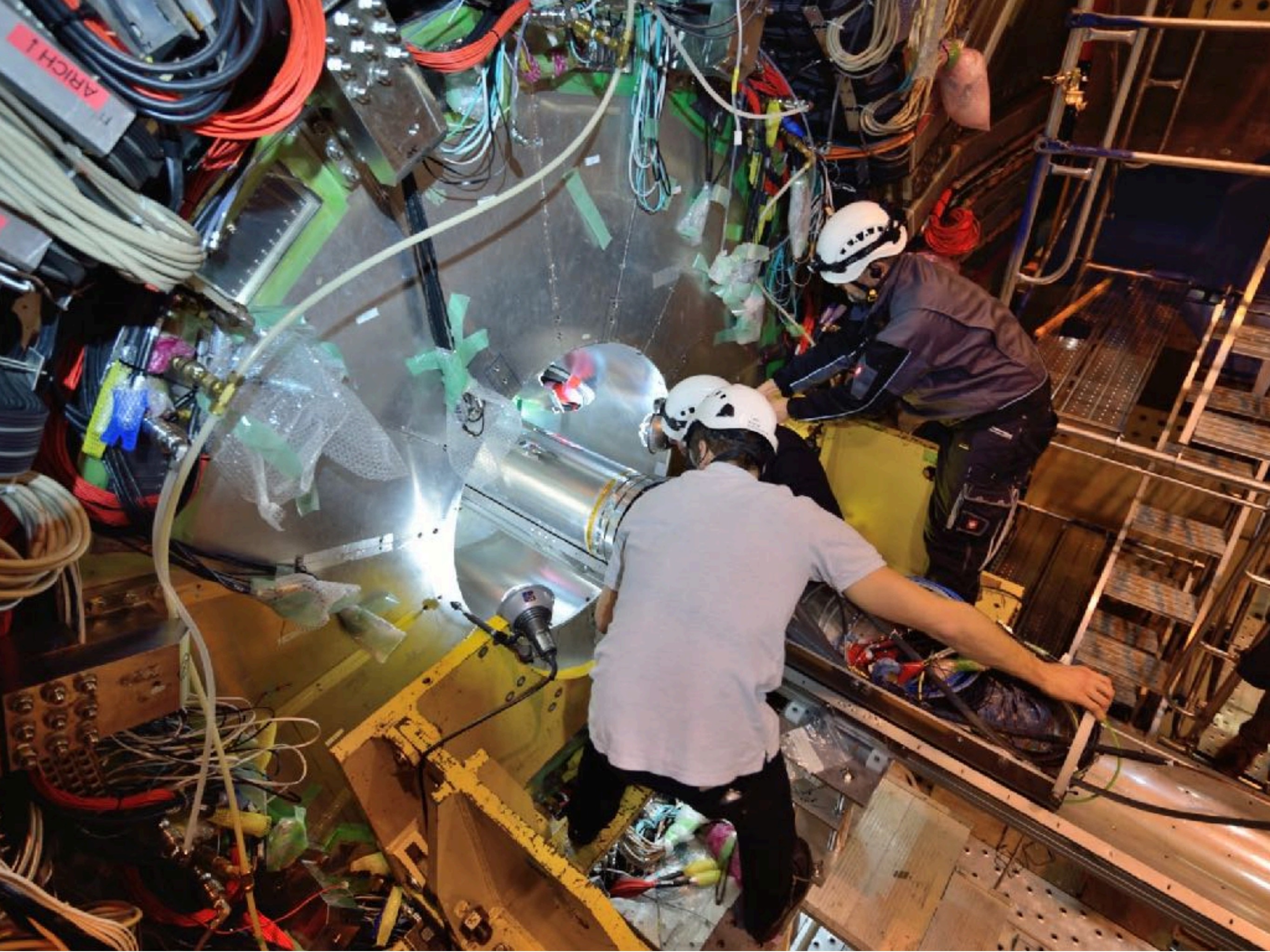




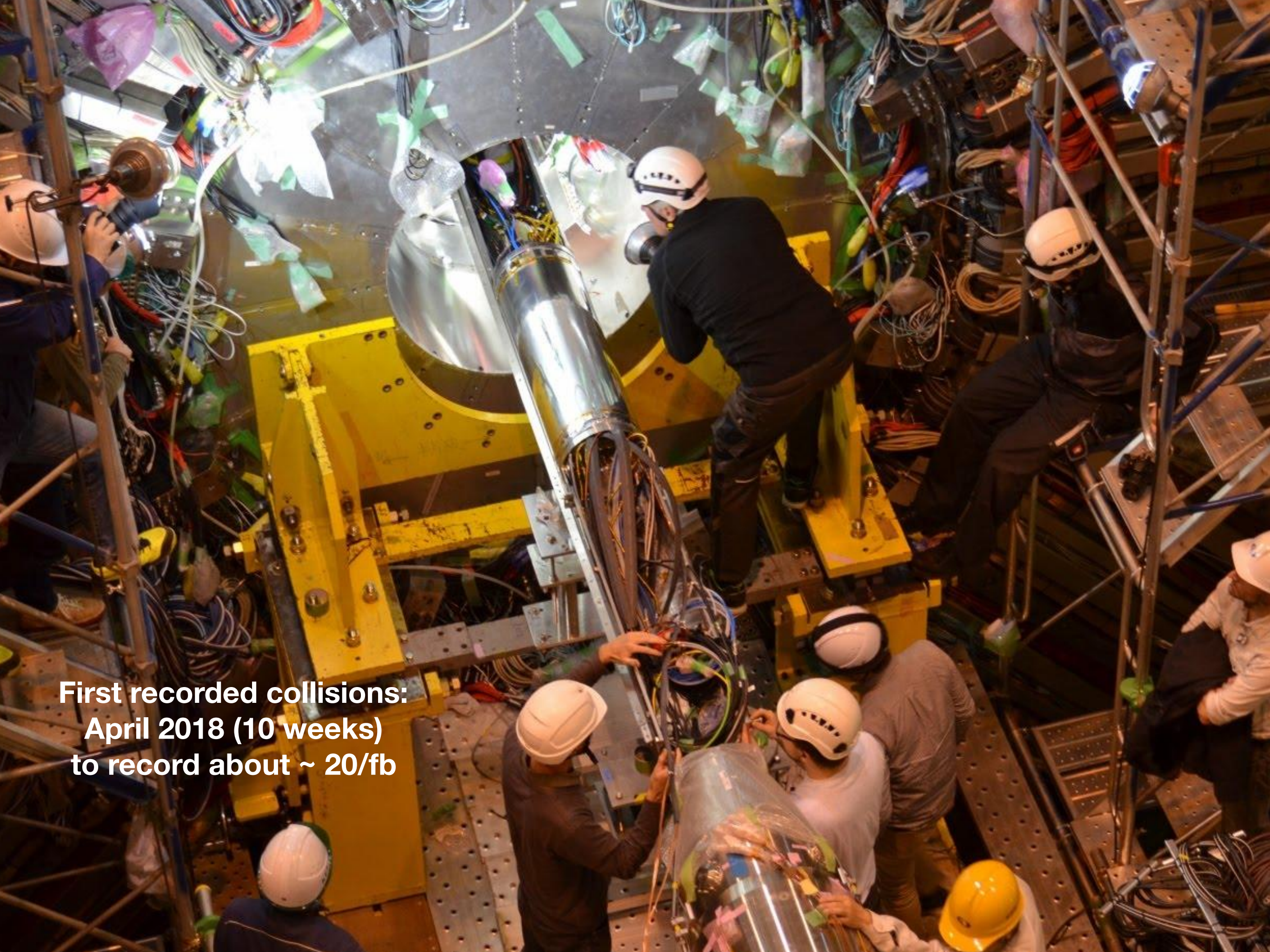










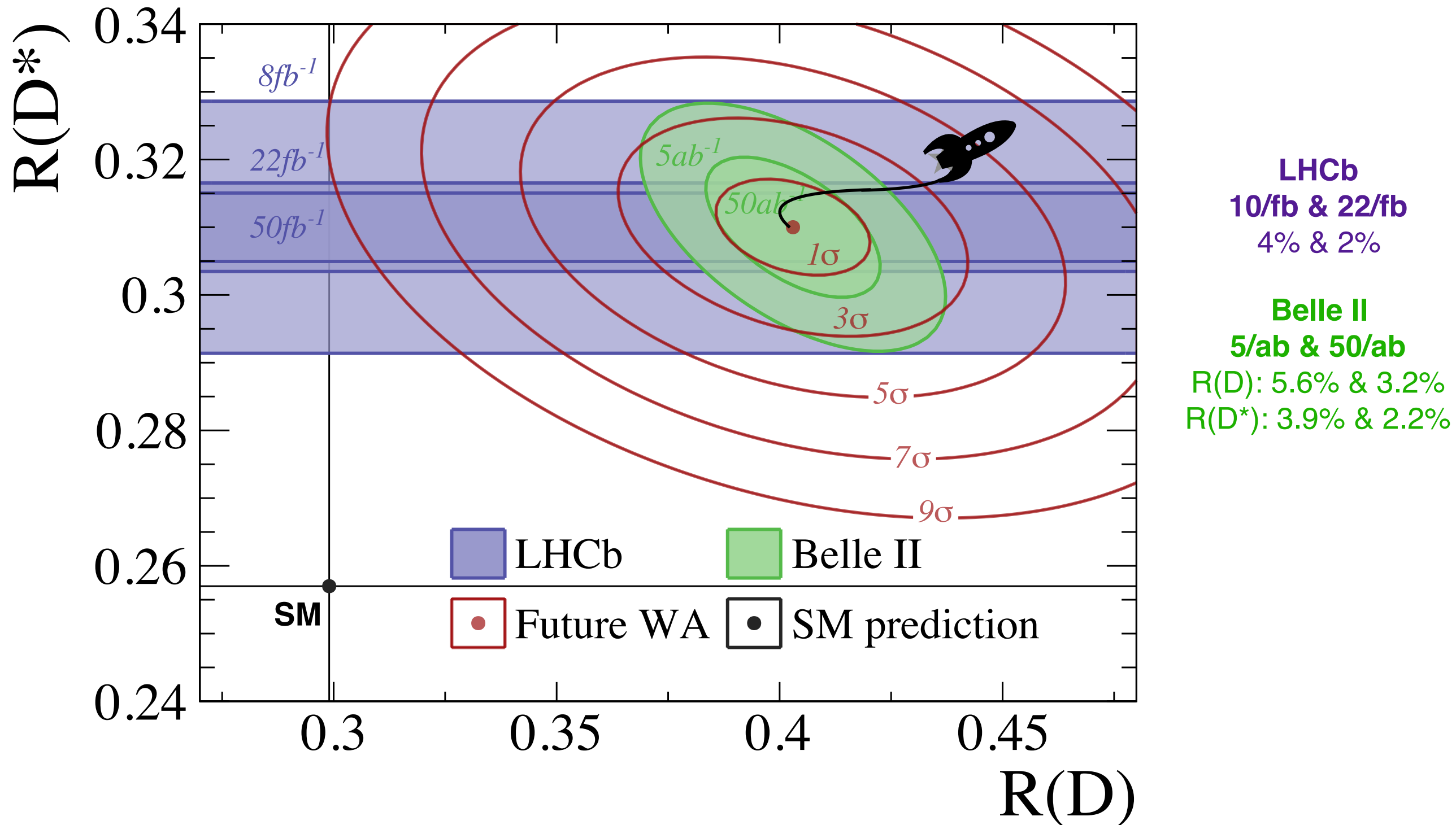


**First recorded collisions:  
April 2018 (10 weeks)  
to record about ~ 20/fb**



# Looking ahead...

J. Albrecht, FB, S. Reicher, M. Kenzie, D. Straub, A. Tully  
arXiv:1709.10308





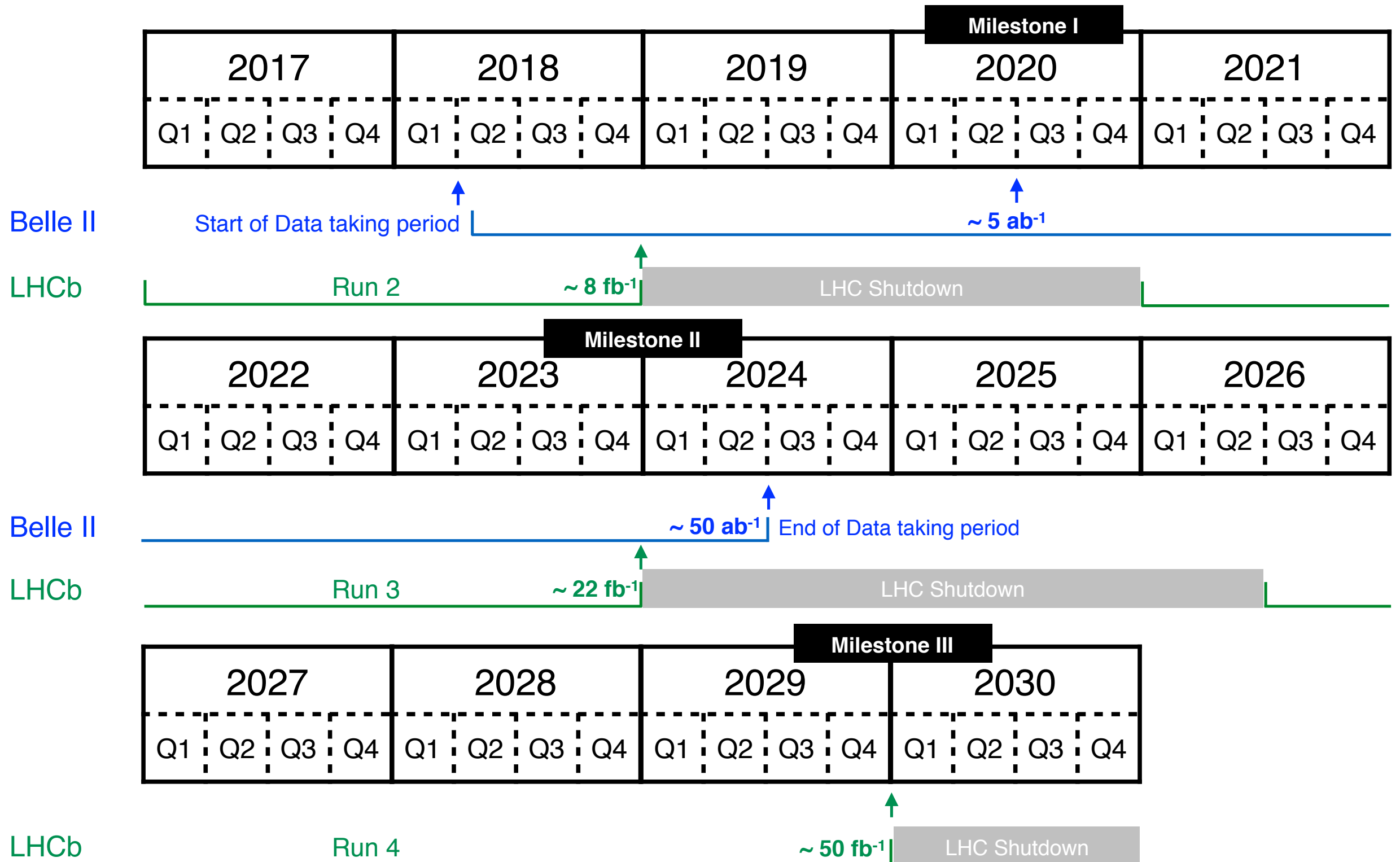


More slides





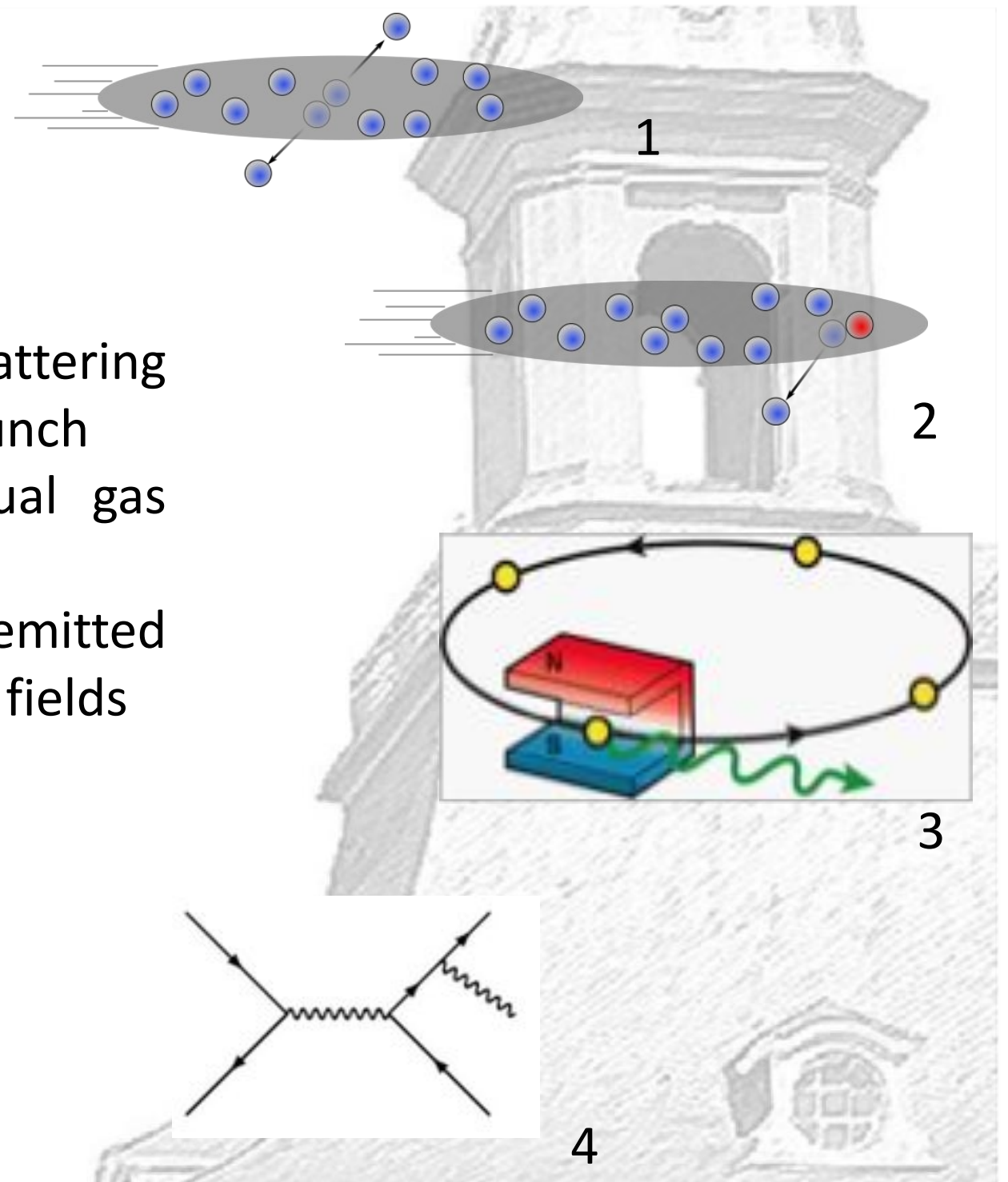
# B-Factory & LHCb future timelines





## All the stuff we don't want

- From the beams:
  - **Touschek scattering (1)**: Coulomb scattering between two particles in the same bunch
  - **Beam-gas (2)**: scattering off residual gas atoms in the beam pipe
  - **Synchrotron radiation (3)**: photons emitted when electrons are bent by magnetic fields
- From collisions:
  - **Radiative Bhabha (4)**





# BaBar Measurement of $R(D^{(*)})$

Phys.Rev.Lett. 109,101802 (2012)

Phys.Rev.D 88, 072012 (2013)

Source of uncertainty	Fractional uncertainty (%)						Correlation		
	$\mathcal{R}(D^0)$	$\mathcal{R}(D^{*0})$	$\mathcal{R}(D^+)$	$\mathcal{R}(D^{*+})$	$\mathcal{R}(D)$	$\mathcal{R}(D^*)$	$D^0/D^{*0}$	$D^+/D^{*+}$	$D/D^*$
<b>Additive uncertainties</b>									
<b>PDFs</b>									
MC statistics	6.5	2.9	5.7	2.7	4.4	2.0	-0.70	-0.34	-0.56
$\bar{B} \rightarrow D^{(*)}(\tau^-/\ell^-)\bar{\nu}$ FFs	0.3	0.2	0.2	0.1	0.2	0.2	-0.52	-0.13	-0.35
$D^{**} \rightarrow D^{(*)}(\pi^0/\pi^\pm)$	0.7	0.5	0.7	0.5	0.7	0.5	0.22	0.40	0.53
$\mathcal{B}(\bar{B} \rightarrow D^{**}\ell^-\bar{\nu}_\ell)$	1.0	0.4	1.0	0.4	0.8	0.3	-0.63	-0.68	-0.58
$\mathcal{B}(\bar{B} \rightarrow D^{**}\tau^-\bar{\nu}_\tau)$	1.2	2.0	2.1	1.6	1.8	1.7	1.00	1.00	1.00
$D^{**} \rightarrow D^{(*)}\pi\pi$	2.1	2.6	2.1	2.6	2.1	2.6	0.22	0.40	0.53
<b>Cross-feed constraints</b>									
MC statistics	2.6	0.9	2.1	0.9	2.4	1.5	0.02	-0.02	-0.16
$f_{D^{**}}$	6.2	2.6	5.3	1.8	5.0	2.0	0.22	0.40	0.53
Feed-up/feed-down	1.9	0.5	1.6	0.2	1.3	0.4	0.29	0.51	0.47
Isospin constraints	-	-	-	-	1.2	0.3	-	-	-0.60
<b>Fixed backgrounds</b>									
MC statistics	4.3	2.3	4.3	1.8	3.1	1.5	-0.48	-0.05	-0.30
Efficiency corrections	4.8	3.0	4.5	2.3	3.9	2.3	-0.53	0.20	-0.28
<b>Multiplicative uncertainties</b>									
MC statistics	2.3	1.4	3.0	2.2	1.8	1.2	0.00	0.00	0.00
$\bar{B} \rightarrow D^{(*)}(\tau^-/\ell^-)\bar{\nu}$ FFs	1.6	0.4	1.6	0.3	1.6	0.4	0.00	0.00	0.00
Lepton PID	0.6	0.6	0.6	0.5	0.6	0.6	1.00	1.00	1.00
$\pi^0/\pi^\pm$ from $D^* \rightarrow D\pi$	0.1	0.1	0.0	0.0	0.1	0.1	1.00	1.00	1.00
Detection/Reconstruction	0.7	0.7	0.7	0.7	0.7	0.7	1.00	1.00	1.00
$\mathcal{B}(\tau^- \rightarrow \ell^-\bar{\nu}_\ell\nu_\tau)$	0.2	0.2	0.2	0.2	0.2	0.2	1.00	1.00	1.00
<b>Total syst. uncertainty</b>	12.2	6.7	11.4	6.0	9.6	5.5	-0.21	0.10	0.05
<b>Total stat. uncertainty</b>	19.2	9.8	18.0	11.0	13.1	7.1	-0.59	-0.23	-0.45
<b>Total uncertainty</b>	22.7	11.9	21.3	12.5	16.2	9.0	-0.48	-0.15	-0.27



# Belle Measurements of $R(D^{(*)})$

Phys.Rev.Lett.118,211801 (2017)  
+ [arXiv:1709.00129]

Source	$R(D^*)$	$P_\tau(D^*)$
Hadronic $B$ composition	+7.7%	+0.134
MC statistics for PDF shape	-6.9%	-0.103
	+4.0%	+0.146
	-2.8%	-0.108
Fake $D^*$	3.4%	0.018
$\bar{B} \rightarrow D^{**} \ell^- \bar{\nu}_\ell$	2.4%	0.048
$\bar{B} \rightarrow D^{**} \tau^- \bar{\nu}_\tau$	1.1%	0.001
$\bar{B} \rightarrow D^* \ell^- \bar{\nu}_\ell$	2.3%	0.007
$\tau$ daughter and $\ell^-$ efficiency	1.9%	0.019
MC statistics for efficiency estimation	1.0%	0.019
$\mathcal{B}(\tau^- \rightarrow \pi^- \nu_\tau, \rho^- \nu_\tau)$	0.3%	0.002
$P_\tau(D^*)$ correction function	0.0%	0.010
Common sources		
Tagging efficiency correction	1.6%	0.018
$D^*$ reconstruction	1.4%	0.006
Branching fractions of the $D$ meson	0.8%	0.007
Number of $B\bar{B}$ and $\mathcal{B}(\Upsilon(4S) \rightarrow B^+ B^- \text{ or } B^0 \bar{B}^0)$	0.5%	0.006
Total systematic uncertainty	+10.4%	+0.21
	-9.4%	-0.16



Table 1: Systematic uncertainties in the extraction of  $\mathcal{R}(D^*)$ .

Model uncertainties	Absolute size ( $\times 10^{-2}$ )
Simulated sample size	2.0
Misidentified $\mu$ template shape	1.6
$\bar{B}^0 \rightarrow D^{*+}(\tau^-/\mu^-)\bar{\nu}$ form factors	0.6
$\bar{B} \rightarrow D^{*+}H_c(\rightarrow \mu\nu X')X$ shape corrections	0.5
$\mathcal{B}(\bar{B} \rightarrow D^{**}\tau^-\bar{\nu}_\tau)/\mathcal{B}(\bar{B} \rightarrow D^{**}\mu^-\bar{\nu}_\mu)$	0.5
$\bar{B} \rightarrow D^{**}(\rightarrow D^*\pi\pi)\mu\nu$ shape corrections	0.4
Corrections to simulation	0.4
Combinatorial background shape	0.3
$\bar{B} \rightarrow D^{**}(\rightarrow D^{*+}\pi)\mu^-\bar{\nu}_\mu$ form factors	0.3
$\bar{B} \rightarrow D^{*+}(D_s \rightarrow \tau\nu)X$ fraction	0.1
<b>Total model uncertainty</b>	<b>2.8</b>
Normalization uncertainties	Absolute size ( $\times 10^{-2}$ )
Simulated sample size	0.6
Hardware trigger efficiency	0.6
Particle identification efficiencies	0.3
Form-factors	0.2
$\mathcal{B}(\tau^- \rightarrow \mu^-\bar{\nu}_\mu\nu_\tau)$	$< 0.1$
<b>Total normalization uncertainty</b>	<b>0.9</b>
<b>Total systematic uncertainty</b>	<b>3.0</b>



## 4. Semileptonic decays at LHCb

- ▶ No constraint from beam energy at a hadron machine, **but..**

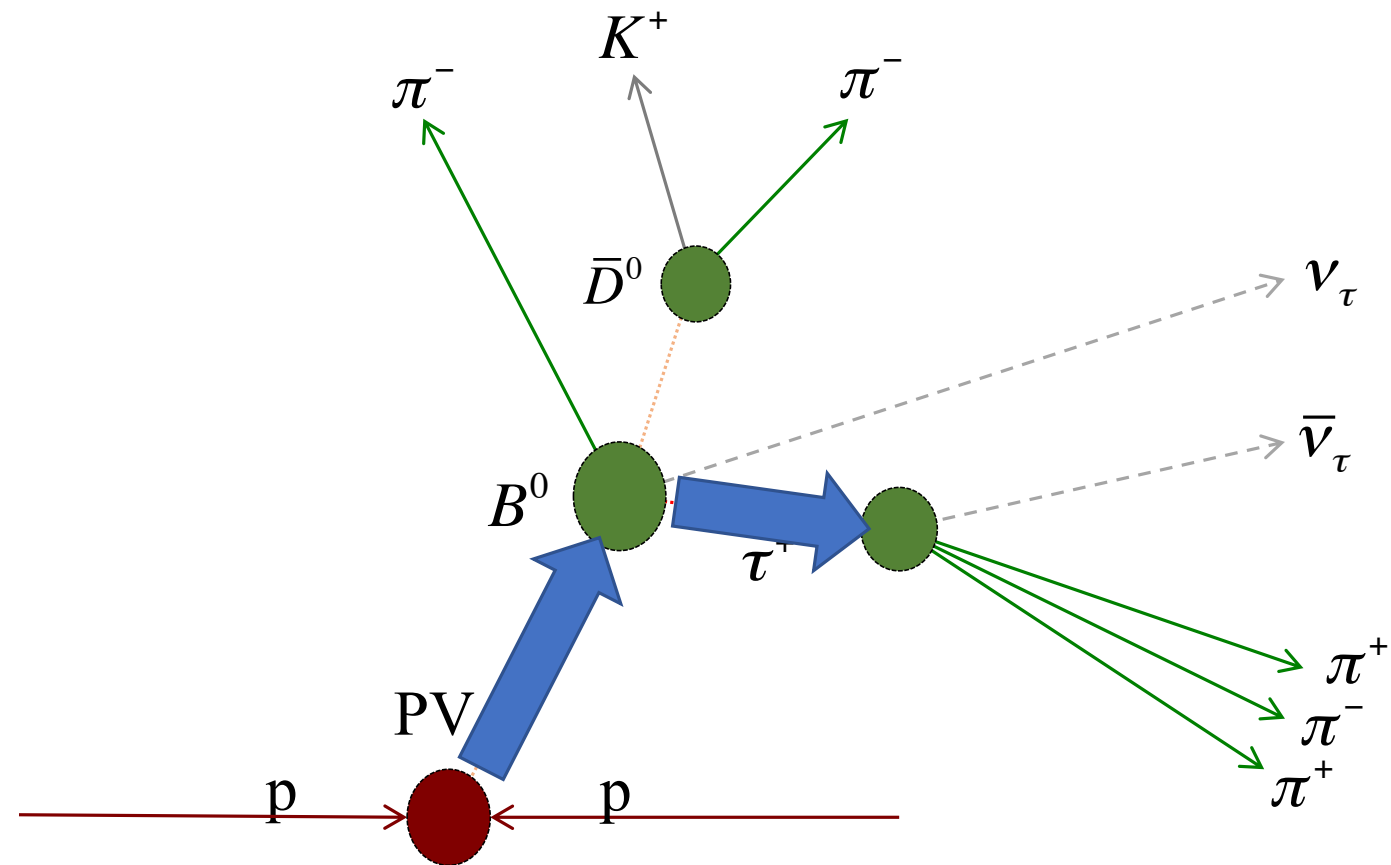
- ▶ **Large Lorentz boost** with decay lengths in the range of **mm**

✓ **Well separated decay vertices**

✓ **Momentum direction of decaying particle is well known**

- ▶ With known masses and other decay products can even **reconstruct four-momentum transfer squared  $q^2$**  up to a two-fold ambiguity

$$q^2 = (p_{X_b} - p_{X_q})^2$$



Nice Illustration  
from C. Bozzi



Two measurements using different final states:

▶  $\tau \rightarrow \mu \nu \nu$  using  $B^0 \rightarrow D^{*-} \tau \nu$

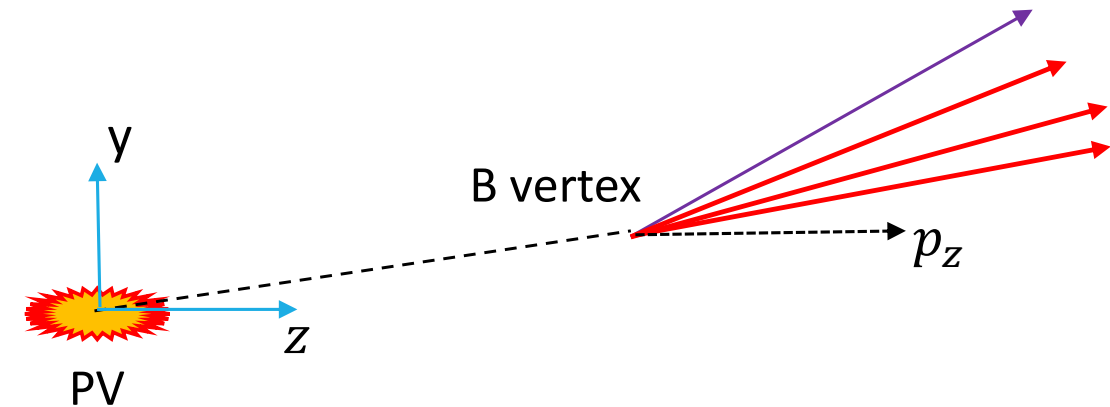
▶  $\tau \rightarrow \pi \pi \pi (\pi^0) \nu$  using  $B^0 \rightarrow D^{*-} \tau \nu$

New!

✓ All  $R(D^*)$  measurements consistent but above SM



- ▶ First measurement at a hadron collider!
- ▶ Tau reconstructed with  $\tau \rightarrow \mu \nu \nu$
- ▶ The B momentum is approximated by:



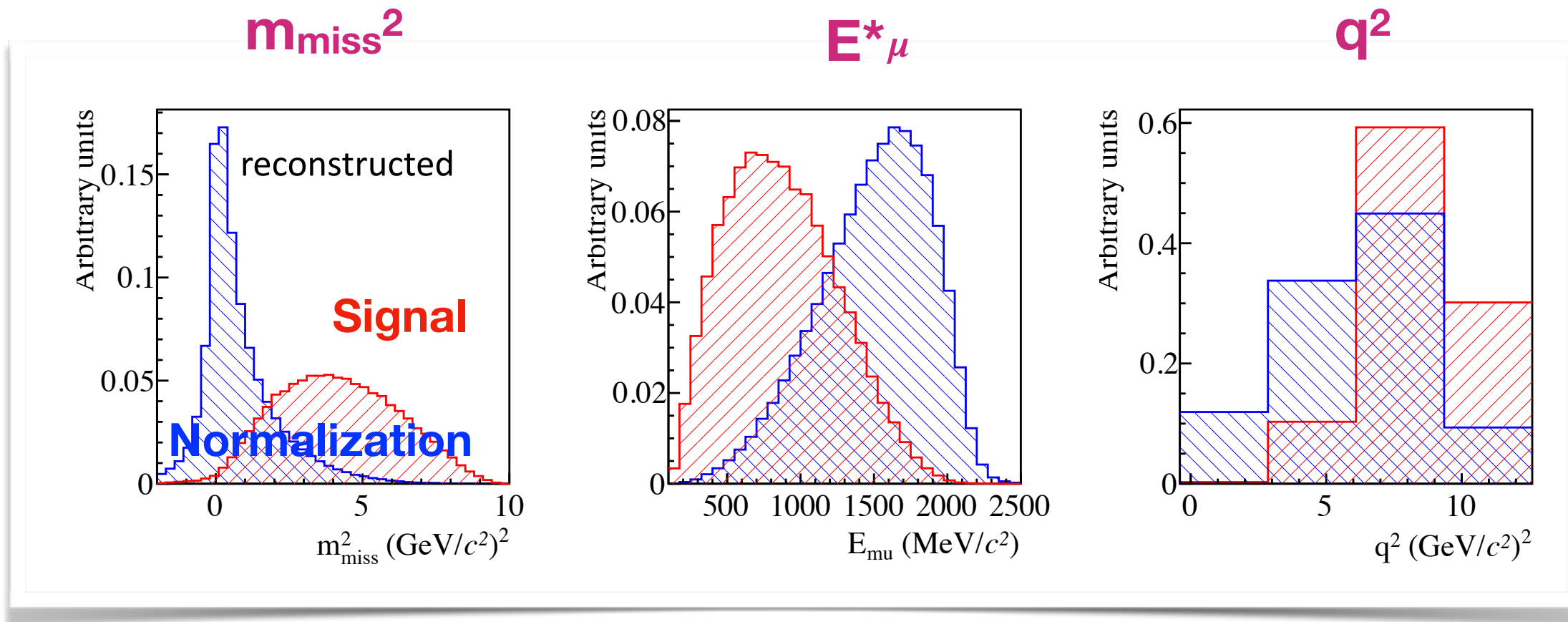
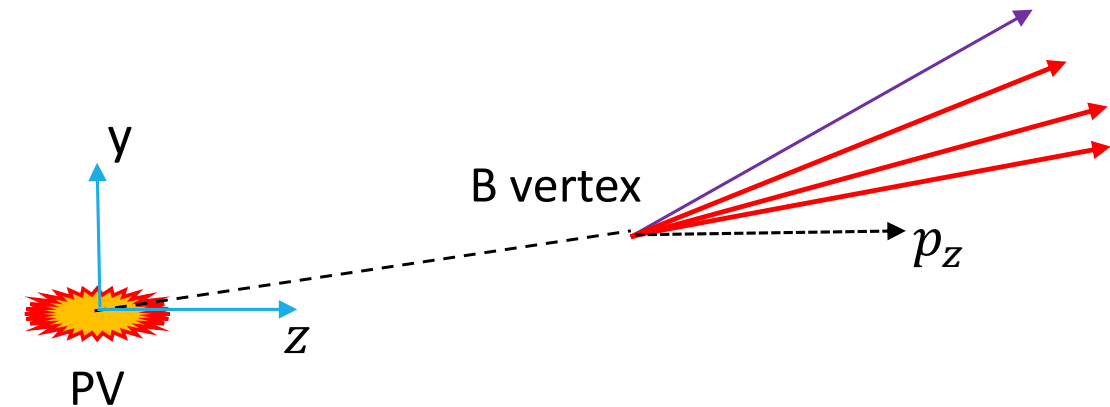
$$(\gamma\beta_z)_{\bar{B}} = (\gamma\beta_z)_{D^*\mu} \implies (p_z)_{\bar{B}} = \frac{m_B}{m(D^*\mu)} (p_z)_{D^*\mu}$$

B boost along z axis much larger than boost of decay products in B rest frame, **results in a resolution of about 18% on  $p_B$**

- ▶ Can be used to calculate  $m_{\text{miss}}^2$  and  $q^2 = (p_B - p_{D^*})^2$  and boost muon in B-rest frame



- ▶ First measurement at a hadron collider!
- ▶ Tau reconstructed with  $\tau \rightarrow \mu VV$
- ▶ The B momentum is approximated by:





# LHCb Measurements of $R(D^*)$

- ▶ No additional particles via MVA isolation
- ▶ Extract signal in binned **3D** fit to  $m_{\text{miss}}^2$ ,  $E_\mu^*$  and 4 bins of  $q^2$

Simultaneously fit 3 control regions defined by isolation criteria

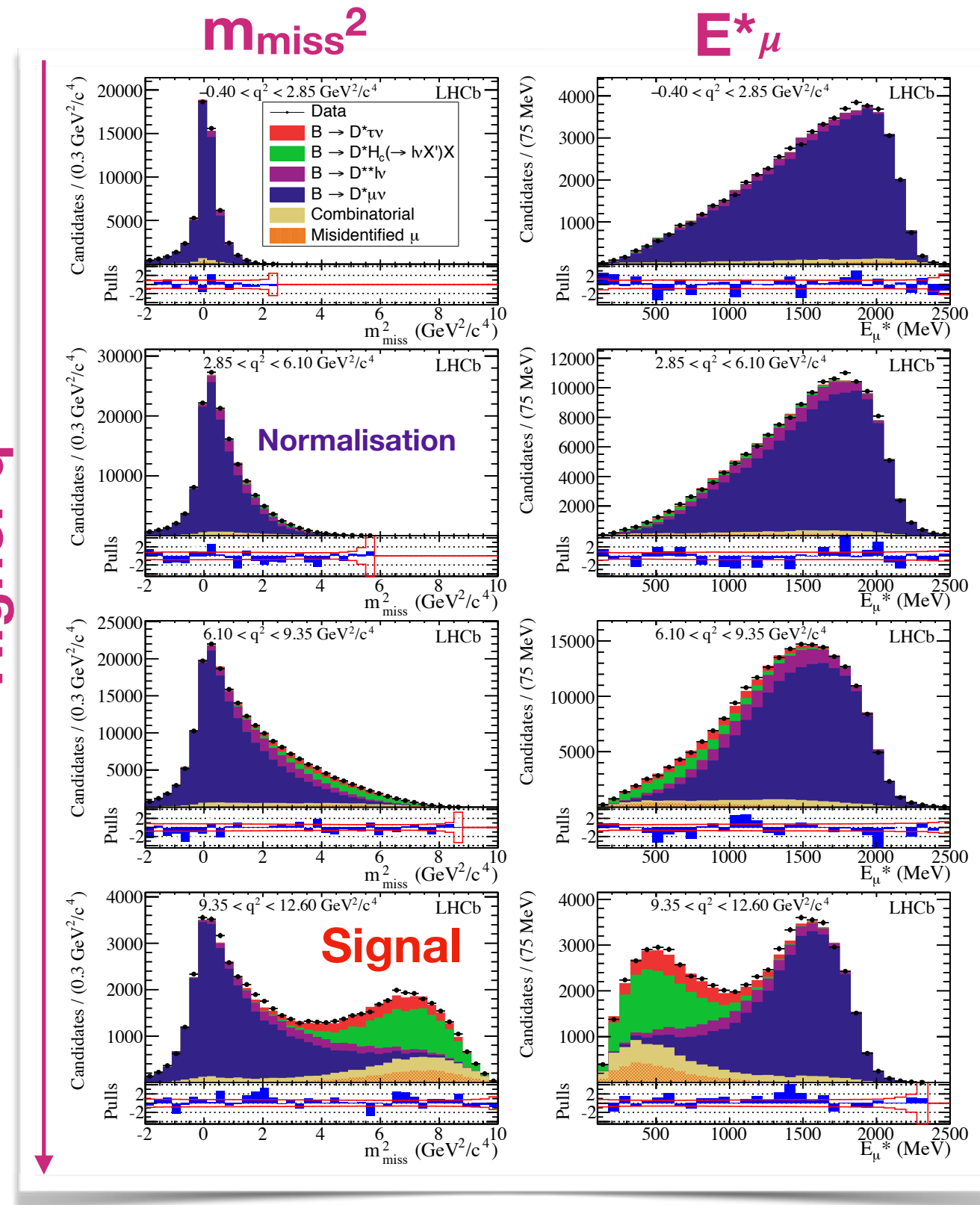
- ▶ Signal yield: **~16500 Events**

B-Factories: O(1000 Events)

$$R(D^*) = 0.336 \pm 0.027 \text{ (stat)} \pm 0.030 \text{ (syst)}$$

Compatible with BaBar and Belle, but  $2.1\sigma$  from SM

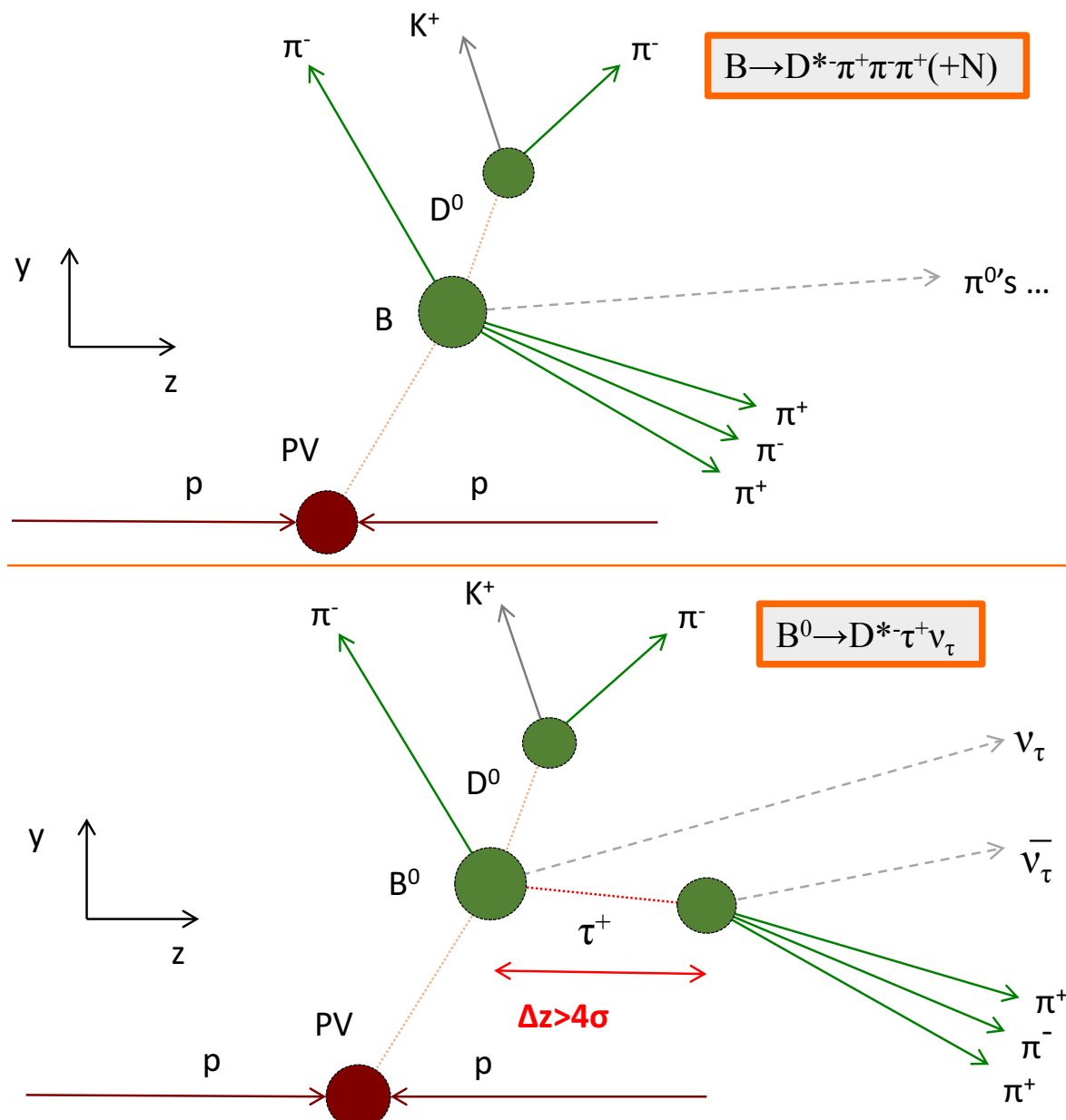
Higher  $q^2$





- ▶ Tau reconstructed via  $\tau \rightarrow \pi^+ \pi^+ \pi^- (\pi^0) \nu$ , only two neutrinos missing

Although a semileptonic decay is studied, nearly no background from  $B \rightarrow D^* X \mu \nu$



- ▶ Main background: prompt  $X_b \rightarrow D^* \pi \pi \pi + \text{neutrals}$

BF ~ 100 times larger than signal, all pions are promptly produced

- ▶ Suppressed by requiring minimum distance between  $X_b$  &  $\tau$  vertices ( $> 4 \sigma_{\Delta z}$ )

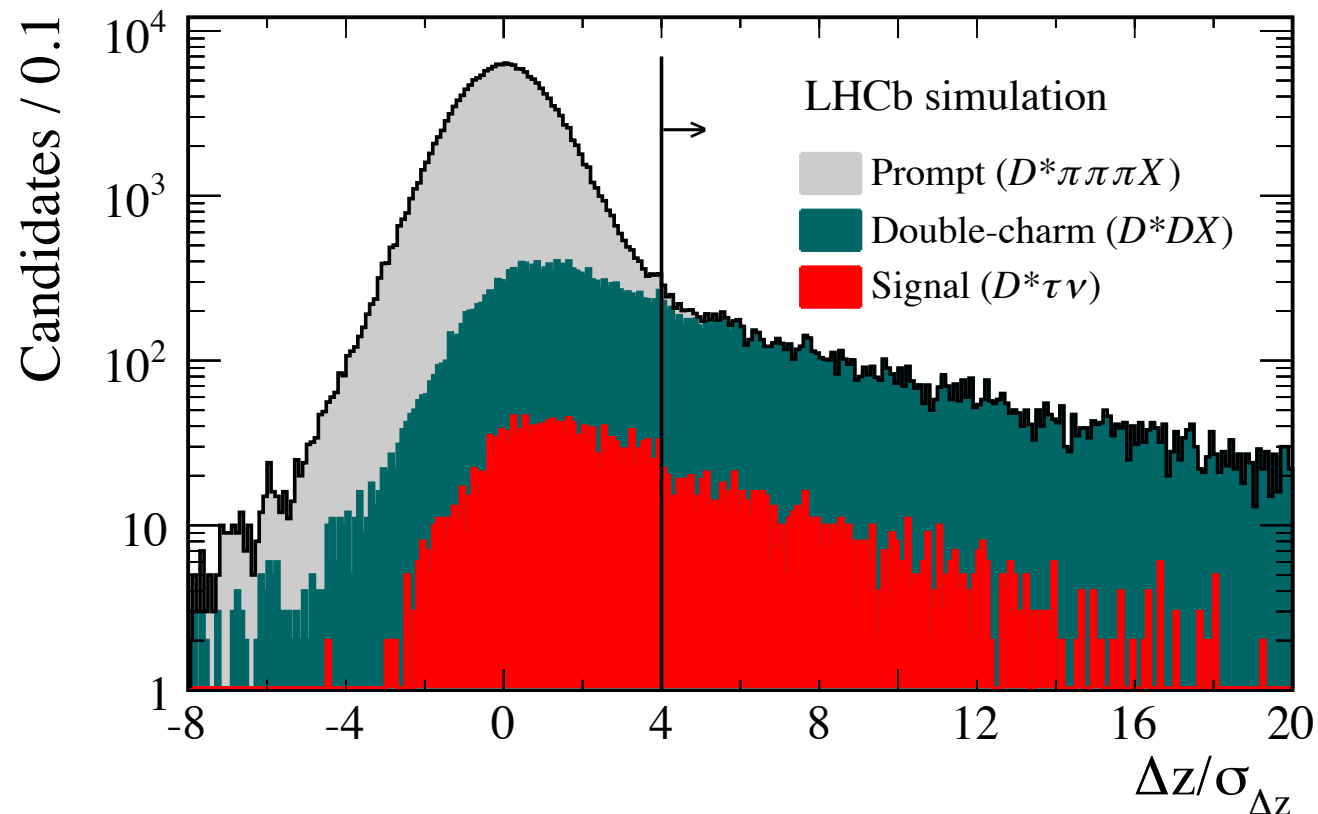
$\sigma_{\Delta z}$  : resolution of vertices separation

- ▶ Reduces this background by three orders of magnitudes



- ▶ Tau reconstructed via  $\tau \rightarrow \pi^+ \pi^+ \pi^- (\pi^0) \nu$ , only two neutrinos missing

Although a semileptonic decay is studied, nearly no background from  $B \rightarrow D^* X \mu \nu$



- ▶ Main background: prompt



BF  $\sim 100$  times larger than signal, all pions are promptly produced

- ▶ Suppressed by requiring minimum distance between  $X_b$  &  $\tau$  vertices ( $> 4 \sigma_{\Delta z}$ )

$\sigma_{\Delta z}$ : resolution of vertices separation

- ▶ Remaining double charm bkg:



- ▶ Reduces this background by three orders of magnitudes



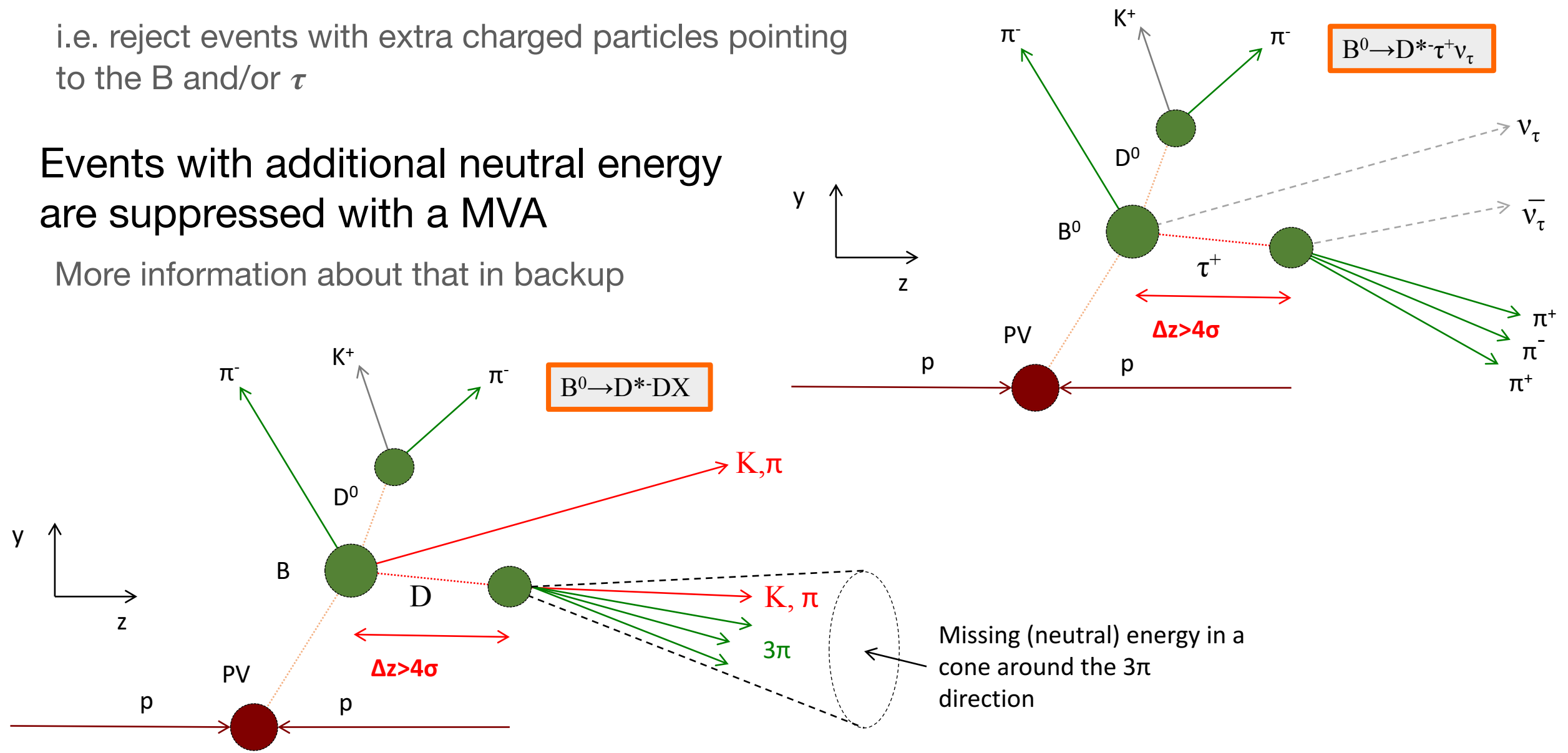
- ▶ Remaining backgrounds reduced via isolation & MVA

Require signal candidates to be **well isolated**

i.e. reject events with extra charged particles pointing to the B and/or  $\tau$

Events with additional neutral energy are suppressed with a MVA

More information about that in backup





# New LHCb Measurement of $R(D^*)$

arXiv:1711.02505

- ▶ Extraction in **3D fit** to

**MVA :  $q^2$  :  $\tau$  decay time**

↑  
Invariant masses of  $3\pi$  system  
Invariant mass of  $D^*3\pi$  system  
Neutral isolation variables

←  
Both reconstructed with some tricks (more in backup)

4 Bins    8 Bins    8 Bins

- ▶ Components:

**1 Signal component for  $\tau \rightarrow \pi^+\pi^+\pi^-(\pi^0)\nu$**

**11 Background components**

- ▶  $\sim 1273 \pm 85$  Signal events

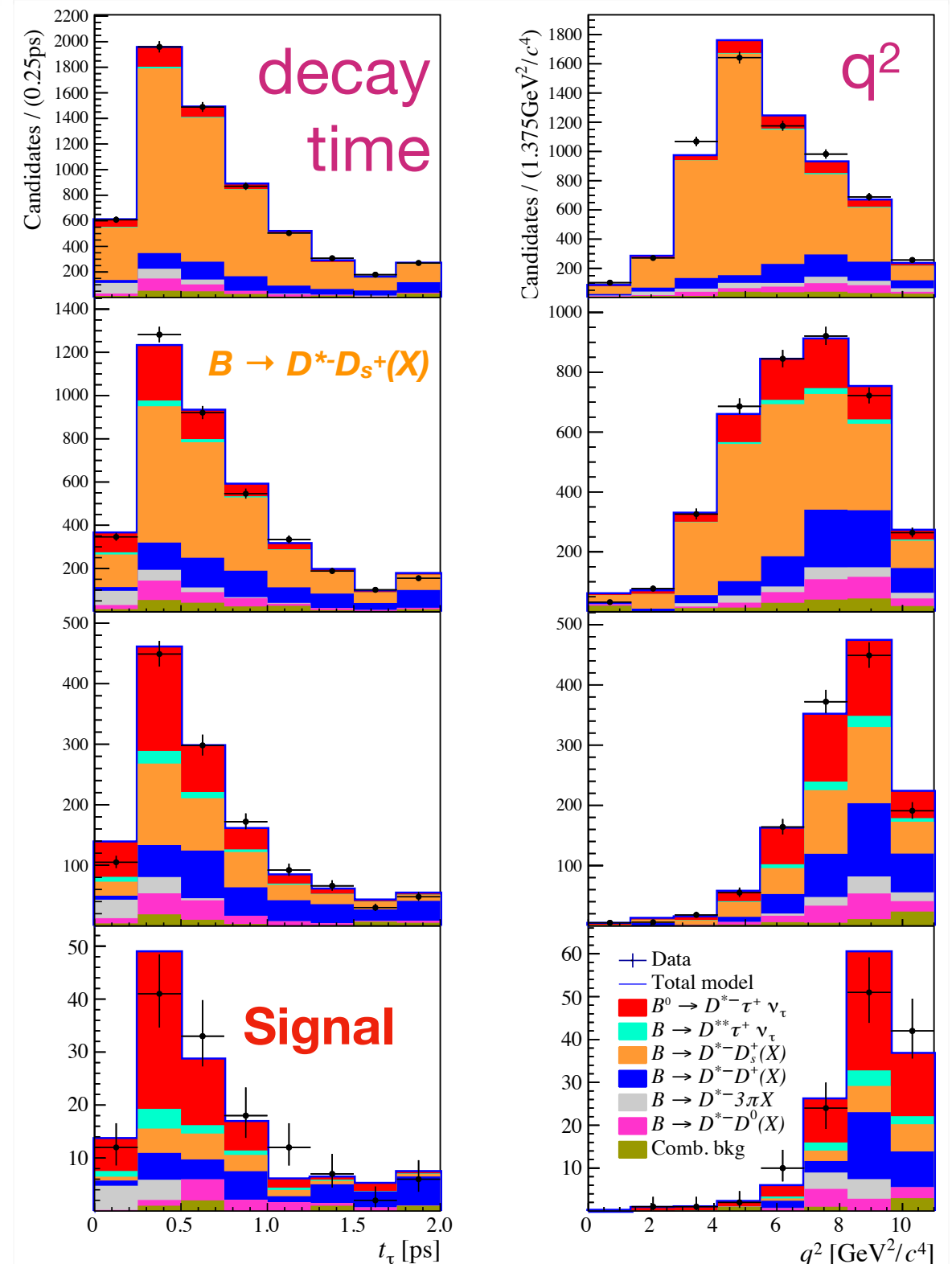
- ▶ Using normalisation mode and light lepton BFs:

More information about normalisation in backup

$$R(D^*) = 0.286 \pm 0.019 \text{ (stat)} \pm 0.025 \text{ (syst)} \pm 0.021 \text{ (norm)}$$

0.9 $\sigma$  higher than SM

Purer MVA Selection





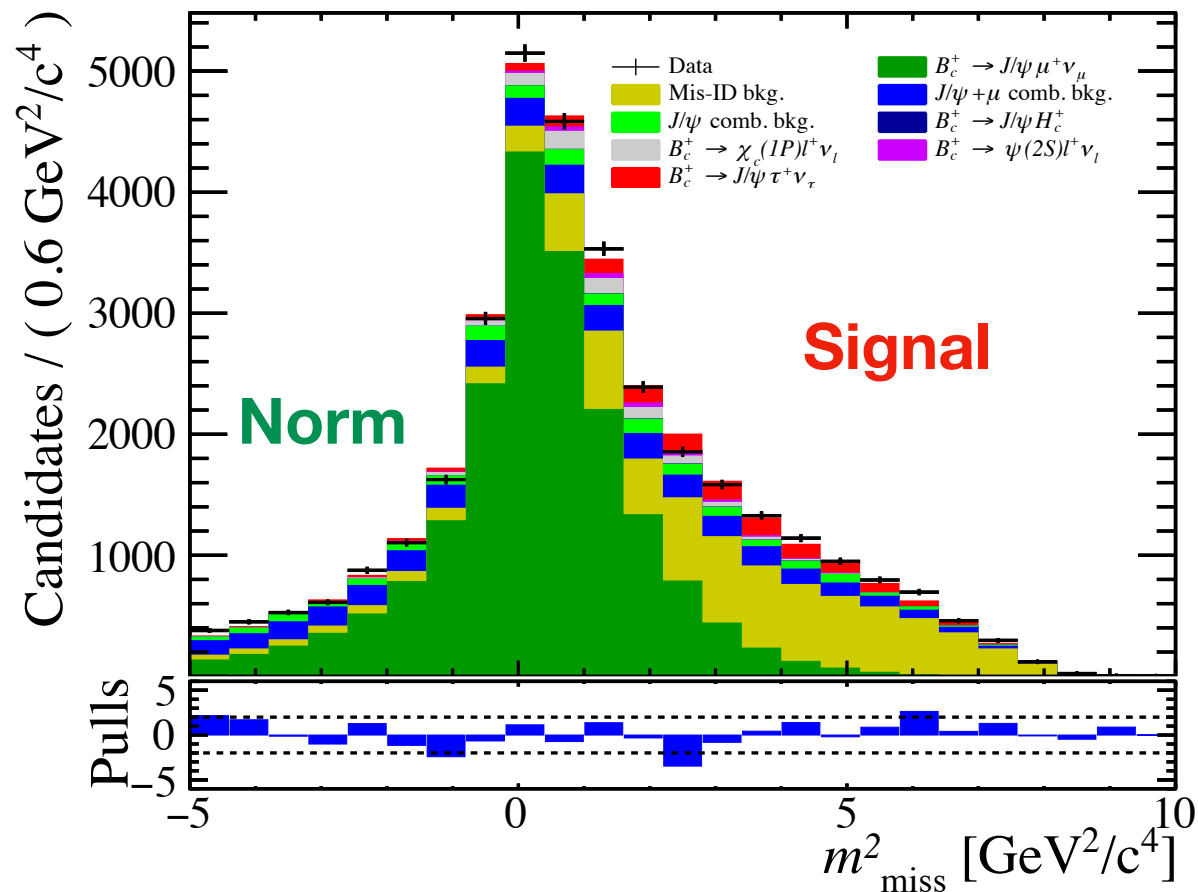
# More interesting ratios from LHCb and Belle!

arXiv:1711.05623

Phys. Rev. D 93, 032007 (2016)

$\tau \rightarrow \mu VV$

$$R(J/\psi) = \frac{\mathcal{B}(B_c^+ \rightarrow J/\psi \tau^+ \nu_\tau)}{\mathcal{B}(B_c^+ \rightarrow J/\psi \mu^+ \nu_\mu)}$$

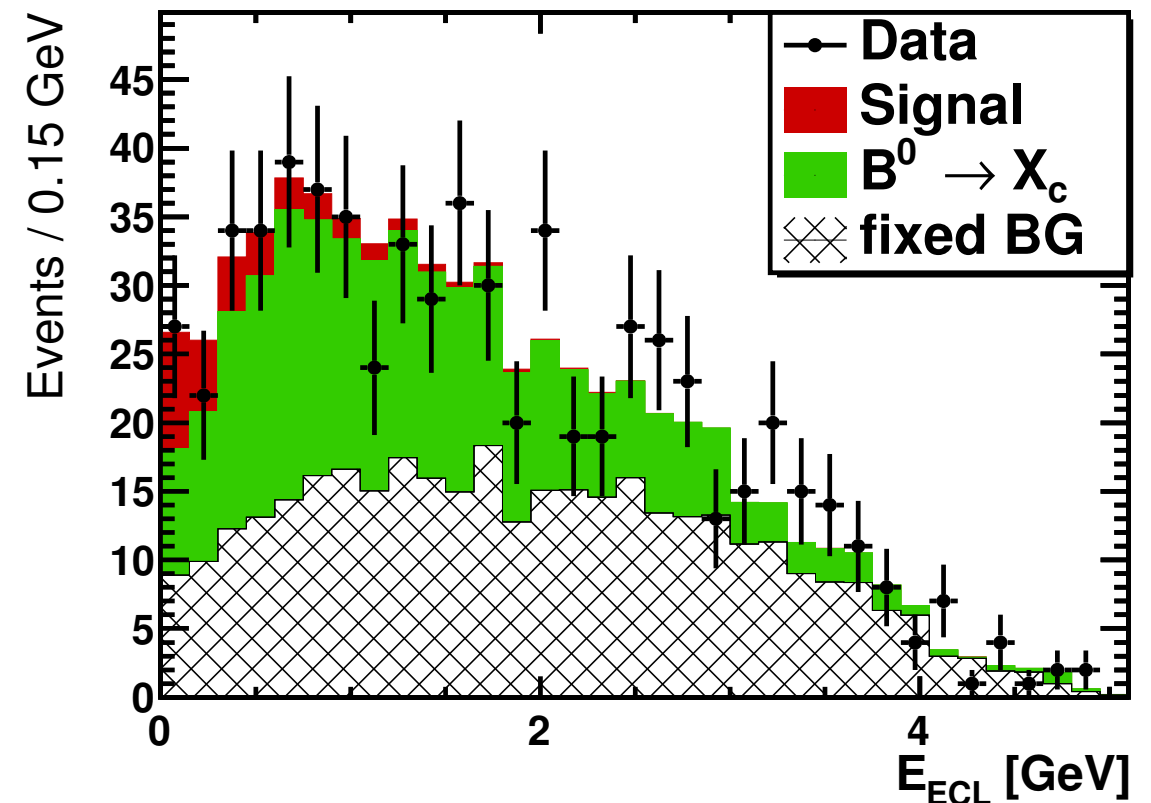


3D fit ( $q^2, m_{\text{miss}}^2, Z$ ) determines

$$R(J/\psi) = 0.71 \pm 0.17 \pm 0.18 \quad R(J/\psi)_{\text{SM}} \sim 0.28$$

$\tau \rightarrow \ell VV, \tau \rightarrow \pi VV, \tau \rightarrow \rho VV, \tau \rightarrow a_1 VV$

$$R(\pi) = \frac{\mathcal{B}(B \rightarrow \pi \tau \bar{\nu}_\tau)}{\mathcal{B}(B \rightarrow \pi \ell \bar{\nu}_\ell)}$$



1D fit in  $E_{\text{ECL}}$  determines

$$R(\pi) = 1.05 \pm 0.51 \quad R(\pi)_{\text{SM}} = 0.641 \pm 0.016$$



- ▶ Actually measure BF relative to  $B^0 \rightarrow D^* \pi^+ \pi^+ \pi^-$

$$K_{had}(D^*) = \frac{BR(B^0 \rightarrow D^{*-} \tau^+ \nu_\tau)}{BR(B^0 \rightarrow D^{*-} \pi^+ \pi^- \pi^+)} = \frac{N(B^0 \rightarrow D^{*-} \tau^+ \nu_\tau)}{N(B^0 \rightarrow D^{*+} \pi^- \pi^+ \pi^-)} \times \frac{1}{BR(\tau^+ \rightarrow \pi^+ \pi^- \pi^+ (\pi^0) \bar{\nu}_\tau)} \times \frac{\epsilon(B^0 \rightarrow D^{*+} \pi^- \pi^+ \pi^-)}{\epsilon(B^0 \rightarrow D^{*-} \tau^+ \nu_\tau)}$$

- ▶ Measured to about **4%** precision

most precise measurement from BaBar: Phys. Rev. D94 (2016) 091101)

- ▶ Dedicated control samples for remaining backgrounds

$X_b \rightarrow D^{*-} D_s^+ X \longrightarrow$  Use  $D_s^+ \rightarrow 3\pi$  and fit  $m(D^* D_s)$  to constrain individual contributions  
 $X_b \rightarrow D^{*-} D^+ X \longrightarrow$  Use  $D^+ \rightarrow K3\pi$  to correct  $q^2$ , but float in fit

- ▶ Extraction in **3D maximum likelihood fit**

to **MVA :  $q^2$  :  $\tau$  decay time**

Both reconstructed with some tricks (more in backup)

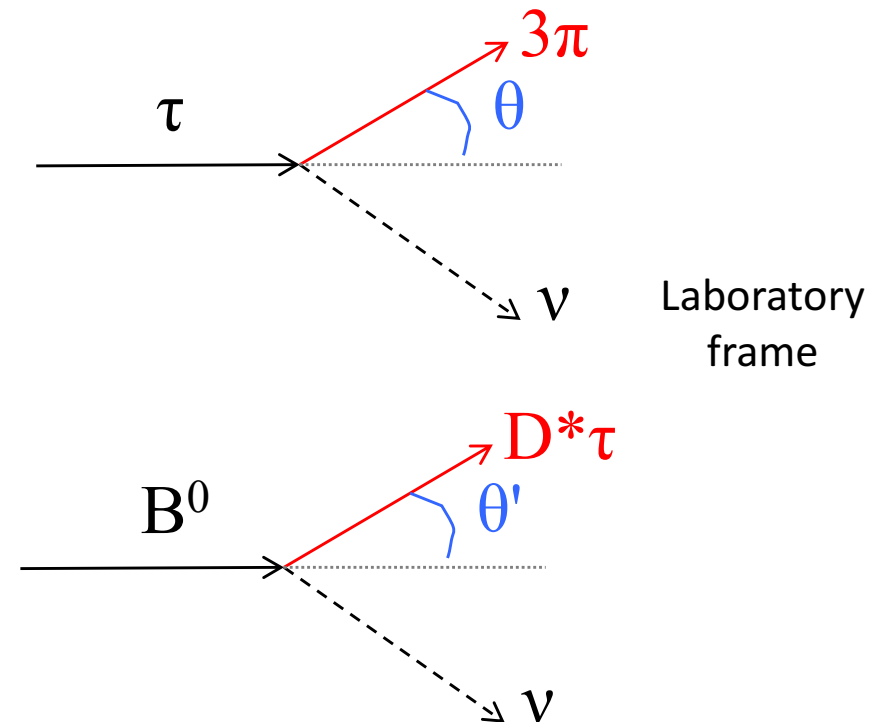
↑  
 Invariant masses of  $3\pi$  system  
 Invariant mass of  $D^*3\pi$  system  
 Neutral isolation variables



4-fold ambiguity:

$$|\vec{p}_\tau| = \frac{(m_{3\pi}^2 + m_\tau^2)|\vec{p}_{3\pi}| \cos \theta \pm E_{3\pi} \sqrt{(m_\tau^2 - m_{3\pi}^2)^2 - 4m_\tau^2 |\vec{p}_{3\pi}|^2 \sin^2 \theta}}{2(E_{3\pi}^2 - |\vec{p}_{3\pi}|^2 \cos^2 \theta)}$$

$$|\vec{p}_{B^0}| = \frac{(m_{D^*\tau}^2 + m_{B^0}^2)|\vec{p}_{D^*\tau}| \cos \theta' \pm E_{D^*\tau} \sqrt{(m_{B^0}^2 - m_{D^*\tau}^2)^2 - 4m_{B^0}^2 |\vec{p}_{D^*\tau}|^2 \sin^2 \theta'}}{2(E_{D^*\tau}^2 - |\vec{p}_{D^*\tau}|^2 \cos^2 \theta')}$$



Can be approximated by doing:

$$\theta_{max} = \arcsin \left( \frac{m_\tau^2 - m_{3\pi}^2}{2m_\tau |\vec{p}_{3\pi}|} \right) \quad \theta'_{max} = \arcsin \left( \frac{m_{B^0}^2 - m_{D^*\tau}^2}{2m_{B^0} |\vec{p}_{D^*\tau}|} \right)$$

**Possible to reconstruct rest frame variables such as tau decay time and  $q^2$ .**

These variables have **negligible biases**, and **sufficient resolution** to preserve good discrimination between signal and background.

Slide from C. Bozzi

Use **exclusive  $D_s \rightarrow 3\pi$**  decays to select a  $X_b \rightarrow D^{*-} D_s^+ X$  control sample

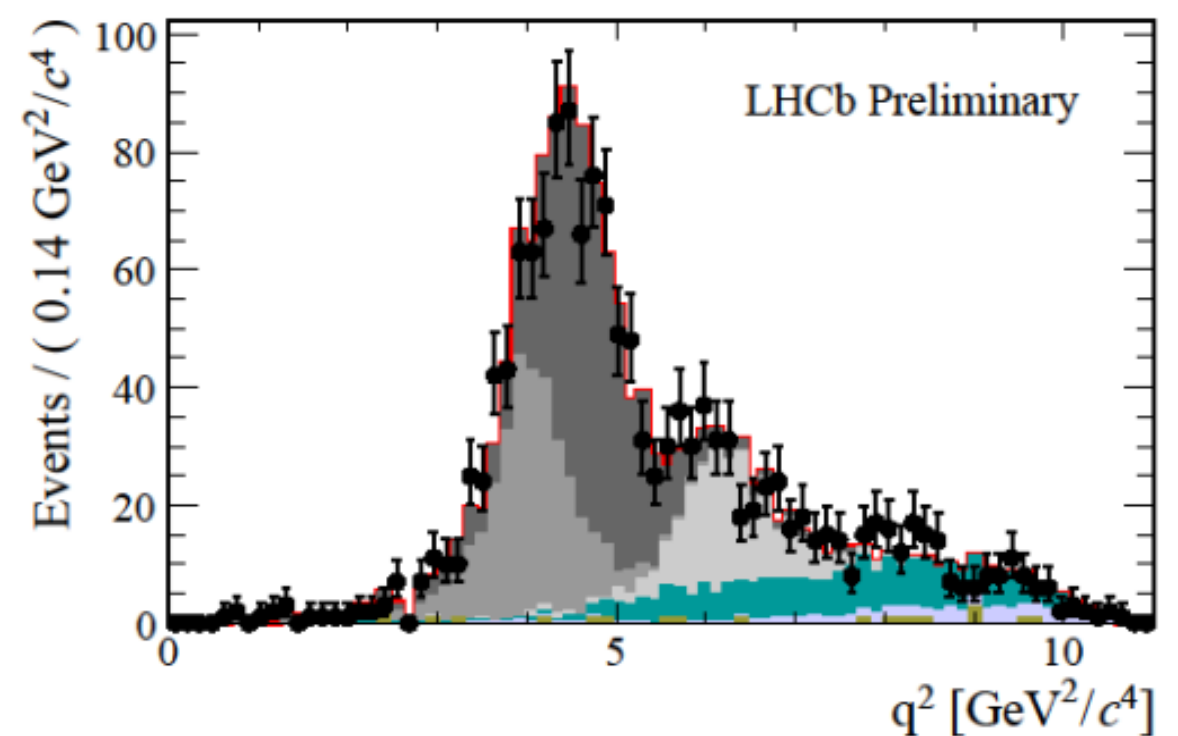
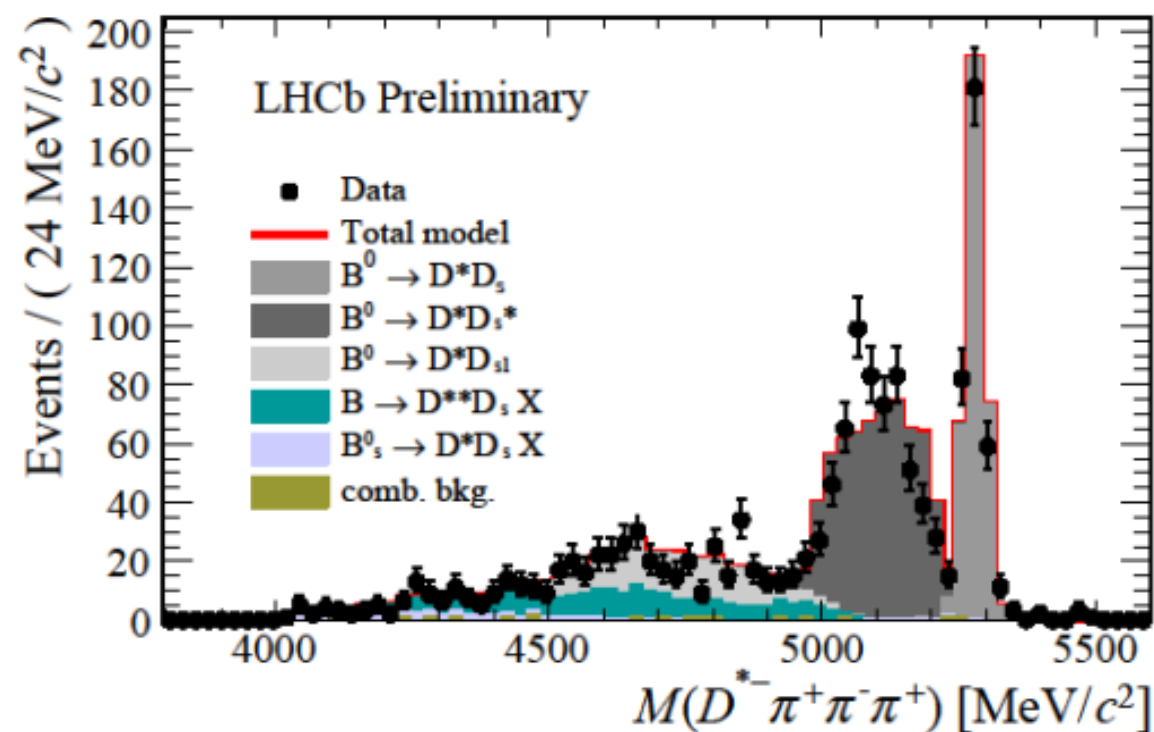
Determine the different  $X_b \rightarrow D^{*-} D_s^+ X$  contributions from a fit to  $m(D^* D_s)$ :

- $B^0 \rightarrow D^* D_s, B^0 \rightarrow D^* D_s^*, B^0 \rightarrow D^* D_{s0}^*, B^0 \rightarrow D^* D_{s1}^', B_s \rightarrow D^* D_s X, B \rightarrow D^{**} D_s X$

only 20% of  $D_s$  originates directly from B, 40% originates from  $D_s^*$ , 40% from  $D_s^{**}$

- Uncertainties in the fit parameters propagated to final analysis.

LHCb-PAPER-2017-017



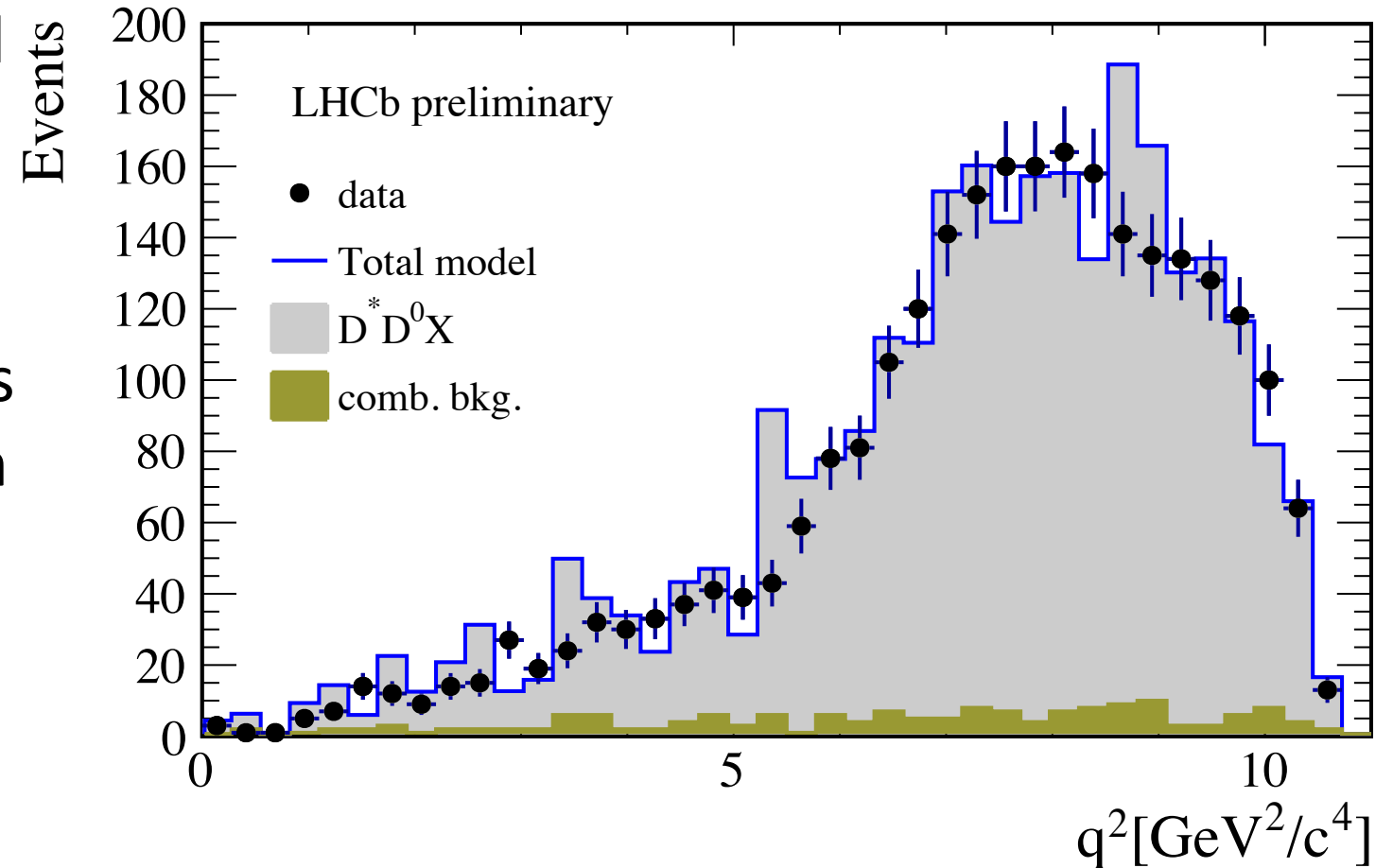
Slide from C. Bozzi



$X_b \rightarrow D^{*-} D^0 X$  decays can be isolated by selecting exclusive  $D^0 \rightarrow K-3\pi$  decays (kaon recovered using isolation tools).

A correction to the  $q^2$  distributions is applied to the Monte Carlo to match data.

In contrast to the  $D_s^+$  case, most  $3\pi$  final states in  $D^+$  and  $D^0$  decays originate from  $D^{+,0} \rightarrow K^{0,+} 3\pi$



For the  $D^0$ , the inclusive 4 prongs BR constrains strongly the rate of  $3\pi$  events

Unfortunately, this constraint does not exist for the  $D^+$  mesons,  $K3\pi\pi^0$  is poorly known, the inclusive BR is not measured

**We let the  $D^+$  component float in the fit**

Slide from C. Bozzi

Source	$\delta R(D^{*-})/R(D^{*-})[\%]$
Simulated sample size	4.7
Empty bins in templates	1.3
Signal decay model	1.8
$D^{**}\tau\nu$ and $D_s^{**}\tau\nu$ feeddowns	2.7
$D_s^+ \rightarrow 3\pi X$ decay model	2.5
$B \rightarrow D^{*-}D_s^+X$ , $B \rightarrow D^{*-}D^+X$ , $B \rightarrow D^{*-}D^0X$ backgrounds	3.9
Combinatorial background	0.7
$B \rightarrow D^{*-}3\pi X$ background	2.8
Efficiency ratio	3.9
Total uncertainty	8.9



## Impact of $\tau$ -polarisation in

$\tau^- \rightarrow \ell^- \bar{\nu}_\ell \nu_\tau$  decays :

- **secondary lepton** emitted preferentially **in the direction** of the  $\tau$ 
  - ▶ Carries more momentum of the  $\tau$ -lepton
- + **secondary lepton** emitted preferentially **against the direction** of the  $\tau$ 
  - ▶ Carries less momentum of the  $\tau$ -lepton

