

The 17th International Workshop on Tau
Lepton Physics (TAU2023) | Louisville

Dark sector searches with tau-pair events at Belle and Belle II

5 December, 2023

Sourav Dey

on behalf of the Belle and Belle II Collaboration



To Discuss:

- Search for a heavy neutral lepton that mixes predominantly with the τ neutrino (**NEW RESULTS for this conference**, to be submitted to PRL)



- Search for a dark leptophilic scalar produced in association with $\tau^+\tau^-$ pair in e^+e^- annihilation at center-of-mass energies near 10.58 GeV (Arxiv [2207.07476](https://arxiv.org/abs/2207.07476))



- Search for Lepton Flavor Violating τ Decays to a Lepton and an Invisible Boson at Belle II ([PRL 130, 181803 \(2023\)](https://arxiv.org/abs/2212.03634)). Arxiv [2212.03634](https://arxiv.org/abs/2212.03634))

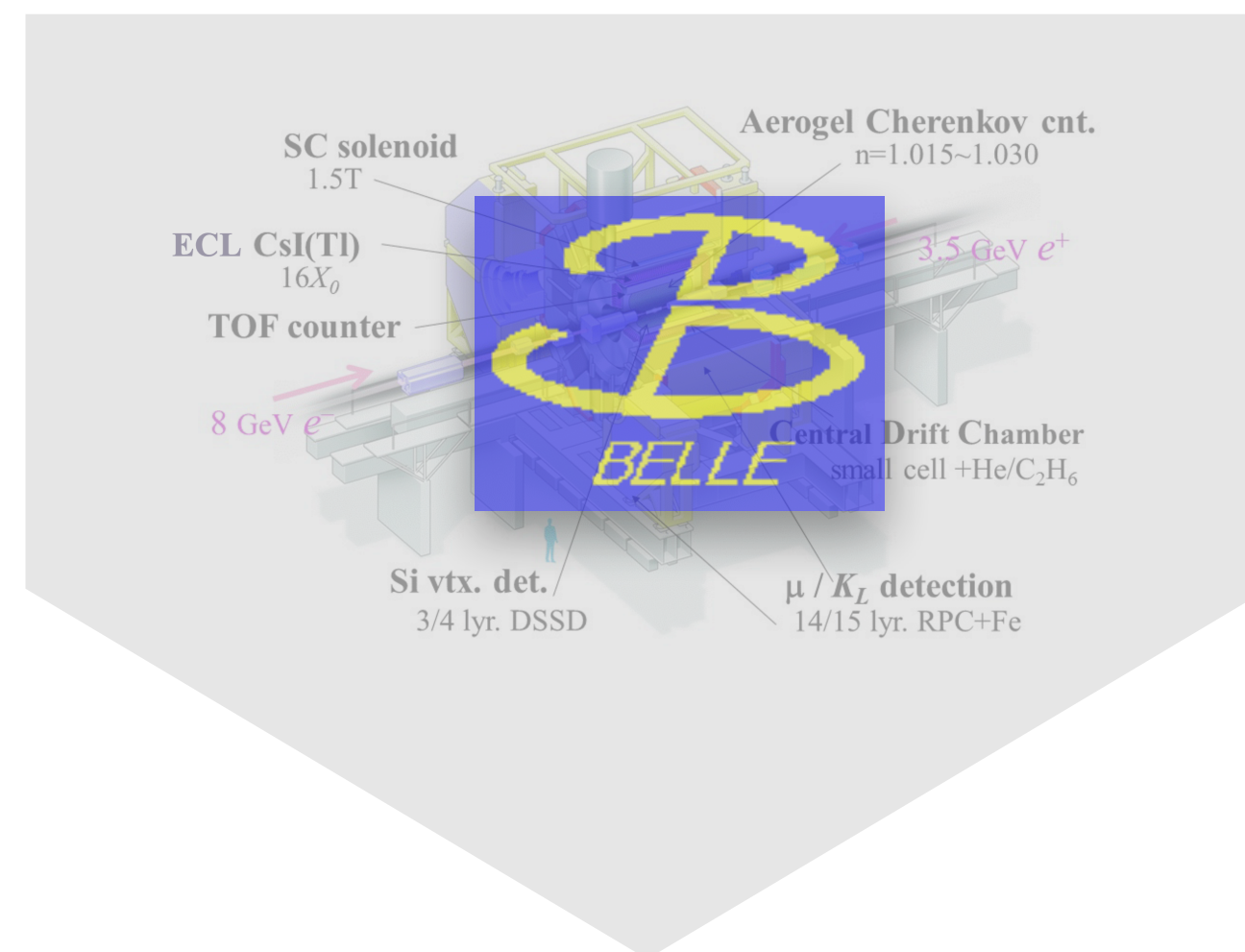
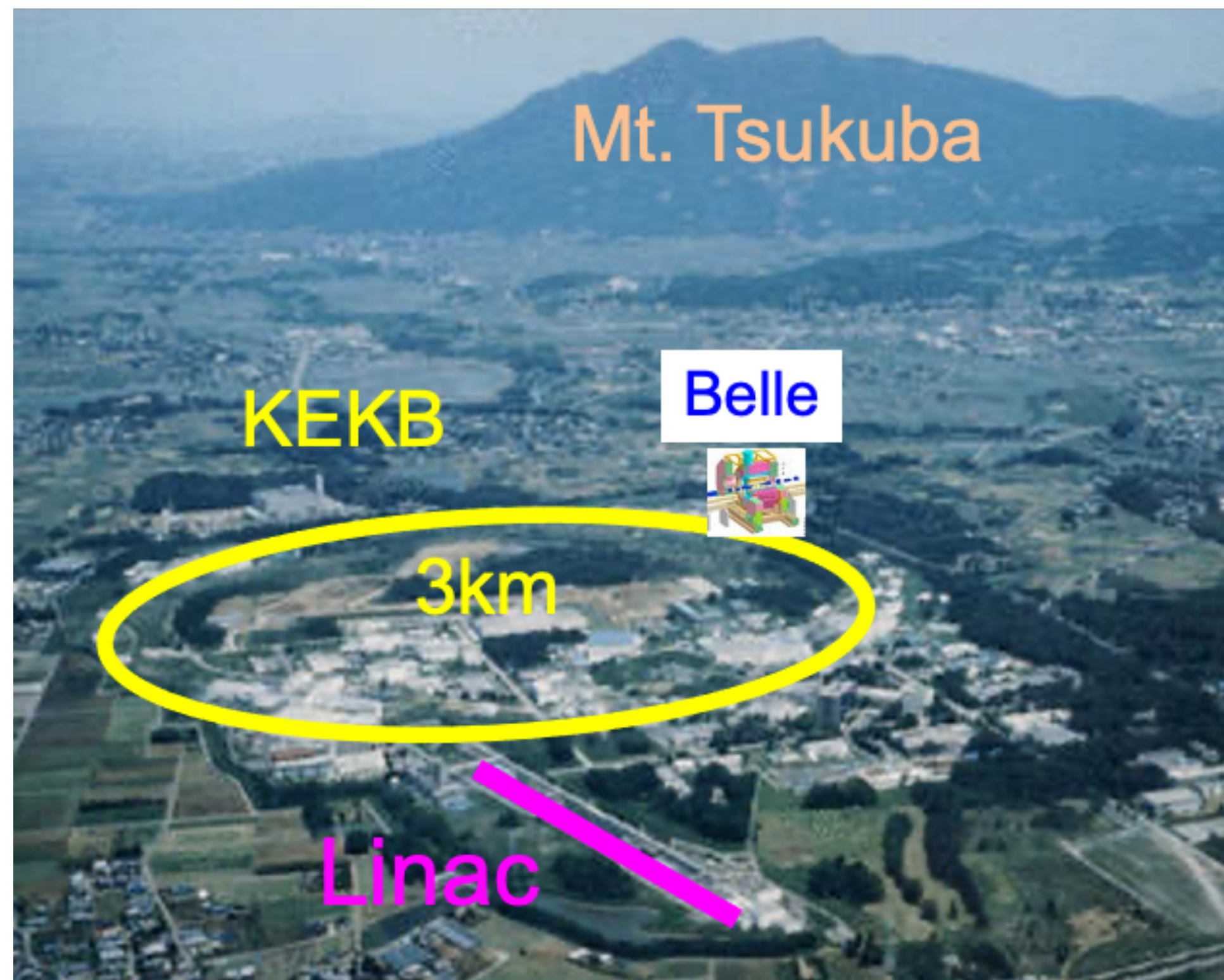
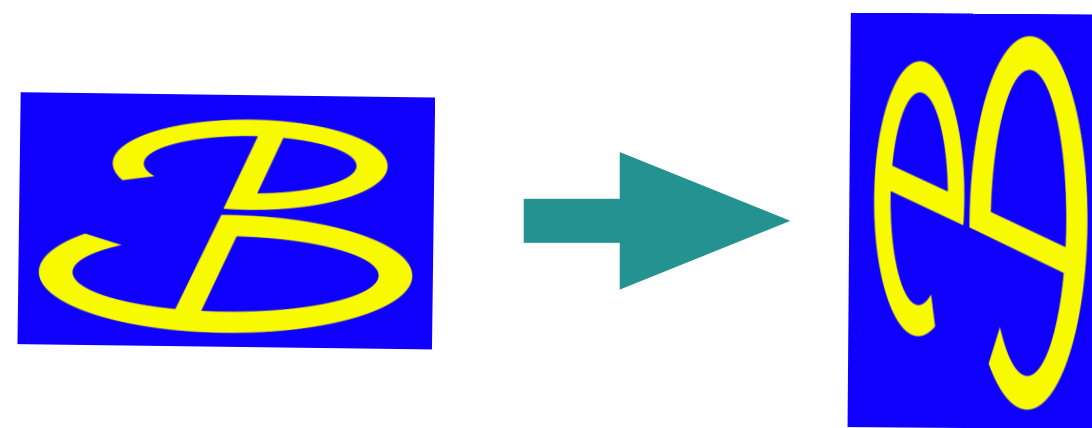


- Search for a $\tau^+\tau^-$ Resonance in $e^+e^- \rightarrow \mu^+\mu^-\tau^+\tau^-$ Events with the Belle II Experiment ([PhysRevLett.131.121802](https://arxiv.org/abs/131.121802))

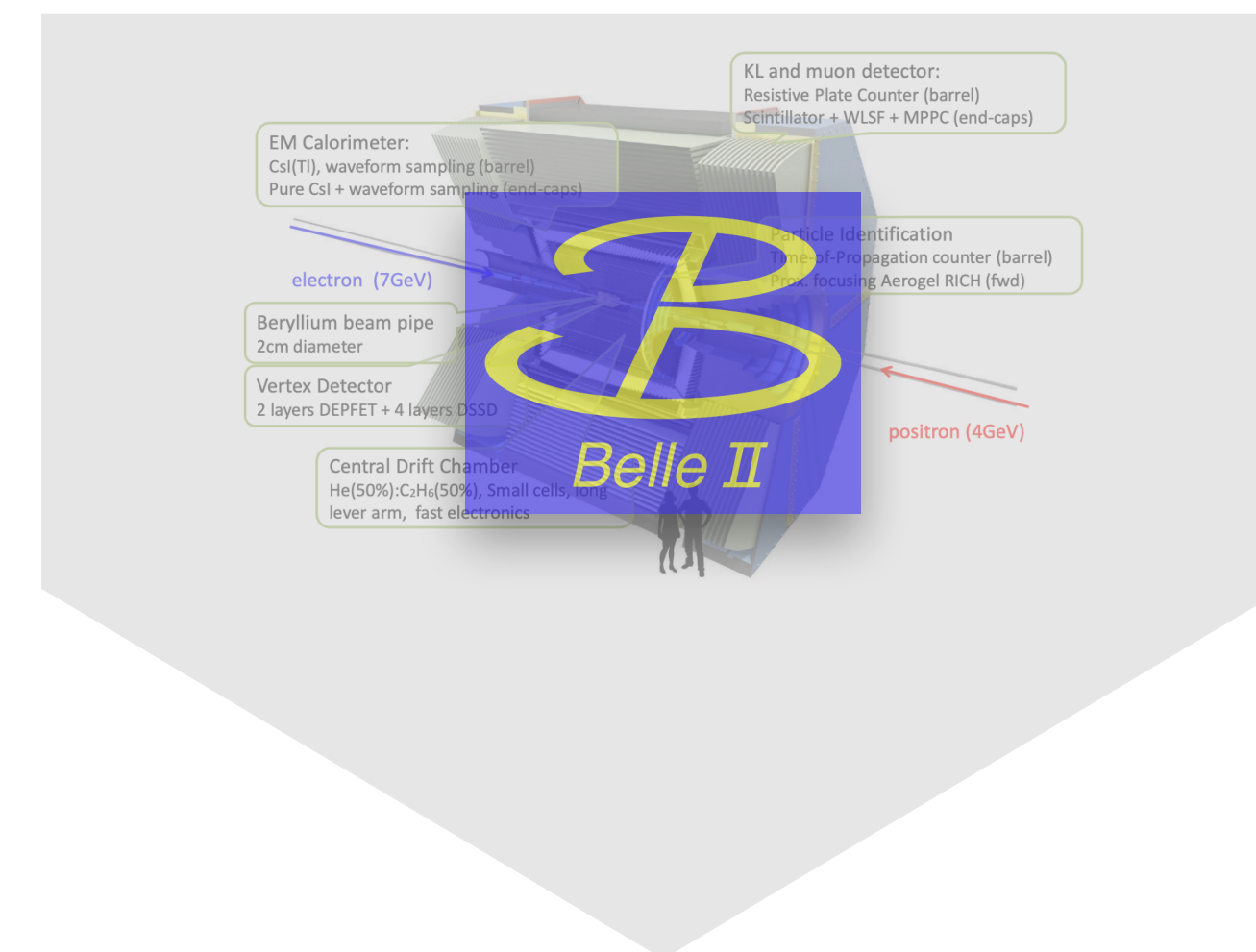
Not covered in this talk



The Apparatus

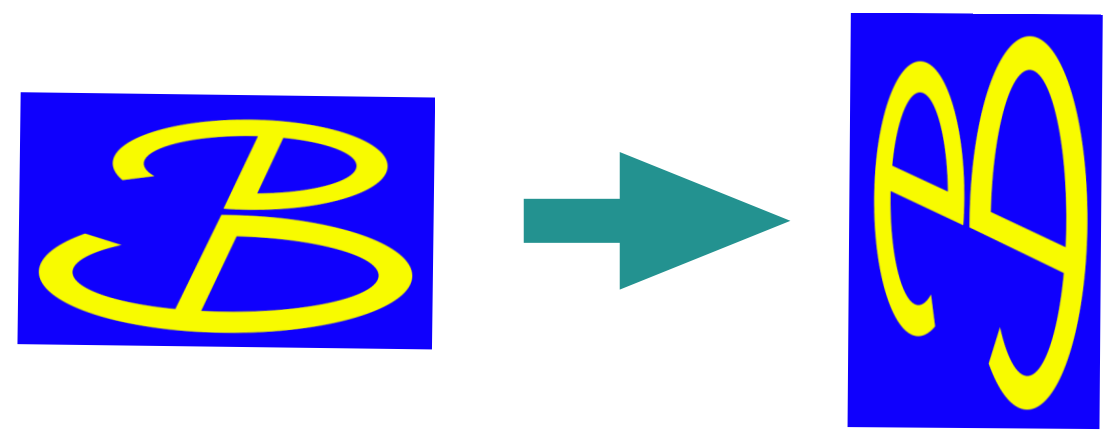


Analysis

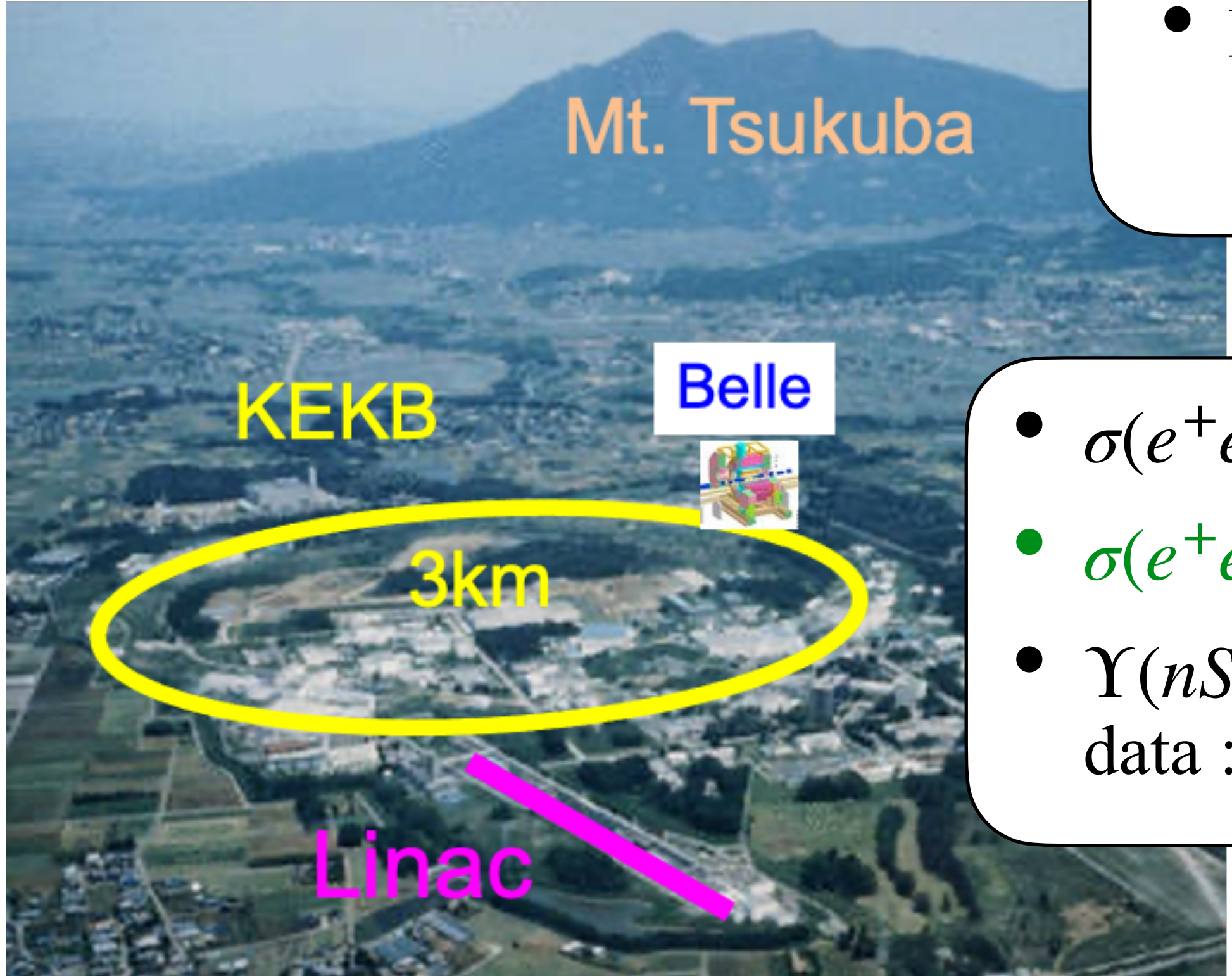


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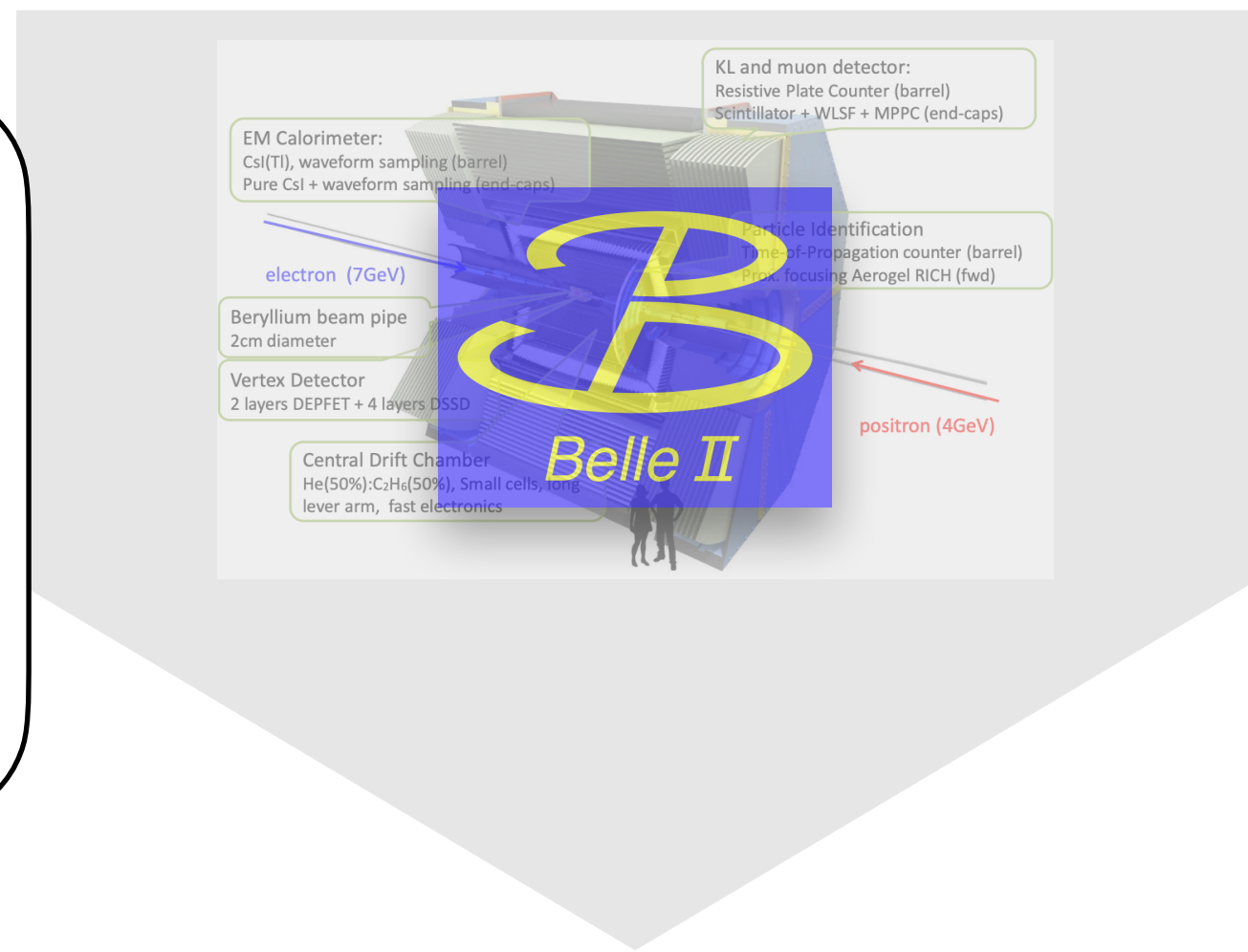
The Apparatus



- Description of Belle detector: [talk](#) by Sourav Patra
- Description of Belle II detector: [talk](#) by Radek, [talk](#) by Paul



- $\sigma(e^+e^- \rightarrow b\bar{b}) = 1.05 \text{ nb}$
- $\sigma(e^+e^- \rightarrow \tau^+\tau^-) = 0.92 \text{ nb}$
- $\Upsilon(nS)\epsilon[n = 1, \dots, 5]$, use of off resonance data : B factories are also τ factories



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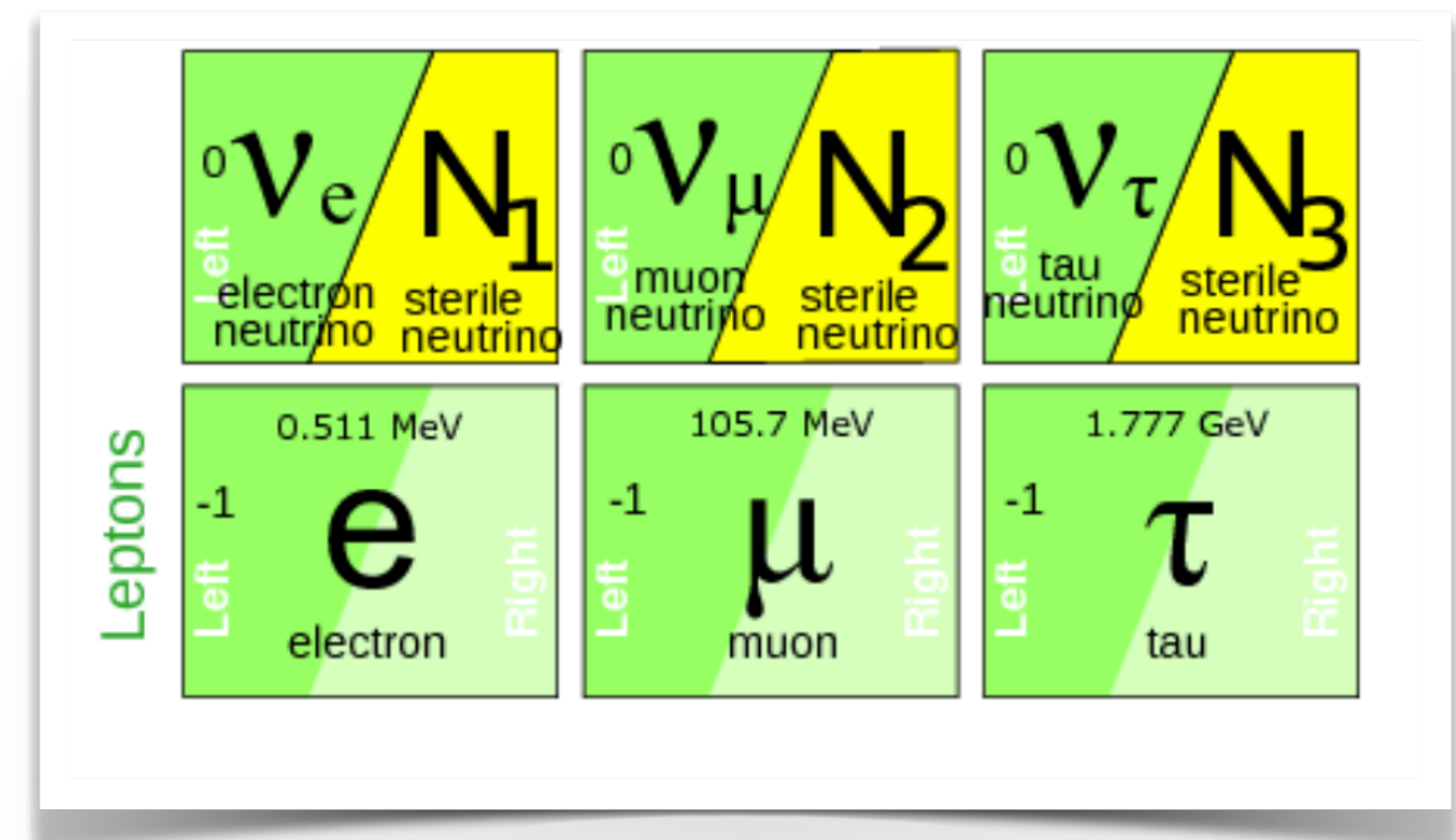
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- Search for a dark leptophilic scalar produced in association with $\tau^+\tau^-$ pair in e^+e^- annihilation at center-of-mass energies near 10.58 GeV (to be submitted to PRL. [Arxiv link](#))
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- Neutrino Oscillations: Neutrino has mass
- Neutrino masses can be incorporated to SM by introducing RH (Majorana) neutrinos
- Allows to solve some of the outstanding problems of the SM
 - Origin of the SM neutrino masses
 - Non-baryonic dark matter
 - Baryogenesis
- N are sterile: Interacts with ν_{SM} through mixing: $N \leftrightarrow \nu_{SM}$
- Long lifetime of N: due to small m_N and small mixing
- Heavy Neutral Lepton also appears in SUSY, exotic Higgs, GUT...

T. Asaka, S. Blanchet, M. Shaposhnikov,
Phys. Lett. B **631**, 151-156 (2005)

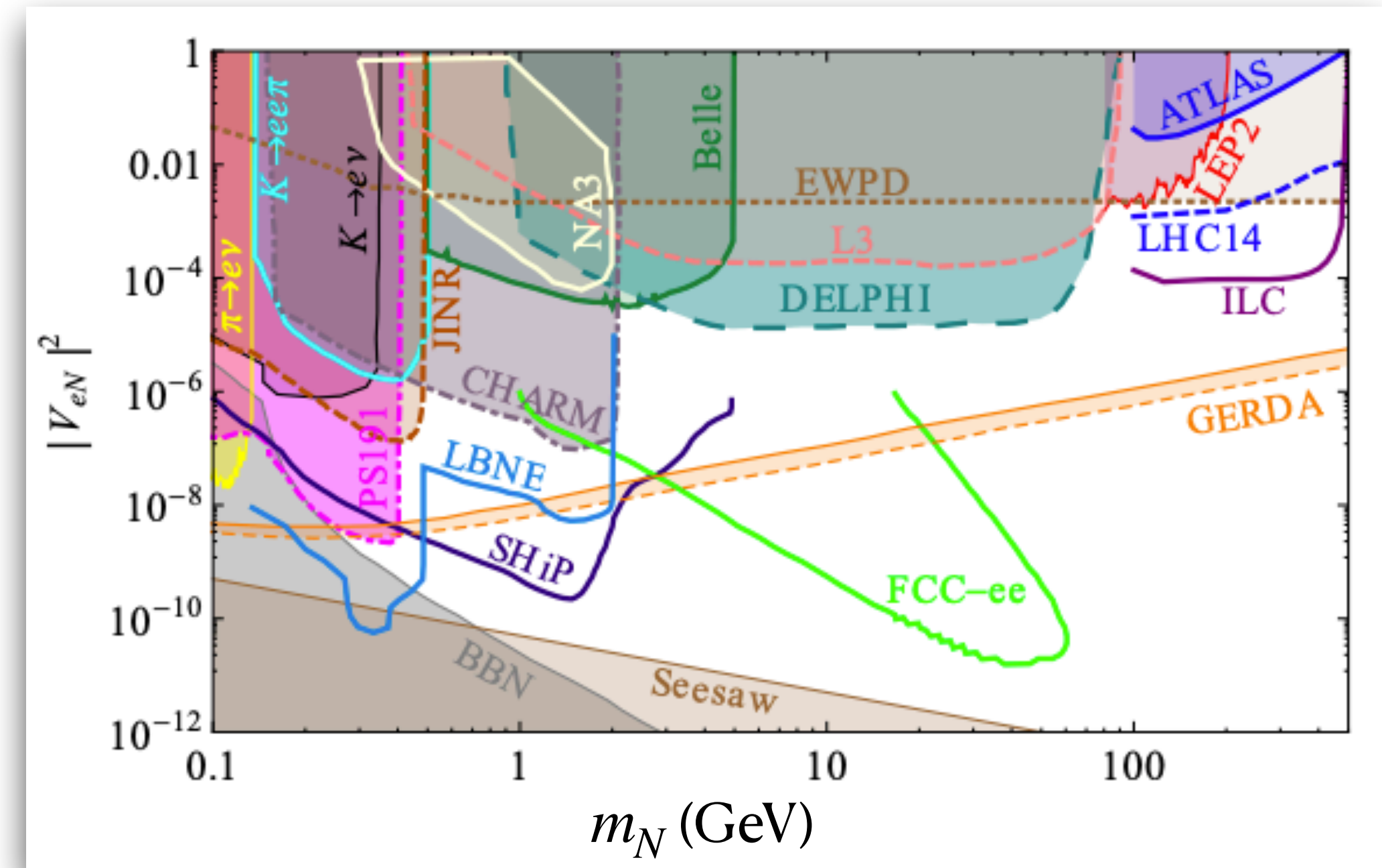


Heavy Neutral Lepton : Direct searches

$|V_{eN}|^2, |V_{\mu N}|^2, |V_{\tau N}|^2 =$ mixing coefficients of ν_e, ν_μ, ν_τ with N

- Previous experiments explored m_N from 100 MeV to ~ 1 TeV
 - $m_N > m_Z$ Direct searches @LHC: $pp \rightarrow Nl^\pm$
 - $m_N < m_{Z,W}$ DELPHI($Z^0 \rightarrow \nu N$), ATLAS/CMS($W^\pm \rightarrow Nl^\pm$)
 - $m_N < m_{B,D,K}$ Belle, LHCb, beam-dump, NA62

arxiv 1502.06541

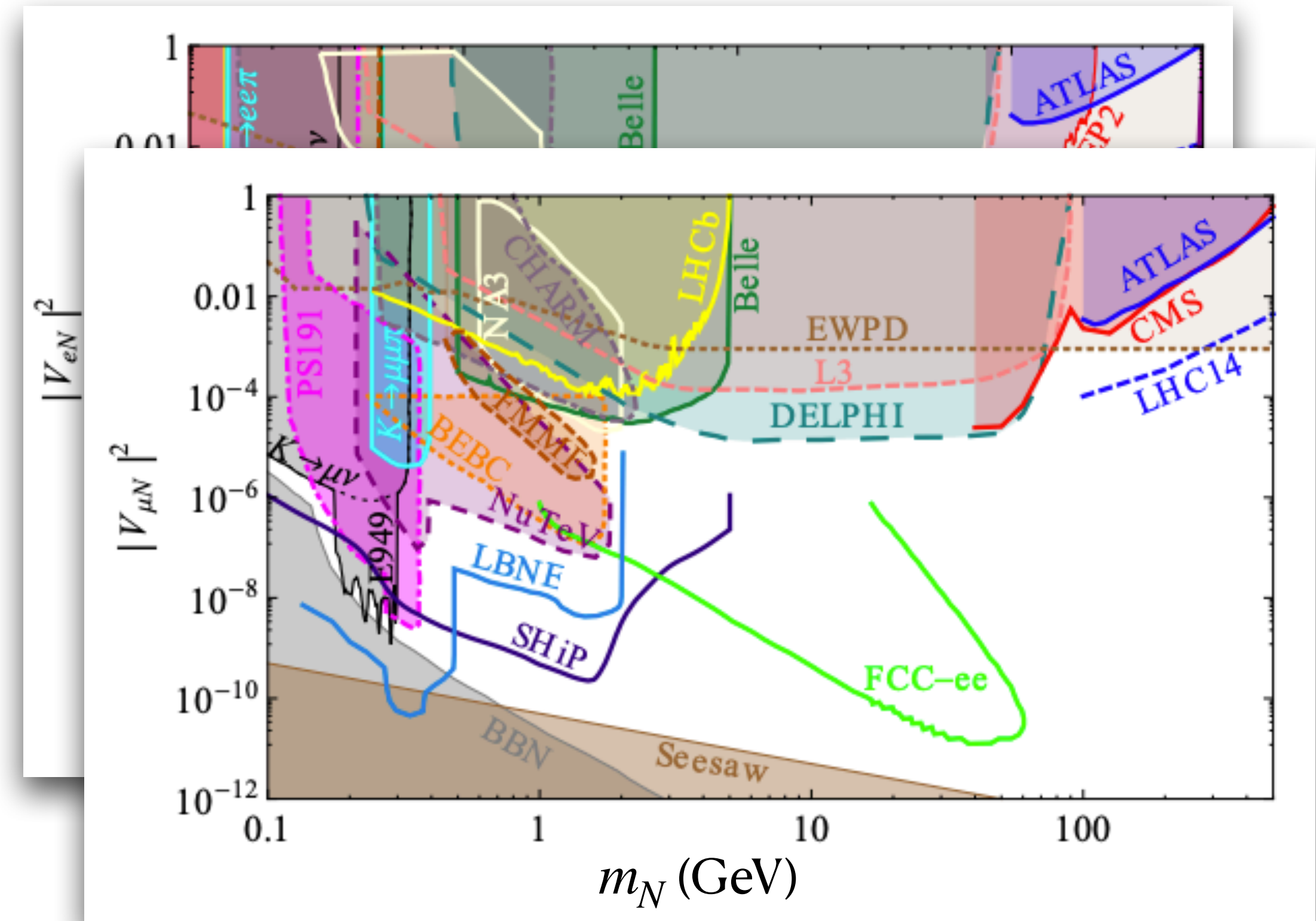


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- All the experiments provide tight limits on $|V_{eN}|^2, |V_{\mu N}|^2$

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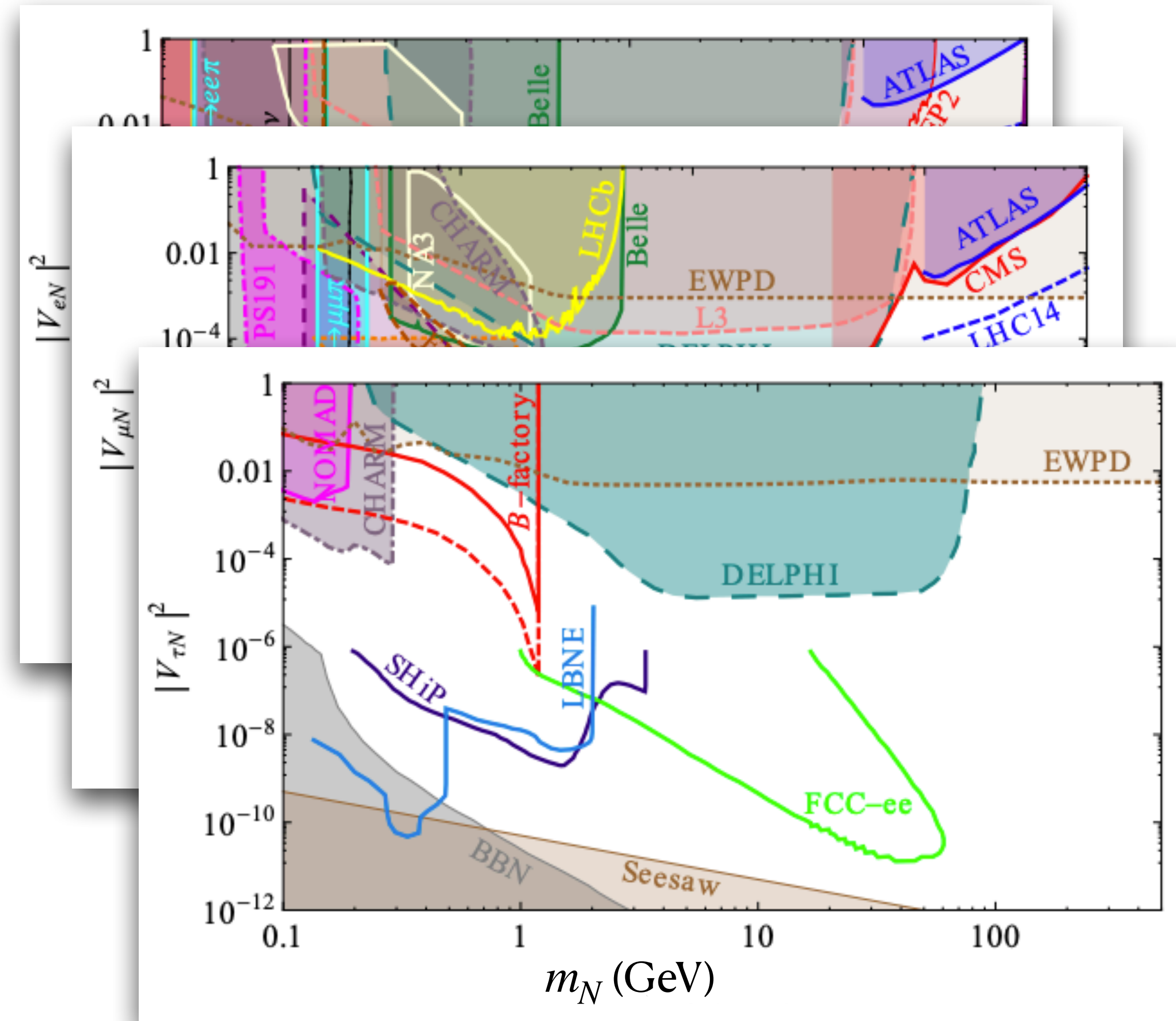


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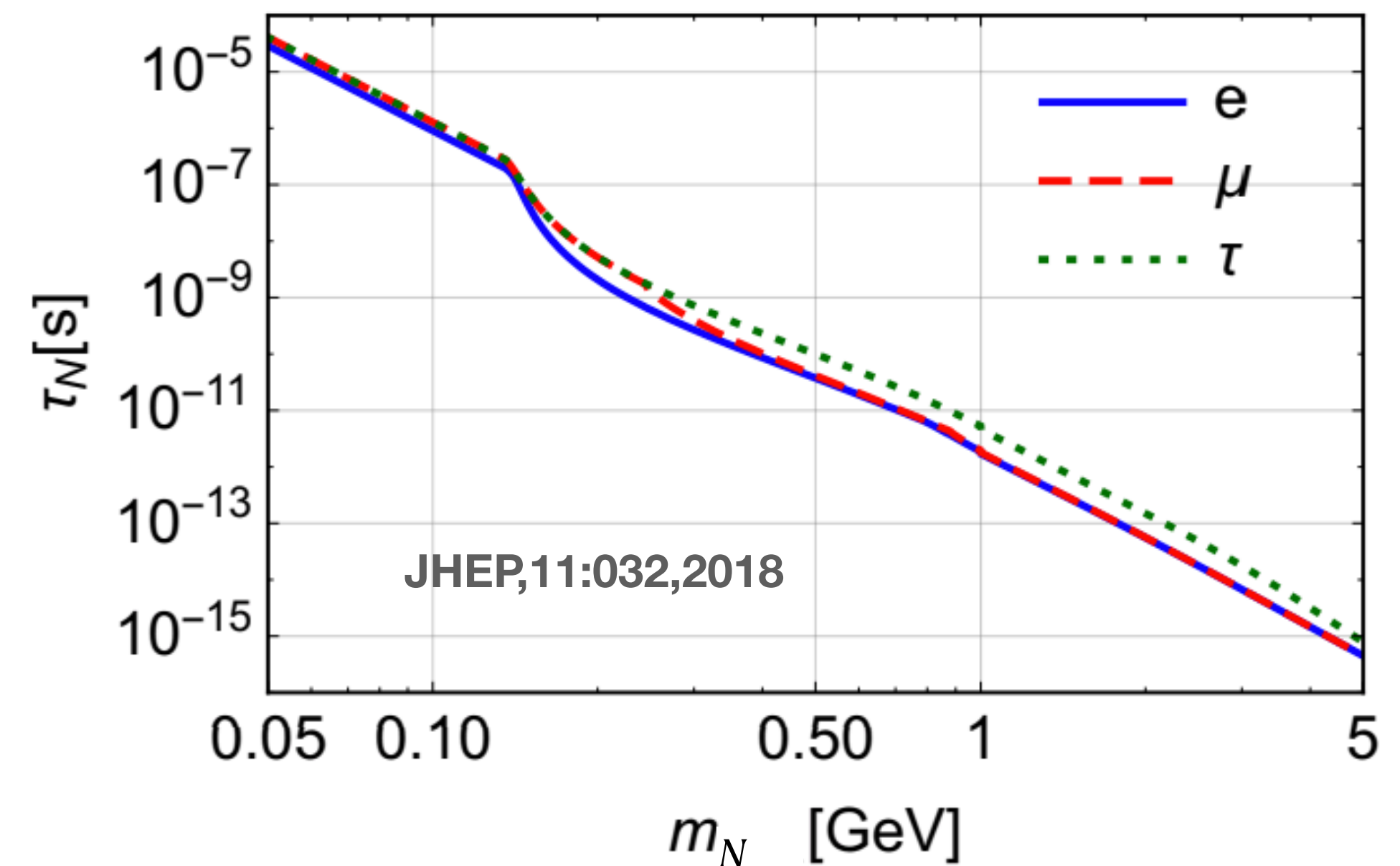
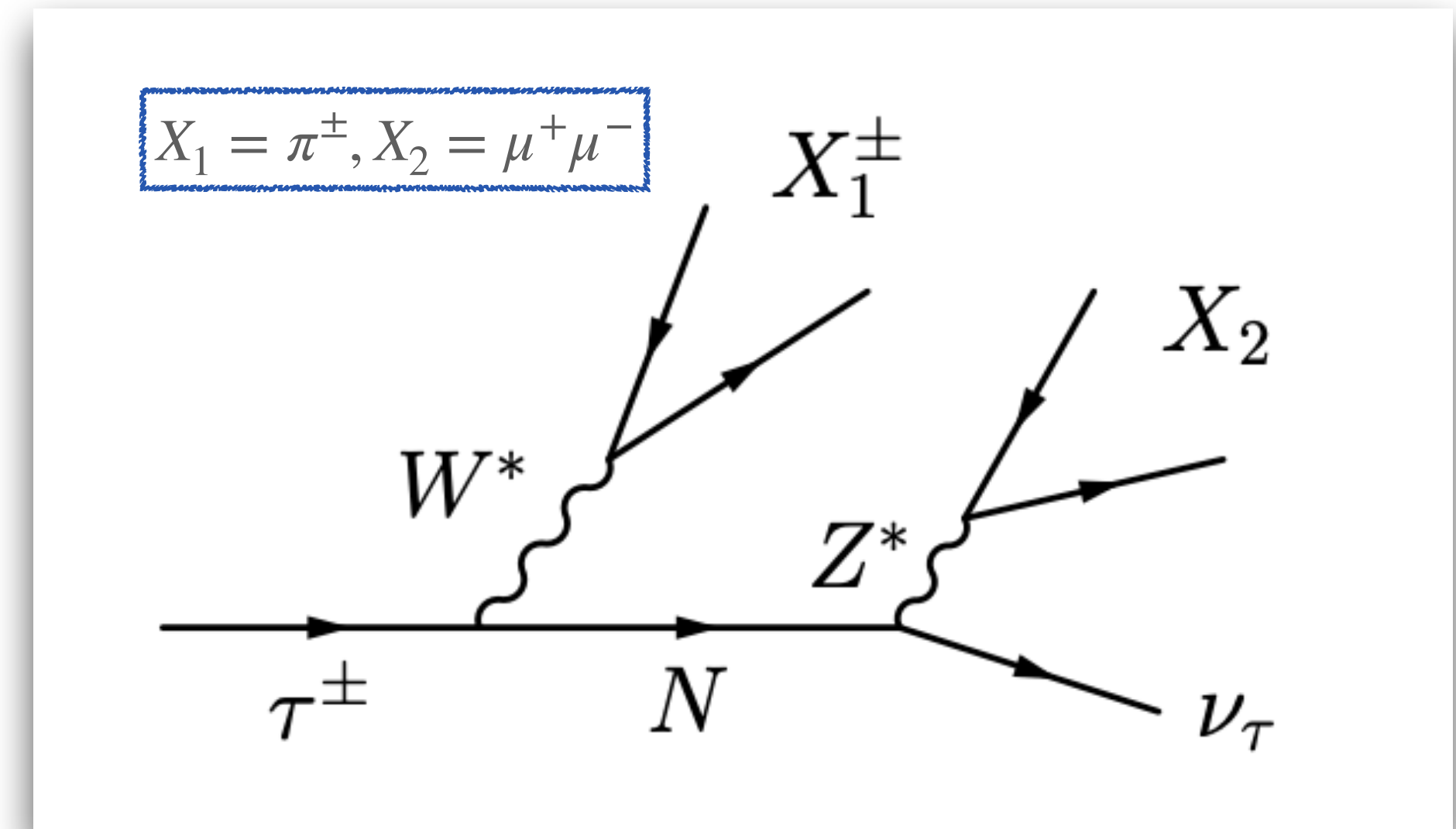
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 - $m_N < m_{B,D,K}$ Belle, LHCb, beam-dump, NA62
- All the experiments provide tight limits on $|V_{eN}|^2, |V_{\mu N}|^2$
- Limits on $|V_{\tau N}|^2$ are much weaker
- This motivates us to overcome the experimental challenges and explore $|V_{\tau N}|^2$

[arxiv 1502.06541](https://arxiv.org/abs/1502.06541)



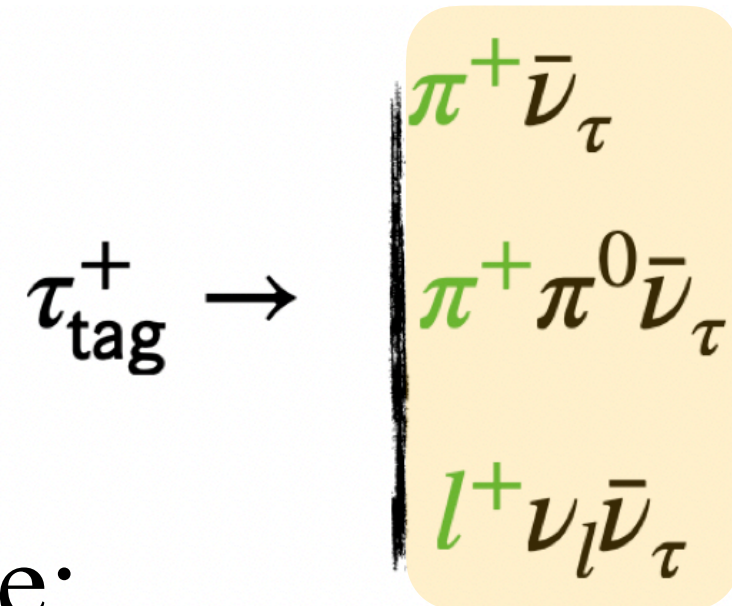
- N decays via the weak neutral current
- This analysis probes $|V_{\tau N}|^2$ directly
- This production mechanism implies $m_N < m_\tau - m_\pi$
- N is long-lived for a range of $|V_{\tau N}|^2$ values that we are sensitive to

Full Belle data sample used
 $(836 \pm 12) \times 10^6 \tau$ pairs



- $e^+e^- \rightarrow \tau_{tag}^+ \tau_{sig}^-$

Tag side:

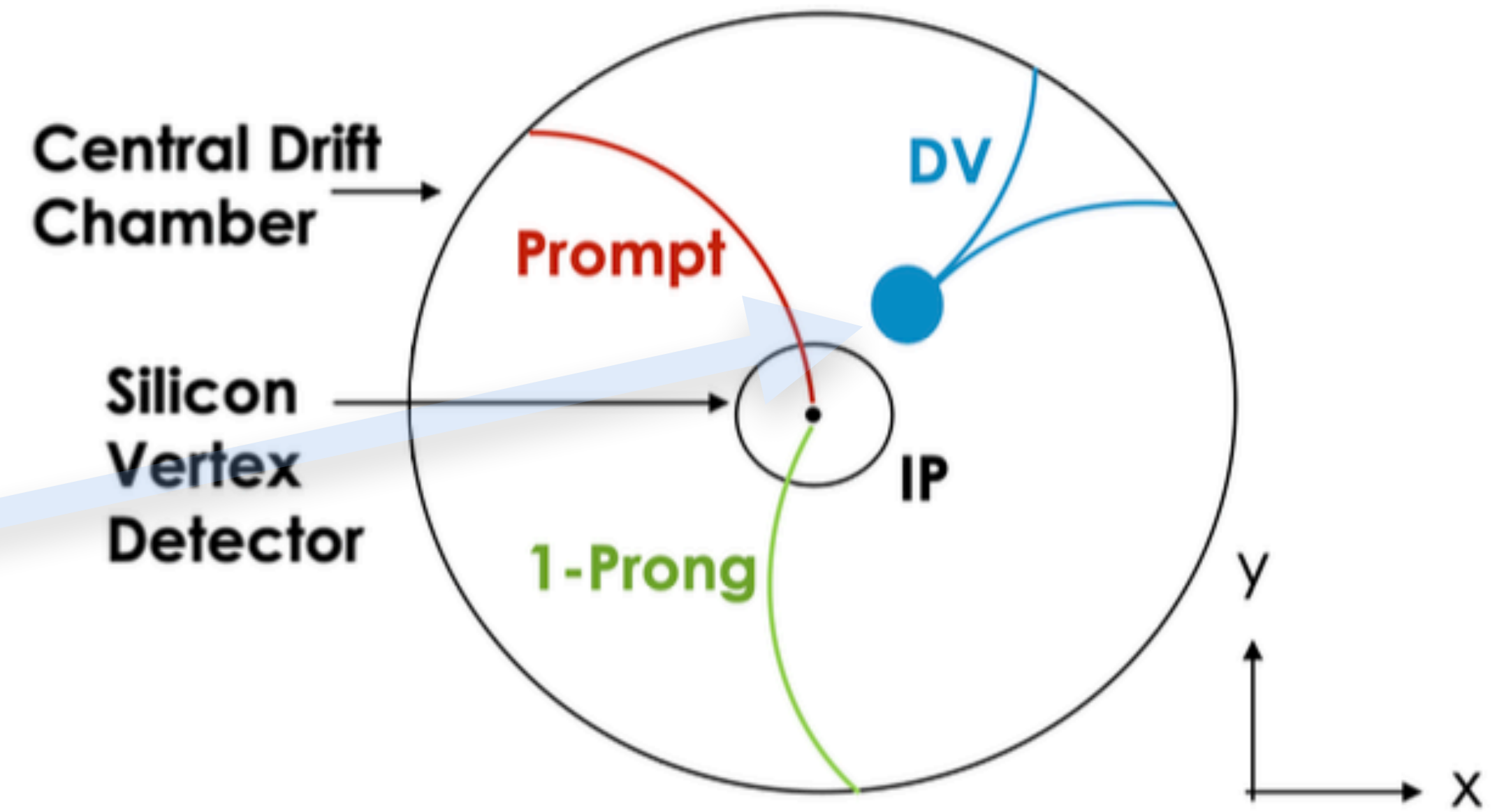


Signal side:



- We look for a $\mu^+ \mu^-$ displaced vertex (DV)

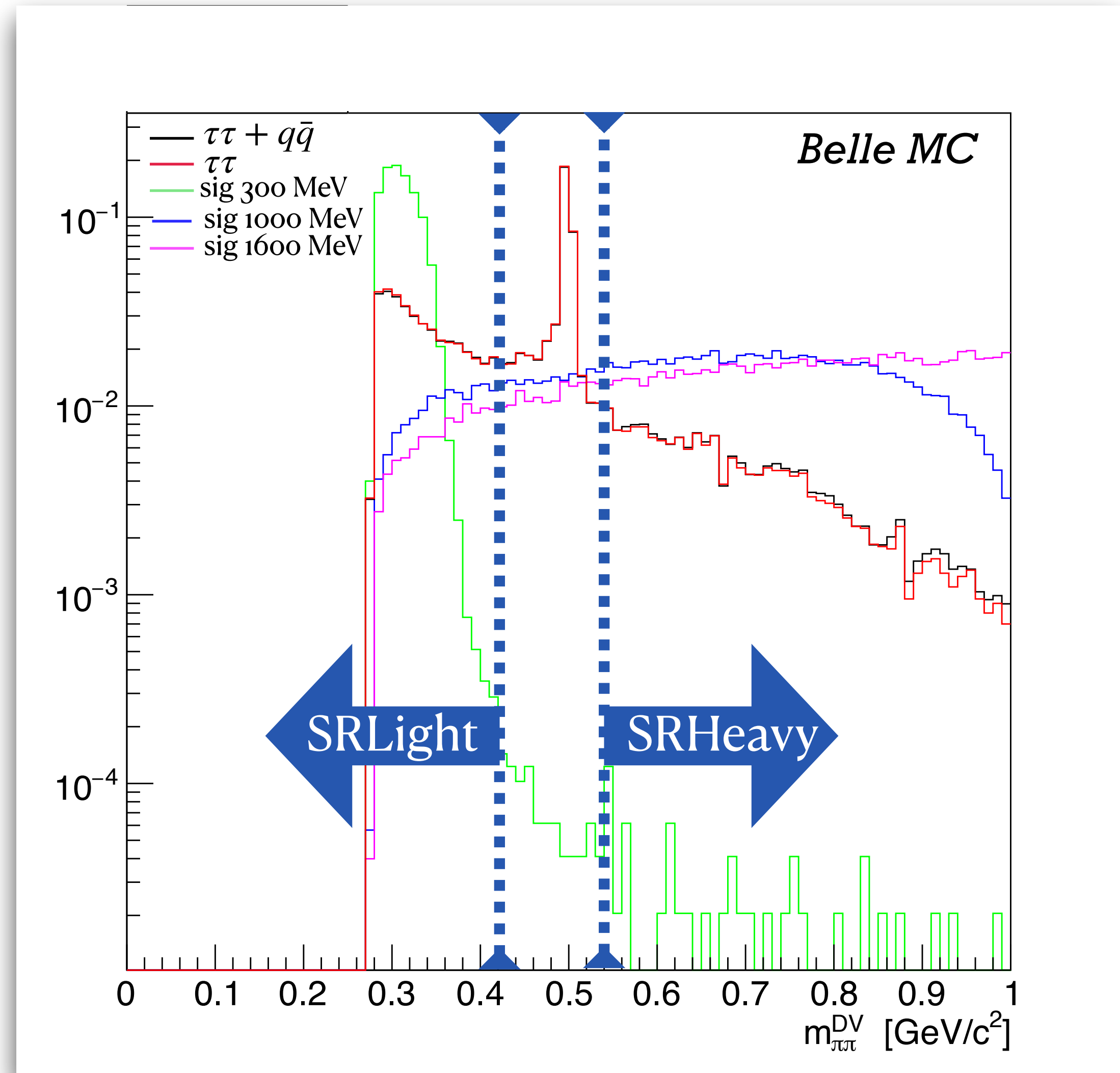
- Radial position of DV $>$ 15 cm from the beam axis



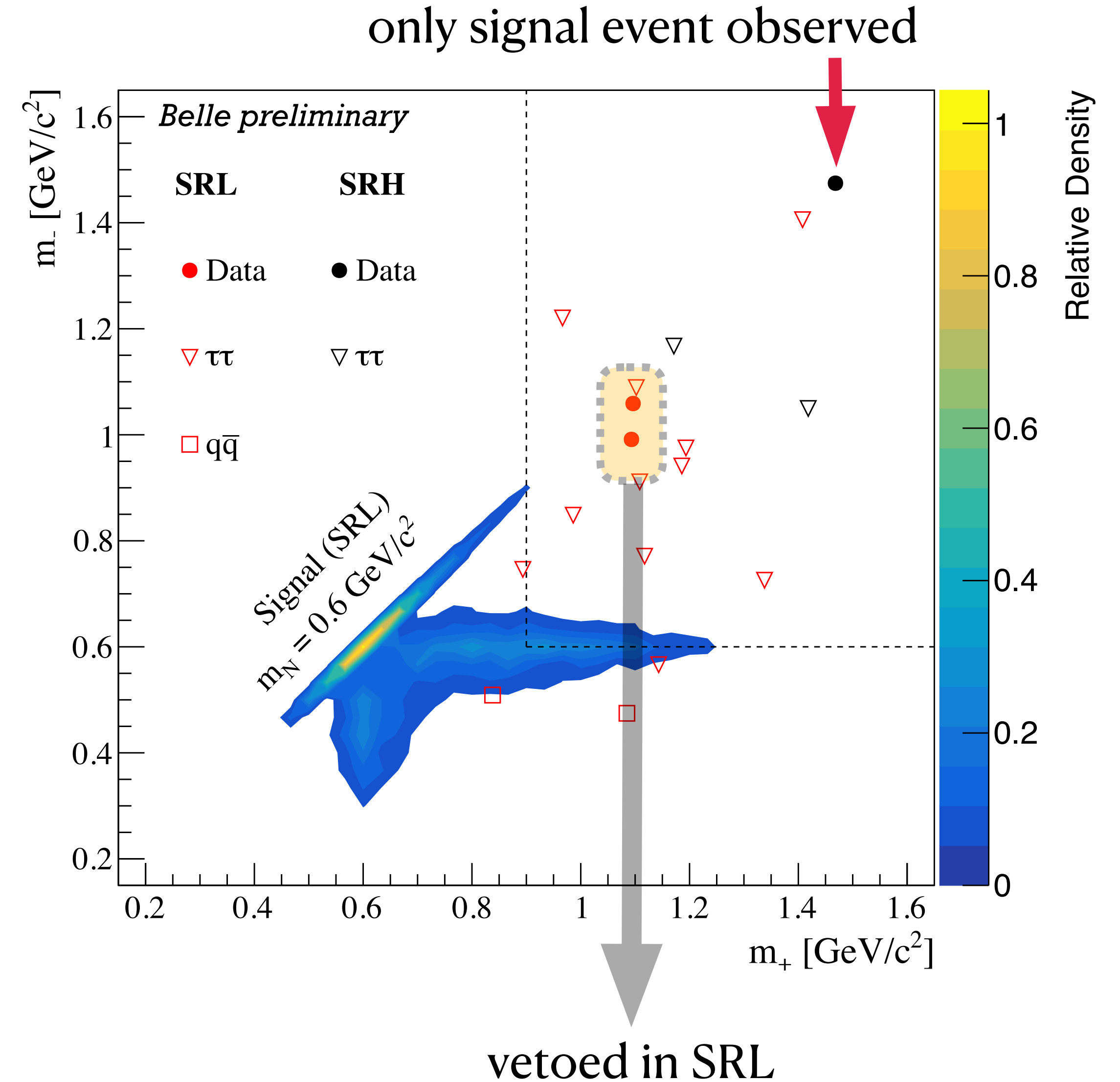
DV = Displaced Vertex

IP = Interaction Point

- $K^0 \rightarrow \pi^+ \pi^-$: displaced vertex similar to N: removed the mass region
- We divide the signal region into Low mass and High mass signal region:
 - SRH: $m_{\pi\pi}^{DV} > 0.52 \text{ GeV}/c^2$
 - SRL: $m_{\pi\pi}^{DV} < 0.42 \text{ GeV}/c^2$
- Light N distribution is different from heavy N distribution



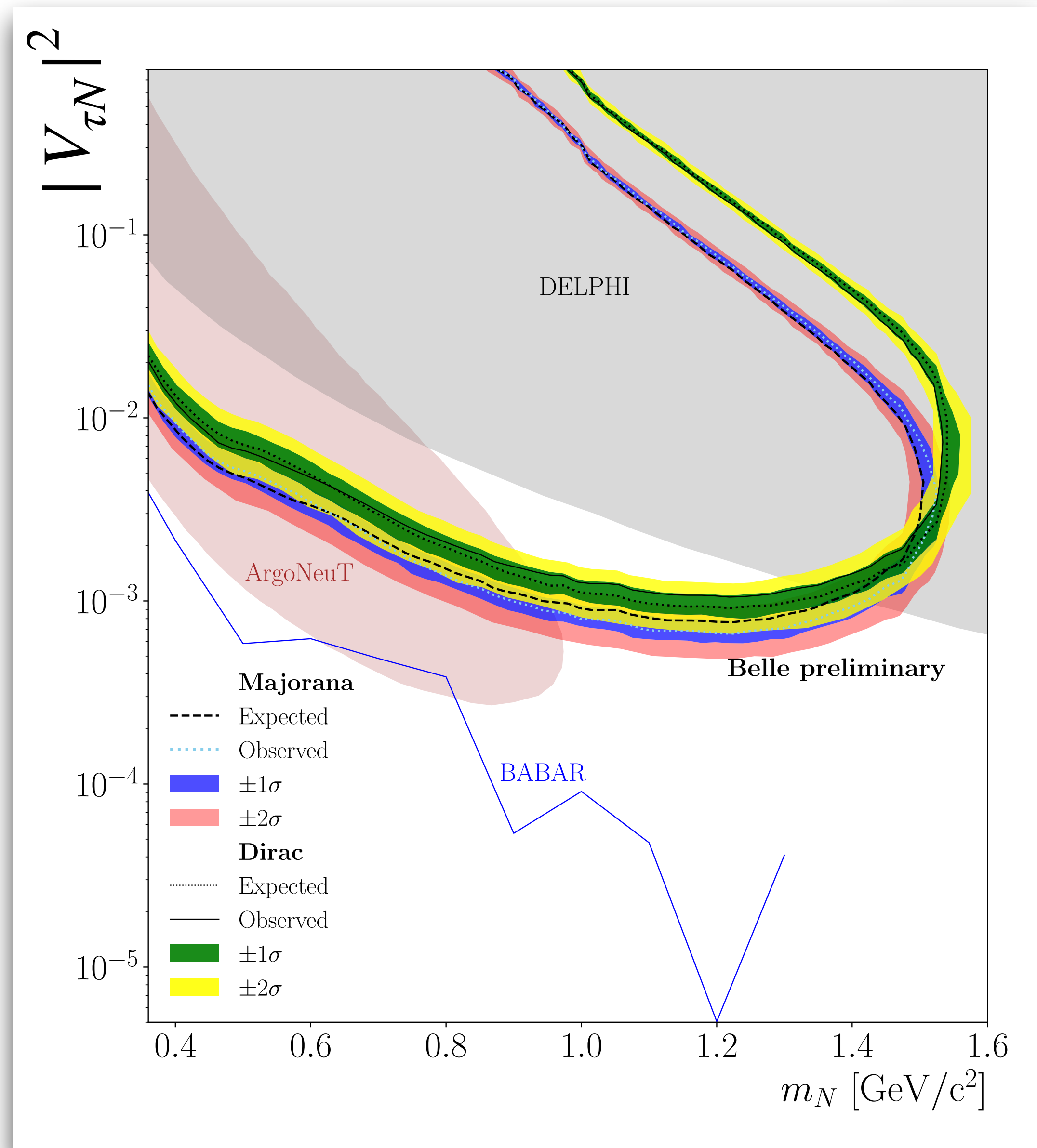
- Full kinematics of the signal-decay chain reconstructed with a two-fold ambiguity (m_+ and m_-)
- In the signal regions targeting heavy and light N s we observe 1 and 0 events, respectively,
 - in agreement with the background expectation.



- Uncertainties
 - N branching fraction
 - luminosity
 - uncertainty on the reconstruction of the two prompt tracks
 - the background yield expectations (largest)
- Handled with the nuisance parameters using CL_s prescription
- Allows for direct measurement of the N mass if a signal is observed

In the mass range 1.3 - 1.4 GeV/c^2 ,
our limits are the most stringent to date

New for TAU2023

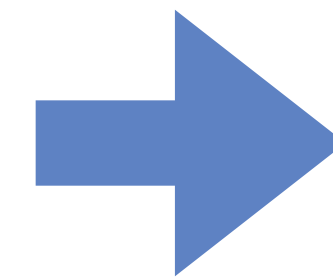


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- Search for a dark leptophilic scalar produced in association with $\tau^+\tau^-$ pair in e^+e^- annihilation at center-of-mass energies near 10.58 GeV (Arxiv [2207.07476](#))
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Dark Leptophilic Scalar

- Scalars other than Higgs bosons appear in many BSM theories
- The mixing between this dark scalar ϕ_L and the SM Higgs boson gives rise to couplings proportional to SM fermion masses, described by
- Couples to both quarks and leptons, the existence of such particles is strongly constrained by the searches for rare flavor-changing neutral current decays of mesons, e.g. $B \rightarrow K\phi$ and $K \rightarrow \pi\phi$
 - However, these bounds are evaded if the coupling of the scalar to quarks is suppressed and this scalar interacts preferentially with leptons.
- Can explain
 - $(g - 2)_\mu$ anomaly
 - Lepton flavor universality violation



$$\mathcal{L} = -\xi \sum_{\ell=e,\mu,\tau} \frac{m_\ell}{v} \bar{\ell} \phi_L \ell$$

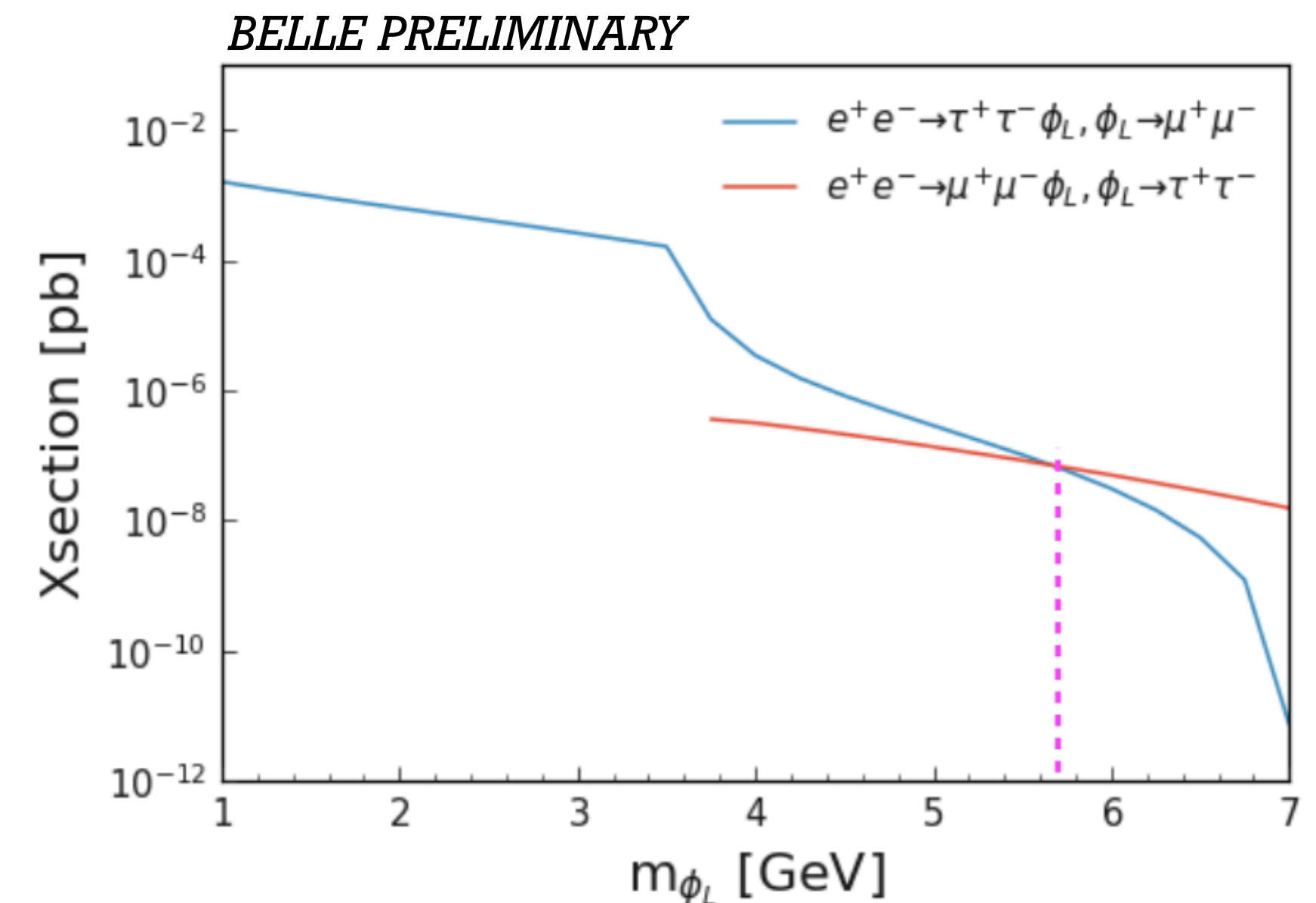
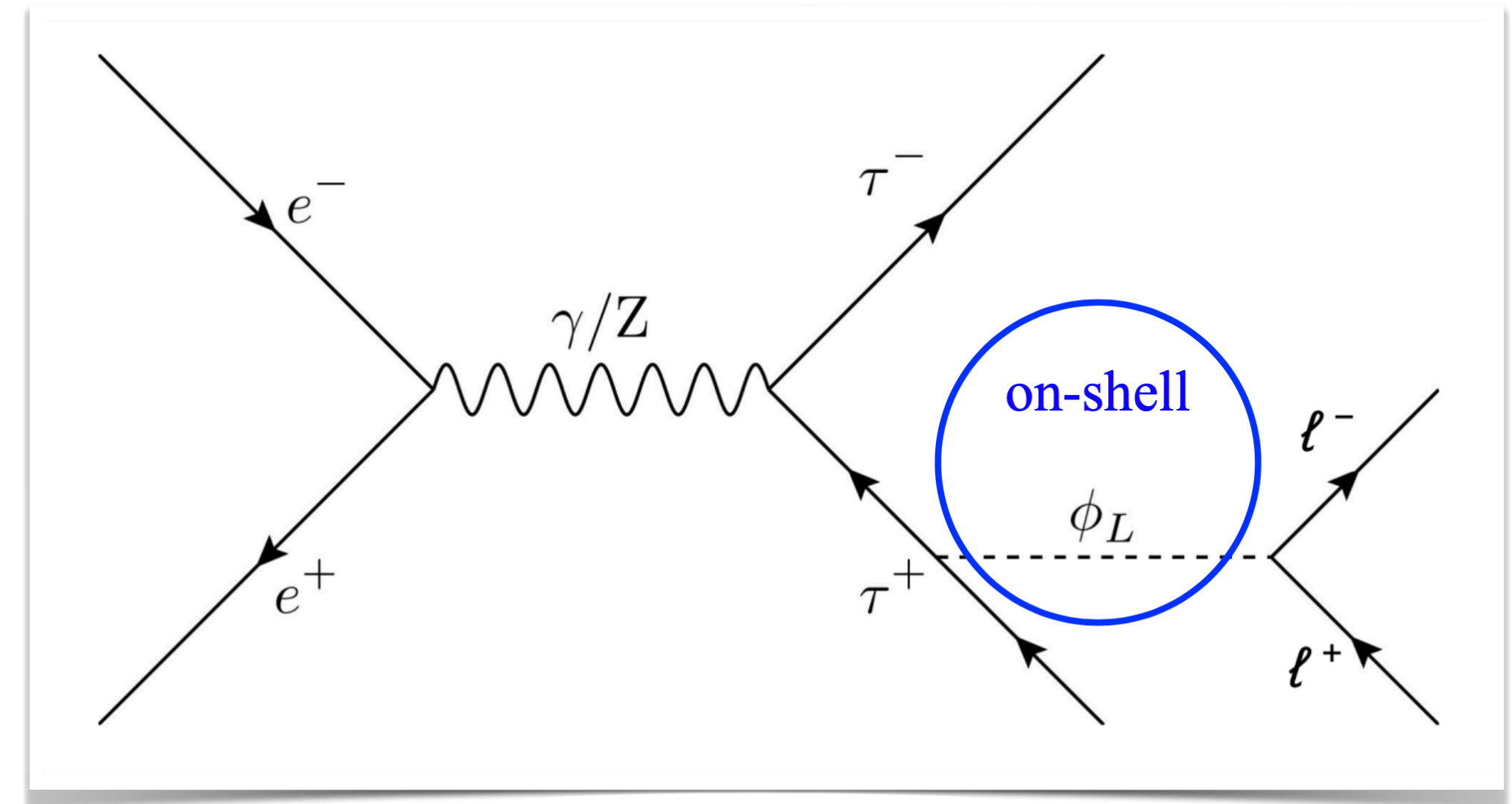
ξ = coupling constant independent of lepton flavor,

m_ℓ = mass of lepton

$v = 246$ GeV, is the vacuum expectation value of the Higgs field

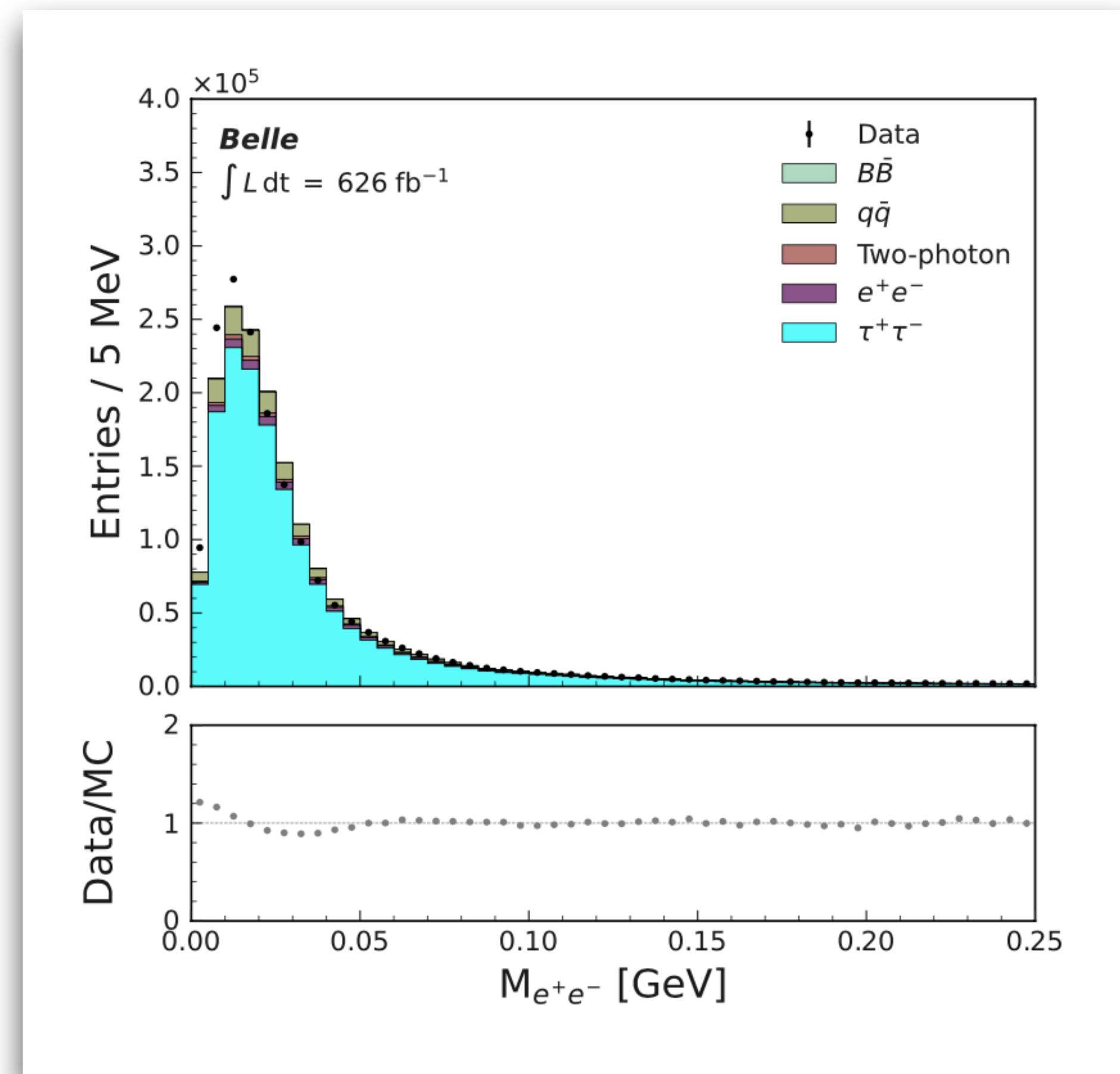
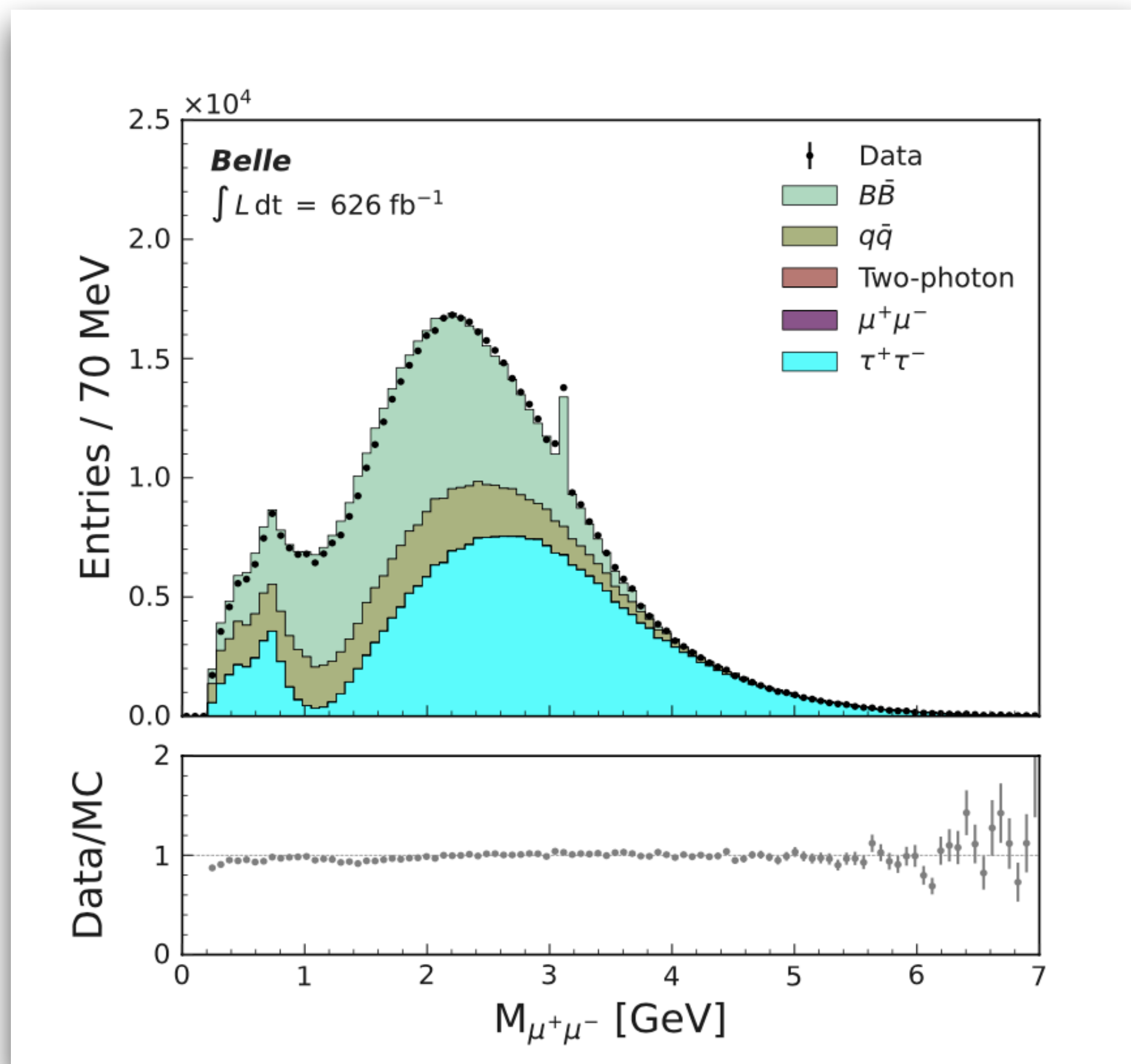
- $e^+e^- \rightarrow \tau^+\tau^-\phi_L, \phi_L \rightarrow e^+e^-/\mu^+\mu^-$
- The scalar decays to a pair of leptons: **search for narrow peak** in lepton pair invariant mass distribution
 - $\phi_L \rightarrow e^+e^-$ for $m_{\phi_L} < 2m_\mu$
 - $\phi_L \rightarrow \mu^+\mu^-$ for $m_{\phi_L} > 2m_\mu$
- High production cross-section times branching ratio in the region $40 \text{ MeV} < m_{\phi_L} < 6.5 \text{ GeV}$.
- Our search has sensitivity to place competitive limits on ξ till $m_{\phi_L} < 6.5 \text{ GeV}$

626 fb^{-1} data from Belle detector



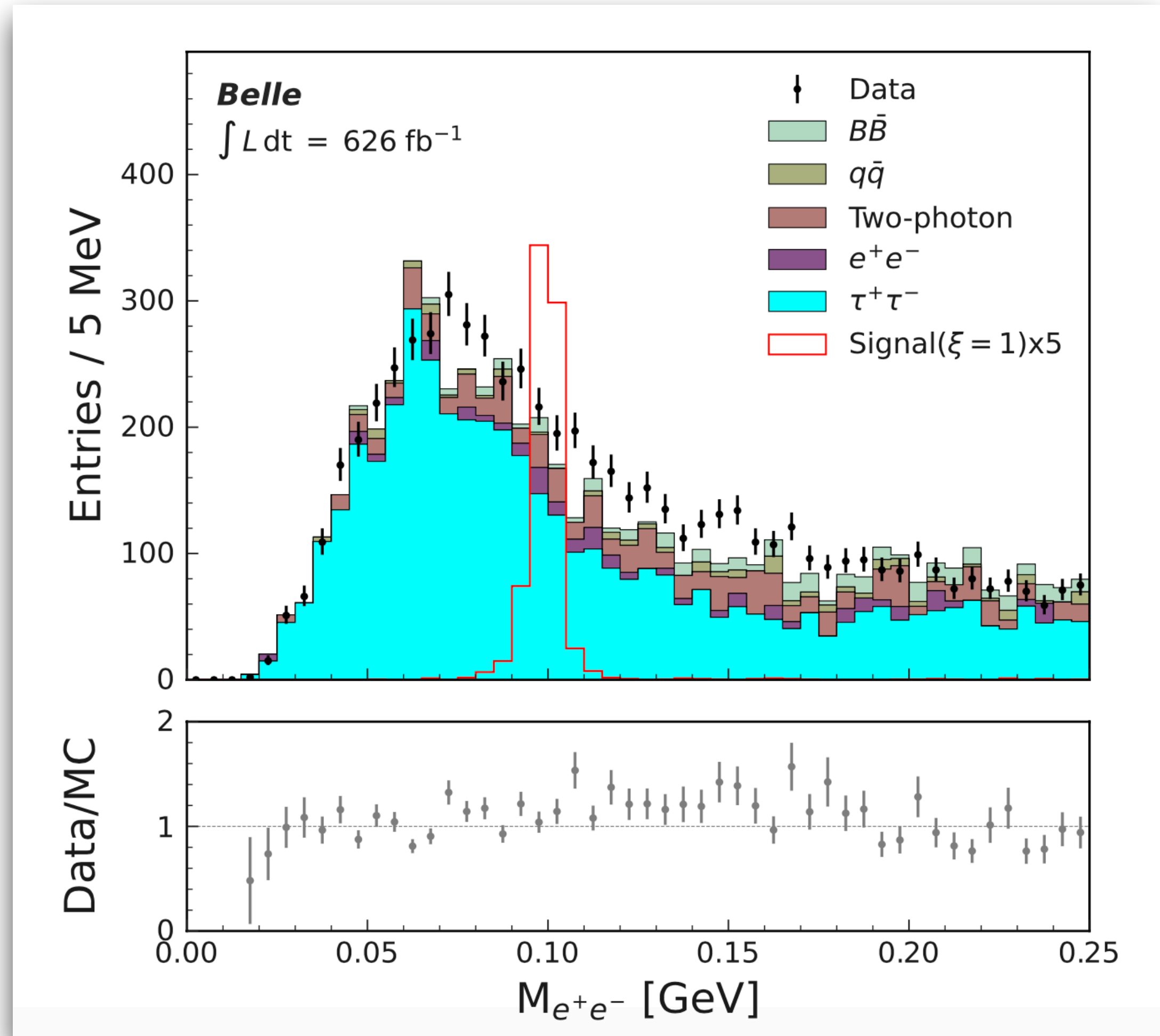
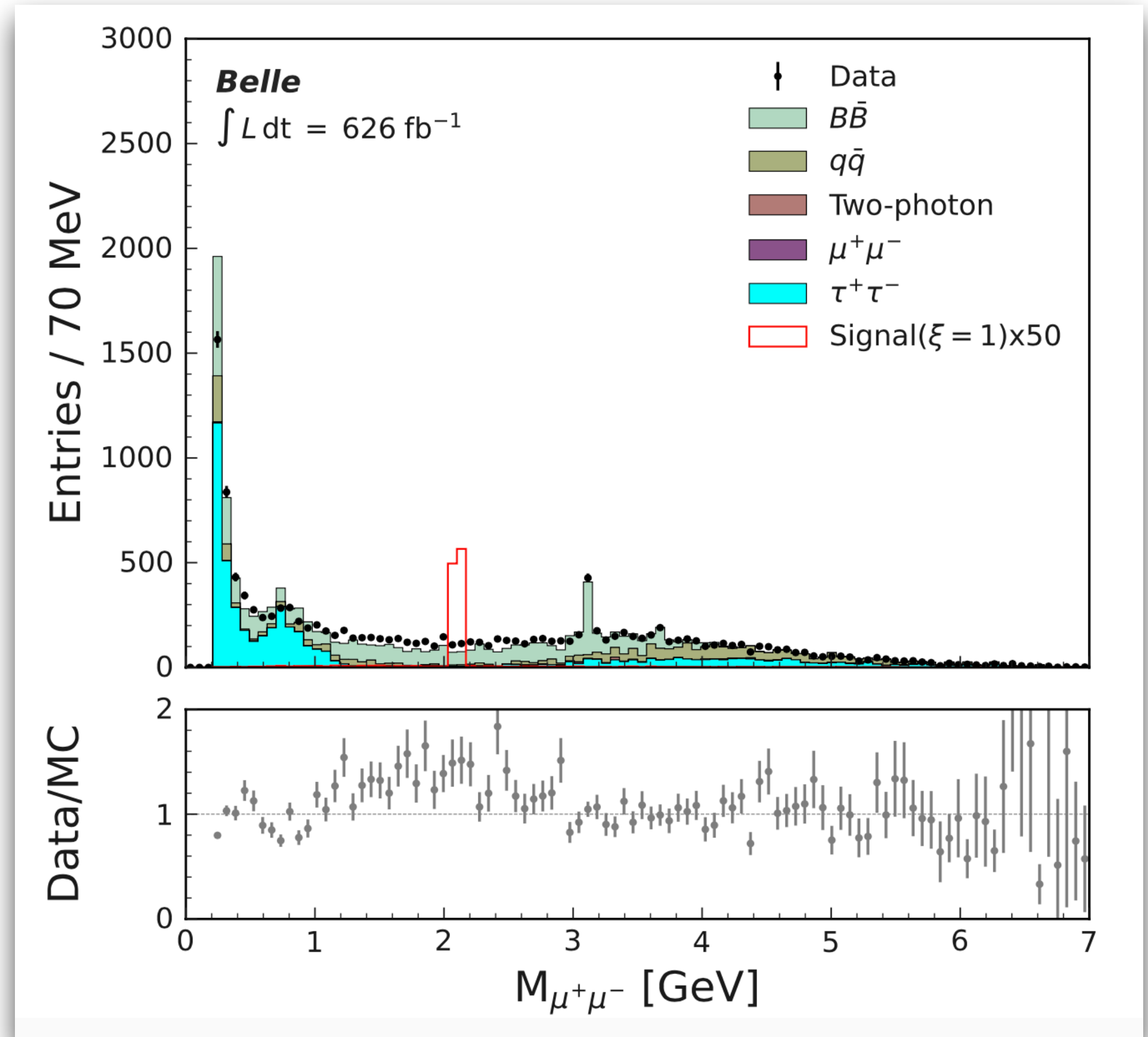
Results: data vs. Monte Carlo in Control Region

- Extraction of the signal:
 - fitting l^+l^- invariant mass distribution: simultaneous fit for both e^+e^- and $\mu^+\mu^-$ channel
 - evaluation at each mass point of ϕ_L
- Good agreement seen in data vs. Monte Carlo comparison in control regions: $\text{BDT} < 0.5$



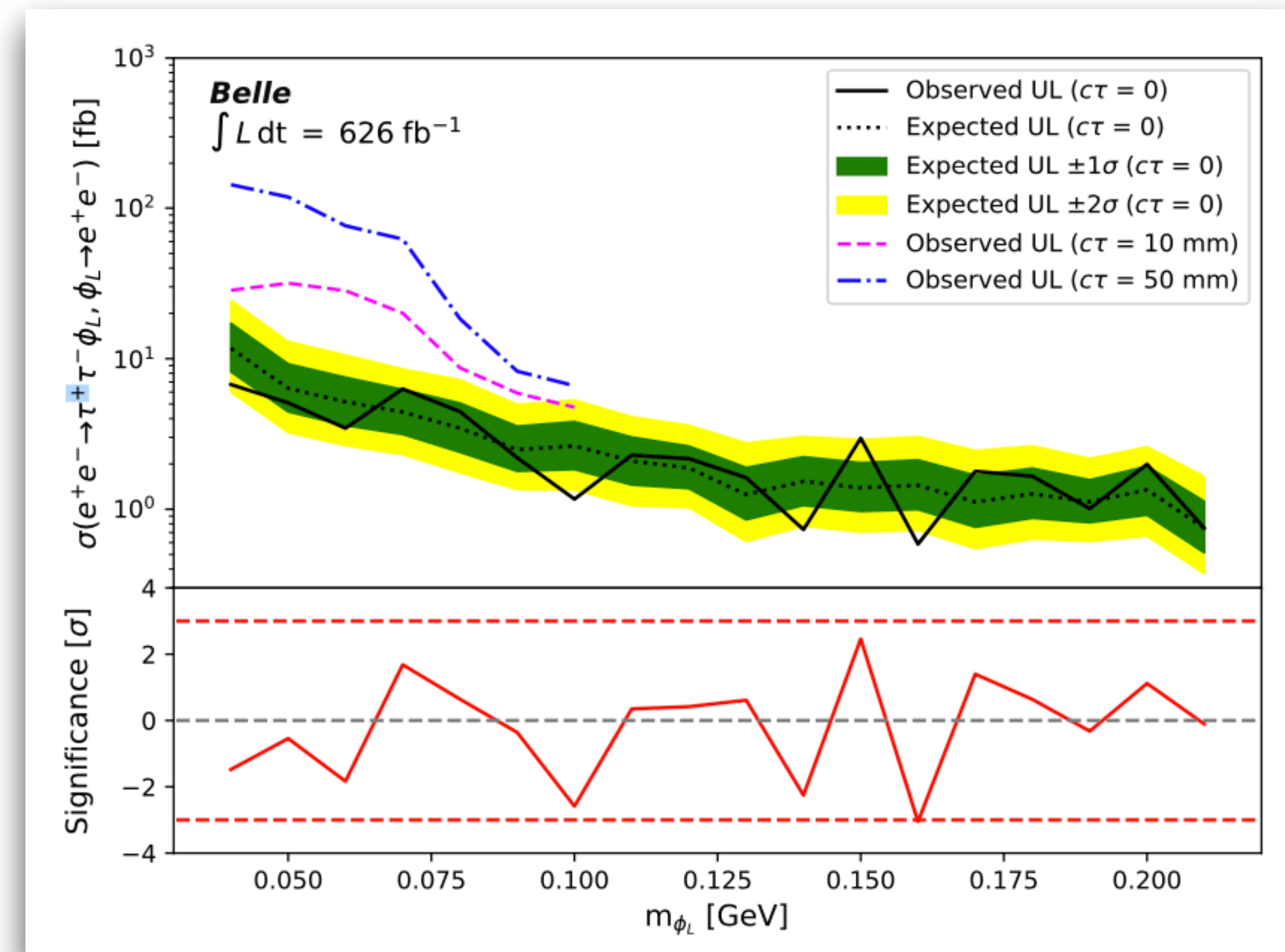
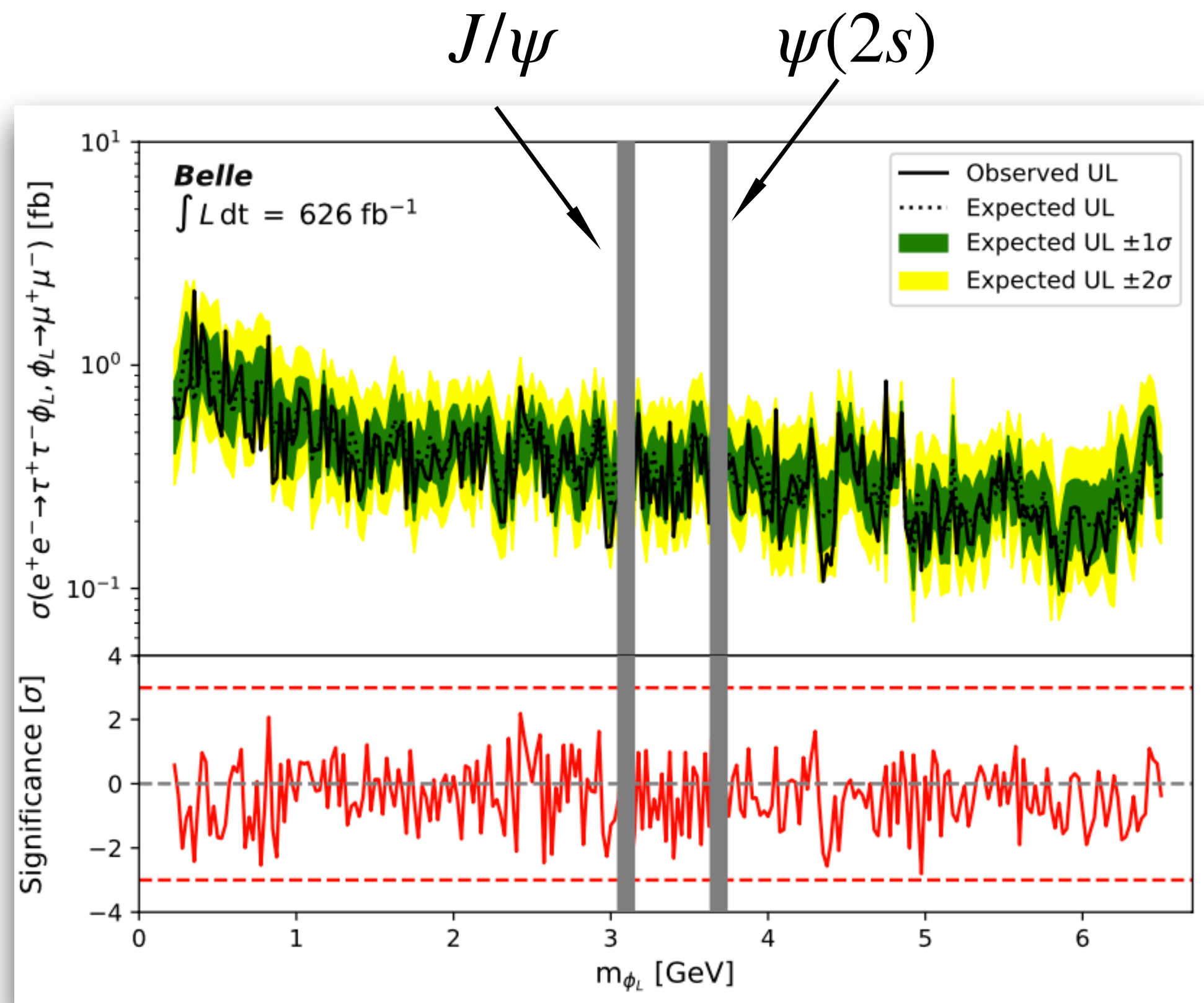
Results: data vs. Monte Carlo in Signal Region

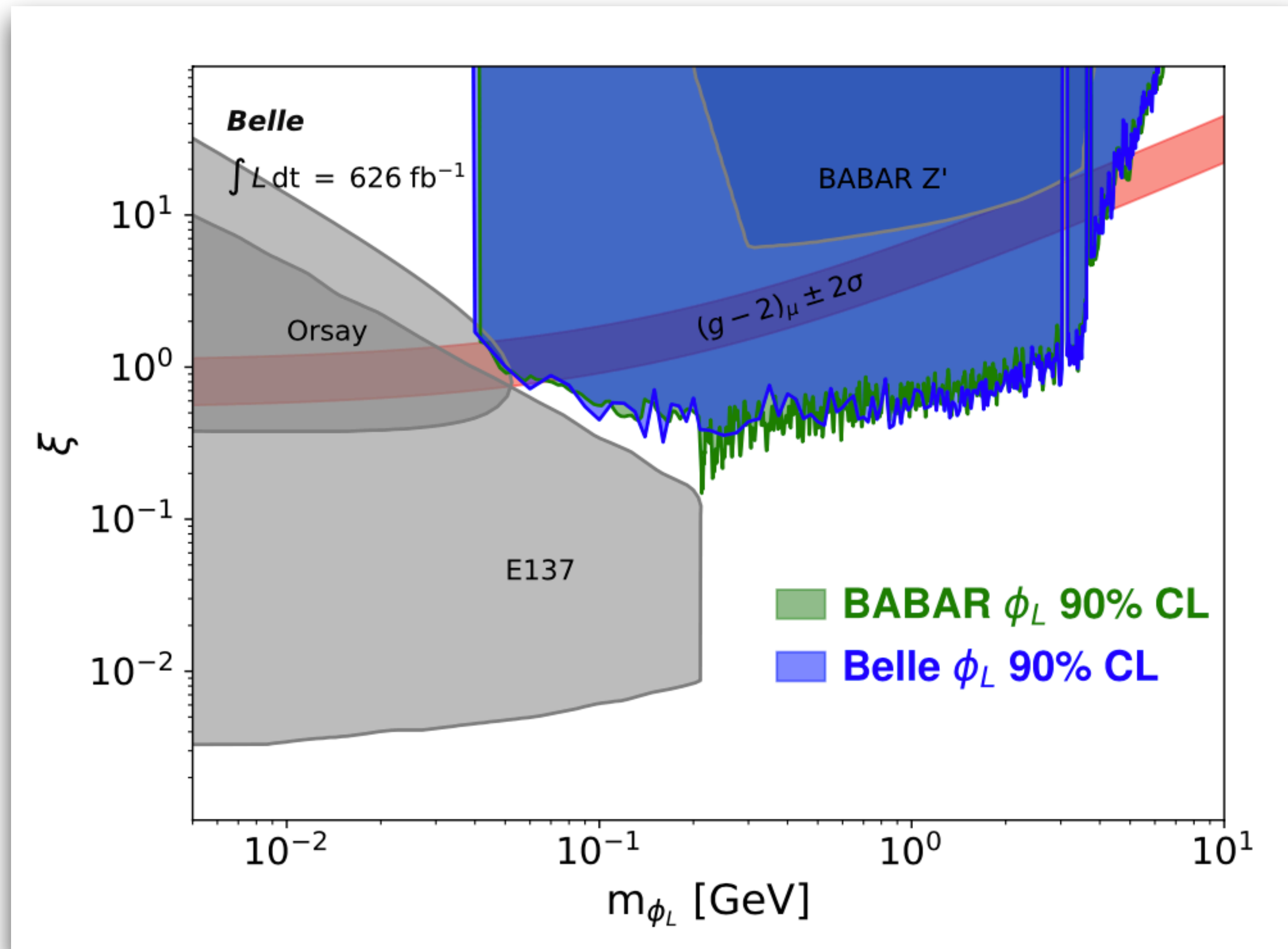
- Signal region: No obvious narrow peak structure is observed



Results: upper limits on the signal cross-section

- 90% confidence level upper limits on the signal cross-section
- No significant excess in all masses





- 90% confidence level upper limits on the coupling constant
- No ϕ_L can explain observed excess in $(g-2)_\mu$ for $m_{\phi_L} < 4 \text{ GeV}$

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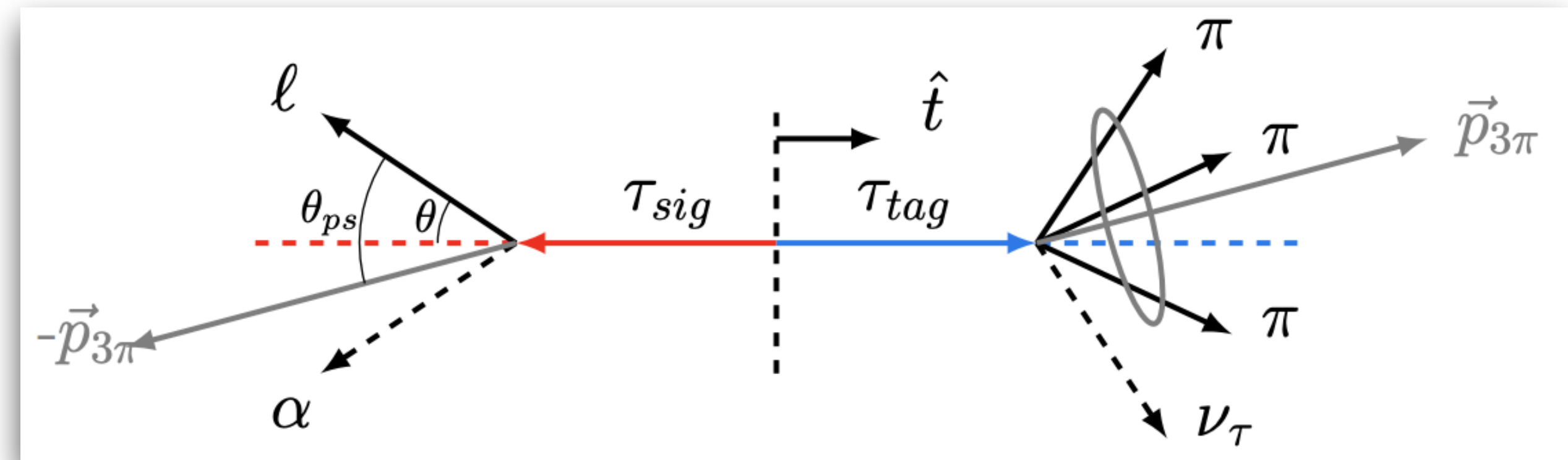
- Light, beyond-the-standard-model bosons (α) that are not directly detectable (invisible) are predicted in models with, e.g., axion-like particles ([PhysRevLett.124.211803](#))
- Direct search in $\tau^- \rightarrow \ell^- \alpha$ ($\ell = e/\mu$)
- Lepton Flavor Universality check
- This process was previously searched for by the MARK III and ARGUS collaborations ([Z. Phys. C 68, 25-28 \(1995\)](#)).
- The current best upper limits on the $\tau^- \rightarrow \ell^- \alpha$ branching fractions (at 95% confidence level where the range indicates their dependence on the α mass in the (0–1.6) GeV/ c^2 range)

$$\bullet \frac{\mathcal{B}(\tau^- \rightarrow e^- \alpha)}{\mathcal{B}(\tau \rightarrow e^- \bar{\nu}_e \nu_\tau)} < (6 - 36) \times 10^{-3}$$

$$\bullet \frac{\mathcal{B}(\tau^- \rightarrow \mu^- \alpha)}{\mathcal{B}(\tau \rightarrow \mu^- \bar{\nu}_\mu \nu_\tau)} < (3 - 34) \times 10^{-3}$$

- In center-of-mass frame: τ pairs: back to back

- tag side: 3 charged particle from $\tau^- \rightarrow h^- h^+ h^- \nu_\tau$ ($h = K, \pi$)
- signal side: one charged particle



62.8 fb^{-1} data from Belle II detector:
57.7 Million τ pairs

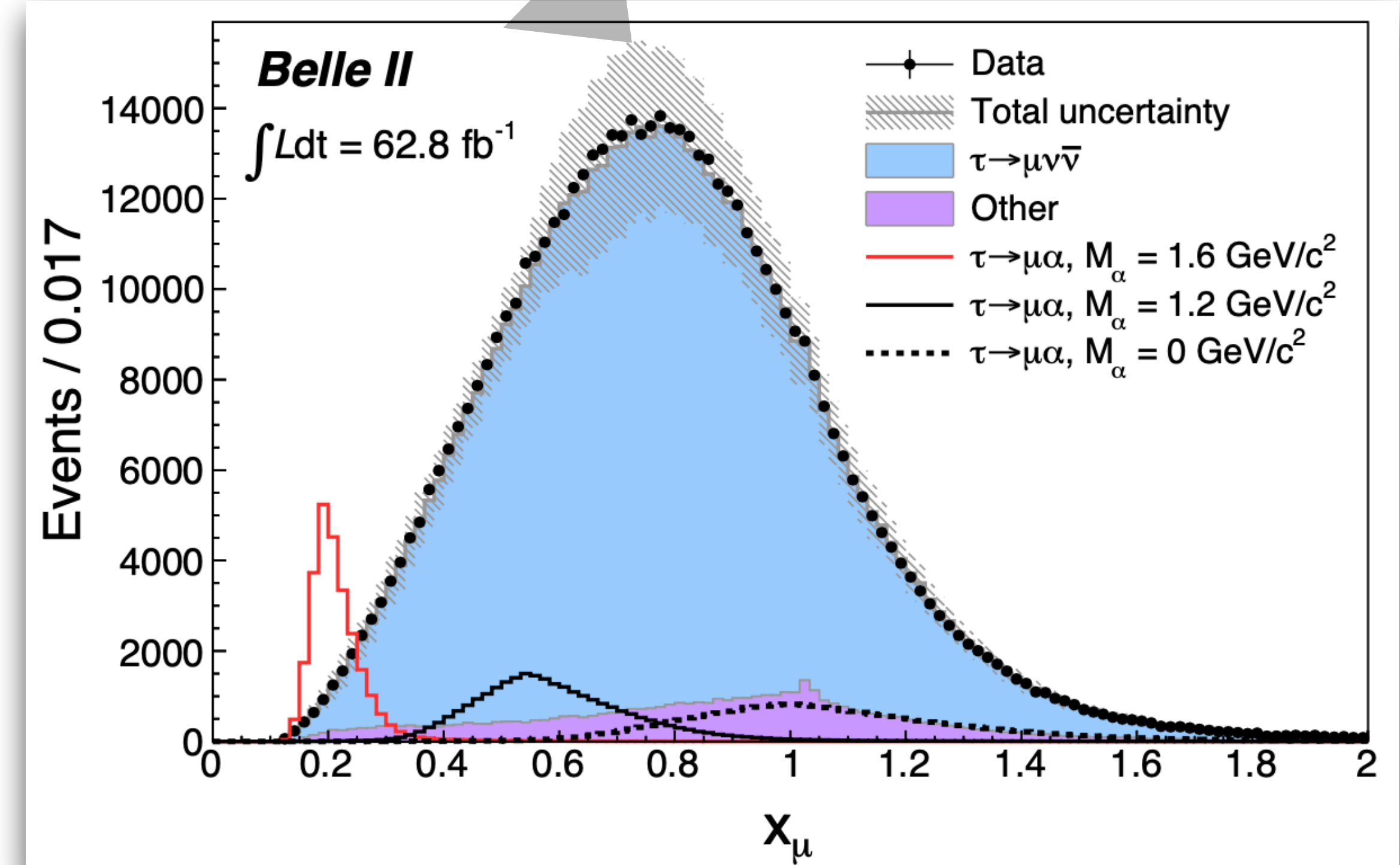
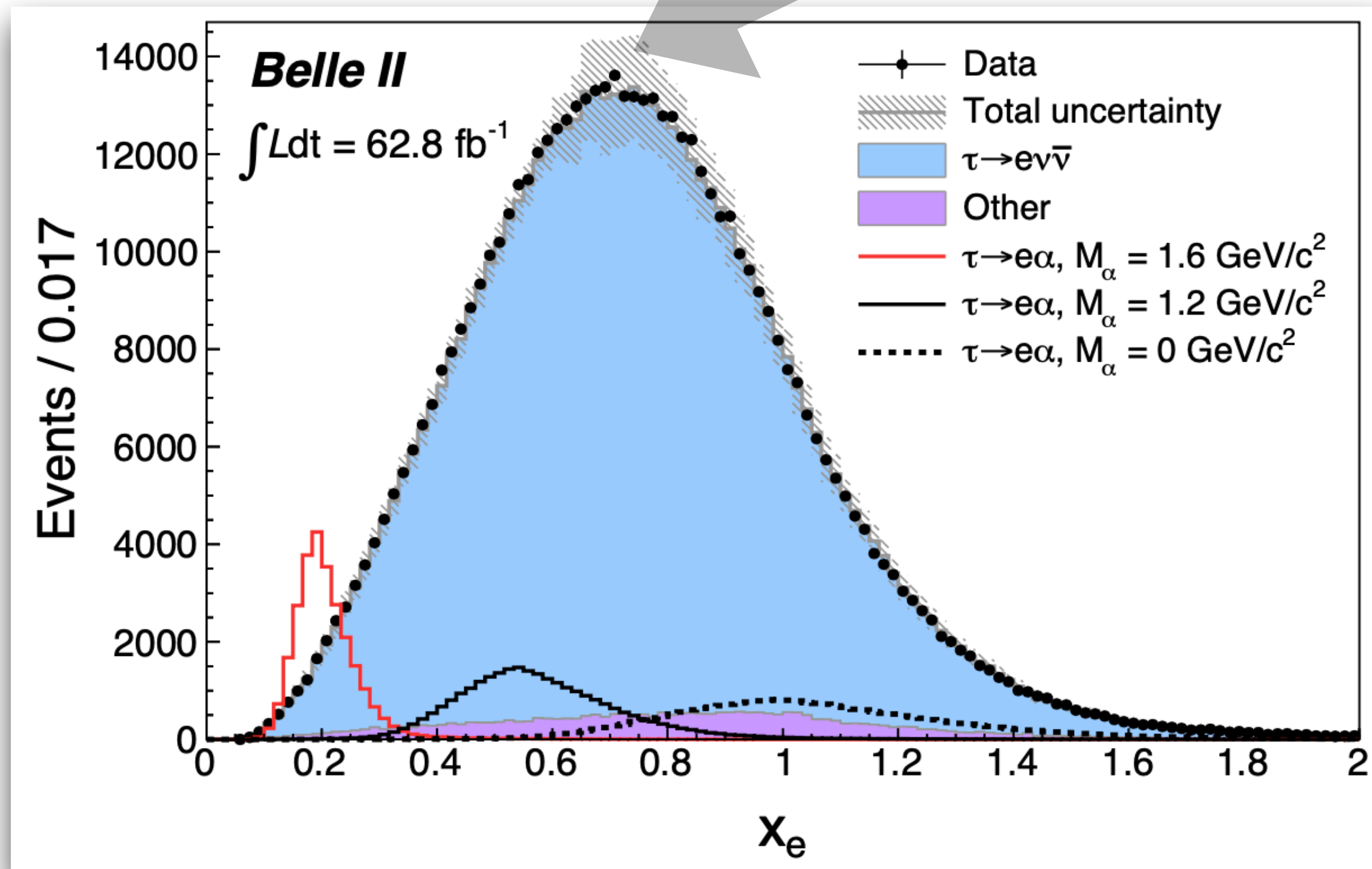
- $\tau \rightarrow \ell^- \bar{\nu}_\ell \nu_\tau$: irreducible background: however the magnitude of the lepton momentum depends only on the α mass : the difference thus exploited

- τ pseudo rest frame: $\hat{p}_\tau \approx \frac{-\vec{p}_{3h}}{|\vec{p}_{3h}|}$, $E_\tau \approx E_{CMS}/2$

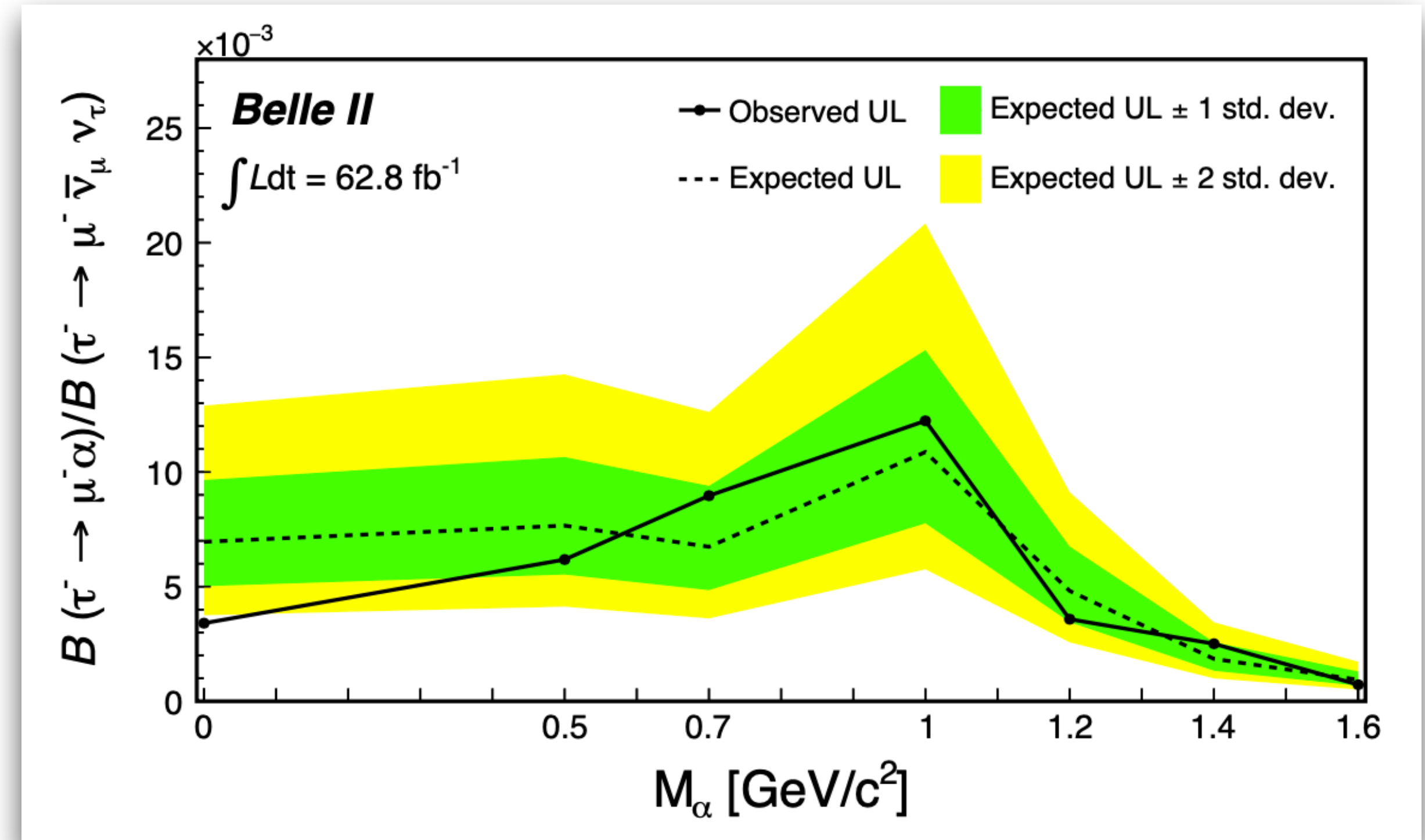
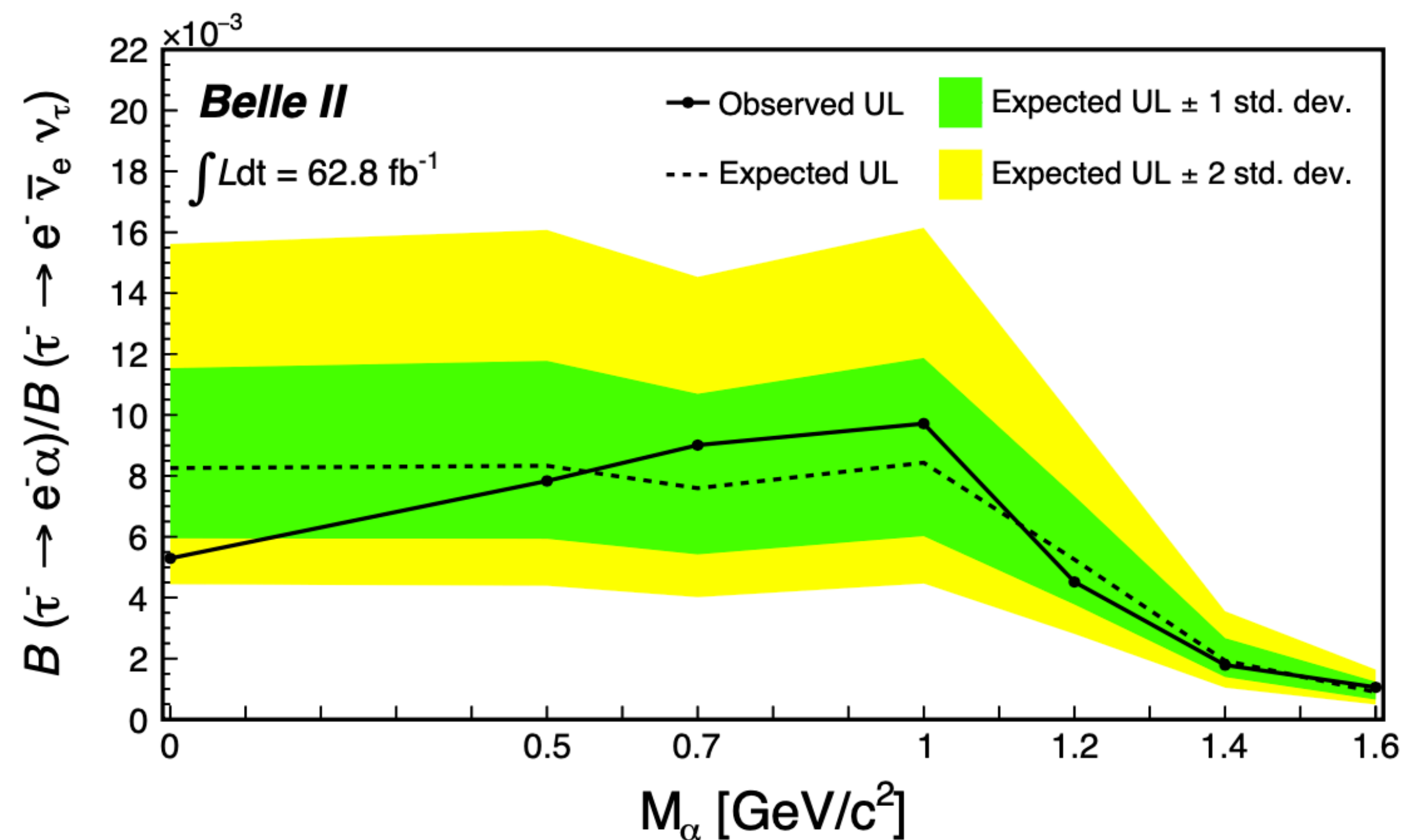
- Search for a peak in normalized lepton energy $x_\ell \equiv \frac{E_\ell^*}{m_\tau c^2/2}$, $E_\ell^* =$ energy of the charged lepton in τ pseudo rest frame

- Measure $\frac{\mathcal{B}_{\ell\alpha}}{\mathcal{B}_{\ell\bar{\nu}\nu}} = \frac{\mathcal{B}(\tau^- \rightarrow \ell^- \alpha)}{\mathcal{B}(\tau^- \rightarrow \ell^- \bar{\nu}_\ell \nu_\tau)}$

- Simulated spectra for standard-model processes: stacked, gray band: total uncertainty (dominated by the lepton-identification efficiency uncertainty)
- Remaining background processes combined together: collectively referred to as “other”
- The distributions for $\tau \rightarrow \ell^- \alpha$ are shown for three α masses assuming branching-fraction ratios of 5%



- Fit with SM and SM+NP expectations, compare likelihood of the two models
- No statistically significant signal observed
- Upper limits on 95% CL



most stringent limits on invisible spin-0 boson production from τ lepton decays to date



- No significant excess observed
- Stringent limits in all three analyses
- Search for a heavy neutral lepton that mixes predominantly with the τ neutrino
 - For the first time, utilizes the displaced vertex originating from the long-lived Heavy Neutral Lepton decay
 - Ability to reconstruct the Heavy Neutral Lepton candidate mass and suppress background to the single-event level
- Search for a dark leptophilic scalar produced in association with $\tau^+\tau^-$ pair in e^+e^- annihilation at center-of-mass energies near 10.58 GeV
 - No ϕ_L can explain observed excess in $(g - 2)_\mu$ for $m_{\phi_L} < 4$ GeV
- Search for Lepton Flavor Violating τ Decays to a Lepton and an Invisible Boson at Belle II
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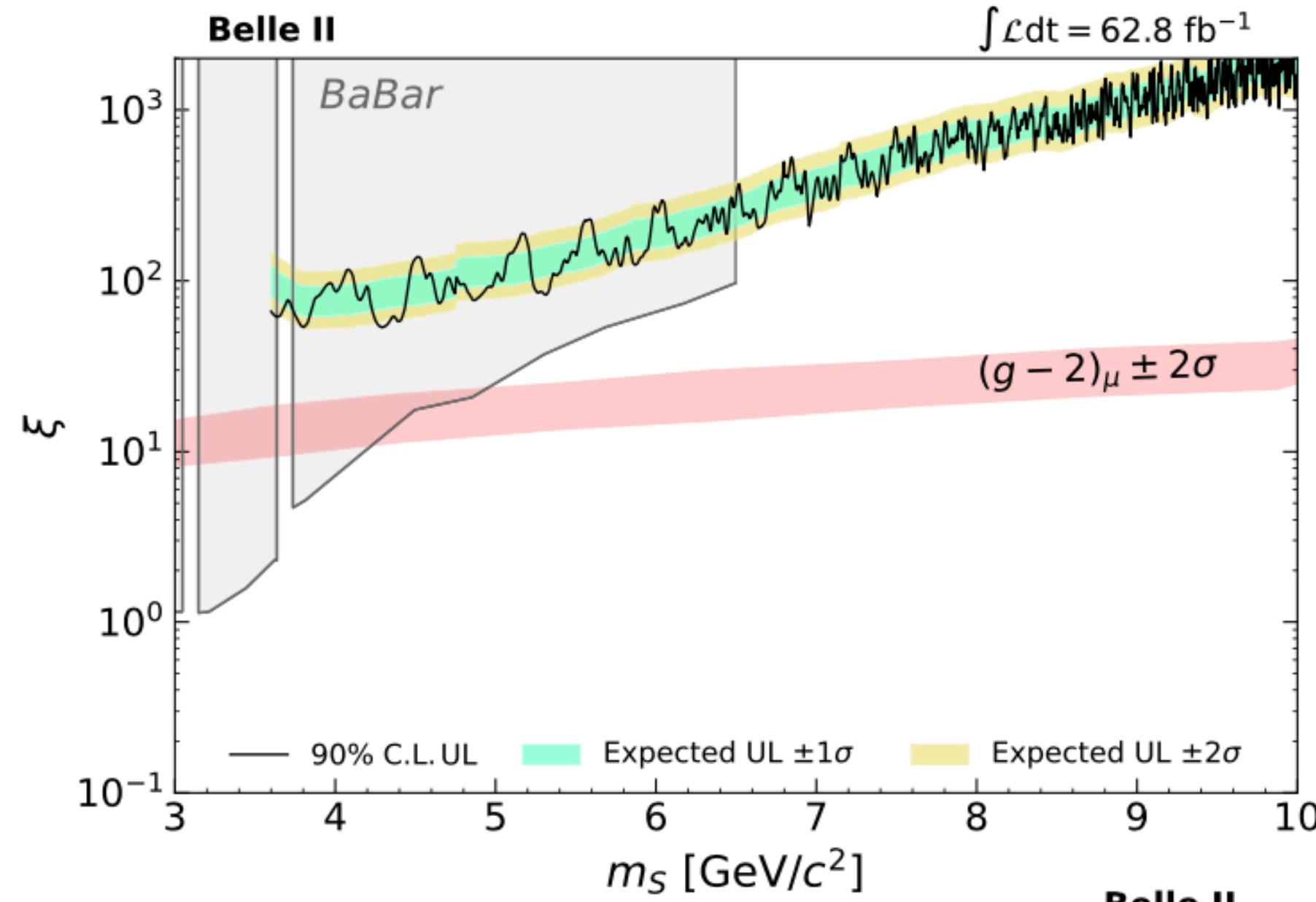
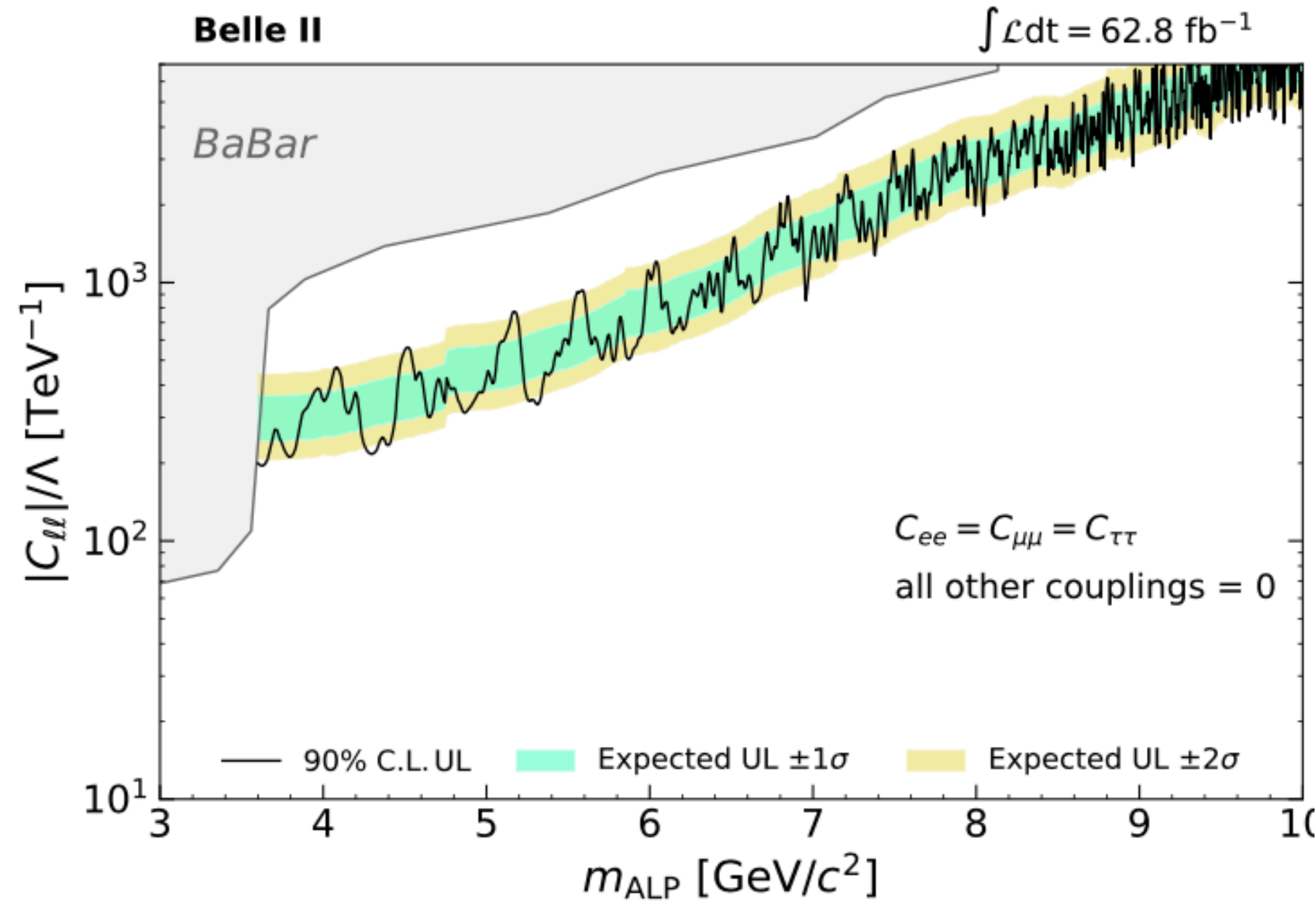
Long shutdown now : for upgrades, will resume data taking in 2024 : improved detector, and more data, hope for more exciting results in the future

THANK YOU FOR YOUR ATTENTION

Backups

- First search for $e^+e^- \rightarrow \mu^+\mu^-\tau^+\tau^-$ in 3.6 - 10 GeV/c^2 range
- 62.8 fb^{-1} Belle II data
- Probes three different models
 - spin-1 particle coupling only to the heavier lepton families
 - a Higgs-like spin-0 particle that couples preferentially to charged leptons
 - an an axionlike particle

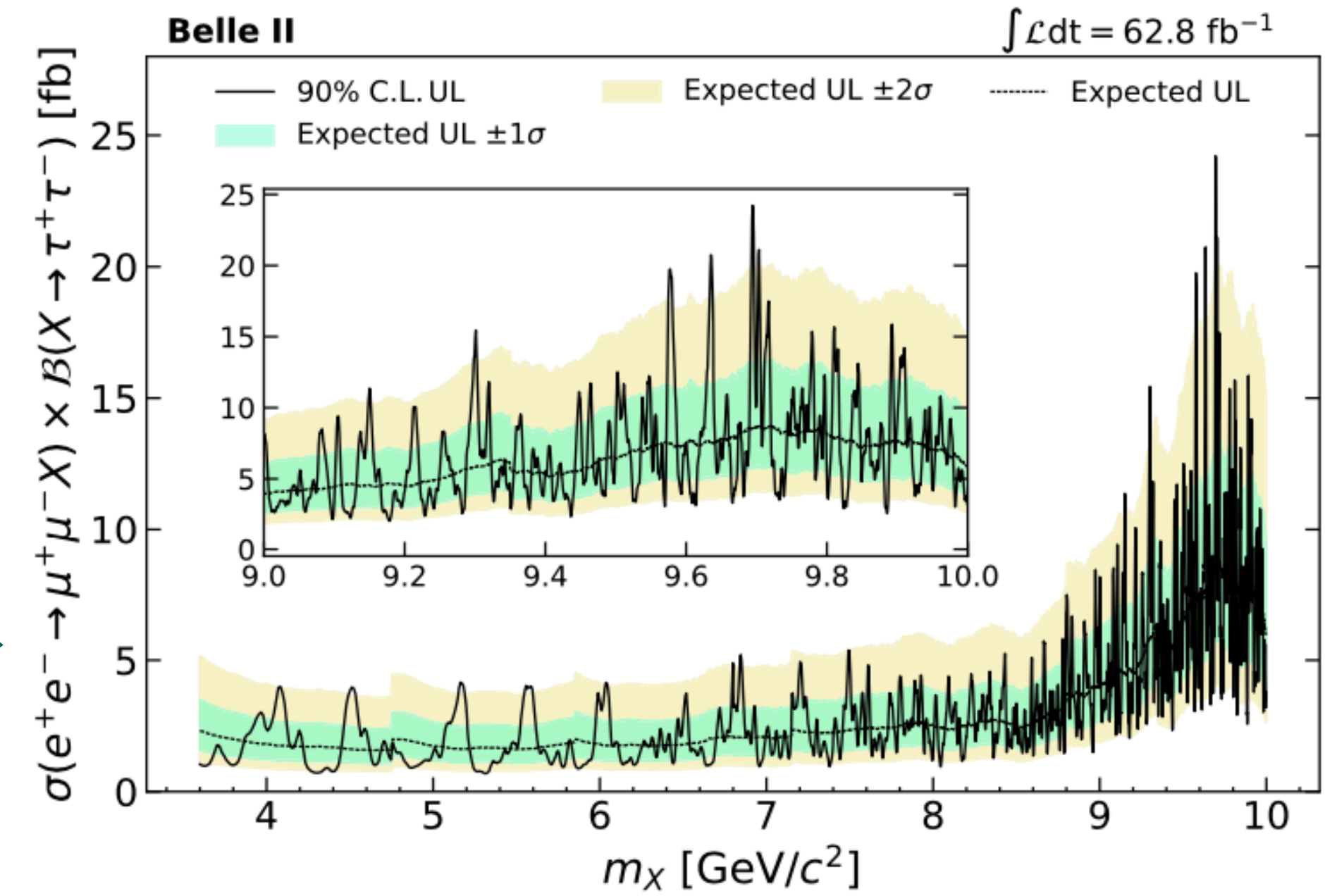
Search for a $\tau^+\tau^-$ Resonance in $e^+e^- \rightarrow \mu^+\mu^-\tau^+\tau^-$ Events with the Belle II Experiment (PhysRevLett.131.121802)



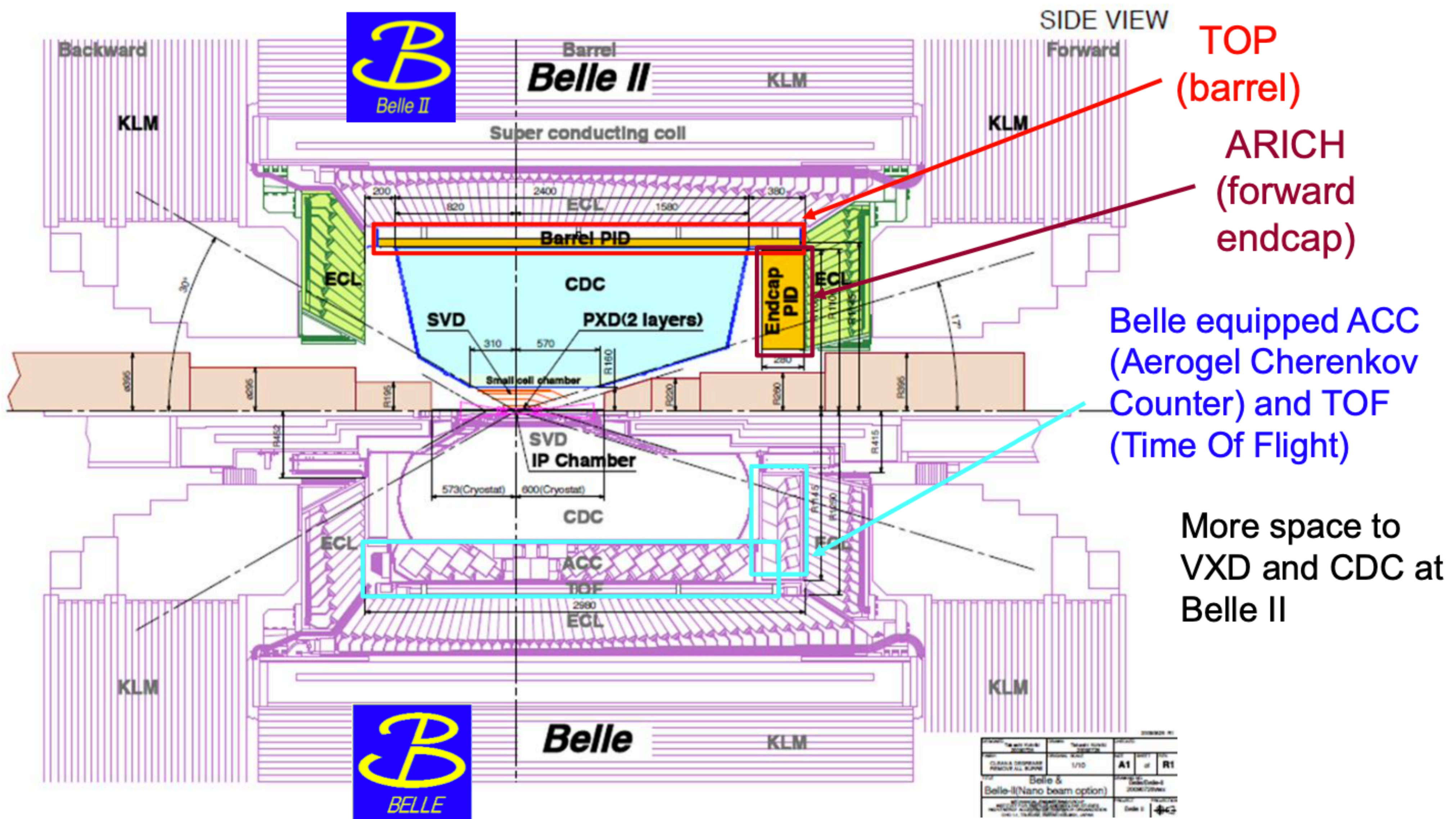
← Leptophilic scalar

↑
ALP mass coupling to leptons

$X = (Z', S, \text{ALP})$ →

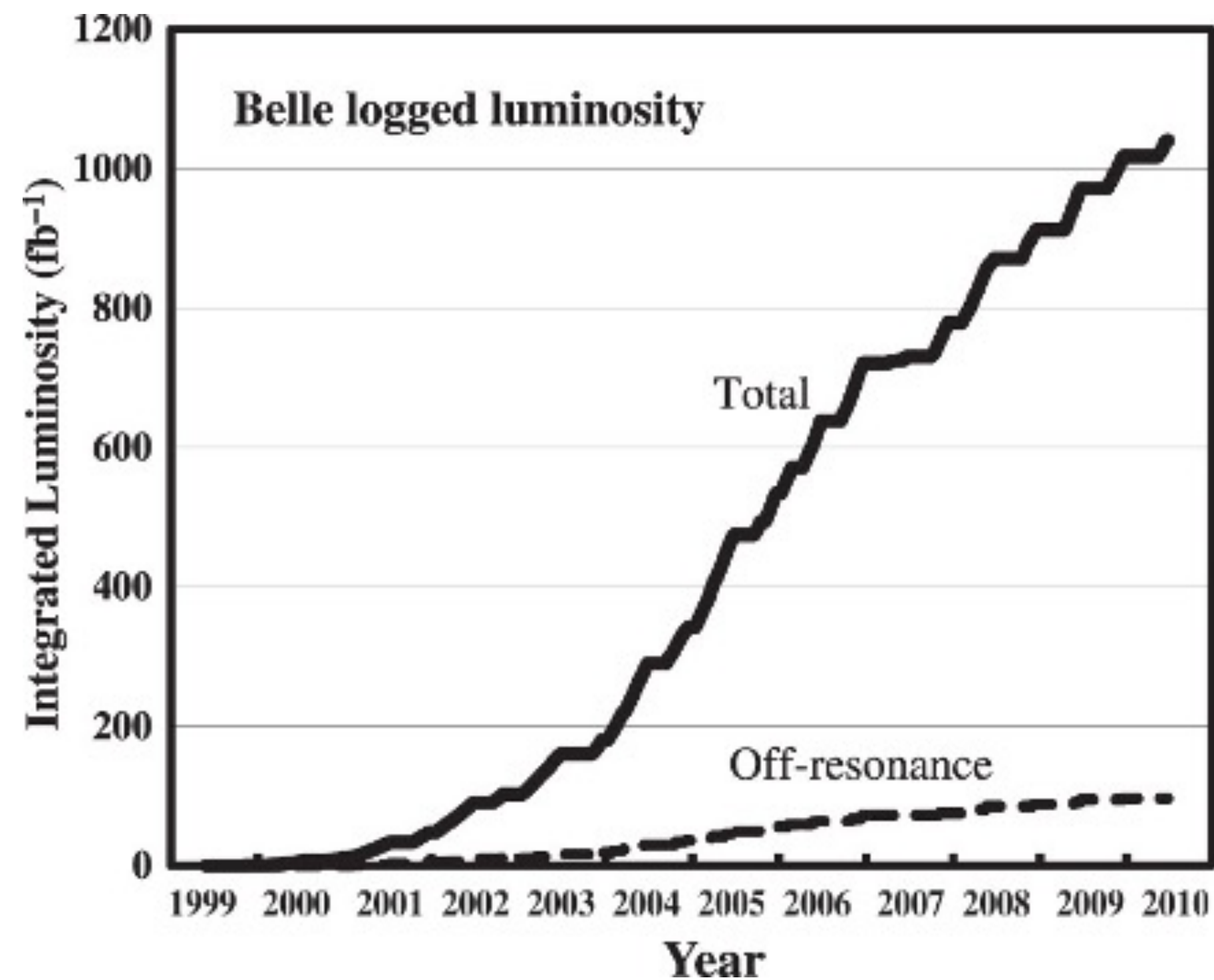


from Belle to The Belle II Detector

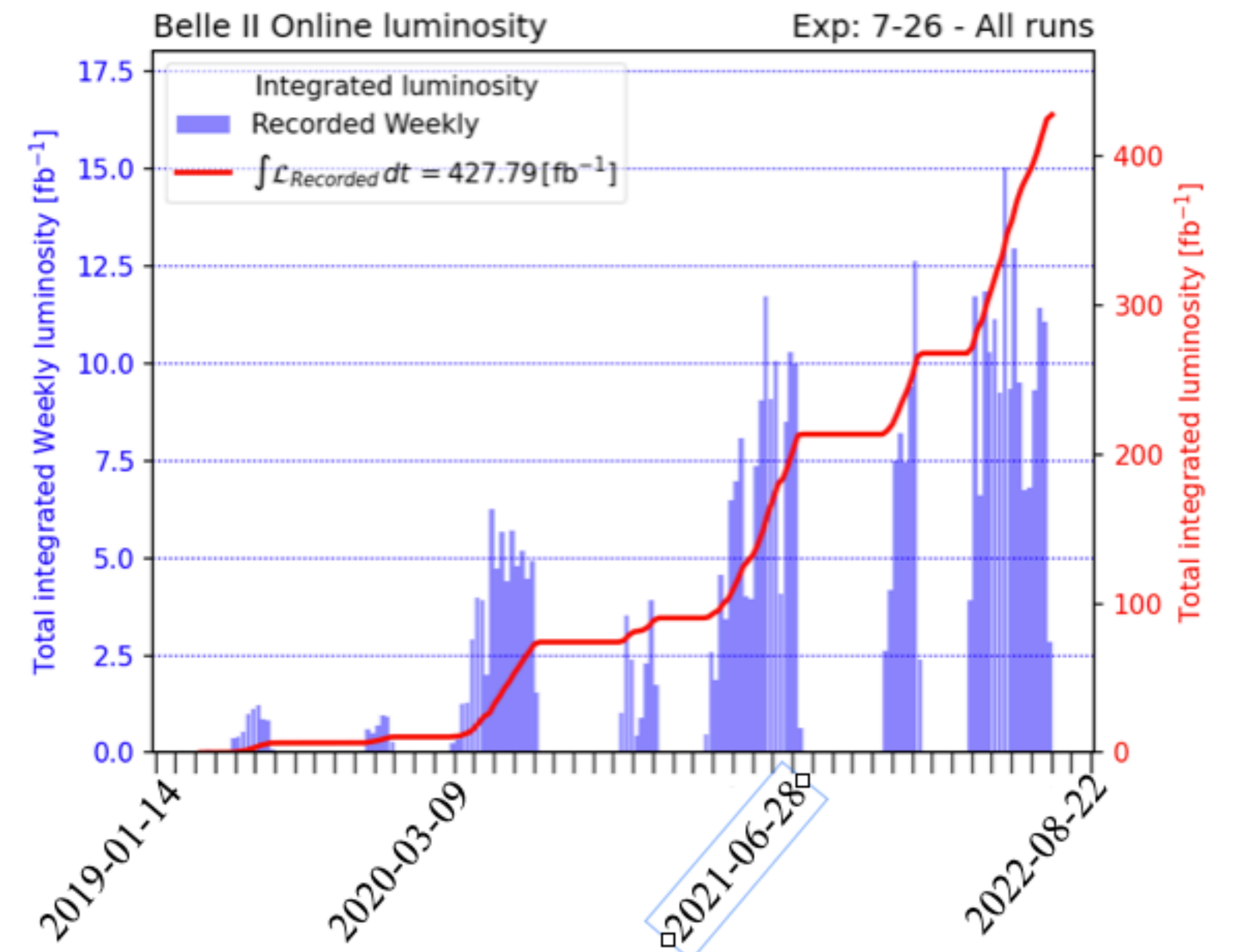


Luminosity

- Belle data taking period: 1999-2010 : 1040 fb^{-1}
- Belle II: Regular data-taking since April 2019
- Current integrated luminosity 424 fb^{-1}
- long shutdown for accelerator and detector upgrades, will resume data taking in 2024
- Belle II Design integrated luminosity 50 ab^{-1}

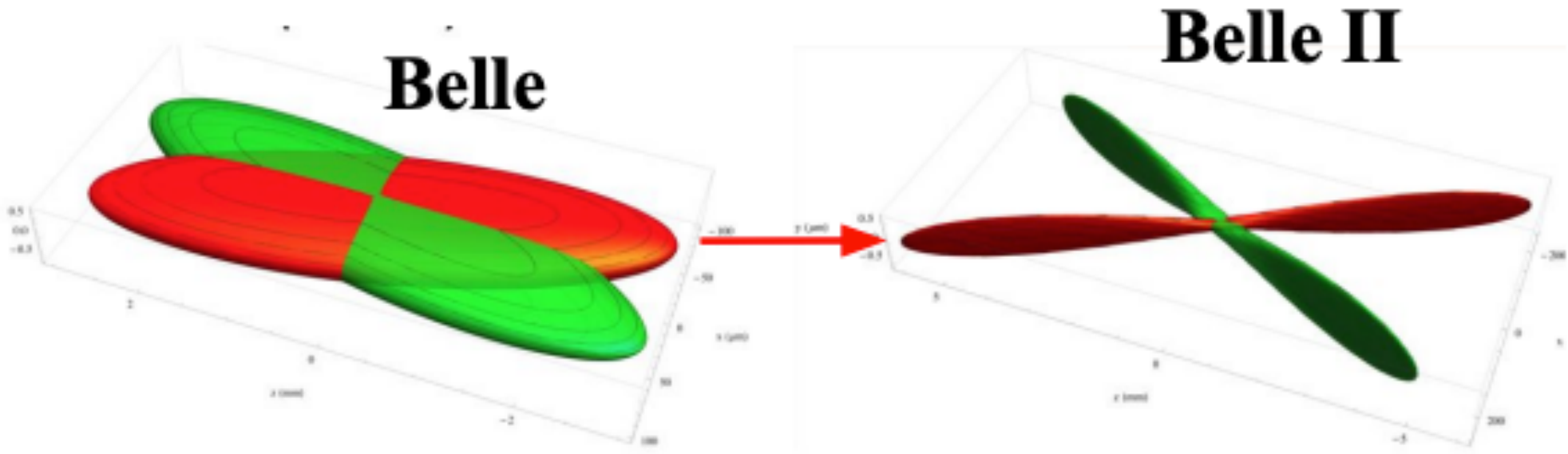
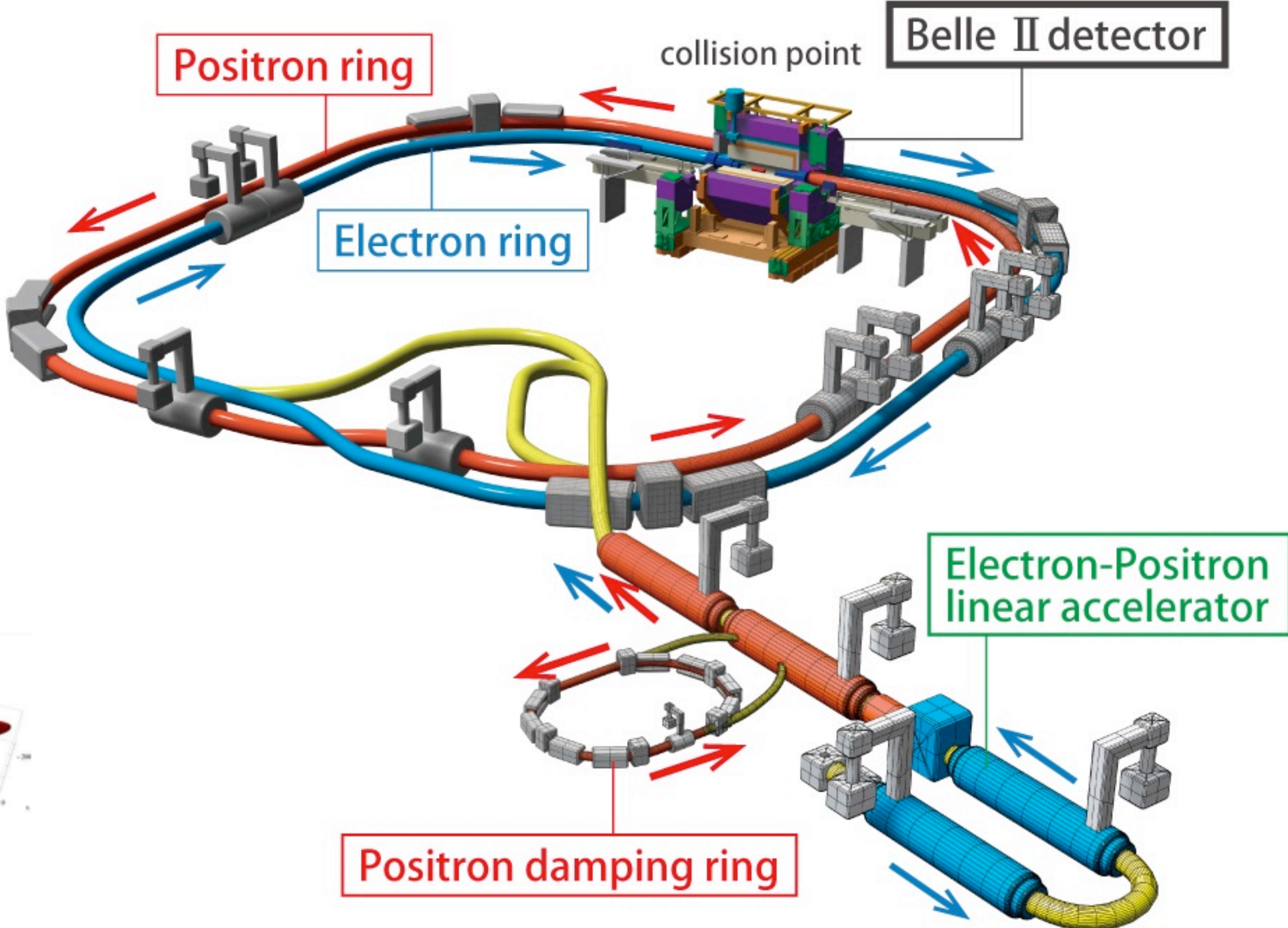


- $\sigma(e^+e^- \rightarrow b\bar{b}) = 1.05 \text{ nb}$
- $\sigma(e^+e^- \rightarrow \tau^+\tau^-) = 0.92 \text{ nb}$
- $\Upsilon(nS)\epsilon[n = 1, \dots, 5]$, use of off resonance data : B factories are also τ factories



from KEKB to SuperKEKB

- 40 times larger luminosity than previous generation KEKB
- using nano-beam scheme with a tiny beam spot:
 - 60 nm x 10 μm x few 100 μm in y, x, z
- a few hundred atomic layers in y



Signal, Control and Validation regions

- Signal region: Reconstruct as $\tau^- \rightarrow DV(\rightarrow \mu^\mp \mu^\pm)\pi^-$
- Control region: Reconstruct as $\tau^- \rightarrow DV(\rightarrow \mu^\mp \pi^\pm)\pi^-$ (used in the fit for data-driven background estimate)
- Validation region for Data-MC agreement:
 - Reconstruct as $\tau^- \rightarrow DV(\rightarrow \mu^- \mu^-)\pi^+$
 - Reconstruct as $\tau^- \rightarrow DV(\rightarrow \pi^+ \pi^-)\pi^-$ with $m_{\pi\pi} < 0.42 \text{ GeV}$ and $m_{\pi\pi} > 0.52 \text{ GeV}$
 - Reconstruct as $\tau^- \rightarrow DV(\rightarrow \pi^+ \pi^-)\pi^-$ with $0.480 < m_{\pi\pi} < 0.515 \text{ GeV}$
- Control and validation regions are also divided as CRh, CRl and VRh, VRl (similar to signal region)

HNL mass reconstruction

- Despite the neutrino, we can reconstruct the decay chain kinematics completely, up to 2-fold ambiguity.

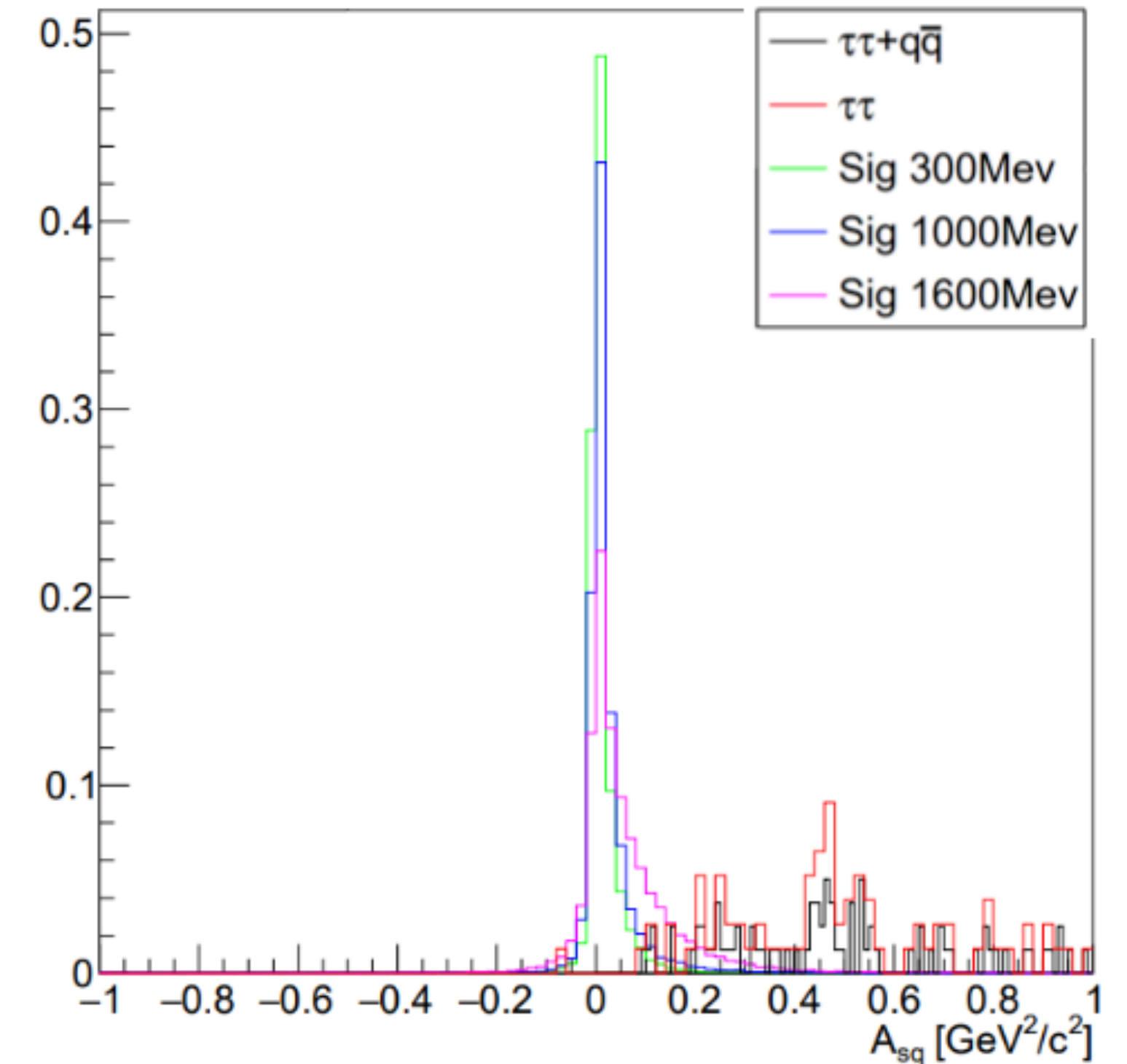
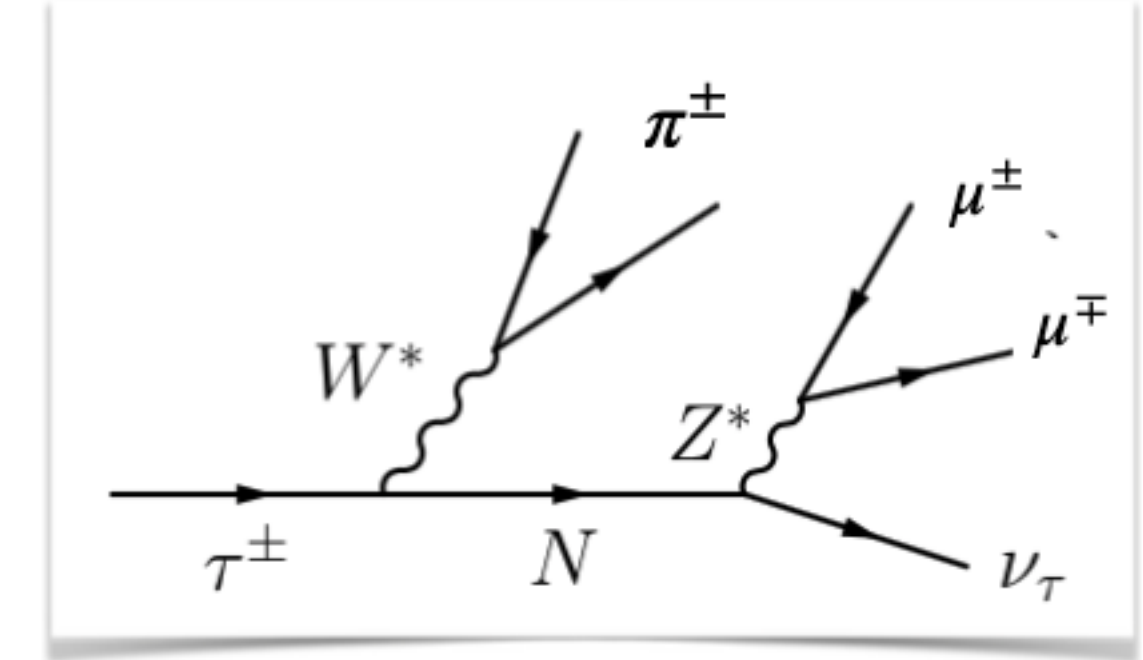
- ▶ 12 unknowns: $p_\nu^\mu, p_N^\mu, p_\tau^\mu$
- ▶ 12 constraints:
 - p^μ conservation in the τ and N decays (8)
 - Known masses of τ and ν_τ (2)
 - Unit vector from the production point of the π system to that of the DV system, which is the direction of \vec{p}_N (2)

Quadratic equation

(Using the square root argument $A_{sq} = b^2 - 4ac$ for cut) $A_{sq} < 0.4 \text{ GeV}^2$

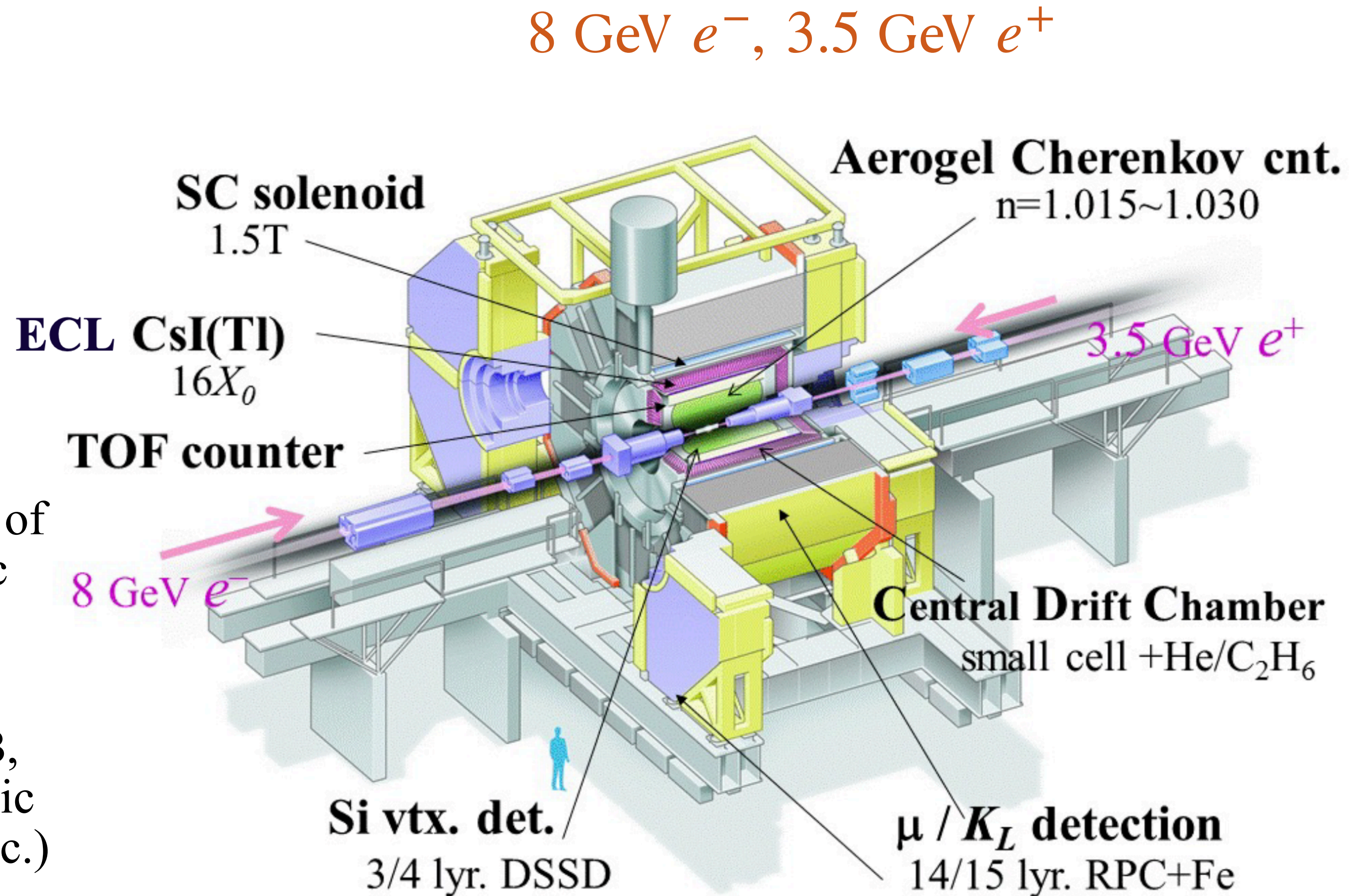
If A_{sq} is -ve then we set it to 0

Two HNL mass solutions: m_+, m_-



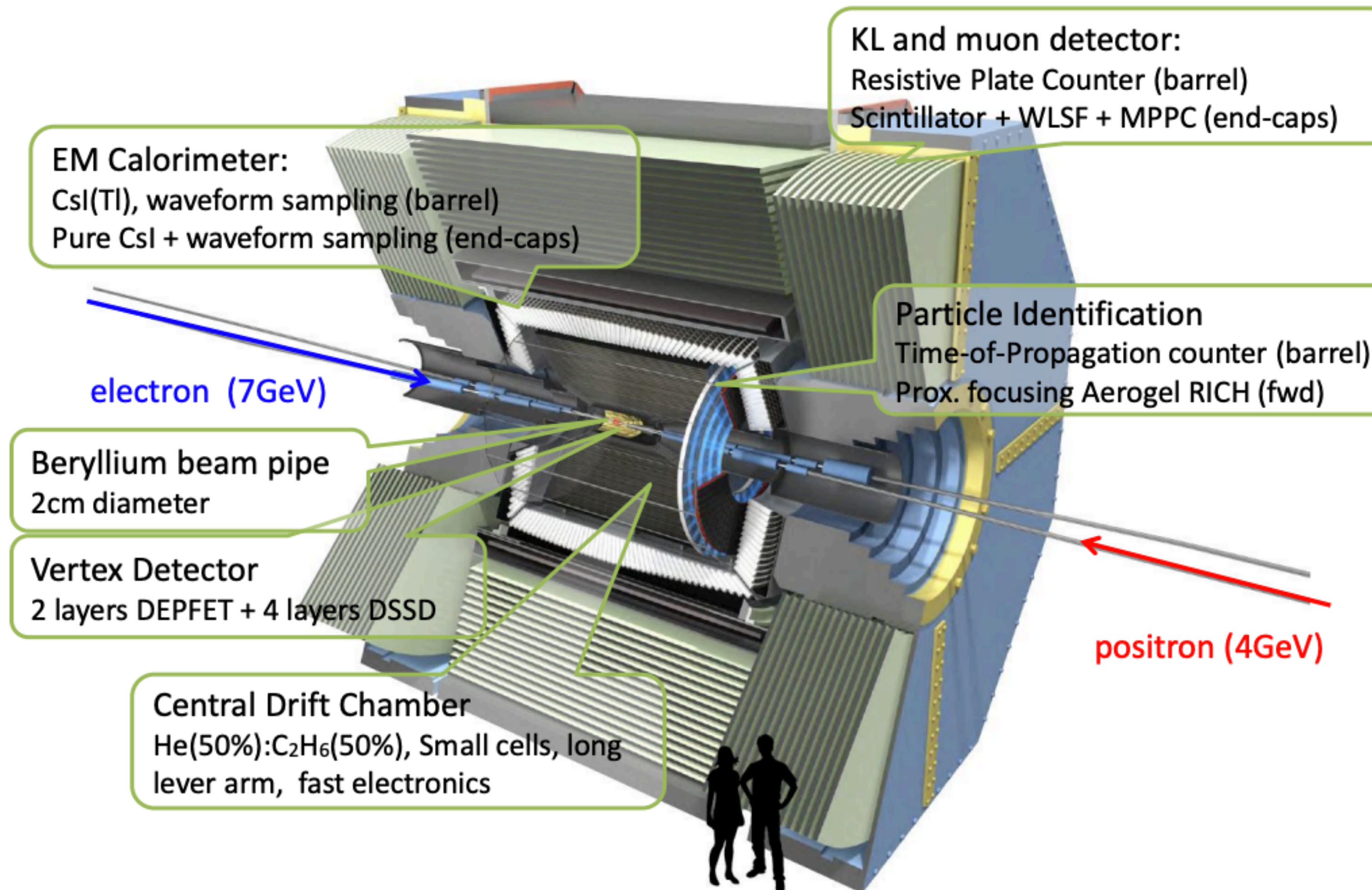
The Belle Detector

- The accelerator collides electron and positrons
- $\sqrt{s} = 10.58 \text{ GeV}$: mass of $\Upsilon(4S)$
- $B\bar{B}$, $\tau^+\tau^-$ pair production with a boost of the center-of-mass system: asymmetric collider
- Prospect for studying a vast region of particle physics (Precision studies of B, charm, and tau physics, QCD and exotic hadrons, searches for BSM particles etc.)



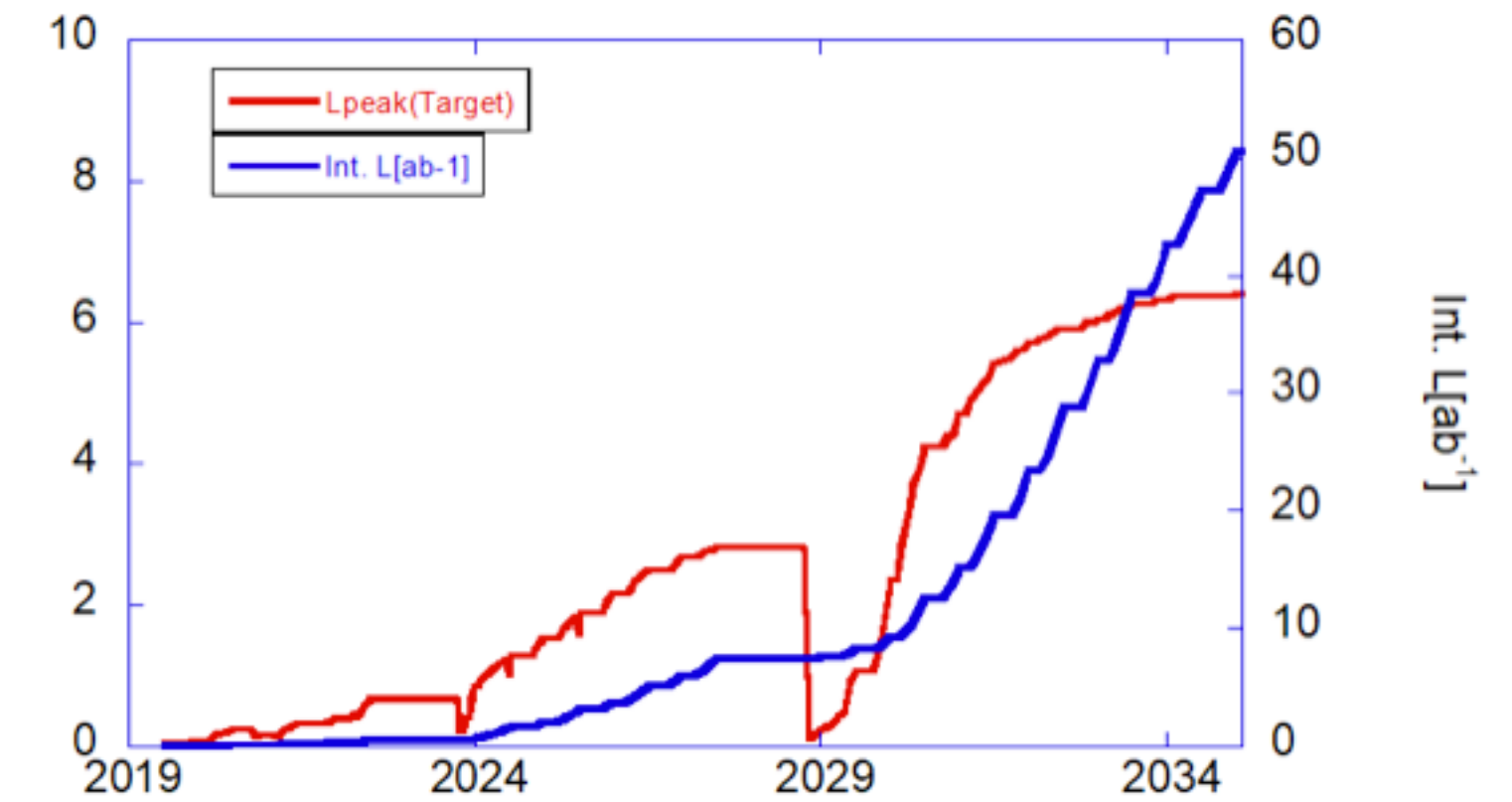
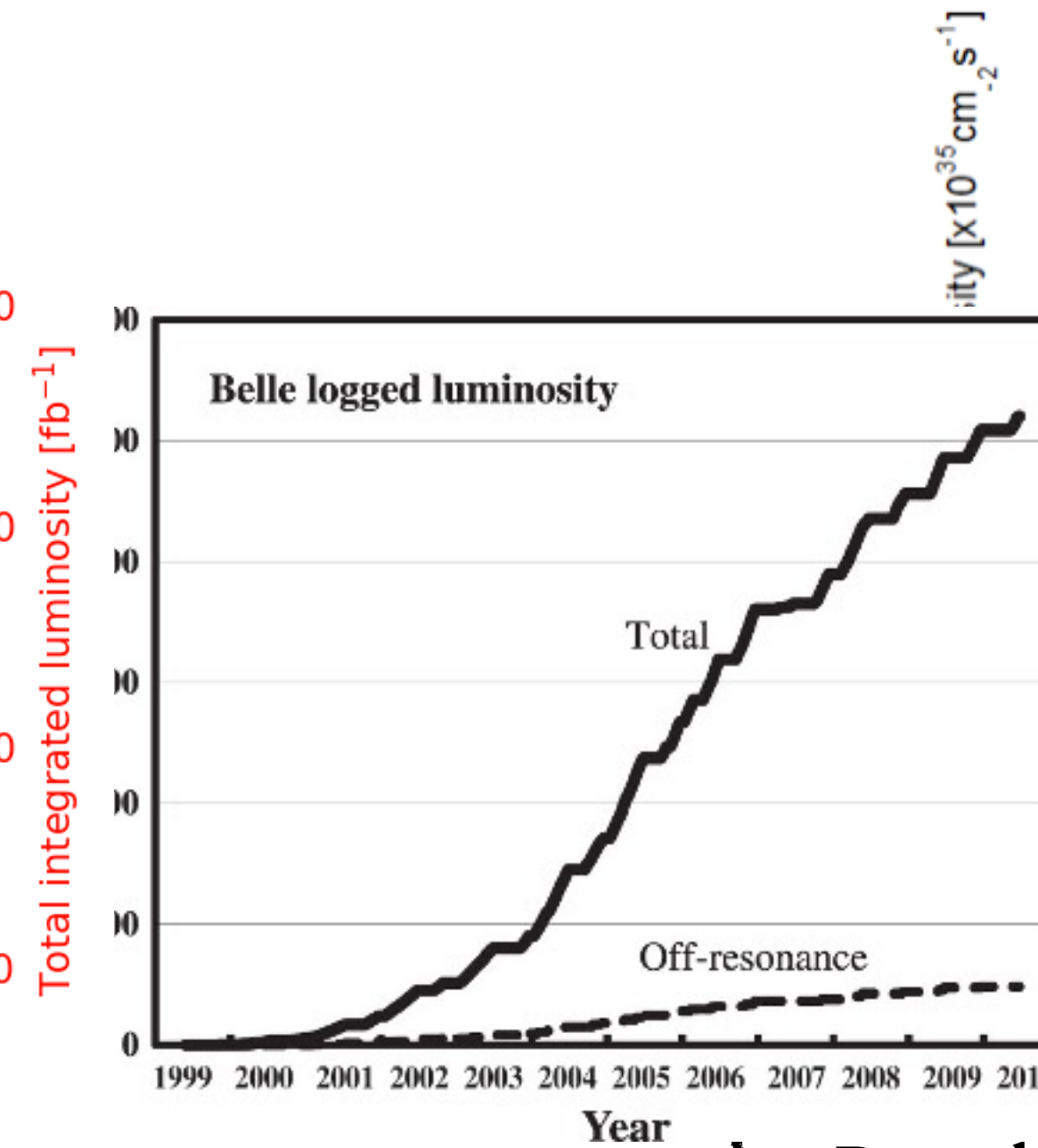
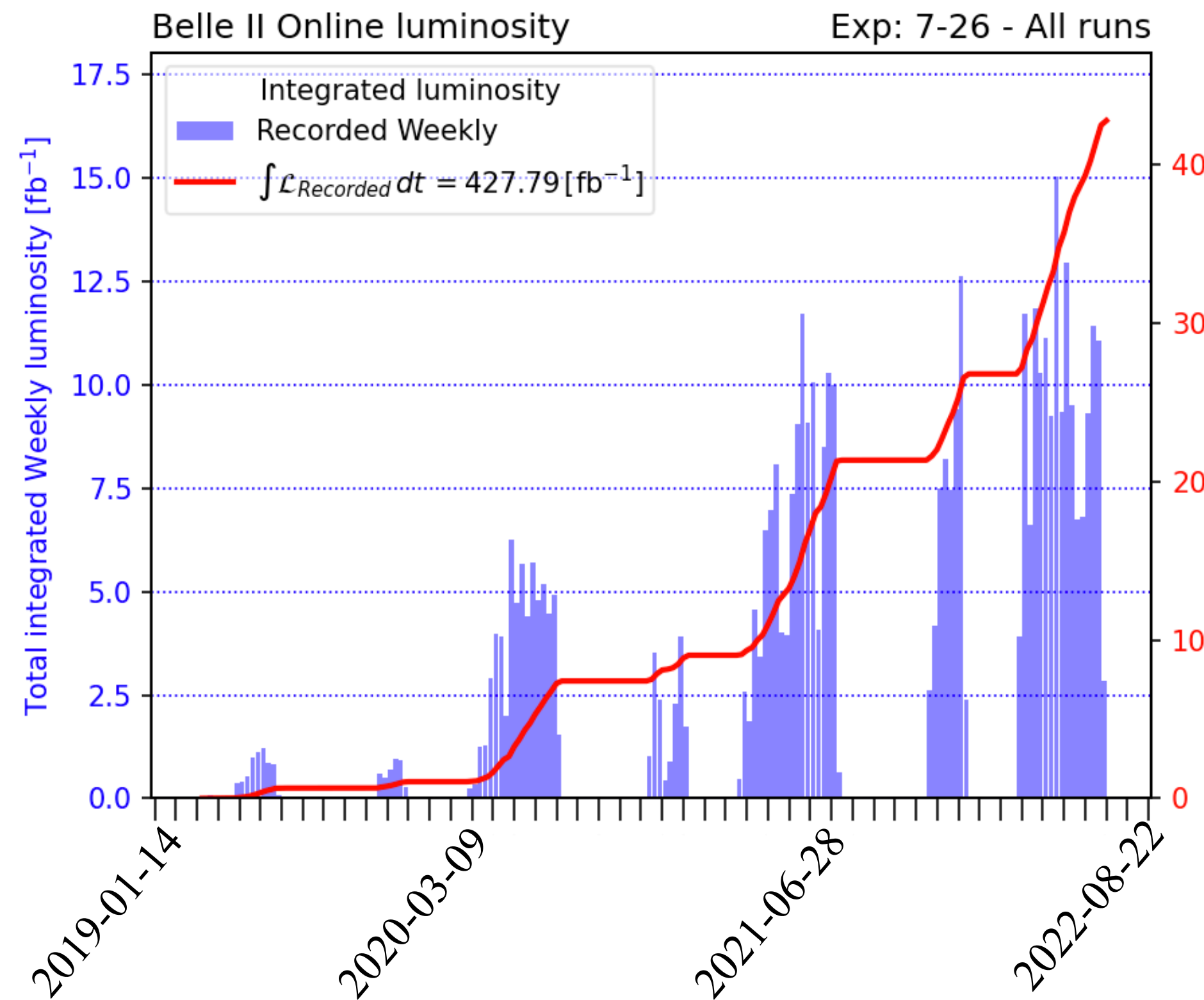
from Belle to The Belle II Detector

7 GeV e^- , 4 GeV e^+



- $\sigma(e^+e^- \rightarrow b\bar{b}) = 1.05 \text{ nb}$
- $\sigma(e^+e^- \rightarrow \tau^+\tau^-) = 0.92 \text{ nb}$
- $\Upsilon(nS)\epsilon[n = 1, \dots, 5]$, use of off resonance data : B factories are also τ factories

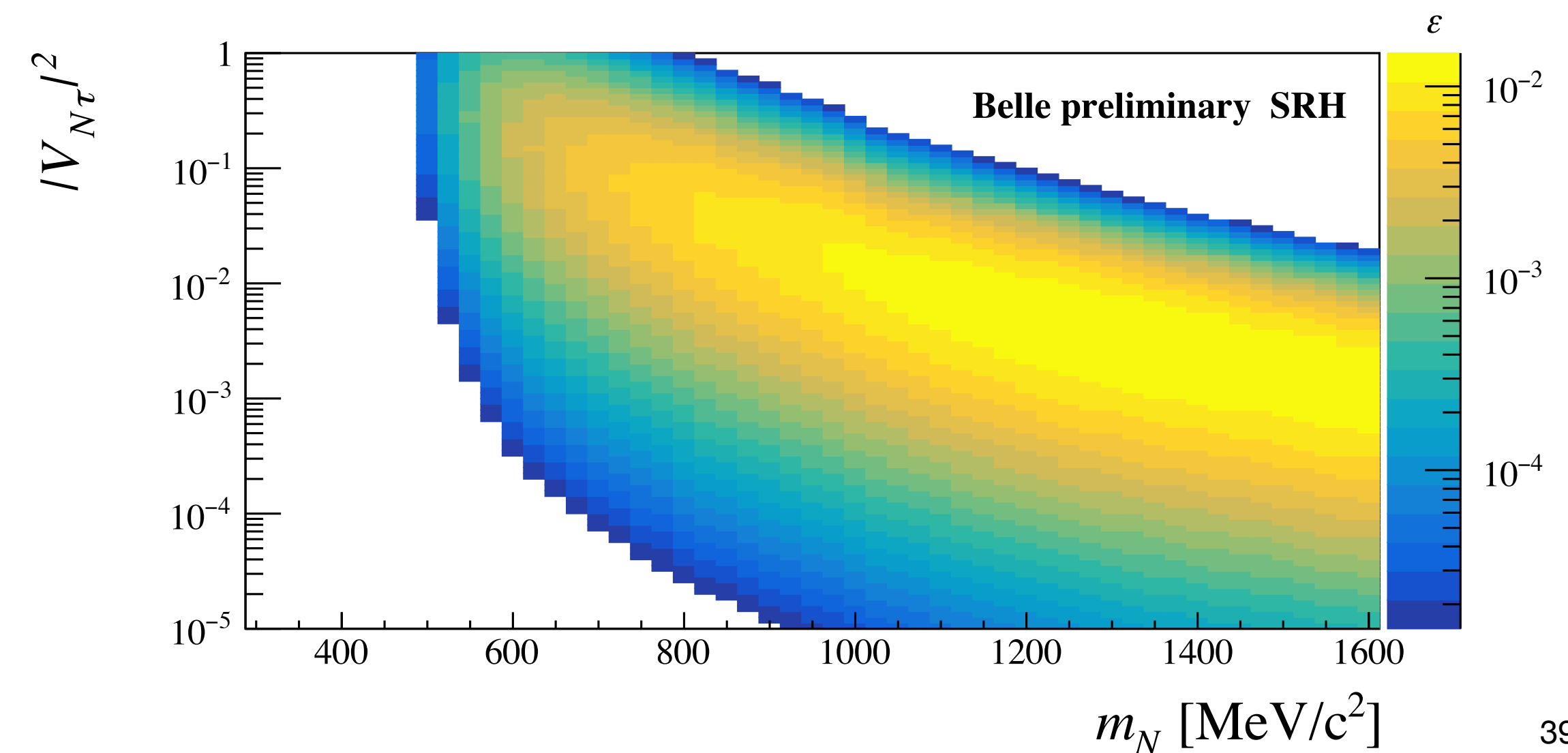
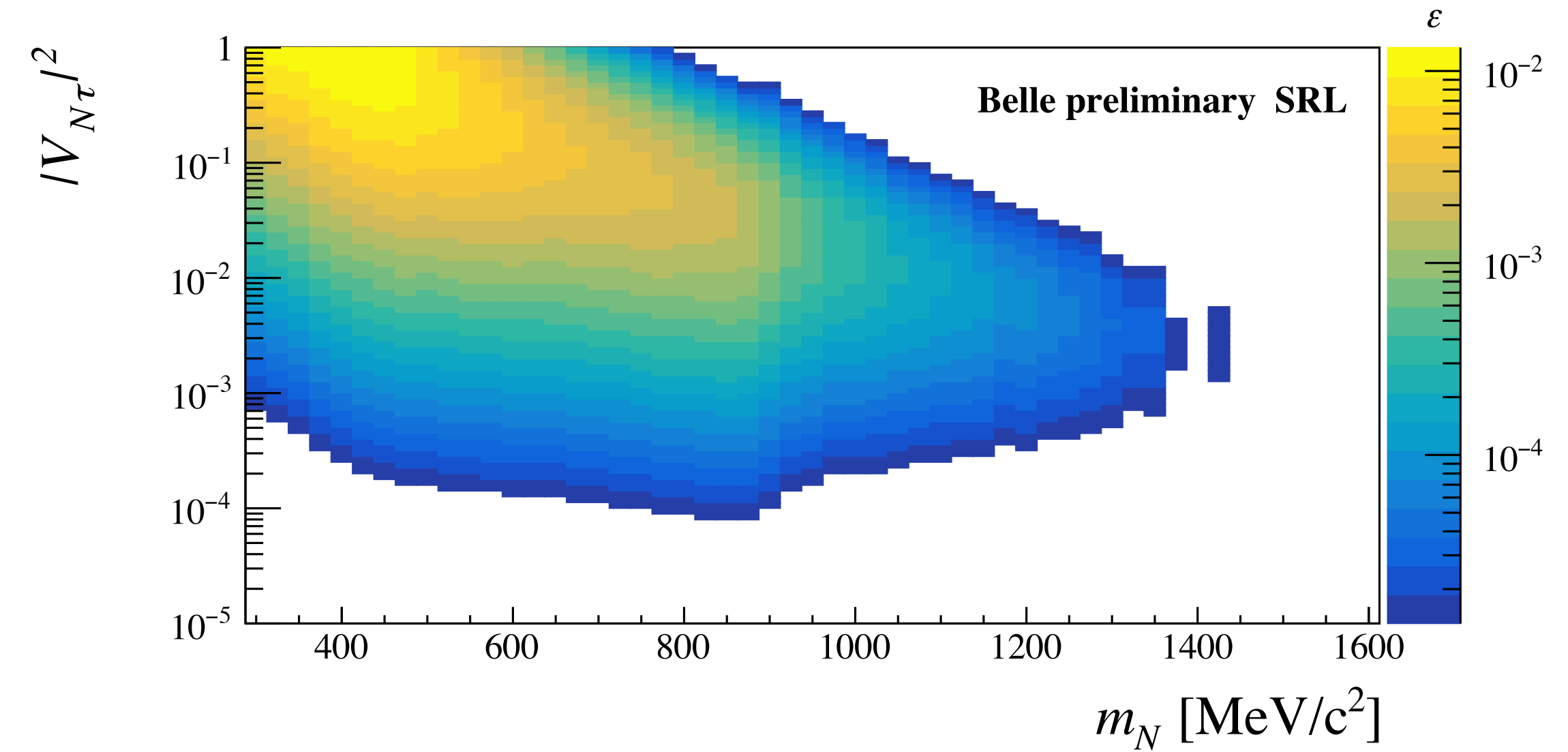
Luminosity



- Current integrated luminosity 50 ab^{-1}
- Regular data-taking since April 2019
 - Current integrated luminosity 424 fb^{-1}
 - Peak luminosity recorded : $4.7 \times 10^{34} \text{cm}^{-1} \text{s}^{-1}$
 - At present, we have a long shutdown for accelerator and detector upgrades, will resume data taking in 2024



- $N_{signal} = N_{\tau\tau} \times B(\tau \rightarrow \pi N) \times B(N \rightarrow \mu^+ \mu^- \nu_\tau) \times \epsilon$, where ϵ is the efficiency
- Signal efficiencies in SRH and SRL as a function of $|V_{N\tau}|^2$ and m_N : efficiency map
- largest relative systematic uncertainty: the background yield expectations
- Other uncertainties
 - N branching fraction
 - decay modeling
 - luminosity
 - cross section the uncertainty on the reconstruction of the two prompt tracks
- All systematic uncertainties are handled with the nuisance parameters using CL_s prescription



Analysis Method: Event reconstruction and background

- Requirement of 4 track events with net charge 0
- At least two tracks are identified as $e/\mu \rightarrow$ Same vertex
- Two known backgrounds
 - also $q\bar{q}, l^+l^-, l^+l^-l^+l^-, l^+l^-h^+h^-$ backgrounds
- backgrounds are suppressed using BDTs

