

NuFact 2022

The 23rd International Workshop on Neutrinos from Accelerators

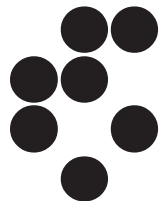
Salt Lake City, Utah, United States

July 31st - Aug. 6th, 2022

Recent results from Belle II

Karol Adamczyk

on behalf of Belle II Collaboration



Institut
"Jožef Stefan"
Ljubljana, Slovenija

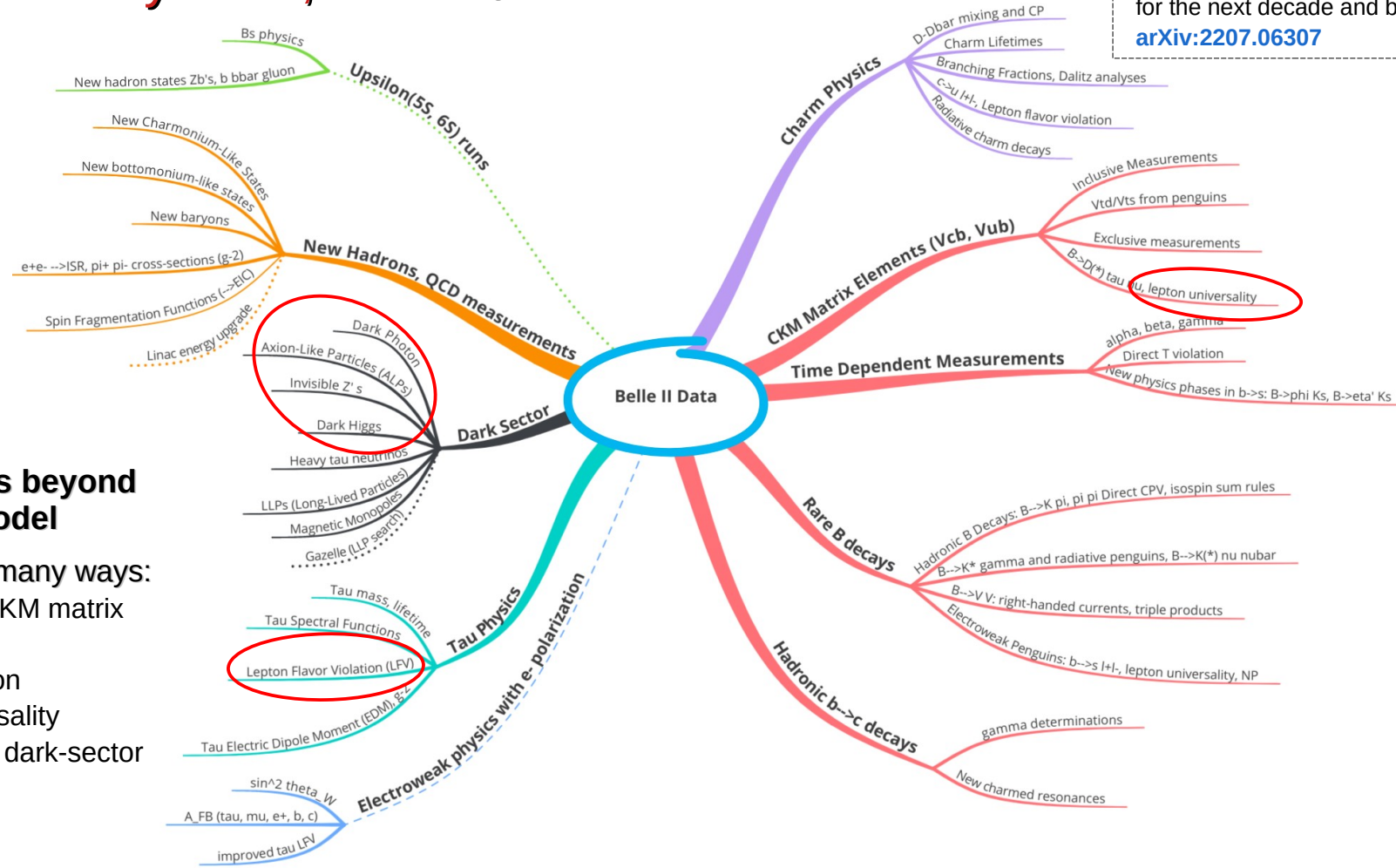


Belle II physics program

Study of rare decays of B, D and τ

The Belle II Physics Book:
PTEP 2019 (2019) 12, 123C01

Snowmass White Paper:
Belle II physics reach and plans
for the next decade and beyond
[arXiv:2207.06307](https://arxiv.org/abs/2207.06307)



GOAL

uncover New Physics beyond the Standard Model

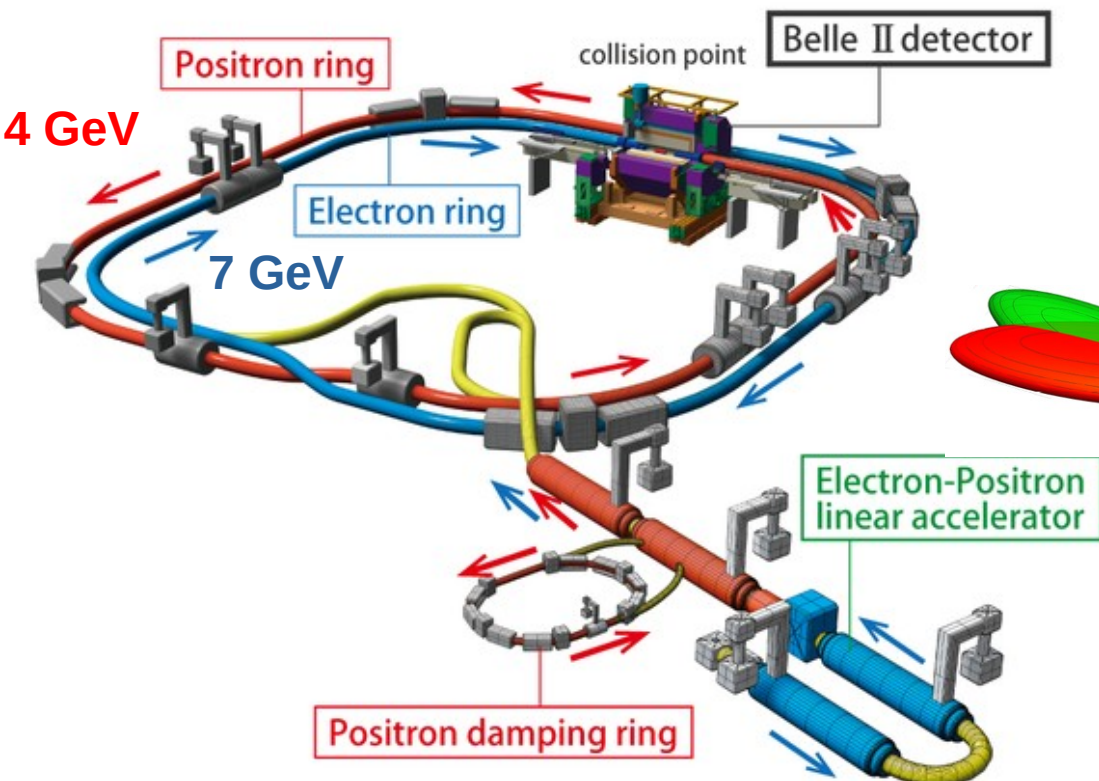
Belle II will pursue NP in many ways:

- improving precision of CKM matrix elements and phases
- testing violations of lepton conservation and universality
- probing the existence of dark-sector particles
- and many more ...

SuperKEKB

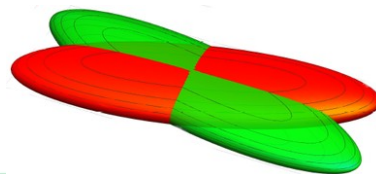
new Intensity Frontier machine

$c\bar{c}, s\bar{s}, u\bar{u}, d\bar{d}, l^+l^-, \tau^+\tau^- \leftarrow e^+e^- \rightarrow Y(nS) \rightarrow B^{(*)}\bar{B}^{(*)}$



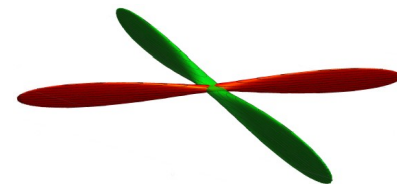
- SuperKEKB + Belle II detector \equiv 2nd generation super B-factory
- substantial upgrade of the B factory facility located at KEK (Tsukuba, Japan)
- SuperKEKB: asymmetric e^+e^- collider operating mainly at $m_{Y(4S)} = 10.58 \text{ GeV}$
- high luminosity achieved by
 - squeeze beams at IP (vertical $\sim 60 \text{ nm}$)
 - increase beam currents + make smaller β_y^*
 - larger crossing angle ($22 \rightarrow 83 \text{ mrad}$)

KEKB



nano-beam
scheme
(Pantaleo Raimondi)

SuperKEKB



TARGETS

- \rightarrow peak luminosity: $6 \times 10^{35} \text{ cm}^{-2} \text{ s}^{-1}$ (30x KEKB)
- \rightarrow integrate up to 50 ab^{-1} (50x Belle) in a decade!

SuperKEKB

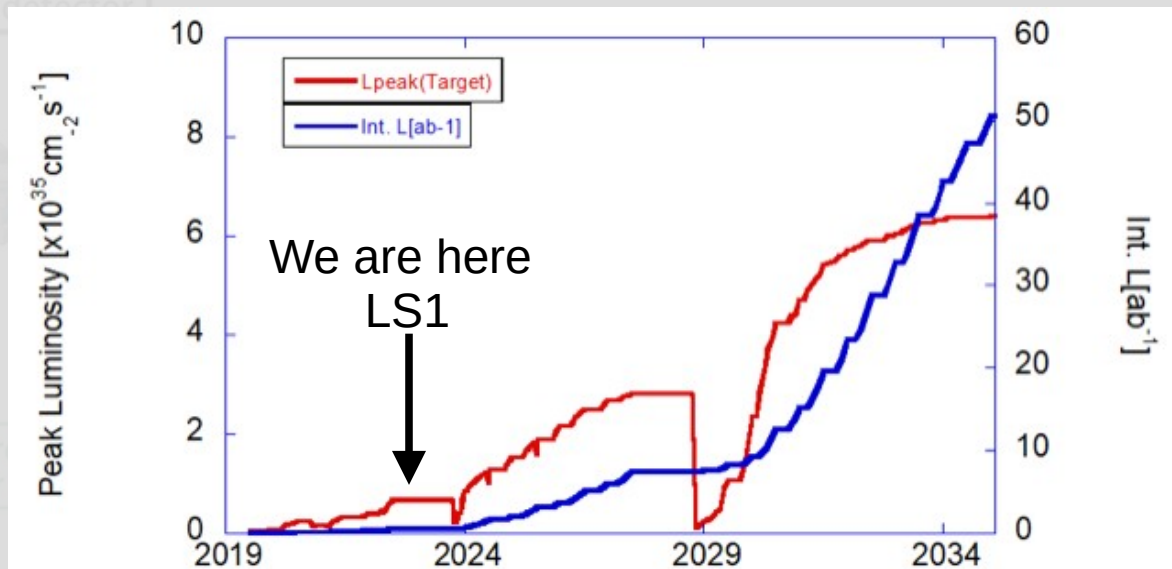
new Intensity Frontier machine

- SuperKEKB + Belle II detector \equiv 2nd generation super B-factory located at KEK (Tsukuba, Japan)
- asymmetric e^+e^- collider operating mainly at **10.58 GeV: Y(4S)**

Current status

- ✓ complete detector started taking data in spring 2019
- ✓ total **integrated luminosity** collected before summer 2022: **424 fb⁻¹** (good runs)
 - ✓ at the Y(4S) resonance: **363 fb⁻¹**
 - ✓ similar DATA as BaBar, ~ 0.5 Belle DATA
- ✓ new **World Record of peak luminosity**: **$4.7 \times 10^{34} \text{ cm}^{-2} \text{ s}^{-1}$** ($> 2 \times$ KEKB record)
- ✓ Long Shutdown 1 (LS1) from summer 2022 for 15 months
 - ✓ improvements of machine and detector (beam pipe, Pixel Vertex Detector, Time-Of-Propagation PMT)

Luminosity projection



[Belle II luminosity page](#)

Belle II detector

multipurpose $\sim 4\pi$ magnetic spectrometer

Silicon vertex detectors

- 2 layers DEPFET (pixel)
- 4 outer layers DSSD
- ✓ vertexing resolution $\sim 15 \mu\text{m}$

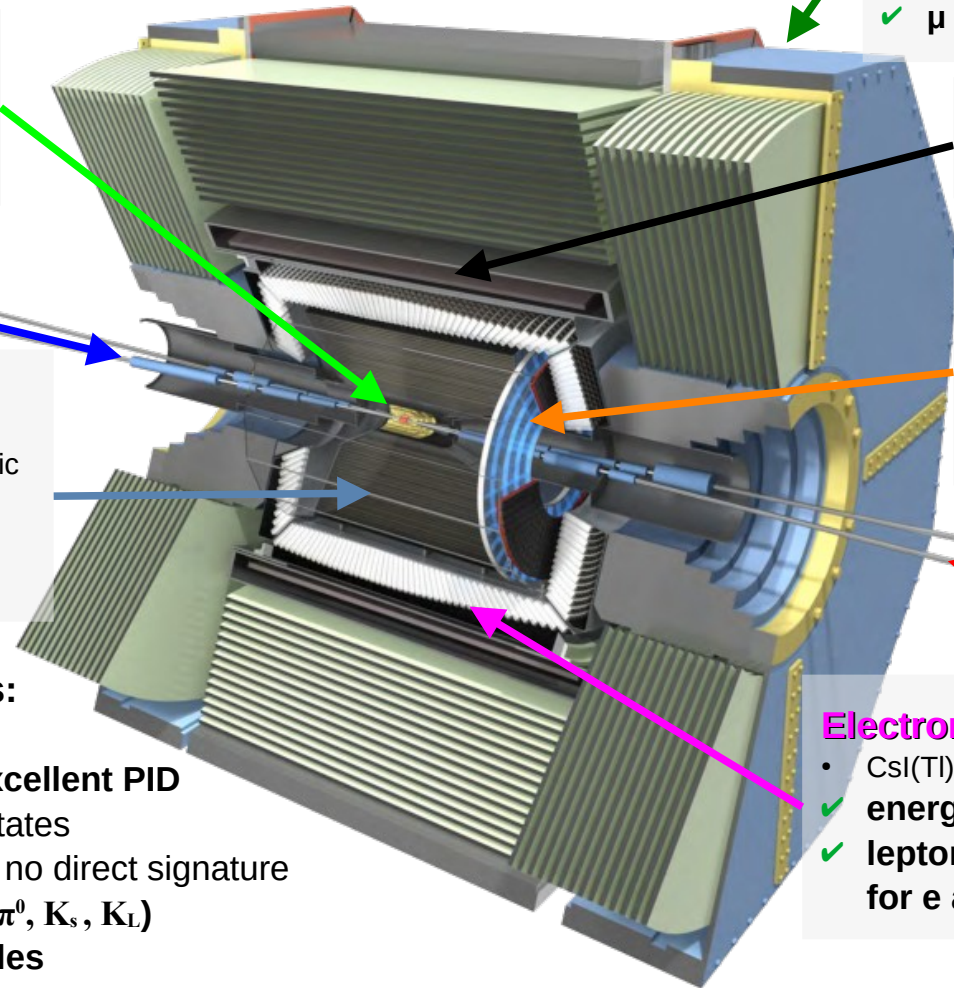
electrons (7 GeV)

Tracking detector

- central drift chamber (He + C₂H₆)
- small cells, long lever arm, fast electronic
- ✓ spatial resolution: $100 \mu\text{m}$
- ✓ p_T resolution $\sim 0.4\%/p_T$
- ✓ dE/dx resolution: 5%

Key factors:

- ✓ known initial state + nearly hermetic detector with excellent PID
 - ▶ reconstruct fully-inclusive final states
 - ▶ broadly search for particles with no direct signature
- ✓ reconstruct neutral particles (γ , π^0 , K_s , K_L) nearly as well as charged particles



K_L and μ detector

- Resistive Plate Counter (barrel outer layers)
- Scintillator + WLS fiber + MPPC (end-caps & inner 2 barrel layers)
- ✓ μ ID efficiency: 90%

Magnetic field

- 1.5 T superconducting magnet

Particle ID detectors

- Time-of-Propagation counter (barrel)
- Aerogel RICH (forward end-cap)
- ✓ hadron ID efficiency $\sim 90\%$ at 10% fakes

positrons (4 GeV)

Electromagnetic Calorimeter

- CsI(Tl) + waveform sampling (barrel + end-caps)
- ✓ energy resolution $\sim 1.6-4\%$
- ✓ lepton ID efficiency 90% at fakes: 0.5% for e and 7% for μ

Experimental techniques

@ B-factories

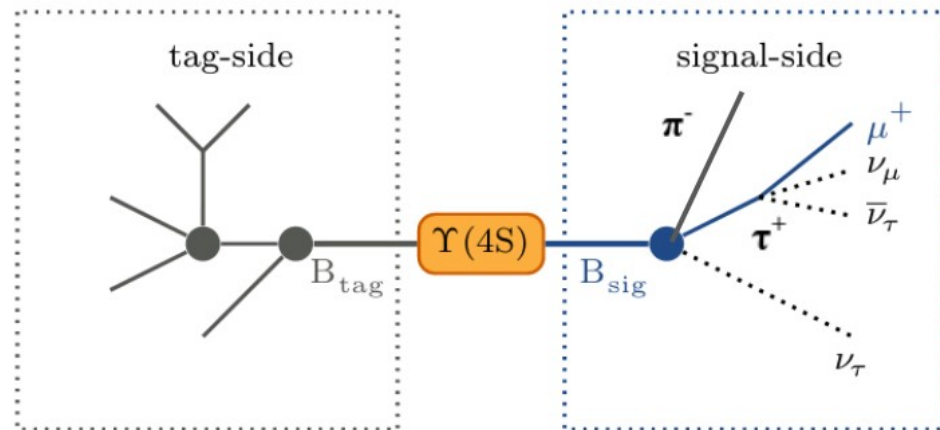
B-TAGGING

- ✓ exclusive production of $B\bar{B}$ pairs at B-factories
- ✓ kinematical constraints from beam energy in CM of $\Upsilon(4S)$
- ✓ in channels with missing energy (i.e. multi ν final state)

► **reconstruct partner of signal B meson: B_{TAG}**

using well measured channels

► B_{TAG} kinematics, flavor, charge



Different tagging approaches:



Full Event Interpretation (FEI)

Inclusive:

$B \rightarrow$ anything
(hadrons + photons)
high $\epsilon \approx O(10\%)$ but
lower purity

Semileptonic:

$B \rightarrow \sum$ of $B \rightarrow D^{(*)} | \nu$
BF $\sim 20\%$; $\epsilon \approx O(1\%)$
with optimal purity

Hadronic:

$B \rightarrow \sum$ of exclusive
hadron modes
low $\epsilon \approx O(0.1\%)$ but
high purity

- improved **B-tagging algorithm based on Boosted Decision Trees**
- hierarchical approach to reconstruct $O(10^4)$ decay chains
- $\epsilon_{SL} \approx 2\%$
- $\epsilon_{had} \approx 0.5\%$

T. Keck et al, *Comput Softw Big Sci* 3, 6 (2019)



Credit: X-ray: UC/CXC/CAU/ Markevitch et al.;
Optical: NASA/STScI/Magellan/U. Arizona/D. Clowe et al.;
Lensing Map: NASA/STScI, ESO WFI, Magellan/U. Arizona/D. Clowe et al.

Dark sector

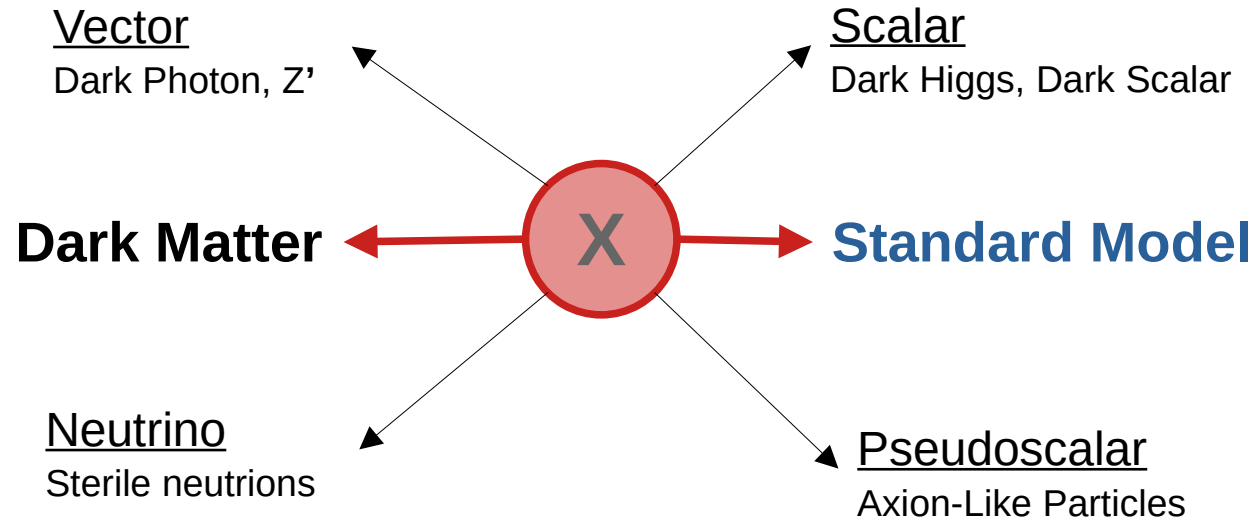
direct searches for light non-SM physics



Dark Sector

portals between **DM** and **SM**

- identify particles and properties of Dark Matter through production at colliders
- constraints on weakly coupled DM
 - ▶ light **dark mediator X as portal**



Belle II has unique capability to search for dark matter and mediators at MeV-GeV scale

- ✓ **dedicated low-multiplicity triggers**
 - ✓ e.g. single photon; single/two/three track(s); $E_{ECL} > 1\text{GeV}$
- ✓ hermetic detector + clean events
- ✓ **high intensity** collisions at $\sim 10.6\text{ GeV}$



Dark sector

8.34 fb⁻¹ (2019)

Dark Higgsstrahlung: $e^+e^- \rightarrow A' h'$

Motivation:

- U(1)' **vector** portal extension of SM
 - **Dark photon A'** : coupled to SM photon via kinetic mixing parameter ϵ
 - mass generated via spontaneous symmetry breaking
 - **Dark Higgs h'** : couples with α_D to A'

Signature:

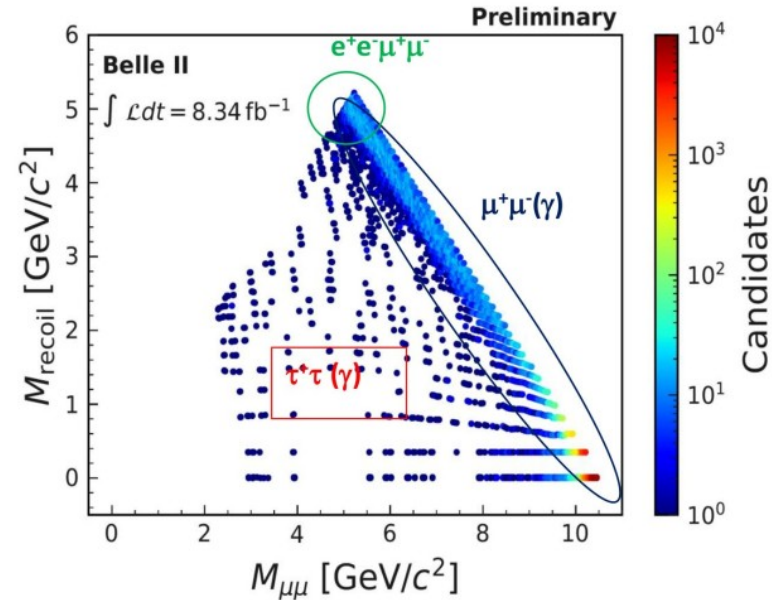
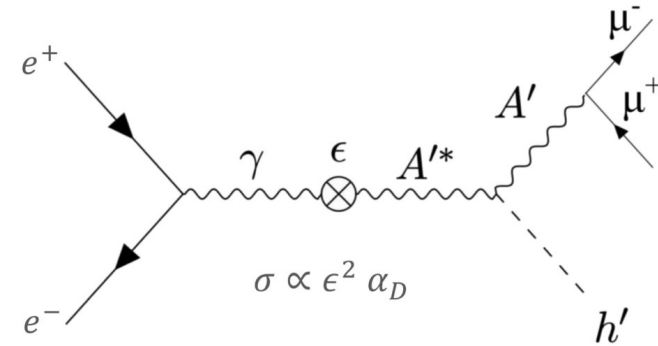
- $M_{h'} < M_{A'} \rightarrow h'$ is long-lived (invisible)
 \Rightarrow 2 charged tracks + missing energy
- A' : $M_{\mu\mu}$ and h' : M_{recoil}

Selection:

- two reconstructed muons, $p_{T^{\mu\mu}} > 0.1$ GeV/c
- recoil momentum in ECL barrel, no nearby photon
- cut on helicity angle of muon

Strategy:

- scan for excess in 2D plane of M_{recoil} vs. $M_{\mu\mu}$
- ~9000 rotated elliptical mass windows to test signal hypotheses



Dark sector

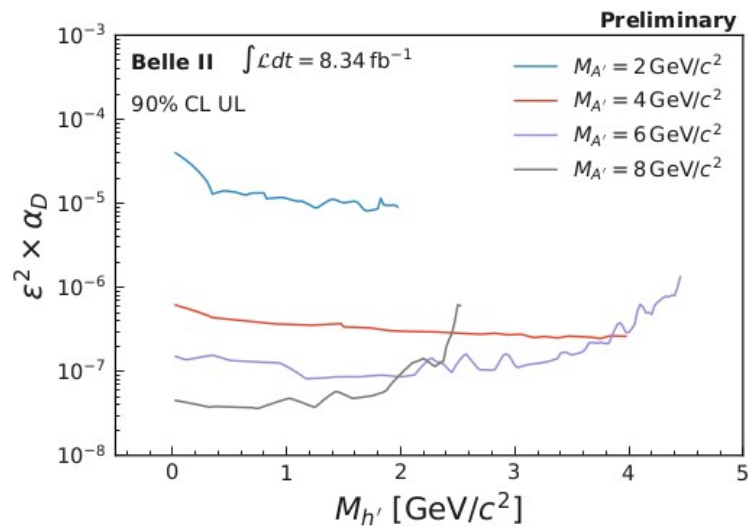
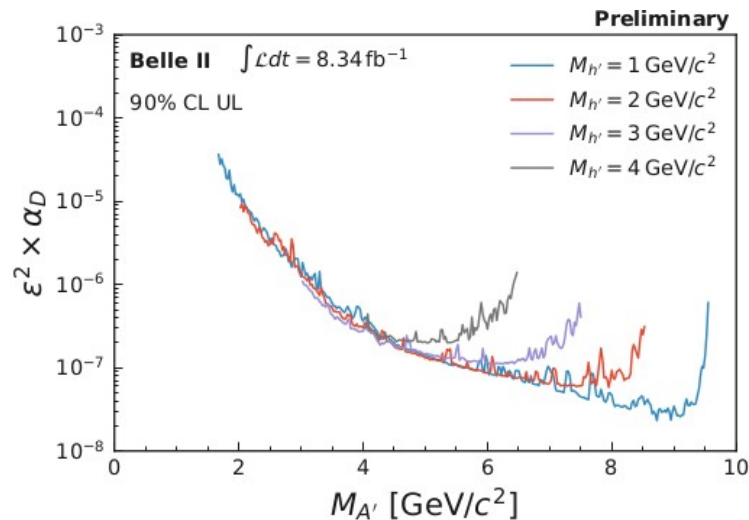
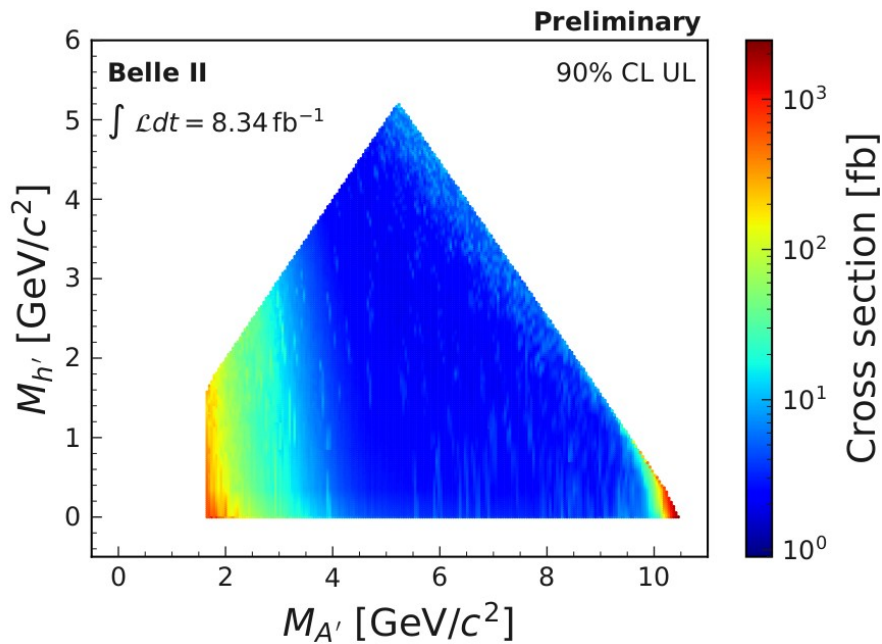
Dark Higgsstrahlung: $e^+e^- \rightarrow A' h'$

submitted to PRL
» [arXiv:2207.00509](https://arxiv.org/abs/2207.00509)

8.34 fb⁻¹ (2019)

Results:

- no significant excess above bkg was observed
 - ▶ 90% CL upper limits on σ and $\epsilon^2 \alpha_D$
- world leading limit: $1.65 < M_{A'} < 10.51$ GeV/c²



Dark sector

$Z' \rightarrow$ invisible

Motivation:

- $L_\mu - L_\tau$ model can provide solution for $R(K^{(*)})$ and $(g-2)_\mu$
- Z' – new massive gauge boson coupled only to μ and τ

Searched signatures:

- $\mu^+\mu^-$ final state with
 - $Z' \rightarrow$ invisible (neutrinos/dark matter)
 - final states with missing energy $\Rightarrow \mathbf{M}_{recoil}$

Bkg:

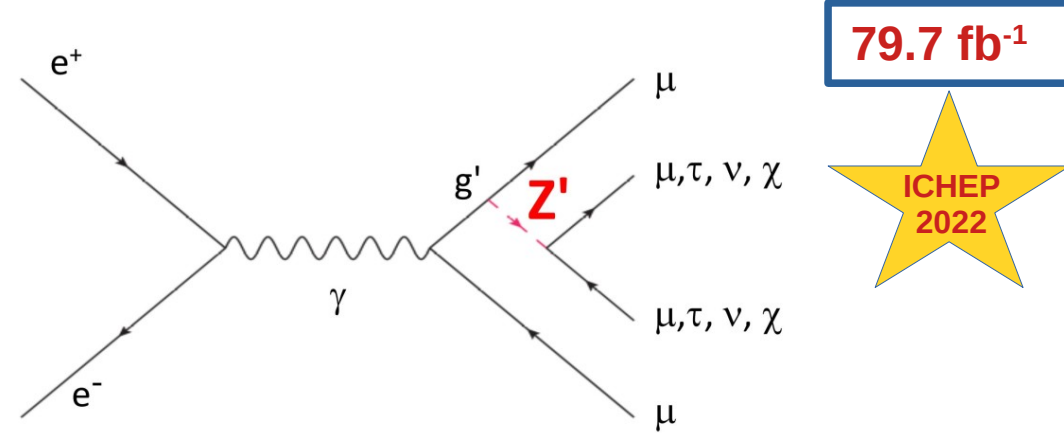
- $e^+e^- \rightarrow \mu^+\mu^-(\gamma)$, $e^+e^- \rightarrow \tau^+\tau^-(\gamma)$, $e^+e^- \rightarrow e^+e^-\mu^+\mu^-$

Selection:

- two reconstructed muons, $p_T^{\mu\mu} > 0.4$ GeV/c
- recoil momentum in ECL barrel, no nearby photon
- neural network trained to optimize Punzi FOM
 - » [Eur.Phys.J.C 82 \(2022\) 2, 121](#)

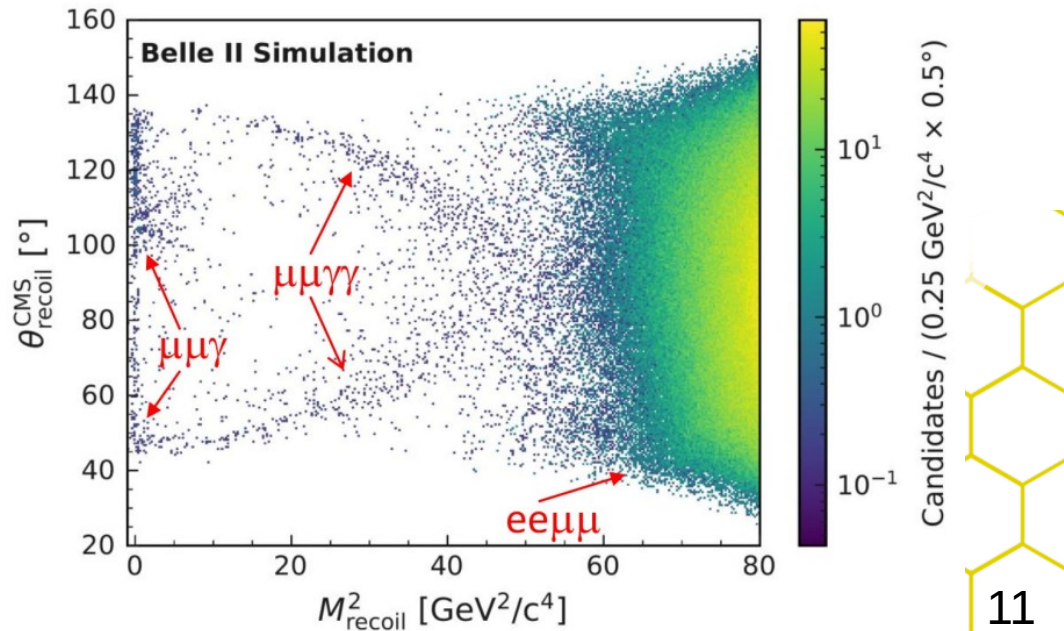
Strategy:

- template fit in 2D plane of θ_{recoil} vs. M_{recoil}^2



79.7 fb⁻¹

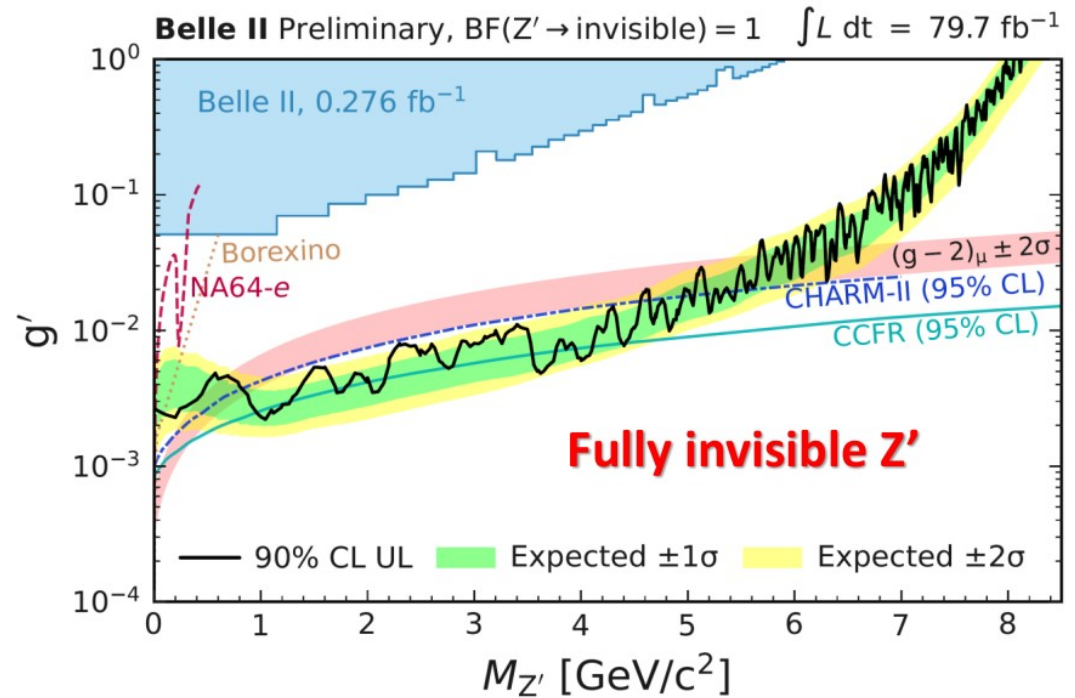
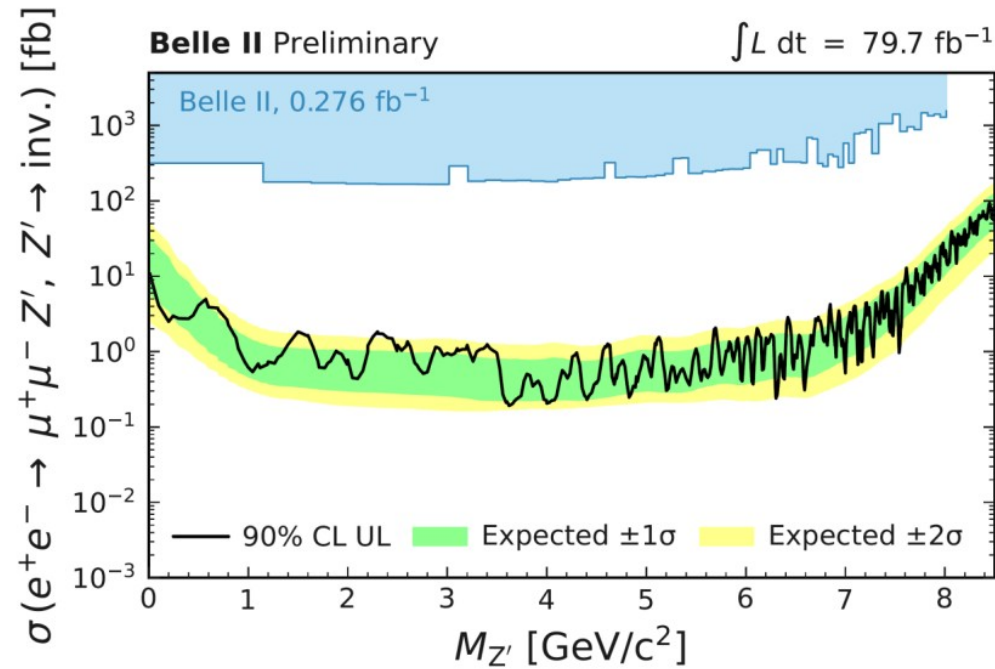
ICHEP
2022



Dark sector

$Z' \rightarrow \text{invisible}$

79.7 fb⁻¹



Results:

- no excess found
 - ▶ 90% CL upper limits on σ and coupling
- excluded fully invisible Z' as explanation for $(g-2)_\mu$ in range $0.8 < M_{Z'} < 5.0 \text{ GeV}/c^2$



Dark sector

$Z', S, ALP \rightarrow \tau\tau$

Motivation:

- probe three different models:
 $Z' L_\mu - L_\tau$, leptophilic dark scalar S , ALP

Signature:

- $\tau\tau$ resonance in $\mu^+\mu^-\tau^+\tau^-$ final states

Selection:

- 4 tracks: $2\mu + 2 e/\mu/\pi$ (1-prong τ decay)
- $M(4\text{-track}) < 9.5 \text{ GeV}/c^2$

Bkg:

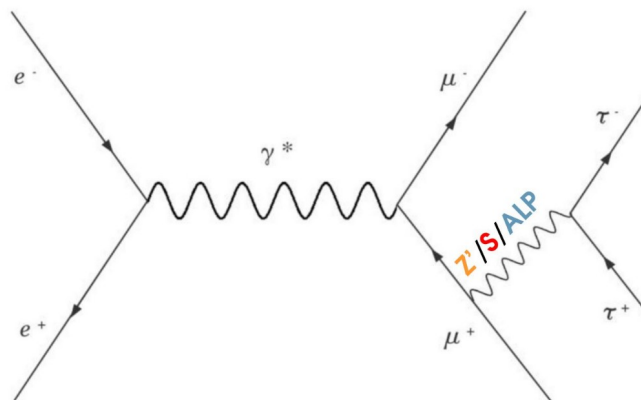
- $e^+e^- \rightarrow \tau^+\tau^-(\gamma)$ (1x3 prong)
- $e^+e^- \rightarrow q\bar{q}$ ($q=u,d,s,c$)
- $e^+e^- \rightarrow l^+l^+l^-, \mu^+\mu^-\tau^+\tau^-, e^+e^-\chi_{\text{hadronic}}$

Bkg suppression:

- 8 neural networks trained for different ranges in $M_{\text{recoil}}(\mu\mu)$

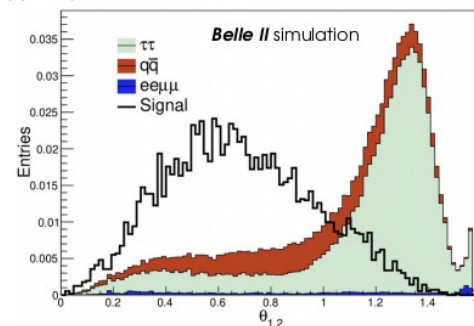
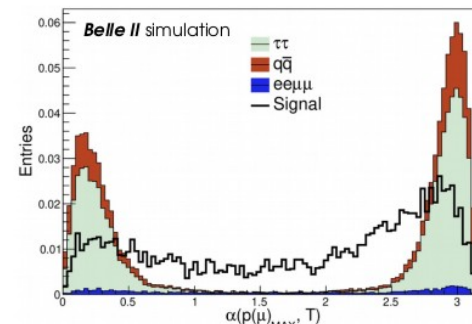
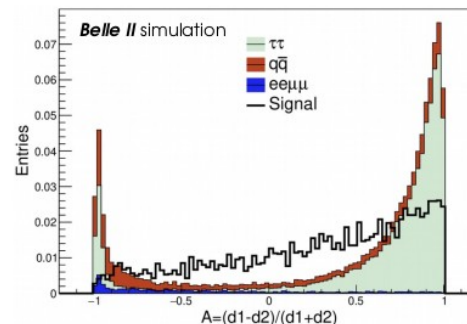
Strategy:

- extract signal from fit to M_{recoil} above floating bkg



63.3 fb⁻¹ (2019-2020)

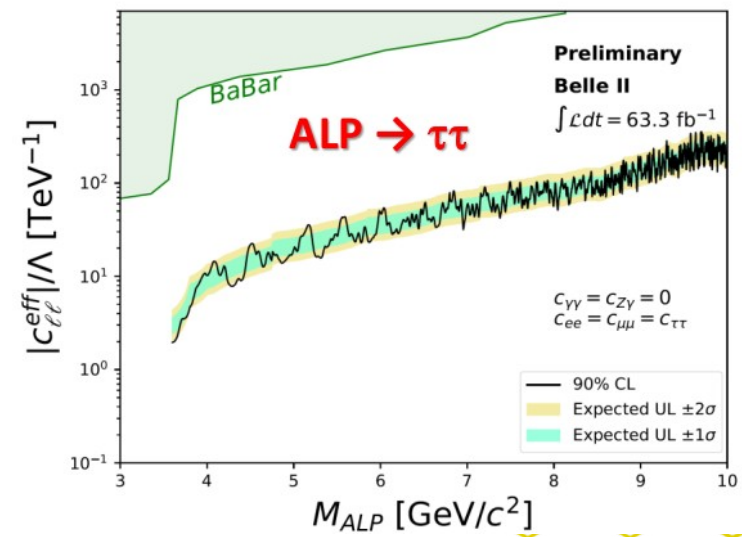
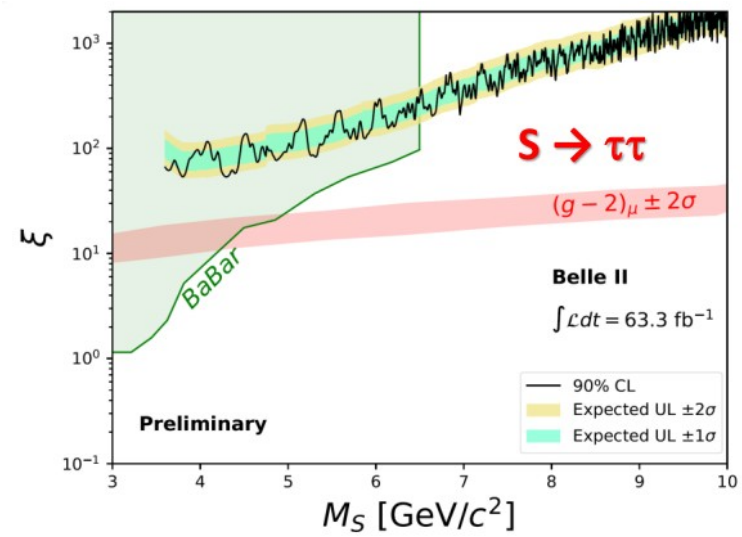
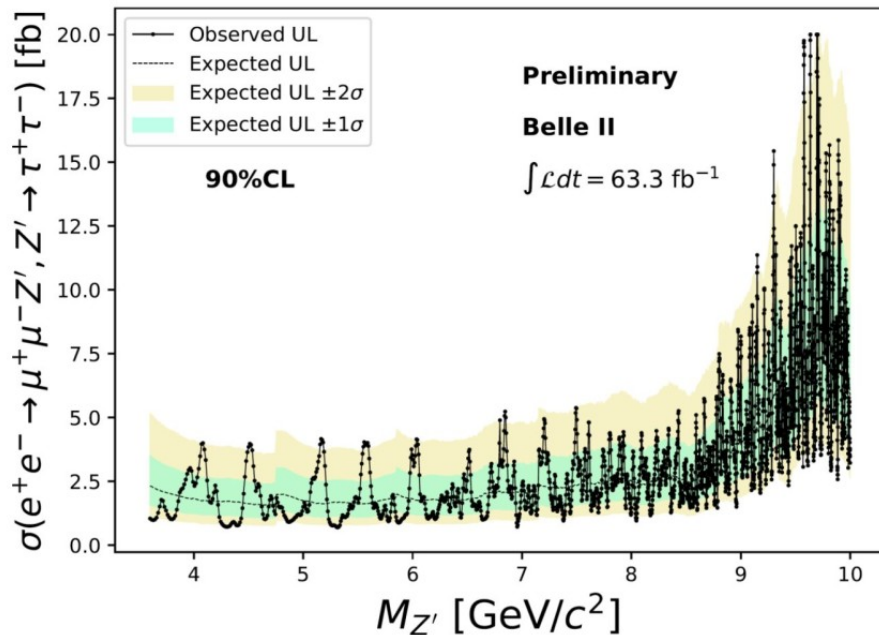
ICHEP
2022



Dark sector

$Z', S, \text{ALP} \rightarrow \tau\tau$

63.3 fb⁻¹ (2019-2020)

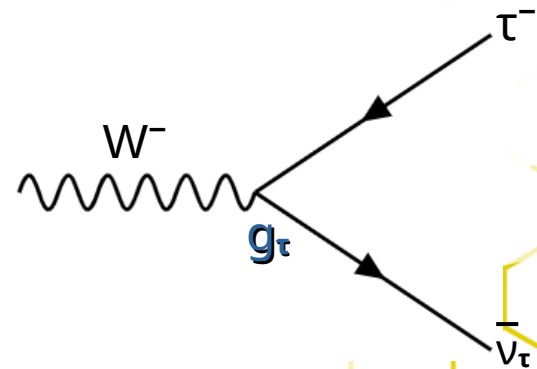
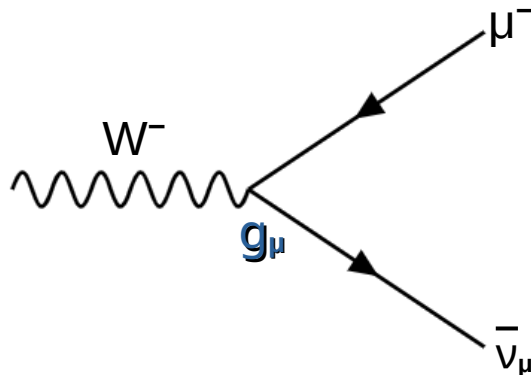
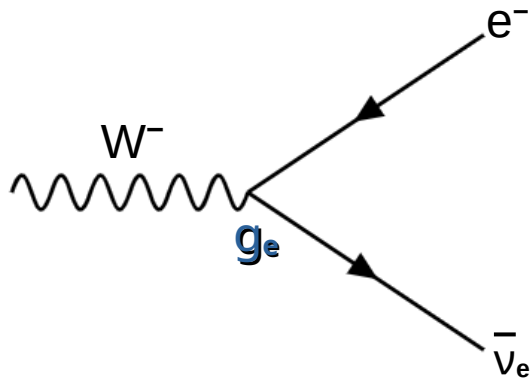


Results:

- no significant excess above bkg has been found
 - ▶ 90% CL upper limits on σ and couplings
- first constraints for S in range $M_S > 6.5 \text{ GeV}/c^2$
- first direct constraints for $\text{ALP} \rightarrow \tau\tau$

Lepton Flavour Universality

$g_e = g_\mu = g_\tau$? accidental symmetry of SM?



LFU

experimental puzzles

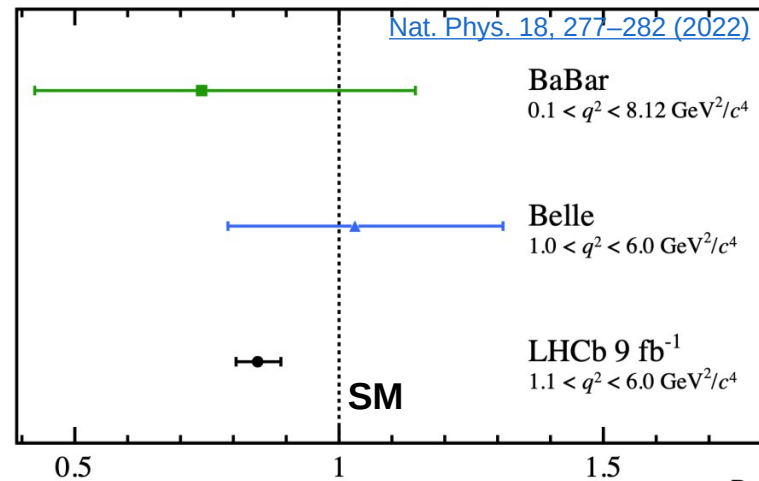
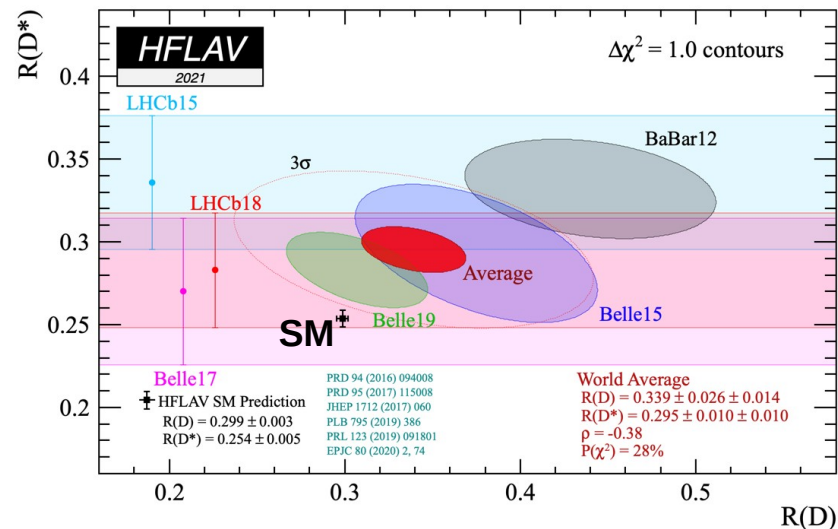
Several measurements show **tension with SM**

$$R(D^{(*)}) = \frac{\mathcal{B}(B \rightarrow D^{(*)} \tau \nu)}{\mathcal{B}(B \rightarrow D^{(*)} \ell \nu)}, \ell = e, \mu \quad \sim 3.3 \sigma$$

$$R(K) = \frac{\mathcal{B}(B \rightarrow K \mu^+ \mu^-)}{\mathcal{B}(B \rightarrow K e^+ e^-)} \quad \sim 3.1 \sigma$$

Tension in $B \rightarrow K^* \mu^+ \mu^-$ angular observables $\sim 3.4 \sigma$

- global discrepancy unlikely to be fluctuation
- exploit more complementary observables



R($X_{\mu/e}$)

test of LFU via **inclusive B decays**

$$R(X_{\mu/e}) = \frac{\mathcal{B}(B \rightarrow X_{\mu\nu})}{\mathcal{B}(B \rightarrow X_{e\nu})}$$

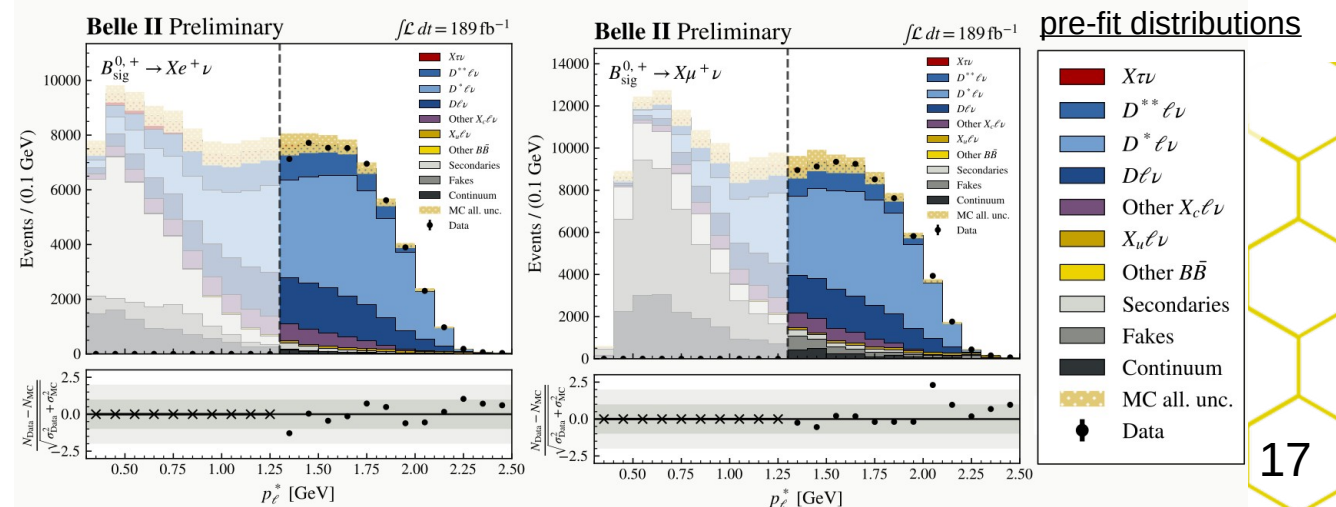
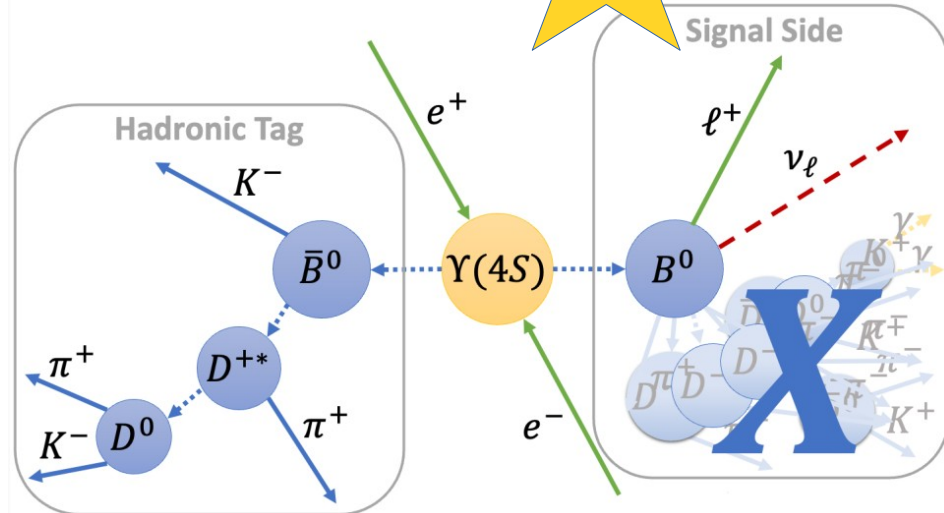
Challenges:

- larger background from less constrained X
- difficult MC modeling of the X = D, D*, D**, non resonant hadronic decays ('gap')
 - ▶ probe inclusive B → X l ν modeling in data-driven way

Selection:

- hadronic B-tagging with FEI ($\epsilon_{\text{had}} \approx 0.1\%$)
- $p_{\ell}^* > 1.3 \text{ GeV}/c \Rightarrow$ reject most of $B \rightarrow X \tau \nu \Rightarrow$ suppress fake and secondary leptons
- optimized lepton ID requirements

189 fb⁻¹



$R(X_{\mu/e})$

test of LFU via inclusive B decays

189 fb⁻¹

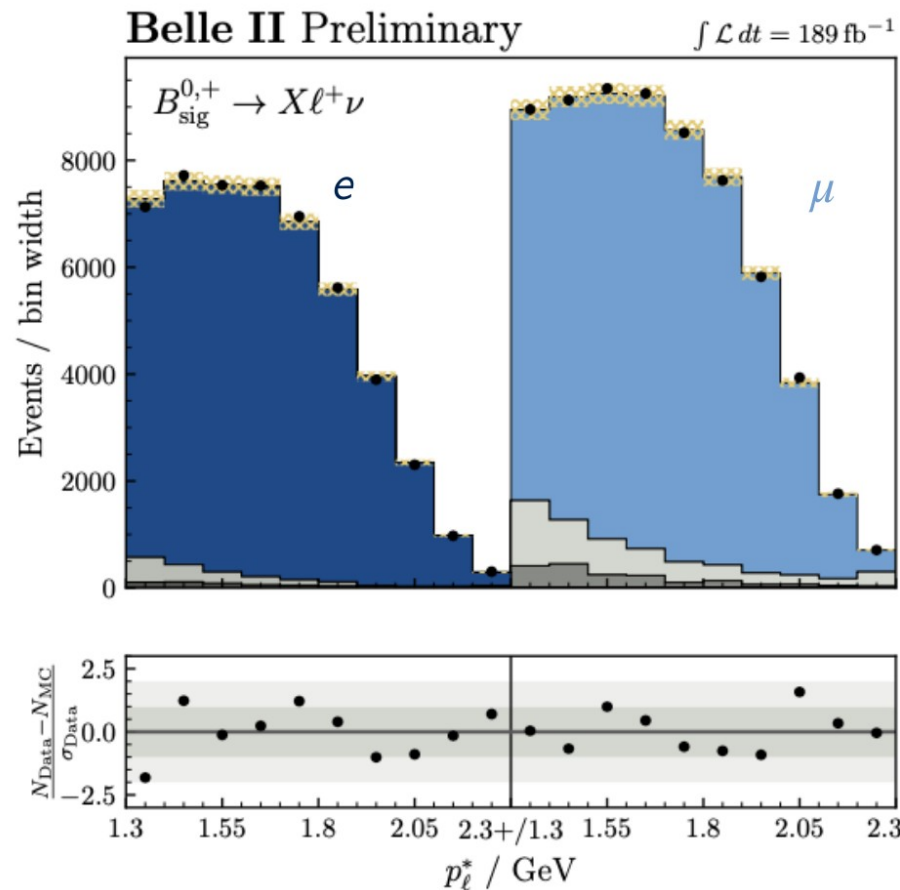


Strategy:

- binned likelihood template fit with 3 components:
 - signal, continuum ($c\bar{c}$, $s\bar{s}$, $u\bar{u}$, $d\bar{d}$), “fake leptons + secondaries”
- e and μ templates are fitted simultaneously in 10 p_l^* bins

Results:

- $R(X_{\mu/e}) = 1.031 \pm 0.010 \pm 0.020$ for $p_l^* > 1.3$ GeV/c
- SM: $R(X_{\mu/e}) = 1.006 \pm 0.001$ » [arXiv:2207.03432](https://arxiv.org/abs/2207.03432)
- agrees with SM expectation within 1.4σ
- most precise single test of e – μ FU in semileptonic B decays
- precursor to measurement of $R(X_{\tau/l})$
- dominated systematics: lepton ID efficiency ($\sim 2\%$)



$r(K)_{J/\psi}$

test of LFU in $B \rightarrow J/\psi(K) K$

189 fb⁻¹

$$r_{J/\psi}^K = \frac{\mathcal{B}(B^+(B^0) \rightarrow J/\psi(\rightarrow \mu\mu)K(K_s^0))}{\mathcal{B}(B^+(B^0) \rightarrow J/\psi(\rightarrow ee)K(K_s^0))}$$

Motivation:

- test on control mode in preparation to first Belle II R(K) measurement
- demonstrate capability of detector performance on 'SM candle' process: $B \rightarrow J/\psi(K) K$

Selection:

- pure selection: simple mass cuts on J/Psi, K_s, and particle ID criteria on leptons and charged K

Strategy:

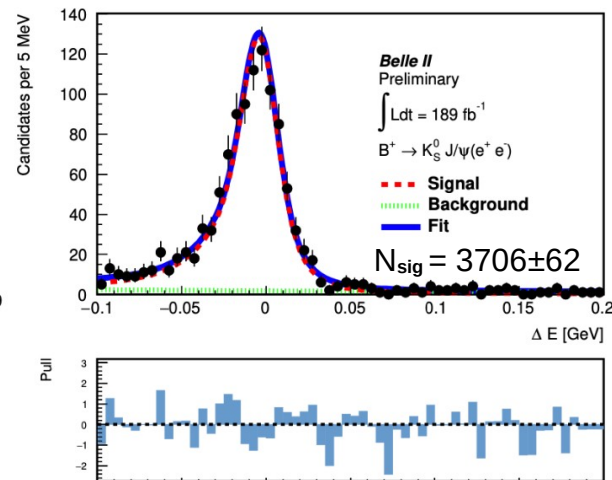
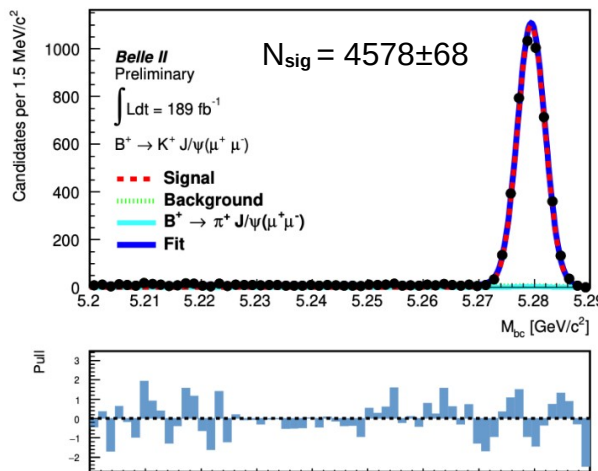
- extract branching fractions and $r(K)_{J/\psi}$ from 2D unbinned fit to ΔE and M_{bc} distributions

Results:

- precision limited by statistics
- in agreements with SM and other measurements (Belle, LHCb)
- lepton ID uncertainties decreased with respect to Belle by factor ~2

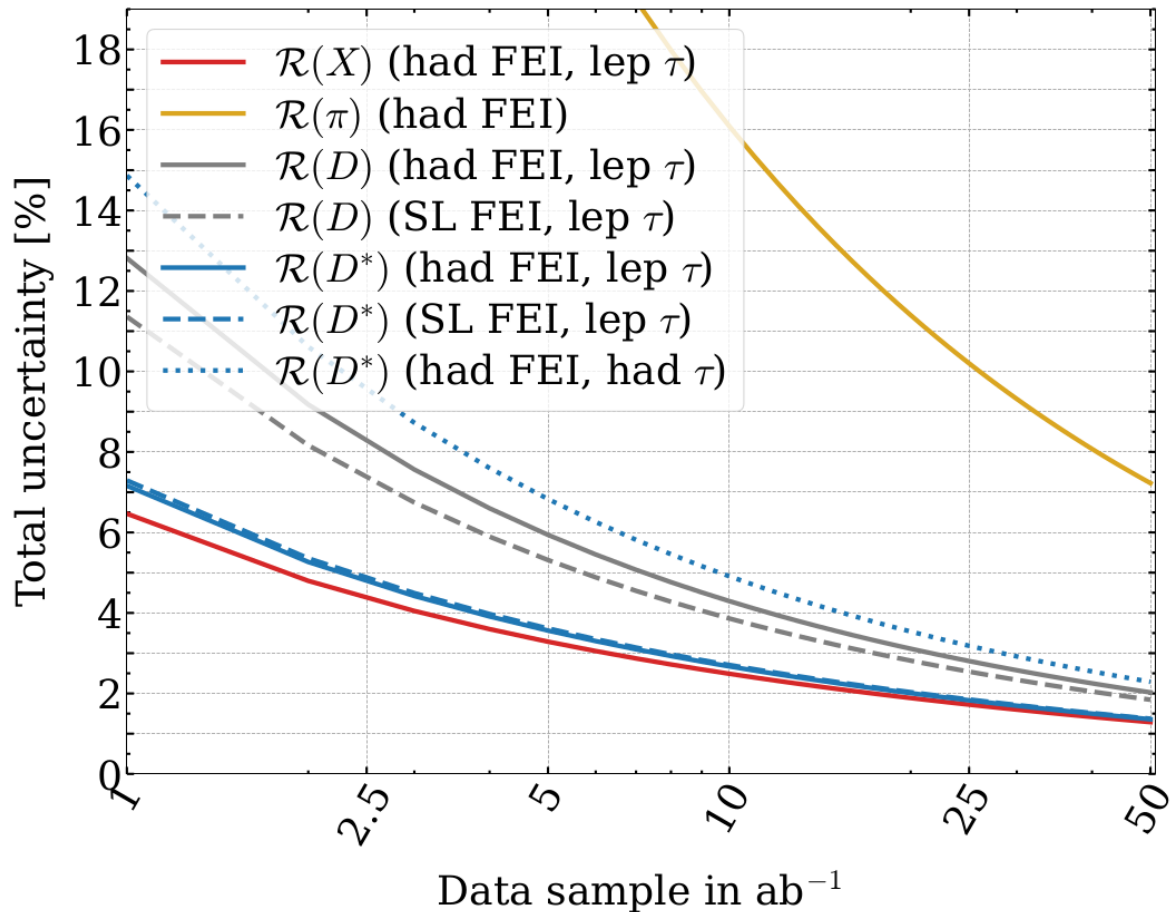
$$m_{bc} = \sqrt{s/4 - \vec{p}_B^{*2}}$$

$$\Delta E = E_B^* - \sqrt{s}/2$$



	Belle II (2022) X + (stat.) + (syst.)	Belle (2021) X + (stat.) + (syst.)	LHCb (2022) X + (stat. + syst.)
$r(K^+)_{J/\psi}$	1.009 ± 0.022 ± 0.008	0.994 ± 0.011 ± 0.010	0.981 ± 0.020
$r(K_s)_{J/\psi}$	1.042 ± 0.042 ± 0.008	0.993 ± 0.015 ± 0.010	0.977 ± 0.028

» [arXiv:2207.11275](https://arxiv.org/abs/2207.11275)



Snowmass White Paper:
 Belle II physics reach and plans
 for the next decade and beyond
 » [arXiv:2207.06307](https://arxiv.org/abs/2207.06307)

$$R(X) = \frac{\mathcal{B}(B \rightarrow X\tau\nu)}{\mathcal{B}(B \rightarrow X\ell\nu)}$$

$$X = \pi, \rho, D, D^*, D^{**}, \dots$$

$$\ell = e, \mu$$

**Belle II provide most precise experimental information
 to resolve the $R(D^{(*)})$ anomalies**

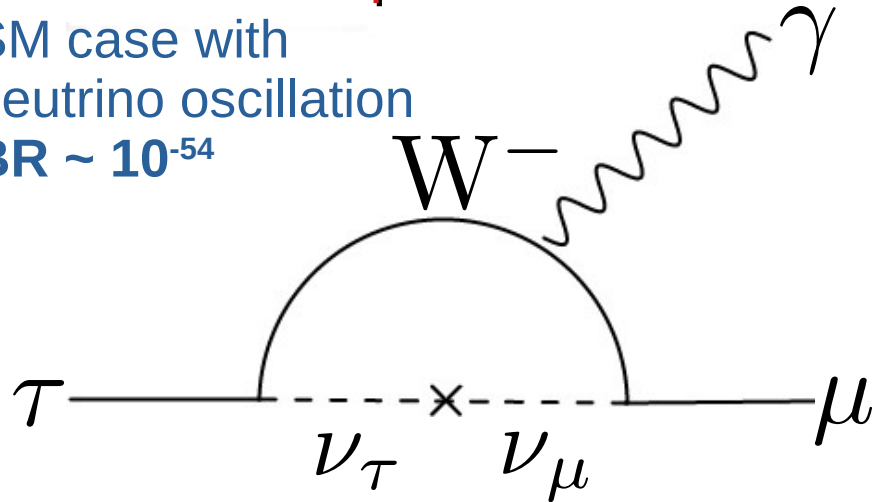


Charged Lepton Flavour Violation

powerful tool to search for physics beyond SM

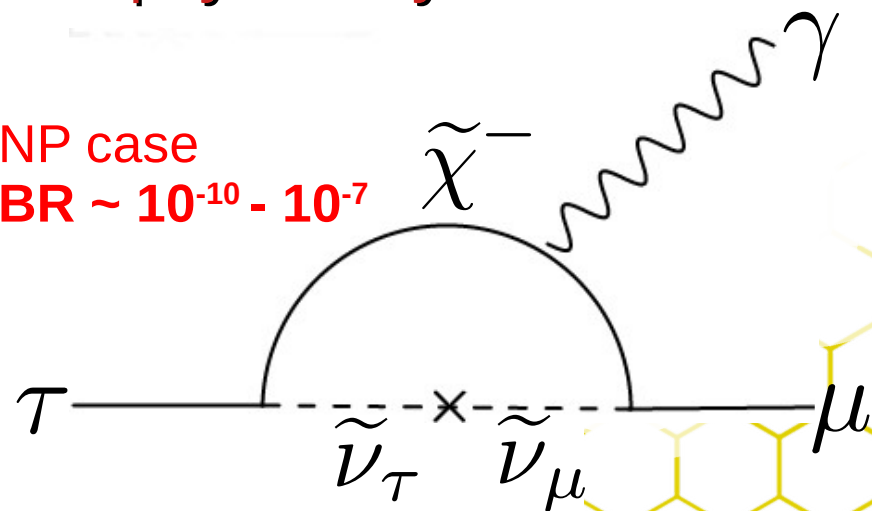
SM case with
neutrino oscillation

BR $\sim 10^{-54}$



NP case

BR $\sim 10^{-10} - 10^{-7}$



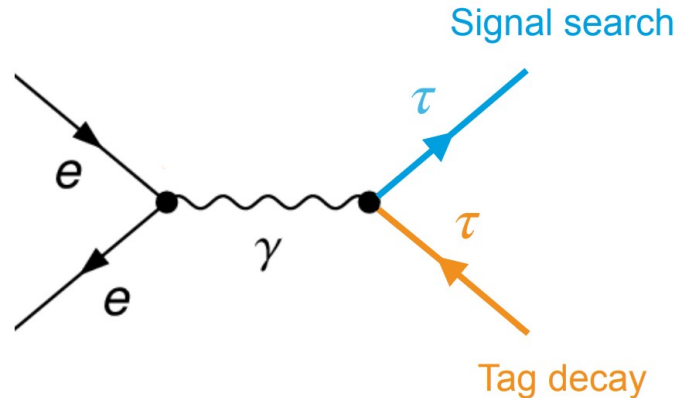
τ physics @ Belle II

overview

e^+e^- annihilation experiment at B-factory also serves as τ factory

$$\sigma(e^+e^- \rightarrow \Upsilon(4S)) = 1.05 \text{ nb}$$

$$\sigma(e^+e^- \rightarrow \tau^+\tau^-) = 0.92 \text{ nb}$$



τ features:

- due to large mass
 - only lepton that can decay into hadrons, thus providing a clean laboratory to study QCD effects in the 1 GeV energy region
 - BSM contributions coupled more strongly to the third generation
- direct observation of forbidden decays violating flavour conservation and/or universality \Rightarrow unambiguous signature of New Physics

Challenges:

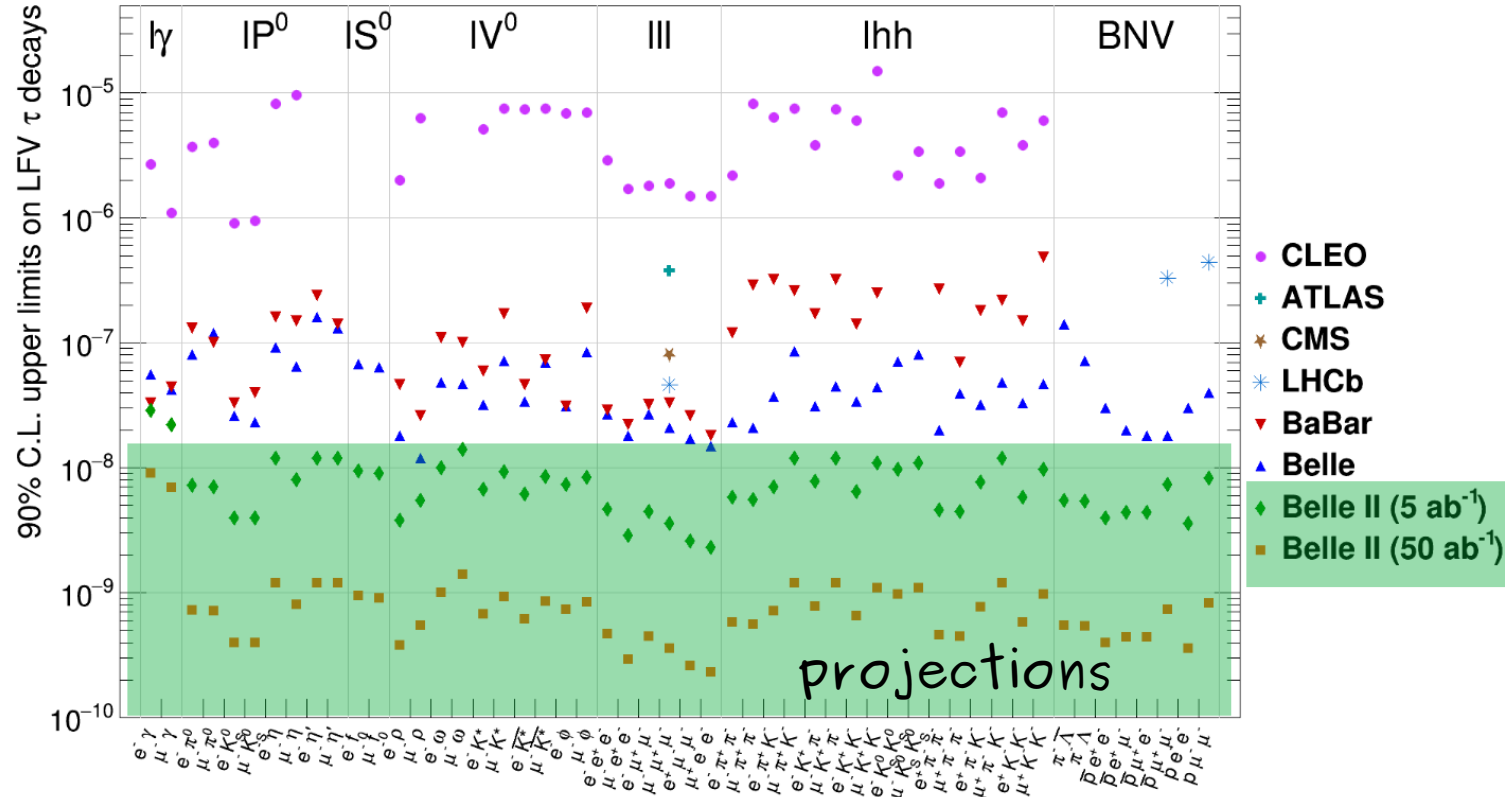
- presence of neutrinos requires good reconstruction of missing energy, hermetic detector, minimal combinatorial and machine backgrounds
- low multiplicity channels require appropriate triggers
- lifetime measurements require excellent vertexing capabilities



Current status

observed limits and projections

- 52 benchmark LFV τ decays have been searched
- modes can be classified as neutrinoless 2-body/3-body decays
- critical to probe all possible LFV modes of τ
⇒ any excess in single channel not provide sufficient information on underlying mechanism



- Belle II detector sensitivity close to NP scenarios limits
 - expected to improve the results of previous B-factories by a factor ~ 100 with statistics only
- there are additional LFV search channels with extra non-SM particles

LFV with light ALP production

$\tau \rightarrow l + \alpha$ (invisible)

Motivation:

- NP models: **light** Axion-Like Particle
- best UL on $\text{BF}(\tau \rightarrow l \alpha) / \text{BF}(\tau \rightarrow l \bar{\nu} \nu)$ from ARGUS (476 pb⁻¹, 1995)
- Belle II can set more stringent limits

Reconstruction:

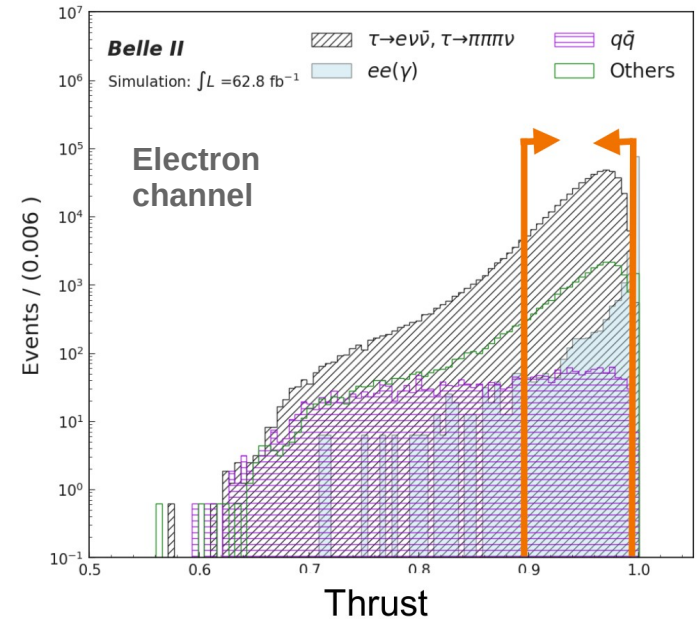
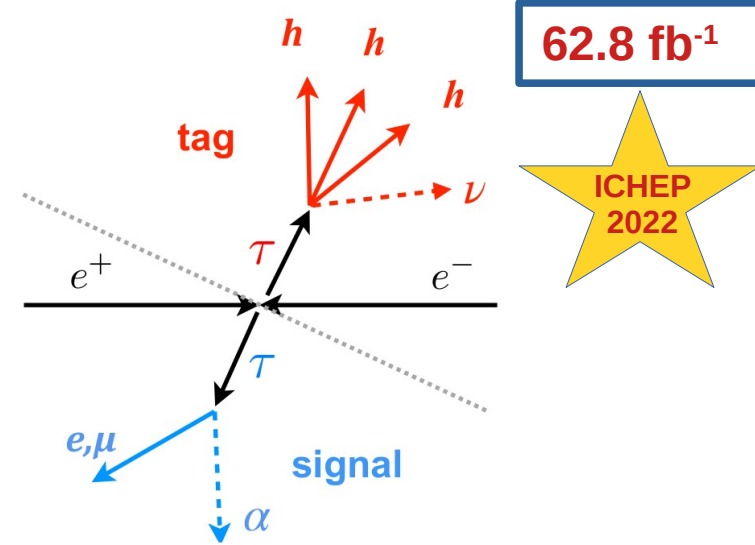
- split event in two hemispheres across thrust axis: $\vec{T} = \max \left(\sum_i \frac{\vec{p}_i \cdot \hat{T}}{|\vec{p}_i|} \right)$
- require 4 tracks:
 - signal with 1 lepton track**
 - tag with $\tau \rightarrow 3\pi\nu + \text{vertex}$**
 - Veto neutrals (π^0, γ) to suppress hadronic bkg

Bkg:

- irreducible: $\tau \rightarrow l \bar{\nu}$
- reducible: $q\bar{q}, l^+l^-, l^+l^+l^-, l^+h^+h^-$, correctly tagged $\tau^+\tau^-$ with misidentified signal (suppressed by PID cut)

Bkg suppression:

- unknown mass of $\alpha \rightarrow$ optimise selection using $\tau \rightarrow l \bar{\nu}$
- use 'safe' variables (**thrust**, $M(3\pi)$, $E_{\text{CM}}(3\pi)$) which cannot distinguish between $\tau \rightarrow l \bar{\nu}$ and $\tau \rightarrow l \alpha$



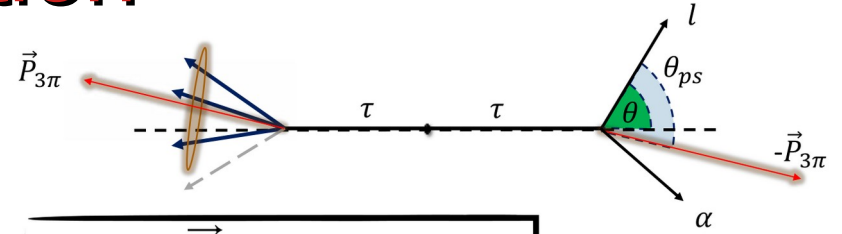
LFV with light ALP production

62.8 fb⁻¹

$\tau \rightarrow l + \alpha$ (invisible)

Signature:

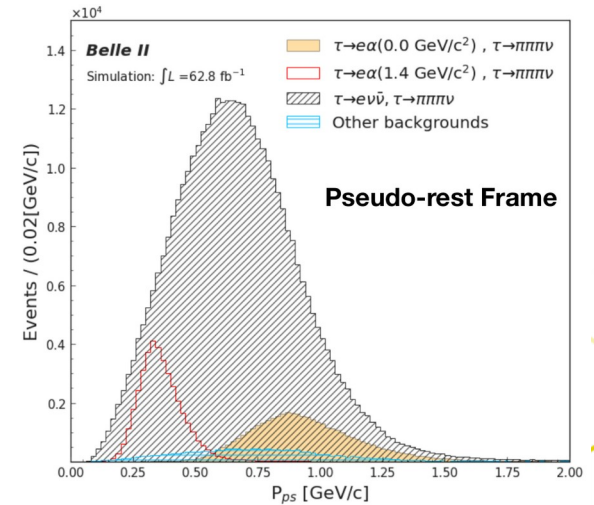
- search for excess above SM spectrum after bkg suppression
- in two-body decay we have monochromatic peak in τ rest frame
- undetected ν in both $\tau \Rightarrow$ use approximated pseudo-rest frame



$$\hat{p}_\tau \approx -\frac{\vec{p}_{tag}}{|\vec{p}_{tag}|}, \quad E_\tau \approx \sqrt{s}/2$$

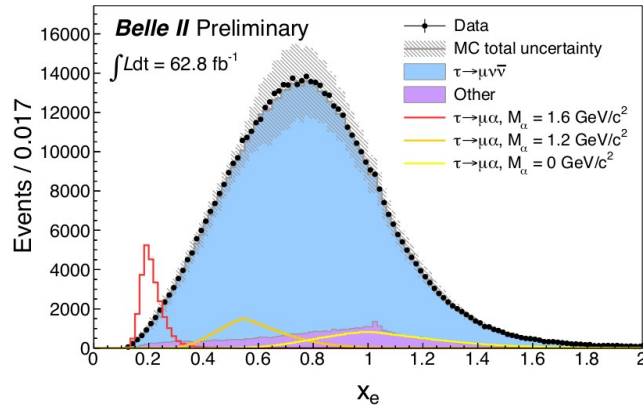
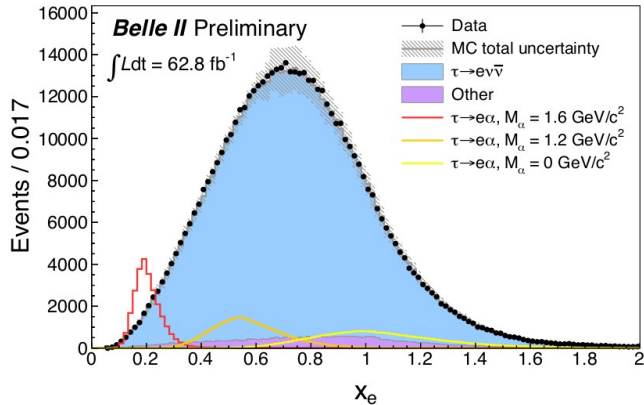
Strategy:

- extract signal using template fit to $x_l \equiv E_l/(m_\tau/2)$
- estimate $R = \mathcal{B}(\tau \rightarrow l\alpha)/\mathcal{B}(\tau \rightarrow l\nu\bar{\nu})$
- signal and SM systematics partially cancel out in ratio \Rightarrow different kinematic regime



Electrons

Muons



$\tau \rightarrow l \alpha$ channels
normalized to BF = 5%

LFV with light ALP production

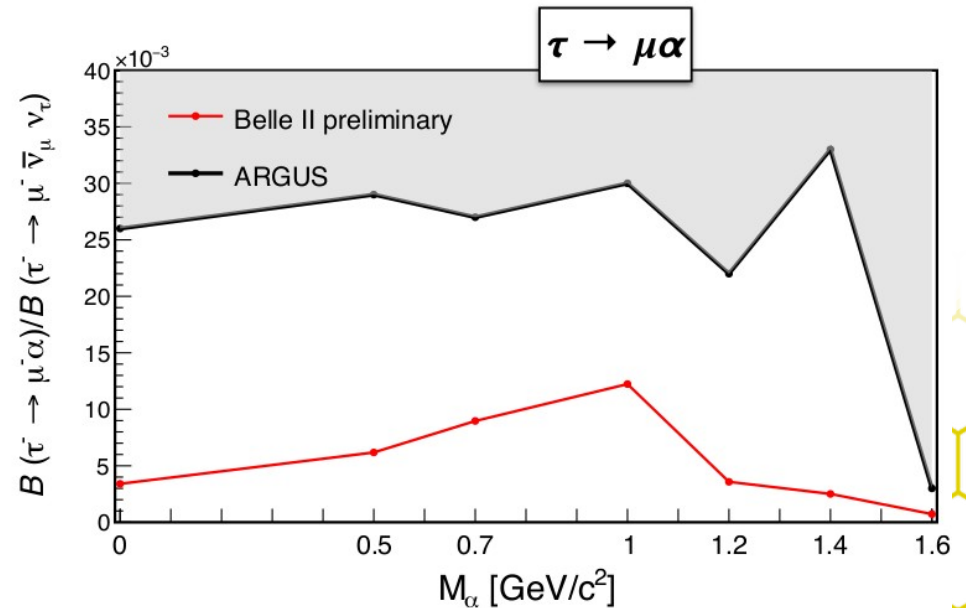
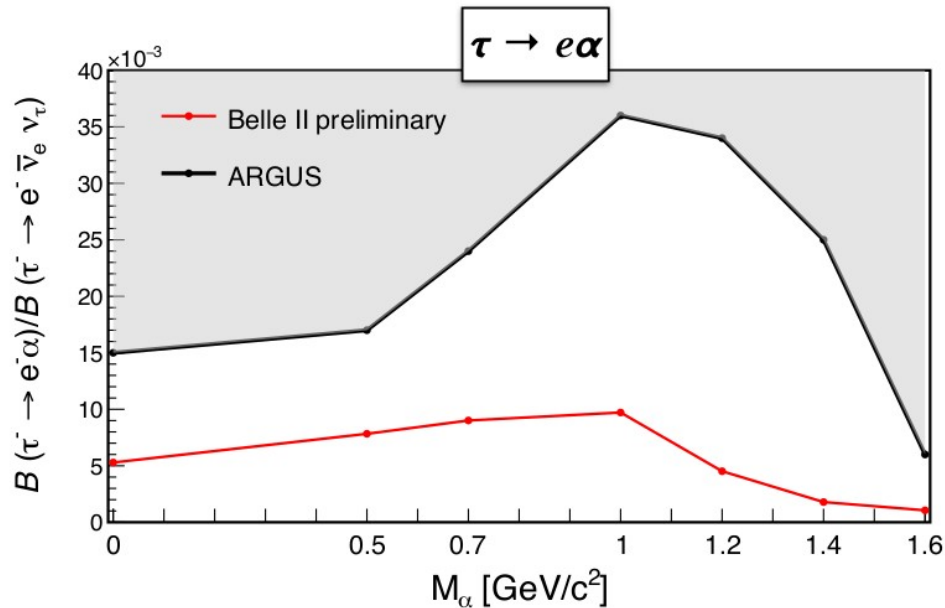
$\tau \rightarrow l + \alpha$ (invisible)

62.8 fb⁻¹

ICHEP
2022

Results:

- we observe no signal and set 95% confidence level ULs on $R = \mathcal{B}(\tau \rightarrow l\alpha)/\mathcal{B}(\tau \rightarrow l\nu\bar{\nu})$
- most stringent measurements in these channels to date



Summary

+ prospects

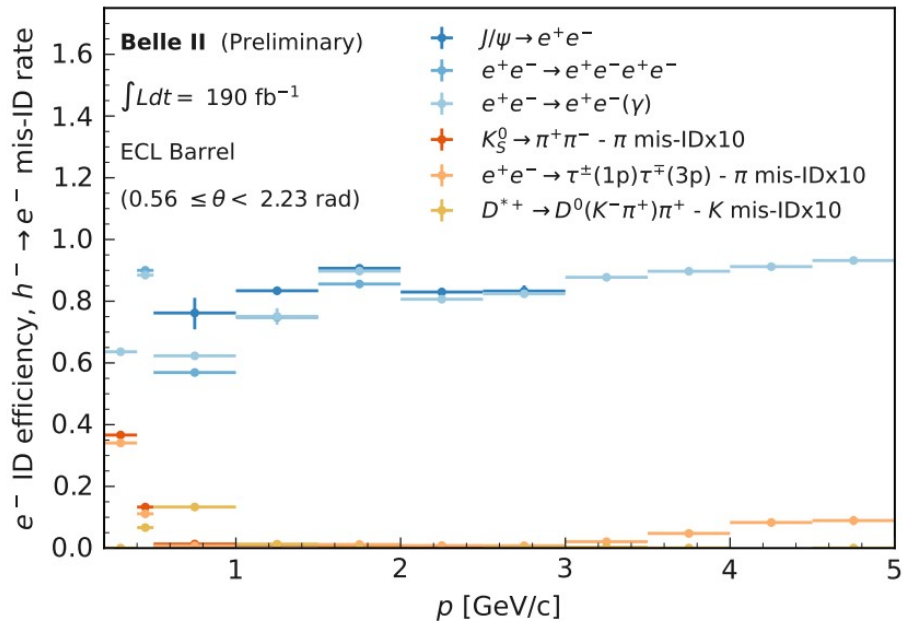
- ✓ New results in a wide range of B, τ decays and dark matter searches on limited data sample show promising capabilities of next-generation B-factory
- ✓ Until summer 2022 Belle II collected $424 \text{ fb}^{-1} \Rightarrow$ soon more new and updated results on the full sample
- ✓ [SnowMass reports for Belle II](#) provide a lot of details on the machine, detector, analysis tools, and planned physics analyses
- ✓ Belle II will contribute substantially to flavor physics throughout the next decade

BACKUP

Belle II detector

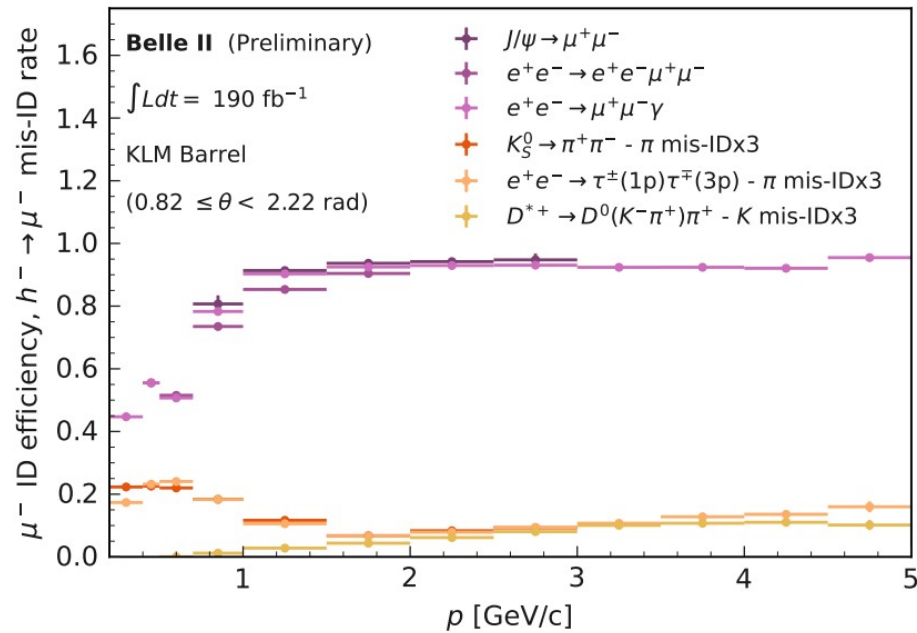
lepton ID performance

On-resonance: 190 fb⁻¹
Off-resonance: 18 fb⁻¹
(2019 – mid 2021)



$e\text{ID} > 0.9$ selection.

likelihood-based ID; mid_ID rate is multiplied **x10**
for illustration purposes

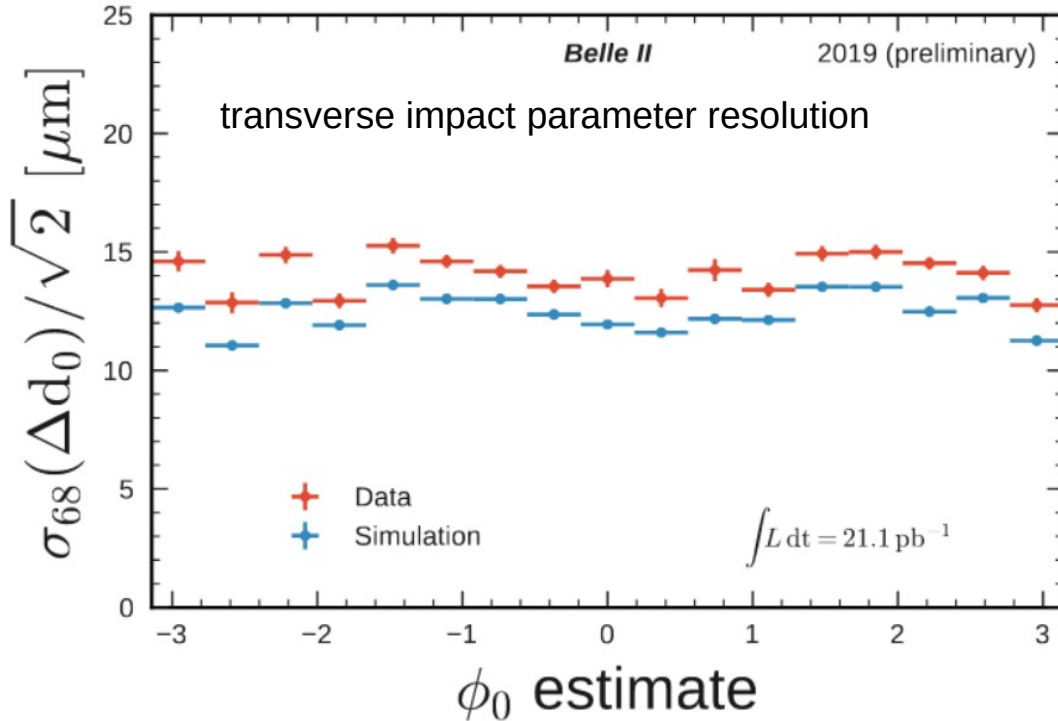


$\mu\text{ID} > 0.9$ selection.

likelihood-based ID; mid_ID rate is multiplied **x3**
for illustration purposes

Belle II detector

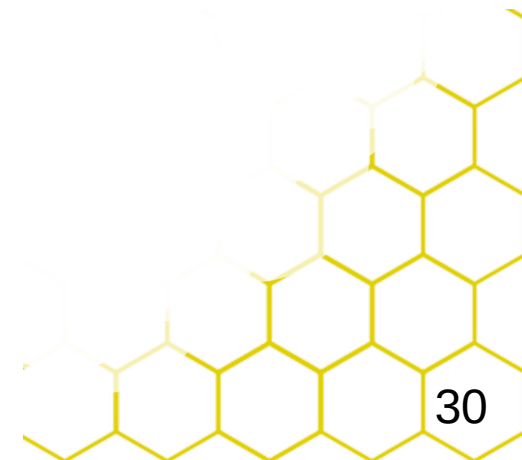
SVD performance + trigger



+ hit-time resolution ~ 3 ns \Rightarrow suppress beam-related background

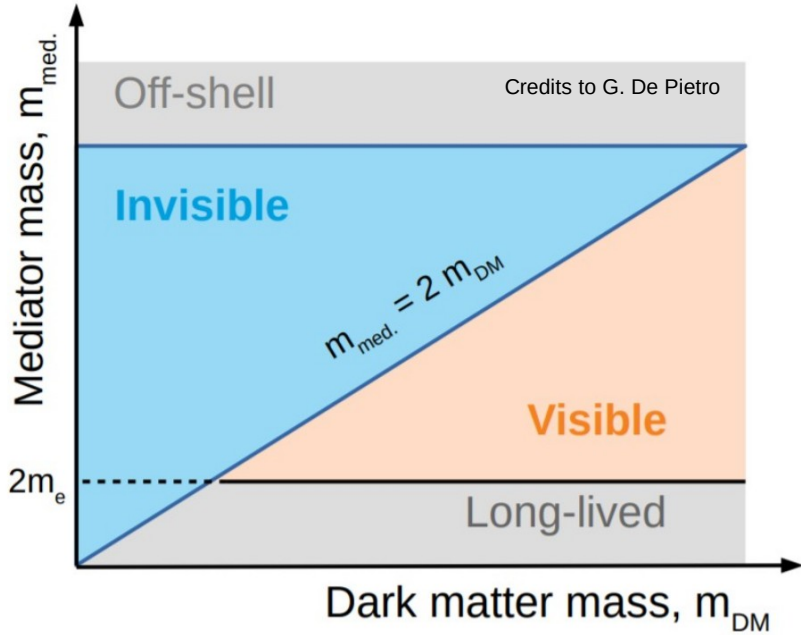
TRIGGER

- L1 Trigger: CDC+ECL+TOP+KLM
- Max. L1 DAQ: 30 kHz
- DAQ: pipeline readout

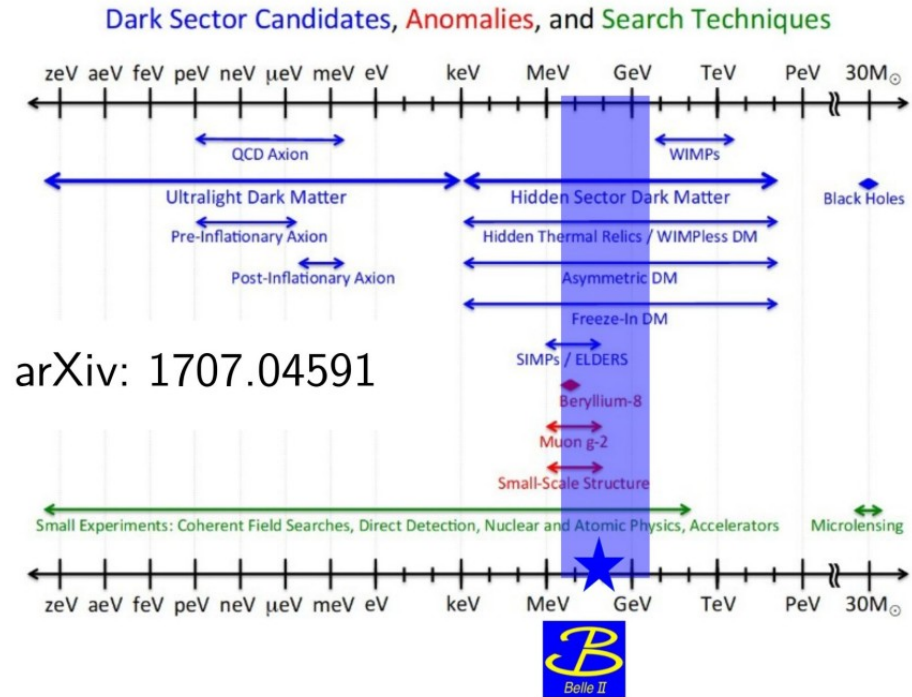


DM

mass range for Belle II

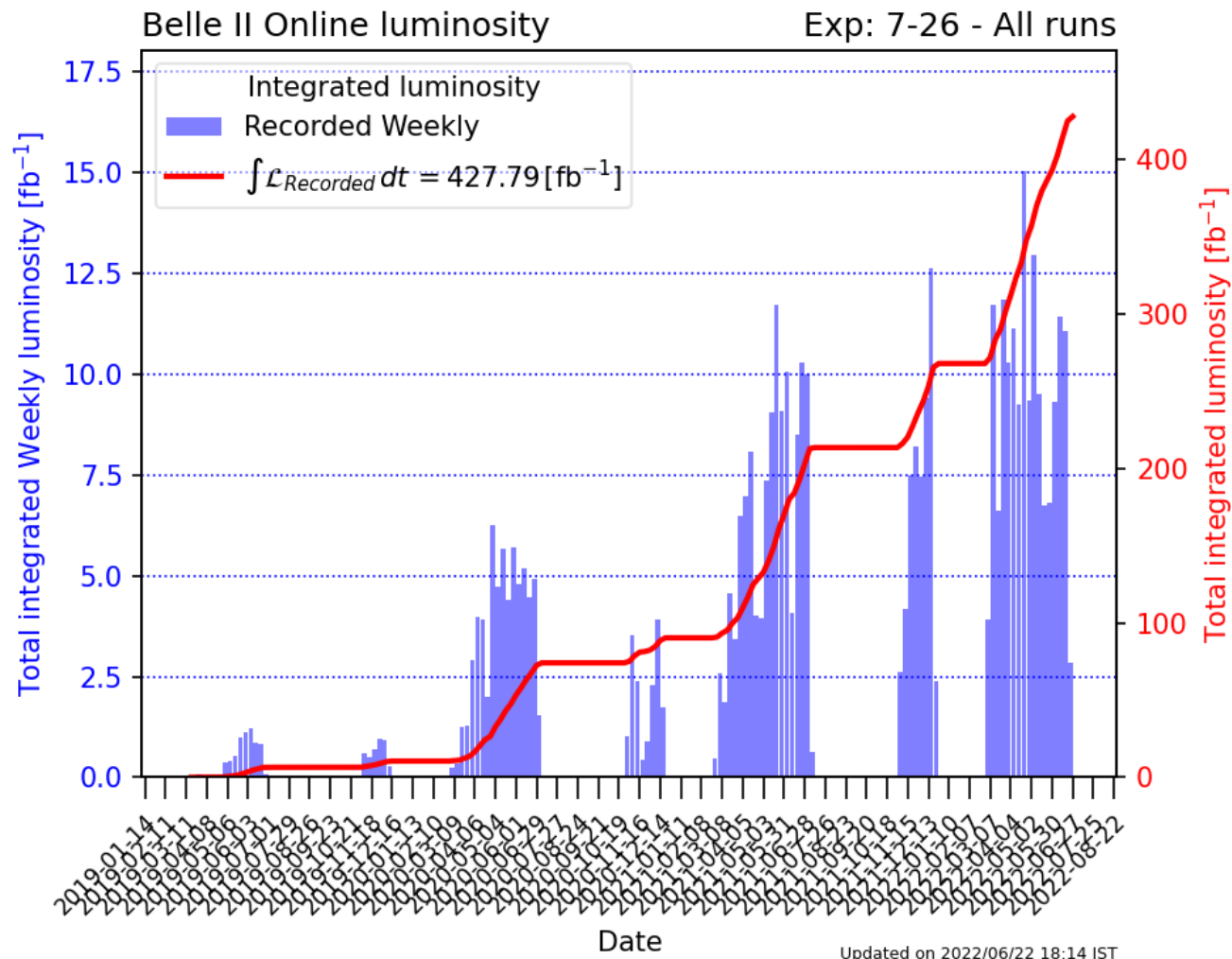


The masses of **mediator** and of the **DM candidates** lead to different type of searches



Luminosity

2022



SuperKEKB

luminosity

$$L = \frac{\gamma_{\pm}}{2e r_e} \left(1 + \frac{\sigma_y^*}{\sigma_x^*} \right) \overset{\times 2}{\frac{I_{\pm} \xi_{y\pm}}{\beta_{y\pm}^*}} \frac{R_L}{R_{\xi y}} \times \frac{1}{20}$$

→ × 40 luminosity



$\tau \rightarrow l + \alpha$ (invisible)

UL on ratio

